

**CDM-2025MP30PB-INFO02**

## DOE performance monitoring

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### Report to the public on the thirtieth monitoring period (2<sup>nd</sup> iteration)

Version 01.0



**United Nations**  
Framework Convention on  
Climate Change

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## 1. Procedural background

1. This report has been prepared in accordance with the “Procedure: Performance monitoring of designated operational entities” (CDM-EB58-A01-PROC) (ver. 05.0) (hereinafter referred to as the designated operational entity performance monitoring procedure).
2. The performance of designated operational entities (DOEs) is continuously monitored, and the performance indicators are calculated based on the following (submitted by DOEs during a monitoring period of four months):
  - (a) Requests for registration and issuance for both project activities and programmes of activities (PoAs);
  - (b) Requests for renewal of crediting period of project activities, and for renewal of PoA period;
  - (c) Requests for approval of post-registration changes (PRCs) of both project activities and PoAs under the prior-approval track and notifications of changes to component project activities (CPAs).
3. Each year, a monitoring period starts on 1 January and finishes on 30 April, followed by the next monitoring period that starts on 1 May and ends on 31 August, and the final monitoring period that starts on 1 September and ends on 31 December.
4. The secretariat shall prepare the first iteration of a DOE performance monitoring report based on the data related to the requests finalized within three months of the end of each monitoring period. The first iteration of such a report shall be issued no later than four months after the end of each monitoring period. If the first iteration does not cover the finalization of 95 per cent of the requests, the second iteration shall cover the requests that are finalized within six months of the end of each monitoring period or at the finalization of 95 per cent of the requests, whichever comes first. The secretariat shall issue the second iteration no later than seven months after the end of the monitoring period or no later than one month after the finalization of 95 per cent of the requests, whichever comes first.
5. The secretariat shall calculate the following performance indicators for the requests submitted during a given monitoring period:
  - (a) Indicator  $I_1$ , which includes the following two sub-indicators:
    - (i) Indicator  $I_{1,CC}$ : Rate of incomplete submissions at the stage of completeness check (CC);
    - (ii) Indicator  $I_{1,IRC}$ : Rate of incomplete submissions at the stage of information and reporting check (IRC);
  - (b) Indicator  $I_2$ , which includes the following two sub-indicators:
    - (i) Indicator  $I_{2,REG}$ : Risk priority number (RPN) value at the stage of review of requests for registration;
    - (ii) Indicator  $I_{2,ISS}$ : RPN value at the stage of review of requests for issuance;
  - (c) Indicator  $I_3$ : RPN value at the stage of clarification and rejection of requests for prior approval of PRCs and notifications of changes to CPAs.

6. This is the second iteration of the DOE performance monitoring report for the thirtieth performance monitoring period (from 1 September to 31 December 2025) (the 30<sup>th</sup> period report), based on the requests finalized by 30 June 2026 and considered the final version of the 30th period report.

## 2. Abbreviated names of designated operational entities

7. Table 1 below provides an overview of abbreviated names of DOEs and their full names, including their reference numbers.

**Table 1. Abbreviated names of designated operational entities, full names and their reference numbers**

| <b>Designated operational entity (DOE) abbreviation</b> | <b>DOE full name</b>                                                   |
|---------------------------------------------------------|------------------------------------------------------------------------|
| 4KES                                                    | 4K Earth Science Private Limited (E-0069)                              |
| AENOR                                                   | AENOR INTERNACIONAL, S.A.U. (E-0021)                                   |
| Ampere                                                  | Ampere for Renewable Energy (E-0071)                                   |
| BSI PL                                                  | BSI Pacific Limited (BSI PL) (E-0076)                                  |
| BVI                                                     | Bureau Veritas India Pvt. Ltd. (E-0009)                                |
| Carbon Check                                            | Carbon Check (India) Private Ltd. (E-0052)                             |
| CBT                                                     | Beijing Carbon Brilliant Technology Ltd. (E-0075)                      |
| CCCI                                                    | China Certification Center, Inc. (E-0067)                              |
| CCSC                                                    | China Classification Society Certification Company (E-0046)            |
| CEC                                                     | China Environmental United Certification Center Co., Ltd. (E-0034)     |
| CEPREI                                                  | CEPREI certification body (E-0047)                                     |
| CQC                                                     | China Quality Certification Center (E-0044)                            |
| CTC                                                     | China Building Material Test and Certification Group Co. Ltd. (E-0065) |
| CTI                                                     | Shenzhen CTI International Certification Co., Ltd. (E-0061)            |
| CPL                                                     | Climensys Private Limited (E-0080)                                     |
| Earthood                                                | Earthood Services Private Limited (E-0066)                             |
| EPIC                                                    | EPIC Sustainability Services Pvt. Ltd. (E-0062)                        |
| JQA                                                     | Japan Quality Assurance Organisation (E-0001)                          |
| KBS                                                     | KBS Certification Services Pvt. Ltd (E-0051)                           |
| KFQ                                                     | Korean Foundation for Quality (E-0025)                                 |
| KSA                                                     | Korean Standards Association (E-0039)                                  |
| KTR                                                     | Korea Testing & Research Institute (E-0056)                            |
| LGAI                                                    | LGAI Technological Center, S.A. (E-0032)                               |

| <b>Designated operational entity (DOE) abbreviation</b> | <b>DOE full name</b>                                                            |
|---------------------------------------------------------|---------------------------------------------------------------------------------|
| NES                                                     | Limited Liability Company Small Innovative Enterprise "NES Profexpert" (E-0073) |
| RINA                                                    | RINA Services S.p.A. (E-0037)                                                   |
| TÜV NORD                                                | TÜV NORD CERT GmbH (E-0022)                                                     |
| TÜV SÜD                                                 | TÜV SÜD South Asia Private Limited (E-0005)                                     |
| UICC                                                    | Beijing United Intelligence Certification Co., Ltd (E-0077)                     |

### 3. Completeness check and information and reporting check indicators ( $I_{1,CC}$ and $I_{1,IRC}$ )

8. Indicators  $I_{1,CC}$  and  $I_{1,IRC}$  are calculated as per paragraph 15 (a) of the DOE performance monitoring procedure:
  - (a) Indicator  $I_{1,CC}$  = number of requests concluded as incomplete at CC/number of requests completed;
  - (b) Indicator  $I_{1,IRC}$  = number of requests concluded as incomplete at IRC/number of requests completed.
9. The respective thresholds  $TH_{I1,CC}$  and  $TH_{I1,IRC}$  for indicators  $I_{1,CC}$  and  $I_{1,IRC}$  are correspondingly calculated, as per paragraph 21 of the DOE performance monitoring procedure. The DOE is considered to be in the "green zone" if its indicator  $I_{1,CC}$  is equal to or less than  $TH_{I1,CC,y}$  or its indicator  $I_{1,IRC}$  is equal to or less than  $TH_{I1,IRC}$ . The DOE is considered to be in the "red zone" if its indicator  $I_{1,CC}$  is more than  $TH_{I1,CC,y}$  or its indicator  $I_{1,IRC}$  is more than  $TH_{I1,IRC}$ .
10. Table 2 below presents the performance monitoring outcome of indicators  $I_{1,CC}$  and  $I_{1,IRC}$ .

**Table 2. Indicators  $I_{1,CC}$  and  $I_{1,IRC}$**

| Designated operational entity (DOE) name | DOE reference | No. of requests submitted | Requests finalized (%) | $I_{1,CC}$ | Threshold $I_{1,CC}$ | $I_{1,CC}$ outcome (Yes, if $I_{1,CC}$ threshold exceeded) | $I_{1,IRC}$ | Threshold $I_{1,IRC}$ | $I_{1,IRC}$ outcome (Yes, if $I_{1,IRC}$ threshold exceeded) | Outcome (Red zone, if $I_{1,CC}$ or $I_{1,IRC}$ threshold exceeded) |
|------------------------------------------|---------------|---------------------------|------------------------|------------|----------------------|------------------------------------------------------------|-------------|-----------------------|--------------------------------------------------------------|---------------------------------------------------------------------|
| RINA                                     | E-0037        | 4                         | 100%                   | 0.000      | 0.0445               | No                                                         | 0.250       | 0.1699                | Yes                                                          | Red Zone                                                            |
| TÜV NORD                                 | E-0022        | 1                         | 100%                   | 0.000      | 0.0445               | No                                                         | 0.000       | 0.1699                | No                                                           | Green Zone                                                          |

## 4. Request for review indicators ( $I_{2,REG}$ and $I_{2,ISS}$ )

11. Indicators  $I_{2,REG}$  and  $I_{2,ISS}$  are calculated based on the requests for registration and issuance, respectively, as per paragraph 15 (b) of the DOE performance monitoring procedure. When the number of review cases is:
  - (a) Higher than or equal to 3,  $I_{2,REG}$  and  $I_{2,ISS}$  are the proportion of the RPN values resulted from requests for review for each DOE over the RPN mean value resulted from all requests for review for all DOEs for the respective registration and issuance requests;
  - (b) Less than 3,  $I_{2,REG}$  and  $I_{2,ISS}$  are the RPN value resulted from requests for review for each DOE for the respective registration and issuance requests.
12. The respective thresholds for the indicators  $I_{2,REG}$  and  $I_{2,ISS}$  are defined as per paragraph 25 of the DOE performance monitoring procedure as below:
  - (a) When the number of review cases is higher than or equal to 3, the DOE is considered to be:
    - (i) In the “green zone” if its indicator  $I_{2,REG}$  or  $I_{2,ISS}$  is less than 0.6;
    - (ii) In the “yellow zone” if its indicator  $I_{2,REG}$  or  $I_{2,ISS}$  is higher than or equal to 0.6 but less than 0.8;
    - (iii) In the “red zone” if its indicator  $I_{2,REG}$  or  $I_{2,ISS}$  is higher than or equal to 0.8;
  - (b) When the number of review cases or rejected request is either less than 3, greater than 3 or equal to 3, but this DOE is the only DOE having request for review, the DOE is considered to be:
    - (i) In the “green zone” if its indicator  $I_{2,REG}$  or  $I_{2,ISS}$  is less than 6;
    - (ii) In the “yellow zone” if its indicator  $I_{2,REG}$  or  $I_{2,ISS}$  is higher than or equal to 6 but less than 10;
    - (iii) In the “red zone” if its indicator  $I_{2,REG}$  or  $I_{2,ISS}$  is higher than or equal to 10.
13. There were no requests for review raised for request for registration and issuance during the current performance monitoring period. Therefore, no DOE was subject to monitoring of indicator  $I_{2,REG}$  and  $I_{2,ISS}$ .

## 5. Post-registration changes indicator ( $I_3$ )

14. Indicator  $I_3$  is calculated as per paragraph 15 (c) of the DOE performance monitoring procedure. When the number of requests for clarification regarding requests for approval of PRCs under the prior-approval track and notifications of changes to CPAs (hereinafter referred to as PRC requests) and rejected PRC requests is:
  - (a) Higher than or equal to 3,  $I_3$  is the proportion of the RPN values resulted from requests for clarification and rejected PRC requests for each DOE over the RPN mean value resulted from all requests for clarification and rejected requests for all DOEs;

- (b) Less than 3,  $I_3$  is the RPN value resulted from requests for clarification and rejected PRC requests for each DOE.
15. The threshold for the indicator  $I_3$  is defined, as per paragraph 26 of the DOE performance monitoring procedure, as below:
- (a) When the number of clarification requests and rejection of PRC requests cases is higher than or equal to 3, the DOE is considered to be:
    - (i) In the “green zone” if its indicator  $I_3$  is less than 0.6;
    - (ii) In the “yellow zone” if its indicator  $I_3$  is higher than or equal to 0.6 but less than 0.8;
    - (iii) In the “red zone” if its indicator  $I_3$  is higher than or equal to 0.8;
  - (b) When the number of clarification requests and rejection of PRC requests cases is either less than 3, greater than 3 or equal to 3, but this DOE is the only DOE having request for clarification, the DOE is considered to be:
    - (i) In the “green zone” if its indicator  $I_3$  is less than 6;
    - (ii) In the “yellow zone” if its indicator  $I_3$  is higher than or equal to 6 but less than 11;
    - (iii) In the “red zone” if its indicator  $I_3$  is higher than or equal to 11.
16. Table 3 below presents the performance monitoring outcome of indicators  $I_3$ .

Table 3. Outcome of the indicator I<sub>3</sub>

| Designated operational entity (DOE) | Number of requests for clarification and rejected request | Proportion of requests over RPN mean value | DOE's average RPN value | Green Zone (I <sub>3</sub> <6)<br>Yellow Zone (6≤ I <sub>3</sub> <11)<br>Red Zone (I <sub>3</sub> ≥11) |
|-------------------------------------|-----------------------------------------------------------|--------------------------------------------|-------------------------|--------------------------------------------------------------------------------------------------------|
| TÜV NORD                            | 1                                                         |                                            | 3                       | Green Zone                                                                                             |

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**Document information**

| <i>Version</i> | <i>Date</i>  | <i>Description</i>                                                                                                                                                                                                                                                                 |
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