#### **CDM-MP85-A05**

# **Draft Small-scale Methodology**

# AMS-III.AJ.: Recovery and recycling of materials from solid wastes

Version 08.0

Sectoral scope(s): 13



Draft Small-scale Methodology: AMS-III.AJ.: Recovery and recycling of materials from solid wastes Version 08.0

#### **COVER NOTE**

#### 1. Procedural background

1. The Executive Board of the clean development mechanism (CDM) (hereinafter referred to as the Board), at its 108<sup>th</sup> meeting (EB 108 report, paragraph 38), requested the Methodologies Panel (MP) to revise the methodology "AMS-III.AJ.: Recovery and recycling of materials from solid wastes" to have consistent methods to determine the emission factors associated with the consumption of fossil fuel and with the consumption of electricity in the production of virgin plastics.

#### 2. Purpose

2. The purpose of the revision is to address the above-mentioned mandate from the Board.

#### 3. Key issues and proposed solutions

- 3. Baseline emissions associated with the production of plastic from virgin materials are determined based on the thermal energy and electricity consumed to produce virgin materials, i.e. per unit of ethylene, propylene, terephthalic acid and ethylene glycol produced. The methodology assumes, conservatively, that the thermal energy is supplied by natural gas (i.e. for thermal cracking of olefins for the production of virgin monomers) and the electricity is supplied by the electric grid.
- 4. In the proposed revision, baseline emissions are determined proportionally, out of the total plastic consumed by the host party, to the plastic produced in the host party and to the plastic imported, as follows:
  - (a) For imported plastics, project participants can apply a conservative electricity emission factor of 0.25 tCO<sub>2</sub>/MWh and the CO<sub>2</sub> emission factor of natural gas;
  - (b) For plastics produce in the host party, project participants can either:
    - (i) Monitor the quantity and type of fossil fuel and the quantity and source of electricity consumed to determine the CO<sub>2</sub> emission factors; or
    - (ii) Assume that all plastic consumed in the host party is imported and apply the conservative approaches from paragraph 4(a) above.
- 5. Additional changes to the methodology include:
  - (a) Explanation of the rationale of specific electricity and fuel consumed for the production of plastics as notes to Table 3 of the methodology;
  - (b) Re-arrangement of the project emissions section to provide equations to determine project emissions associated with projects where the recycling facility sorts and processes the materials, and with projects where the recycling facility only sorts the materials and the processing is done by a third-party processing plant.

#### 4. Impacts

6. The revision of the methodology provides more flexibility to determine baseline emissions from electricity consumed to produce plastics from virgin materials.

#### 5. Subsequent work and timelines

7. The MP, at its 85<sup>th</sup> meeting, agreed to seek public inputs on the draft revised methodology. If inputs are received, they will be taken into account when preparing the recommendation to the Board. If no inputs are received, the MP recommends that the Board approve the revision of the methodology. No further work is envisaged.

#### 6. Recommendations to the Board

8. If no inputs are received during the call for public input, the MP recommends that the Board adopt this draft methodology, to be made effective at the time of the Board's approval. If inputs are received, this section is not applicable.

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#### 1. Introduction

1. The following table describes the key elements of the methodology.

Table 1. Methodology key elements

Typical projects	The following materials which are recycled from municipal solid wastes (MSW) and processed into intermediate or finished products are covered in the methodology:  Plastics: HDPE, LDPE, PET and PP plastic materials;  Container glass cullet;  Metals – Aluminium and Steel
Type of GHG emissions mitigation action	Energy efficiency: Reduction of production of HDPE, LDPE, PET, PP and container glass from virgin materials, thus reducing related energy consumption

# 2. Scope, applicability, and entry into force

## 2.1. Scope

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- 2. This methodology comprises activities for the recovery and recycling of materials in municipal solid waste (MSW)<sup>1</sup> to process them into intermediate or finished products, displacing the production of virgin materials in dedicated facilities, thereby resulting in avoidance of energy use. For paper and cardboard recycling, if the baseline scenario is the decay in a disposal site, the avoided methane emissions may be claimed.
- 3. The methodology covers the emissions associated with:
  - (a) Production of virgin pellets of plastics consisting of either high density polyethylene (HDPE), low density polyethylene (LDPE), Polyethylene Terephthalate (PET) or Polypropylene (PP). For the sake of this methodology, "plastic" means HDPE, LDPE, PET and PP, unless otherwise specified;
  - (b) Production of container glass using virgin input ("container glass" hereafter) that is displaced by the recycled container glass ("container glass cullet" hereafter) due to the project activity;
  - (c) Production of metals (i.e. aluminium and steel)<sup>2</sup> from mined ore or virgin raw materials that is displaced by the recycled metals due to the project activity.

<sup>&</sup>lt;sup>1</sup> Non-hazardous waste materials suitable for deposition in a solid waste disposal site (SWDS), paper/cardboard refers to post-consumer wastes.

<sup>&</sup>lt;sup>2</sup> Other metals are not covered under this methodology.

#### 2.2. Applicability

4. The methodology is applicable in the following two cases:

#### 2.2.1. Case A: Project activities that target the participation of the informal waste sector

- 5. In Case A, the recycling facility is operated by the informal sector. The recycling facility may also receive wastes collected by the formal waste sector (e.g. public collection system). Waste fractions that were already being recycled in the baseline by enterprises in the formal sector cannot be included in the calculations.
- 6. The following applicability conditions shall apply to project activities under this case:
  - (a) The recycling facility may be an existing facility, or a newly implemented facility;
  - (b) It is possible to directly measure and record the final output of the recycling facility, that is the weight of materials leaving the recycling facility (on a dry basis), segregated by type;
  - (c) Each type of recycled material is sold directly to a processing/manufacturing facility, or to a chain of intermediary retailers that are able to transfer the materials to final identifiable processing/manufacturing facilities that process the segregated fractions;
  - (d) The Project Design Document (PDD) shall explain the procedures such as contractual agreements proposed to eliminate double counting of emission reductions, for example due to the formal waste sector or the processing/manufacturing facility, or other parties possibly claiming credits for emission reductions. Similarly, through contractual agreement and other means such as survey/analysis undertaken by a third party, credible proof shall be provided to show that the materials supplied from the recycling facility are used for processing/manufacturing and not for other purposes such as a source of fuel or disposal;
  - (e) Emission reductions can be claimed for the difference in energy use for the production of materials from virgin inputs versus production from recycled material. In the case of paper or cardboards, emission reductions due to the avoidance of methane formation in anaerobic decay may be claimed if the baseline scenario is the waste disposal in a disposal site without methane recovery.

# 2.2.2. Case B: Greenfield facility and/or capacity addition to existing facilities with formal sector participation

- 7. In Case B, the recycling facility is owned and operated by the formal waste sector. It may receive recyclable materials from the informal waste sector but has no participation of the informal sector in its organization or management functions. The following applicability conditions shall apply under this case:
  - (a) If the recycling facility is an existing activity, the average data on the amount of recycled materials from the previous three years of operation (a minimum of oneyear data would be required if the facility is less than three years old) shall be used for the estimation of the baseline recycling activity, and project activity shall consist of the increase of the recycling capacity above this level. If the recycling facility is newly implemented as a Greenfield activity, all recycled materials are eligible for

the emission reduction calculation. However, in this case the project participants shall demonstrate that the materials recycled by the project activity are not diverted from other existing recycling facilities belonging to the formal sector, or, alternatively, that it is not a common practice in the region to recover and recycle these materials from municipal solid waste streams by means of formal businesses:

- (b) It is possible to directly measure and record the final output of the recycling facility and the input to the final processing/manufacturing facilities, that is the weight of materials leaving the recycling facility and of those entering the processing/manufacturing facilities (on a dry basis),<sup>3</sup> segregated by type;
- (c) The recycled materials shall be sold directly to a processing/manufacturing facility, or to a chain of intermediary retailers that are able to transfer the recycled materials to a final identifiable processing/manufacturing facility;
- (d) The PDD shall explain how procedures, such as contractual agreements, shall be put in place to eliminate double counting of emission reductions, for example potentially resulting from waste pickers, the recycling facility or the processing/manufacturing facility, or other parties possibly claiming credits for emissions reduction. Similarly, through contractual agreement and other means, credible proof shall be provided to show that the materials supplied from the recycling facility are used for processing/manufacturing and not for other purposes such as a source of fuel or disposal;
- (e) For recycling of PET/PP, the project participants shall demonstrate the chemical equivalence of the recycled PET/PP to that of PET/PP made from virgin inputs by the comparison of intrinsic viscosities to ensure that the recycled PET/PP replaces virgin inputs;
- (f) Emission reductions can only be claimed for the difference in energy use for the production of finished products from virgin inputs versus production from recycled materials. In the case of paper or cardboards, emissions reductions due to avoidance of methane formation in anaerobic decay may be claimed, if the baseline scenario is waste disposal in a disposal site without methane recovery.

#### 2.2.3. Applicability conditions for both cases i.e. Case A and Case B

8. In any of the above cases the project proponent shall be able to demonstrate, using three years<sup>4</sup> historic data (market data, official statistics etc.) prior to the start date<sup>5</sup> of the project activity, that the finished products (HDPE, LDPE, PET, PP, steel aluminium, paper and cardboard and glass) were manufactured in the host country of the CDM project using either virgin raw materials produced in country or virgin raw materials imported from another non-Annex I country. This analysis may be limited to only those finished products where recycled materials have proven to be a technically viable option, that is those types of products that are expected to be the end products produced from materials recycled as part of the project activity.

<sup>&</sup>lt;sup>3</sup> If multiple processes or facilities are involved, consider the final weight of the clean and dry material.

<sup>&</sup>lt;sup>4</sup> A minimum of one-year data would be required if the facility is less than three years old.

<sup>&</sup>lt;sup>5</sup> As per the definition of start date provided in the EB 41 report, paragraph 67.

- 9. As an alternative to the requirement stipulated in paragraph 8 above, the project proponents may choose to adjust the baseline emissions by using the baseline correction factor (Bi) as described under the baseline section below.
- 10. The recycling facility shall source its materials from MSW; materials from an unknown source are not eligible under this methodology. The project activity consists of separation of the recyclables from bulk MSW by means of manual or magnetic or mechanical separations. If the project activity involves the collection of wastes on a door to door basis, or collection at recipient's containers for the voluntary dispensing of wastes by the local community, all recyclables (paper, plastics, glass, etc.) processed by the recycling plant shall be collected together, selective collection of metals or any other wastes is excluded. As a consequence, wastes not pertaining to the identified baseline waste collection and destination stream that would not be delivered to the baseline disposal site and/or treatment plant (e.g. incineration) are not eligible.
- 11. In the specific case of metals, the methodology excludes collection of the scraps generated from the production process of primary/secondary/finished metal and materials or in the processing of the finished metal and materials into final products, and it covers only postconsumer obsolete wastes<sup>6</sup>. Project proponents shall provide evidence that the materials recycled under the project activity are recovered only from end-of-life-wastes and project activity does not divert waste from any historically existing informal or formal recycling activity.
- 12. The amount of fuel and electricity consumed by the recycling facility can be measured and recorded.
- 13. Project proponents shall demonstrate that the properties of the materials produced from waste recycling are the same as those from virgin materials. For example, if the waste materials such as recycled plastic bottles are converted into building blocks or roof tiles, the emission reductions based on displacement of original virgin materials cannot be claimed under this methodology. For recycled materials, project proponents shall provide documentation proving that the properties of the materials produced are comparable according to standard testing methods for each material.
- 14. Measures are limited to those that result in aggregate emission reductions of less than or equal to 60ktCO<sub>2</sub> equivalent annually.

#### 2.3. Entry into force

15. The date of entry into force is the date of the publication of the EB XX meeting report on DD Month 2021.

Post-consumer obsolete wastes cover for example small domestic appliances in the daily waste collection system, and it excludes collection of large devices such as refrigerators, vehicles. This is because these devices are usually scrapped and not treated and disposed together with MSW as assumed by this methodology; further recycling/recovery of metals from such devices would potentially involve emissions due to physical leakage of refrigerants and accounting such emissions are not under the scope of this methodology.

#### 2.4. Applicability of Sectoral Scopes

16. For validation and verification of CDM projects and programme of activities by a designated operational entity (DOE) using this methodology, application of sectoral scope 13 is mandatory.

#### 3. Normative references

- 17. Project participants shall apply the "General guidelines for SSC CDM methodologies", "Guidelines on the demonstration of additionality of small-scale project activities" provided at <a href="http://cdm.unfccc.int/methodologies/SSCmethodologies/approved.html">http://cdm.unfccc.int/methodologies/SSCmethodologies/approved.html</a>.
- 18. This methodology also refers to the latest approved version of the following approved methodology:
  - (a) "AMS-III.BA.: Recovery and recycling of materials from E-waste";
  - (b) "TOOL03: Tool to calculate project or leakage CO<sub>2</sub> emissions from fossil fuel combustion";
  - (c) TOOL04: Emissions from solid waste disposal sites;
  - (d) "TOOL05: Baseline, project and/or leakage emissions from electricity consumption and monitoring of electricity generation";
  - (e) "TOOL07: Tool to calculate the emission factor for an electricity system".

#### 4. Definitions

19. The definitions contained in the Glossary of CDM terms shall apply.

- 20. For the purpose of this methodology the following definitions apply:
  - (a) Mechanical Recycling physical/mechanical processes by which recyclable materials are obtained from municipal solid waste by way of separation, cleaning and compaction/packing (for plastics and paper) or grinding (for container glass) for further processing in order to produce intermediate/finished products to substitute virgin raw materials in an industrial production chain. The process may be accomplished manually and/or using mechanical equipment including one or more of the following measures: washing of the separated materials with hot water, drying, compacting, shredding or pelletizing;
  - (b) **Recycling facility** facility(ies) where the recyclable fraction of the collected municipal solid waste is sorted, classified and prepared into marketable commodities for processing/manufacturing in single or multiple locations. In the case of plastics recycling, washing with hot water to clean the plastic to free it from extraneous materials is an essential part of this activity and associated emissions shall be monitored and accounted as project emissions. Similarly, the recycling of container glass cullet is an essential part of the project activity and associated emissions shall be accounted as project emissions. For steel and aluminium, the

<sup>&</sup>lt;sup>7</sup> The recycling facility includes final segregation of the waste types and no further segregation occurs in the Processing/Manufacturing facility.

separation of these metals from extraneous non-metallic pieces present in the recycled wastes (e.g. plastics or glass) shall also take part at the recycling facility;

- (c) **Processing/Manufacturing facility** includes industrial processes to transform recyclable materials obtained from the recycling facility into intermediate or finished products that is plastic resin, i.e. production of recycled plastic resin or pellets and/or the glass manufacturing facility where the container glass cullet is melted;
- (d) **Informal Waste Sector** individuals or a group of individuals who are involved in waste management activities but are not formally registered or formally responsible for providing the waste management services. Newly established formalized organizations of such individuals, that is cooperatives, can also be considered as the informal sector for the purpose of this methodology;
- (e) **Formal Waste Sector** solid waste management activities planned, sponsored, financed, carried out or regulated and/or recognized by the local authorities or their agents, usually through contracts, licenses or concessions.

# 5. Baseline methodology

#### 5.1. Project boundary

- 21. The project boundary includes the physical geographical sites of:
  - (a) Waste collection sites (e.g. door-to-door collection);
  - (b) The recycling facility;
  - (c) Processing/manufacturing facility;
  - (d) Virgin material production<sup>8</sup>;
  - (e) MSW disposal site or treatment plant in the baseline scenario.

#### 5.2. Baseline emissions

22. Baseline emissions include:

- (a) For the production of plastic, the emissions associated with energy consumption for the production of plastic pellets from virgin plastic materials;
- (b) For paper and cardboard, the emissions associated with the anaerobic decay within a disposal site may be claimed;
- (c) For the production of glass, emissions associated with the energy consumption for the production of virgin container glass corresponding to the preparation and mixing of raw materials before the melting stage<sup>9</sup>;

Virgin material production is included in the project boundary, even if it is not an identifiable site, because the emission factor for virgin material production for baseline calculation is based on the assumptions on the typical conditions for the virgin material production in the host country or in a non-Annex I country.

<sup>&</sup>lt;sup>9</sup> Project proponent is encouraged to submit proposals to revise the methodology to include emissions avoided associated with the acquisition of raw materials and CO2 emissions avoided from the use of carbonated materials (such as limestone and soda) in the glass manufacturing process.

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- (d) For the production of metals, emissions associated with energy consumption for the production from virgin materials.
- 23. Baseline emissions shall be determined as:

$$BE_{y} = BE_{plastic,y} + BE_{paper,y} + BE_{glass,y} + BE_{metal,y}$$
 Equation (1)

Where:

 $BE_{\nu}$  = Baseline emissions in year y (tCO<sub>2</sub>e)

 $BE_{plastic,y}$  = Baseline emissions in year y associated with the recycling of plastic in

<mark>year *y* (tCO₂e)</mark>

 $BE_{paper,y}$  = Baseline emissions in year y associated with the recycling of paper and

cardboard year y (tCO2e)

 $BE_{alass,y}$  = Baseline emissions in year y associated with the recycling of glass year y

(tCO<sub>2</sub>e)

 $BE_{metal; j, y}$  = Baseline emissions in year y associated with the recycling of metals  $\frac{i_1 \cdot i_2}{i_1 \cdot i_2}$ .

24. Only the baseline emissions which would take place in non-Annex I countries shall be credited. Therefore, in the case where requirements stipulated under paragraph 8 cannot be met, the baseline emissions calculated for the total amount of recycled materials obtained in the project activity are adjusted by a correction factor "Bi", calculated as the ratio of the production of the material "i" in non-Annex I countries and the total production of this material in the world. See the Table 2 below. These correction factors shall be updated at each renewal of the crediting period, and project participants shall use the values from the latest version of the methodology at renewal of the crediting period.

Table 2. Baseline correction factor for metals, plastics and glass from virgin materials

Metal/Plastic	Bi adjustment factor based on the share of the production in non-Annex I countries <sup>(a)</sup>
Aluminium	0.72
Steel	0.68
PET	0.56
HDPE	0.56
LDPE	0.56
PP	0.56
Glass	0.67

<sup>(</sup>a) For details on how the values of Bi were determined, please refer to Appendix 1 of "AMS-III.BA.: Recovery and recycling of materials from E-waste".

#### 5.2.1. Baseline emissions for plastics associated with the recycling of plastics

25. Baseline emissions for the production of pellet *i* from virgin inputs are calculated using the equation below:

$$BE_{\overline{ptastte,y}} = \sum_{i} [Q_{t,y} \times L_{t} \times B_{t} \times (SEC_{Bt,t} \times EF_{et,y} + SFC_{Bt,t} \times EF_{FF,CO2})]$$
 Equation (1)

Where:

Baseline emissions for plastics recycling in year y (tCO<sub>2</sub>/year)

= Indices for material type i (i = 1,2,3,4 for HDPE, LDPE, PET and PP)

 $\frac{Q_{i,y}}{Q_{i,y}}$  = Quantity of plastic type i recycled in year y (t/y)

 $L_t$  = Net to gross adjustment factor to cover degradation in material quality and material loss in the production process of the final product using the recycled material (use 0.75)

SEC<sub>BL,t</sub>

= Specific electricity consumption for the production of virgin material type i (MWh/t), take value specified in Table 3

Emission factor for grid electricity (tCO<sub>2</sub>/MWh), determined in accordance with the provisions in the most recent version of the methodological tool "Tool to calculate the emission factor for an electricity system"

Equirement stipulated under para 24 is met

Correction factor based on share of production in non-Annex I
countries, as specified in Table 2. Apply a value of 1.0 when requirement stipulated under para 24 is met

SFC<sub>BL,t</sub>

Specific fuel consumption for the production of virgin material type *i* (GJ/t), take value as specified in Table 3

EF<sub>FF.CO2</sub> = CO₂ emission factor for fossil fuel (tCO₂/GJ)

26. Baseline emissions associated with the recycling of plastics type *i* from virgin inputs are calculated based on the consumption of plastic type *i* is produced in the host Country as well as imported, using the equation below:

$$BE_{plastic,y} = \sum_{i} [Q_{i,y} \times L_i \times (w_{i,in-country,y} \times SE_{i,in-country,y} + w_{i,imported,y} \times SE_{i,imported,y})]$$
 Equation (2)

Where:

 $BE_{plastic,y}$  = Baseline emissions associated with the recycling of plastic in year y (tCO<sub>2</sub>e)

i = Indices for material type i (i = 1,2,3,4 for HDPE, LDPE, PET and PP)

 $Q_{i,v}$  = Quantity of plastic type i recycled in year y (t/y)

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L<sub>i</sub> = Net to gross adjustment factor to cover degradation in material quality and material loss in the production process of the final product using the recycled material (use 0.75)

 $w_{i,in-country,y}$  = Percentage of plastics produced in the host Country out of total plastic consumed in year v (%)

 $SE_{i,in-country,y}$  = Specific emissions in the baseline for the production of virgin plastics type *i* in the host Country in year *y* (tCO<sub>2</sub>/t<sub>i</sub>)

 $w_{i,imported,y}$  = Percentage of imported plastics out of total plastic consumed in year y (%)

 $SE_{i,imported,y}$  = Specific emissions in the baseline for virgin plastics type *i* imported in year *y* (tCO<sub>2</sub>/t<sub>i</sub>)

Specific emissions in the baseline for the production of virgin plastics type i in the host Country ( $SE_{i,in-country,y}$ ) are determined based on the equation below:

 $SE_{i,in-country,y} = (SEC_{BL,i} \times EF_{BL,el,y}) + (SFC_{BL,i} \times EF_{BL,FF,CO2})$  Equation (3)

#### Where:

 $SEC_{BL,i}$  = Specific electricity consumption in the production of virgin material type i (MWh/ $t_i$ )

 $EF_{BL,el,y}$  = Emission factor for the baseline electricity consumption for virgin plastic production in the host party (tCO<sub>2</sub>/MWh)

 $SFC_{BL,i}$  = Specific fuel consumption for the production of virgin material type i (GJ/ $t_i$ )

EF<sub>BL,FF,CO2</sub> = CO<sub>2</sub> emission factor of the baseline fossil fuel (tCO<sub>2</sub>/GJ). Project participants shall assume that the baseline fuel is natural gas when it's not possible to identify the type of fuel consumed for the production of plastics from virgin materials

28. Specific emissions in the baseline for virgin plastics type i imported ( $SE_{i,imported,y}$ ) are determined based on the equation below:

 $SE_{i,imported,y} = \sum_{i} \left[ B_i \times \left( SEC_{BL,i} \times EF_{el,imported} + SFC_{BL,i} \times EF_{FF,imported,CO2} \right) \right]$  Equation (4)

#### Where:

Correction factor based on share of production in non-Annex I countries,
 as specified in Table 2. Apply a value of 1.0 when requirement stipulated
 under para 24 is met

Emission factor for the baseline electricity consumption for the portion of plastic that is imported (tCO<sub>2</sub>/MWh). Apply a default value of 0.24 tCO<sub>2</sub>/MWh

= CO<sub>2</sub> emission factor for fossil fuel (tCO<sub>2</sub>/GJ). Assume that natural gas supplies the energy needed to produce the virgin plastic imported if it is not possible to identify the fuel type

29. The values of the parameters <u>SEC<sub>BI</sub>SEC<sub>BL,i</sub></u> and <u>SFC<sub>BI</sub>SFC<sub>BL,i</sub></u> are illustrated in the table below:

Table 3. Values of specific energy and fuel consumptions for the production of different types of plastics types i from virgin materials

Plastic types	SEC <sub>BL,i</sub> (MWh/ <mark>ŧti</mark> ) <sup>(a)</sup>	SFC <sub>BL,i</sub> (GJ/ <mark>tti</mark> ) <sup>(a)</sup>
PET	1.11	15
HDPE	0.83	15
LDPE	1.67	15
PP	0.56	11.6

- (a) The following conservative assumptions were made to derive the default values contained in the table above:
  - 1. For the production of HDPE, LDPE and PP, it was assumed that natural gas supplies the process energy required for the thermal cracking;
  - 2. For the production of PET, the baseline emissions for production of the monomers Mono Ethylene Glycol (MEG) and Purified Terephthalic Acid (PTA) are conservatively estimated as the energy demand for the production of the same mass of ethylene through thermal cracking:
  - 3. The remaining steps of virgin pellet production (melting and shaping, pelletizing, compounding) require relatively negligible amounts of energy and hence are ignored.
  - 4. The energy needed for the production of the virgin monomers Ethylene, Propylene, Ethylene Glycol<sup>10</sup> and Terephthalic Acid<sup>10</sup> through thermal cracking of olefins is supplied by natural gas;
  - 5. A conservative value of 15 GJ/tons of energy needed to produce ethylene was selected from Table 4.3 of the report "Tracking Industrial Energy Efficiency and CO<sub>2</sub> emissions" published by the International Energy Agency (IEA, 2007);
  - 6. A conservative value of 11.6 GJ/t of energy needed to produce propylene was sourced from SAYGIN et al (2011)<sup>11</sup>, as the sum of the specific energy consumed from the best practice technologies (13.1 GJ/t) and the specific energy needed to produce the steam (-1.5 GJ/t) provided in Table 1;
  - 7. The energy needed for the production of the polymers is supplied by electricity:
    - (a) For HDPE, LDPE and PP, the most conservative values from Table 4.9 of the "Tracking Industrial Energy Efficiency and CO<sub>2</sub> emissions" published by the International Energy Agency (IEA, 2007) were selected and divided by 3.6 to convert to MWh/t;
    - (b) For PET, a conservative value of 4.0 GJ/t (divided by 3.6 to convert MWh/t) was sourced from Table 1 of SAYGIN et al (2011).;
  - 8. The remaining steps of virgin pellet production (melting and shaping, pelletizing, compounding) require relatively negligible amounts of energy and hence are ignored.
- The emission factor for the baseline electricity consumption for virgin plastic production in the host party (parameter  $EF_{BL,el,y}$ ) shall be determined based on the weighted average

<sup>&</sup>lt;sup>10</sup> For the production of the monomers Ethylene Glycol Terephthalic Acid, it was conservatively estimated that the energy needed is the same for the production of the same mass of ethylene through thermal cracking.

<sup>&</sup>lt;sup>11</sup> SAYGIN, D; PATEL, M.K; WORRELL, B; TAM, C; GIELEN, D.J. "Potential of best practice technology to improve energy efficiency in the global chemical and petrochemical sector"; 2011. Available at <a href="https://www.sciencedirect.com/science/article/abs/pii/S0360544211003446?via%3Dihub">https://www.sciencedirect.com/science/article/abs/pii/S0360544211003446?via%3Dihub</a>, accessed on 12 May 2021.

consumption of electricity from the electric grid(s) and from captive power plant(s) as indicated in the equation below. Project participants may choose to fix this parameter exante and update it at the renewal of the crediting period or monitor this parameter ex-post. If the parameter is fixed ex-ante, it shall be calculated using the most recent data available. Otherwise, a default value of 0.24 tCO<sub>2</sub>/MWh<sup>12</sup> shall be applied:

$$EF_{BL,el,y} = \frac{\sum_{k} EF_{BL,grid,k,y} \times EC_{BL,grid,k,y} + \sum_{j} EF_{BL,captive,j,y} \times EC_{BL,captive,j,y}}{\sum_{k} EC_{BL,grid,k,y} + \sum_{j} EC_{BL,captive,j,y}}$$
 Equation (5)

Where:

Emission factor of the grid *k* supplying electricity to produce virgin  $EF_{BL,arid,k,v}$ plastics in the host country in year y (tCO<sub>2</sub>/MWh)

Electricity consumed from the grid k to produce virgin plastics in the host  $EC_{BL,grid,k,y}$ country in year y (MWh)

Emission factor of the captive power plant *j* supplying electricity to  $EF_{BL,captive,j,y}$ produce virgin plastics in the host country (tCO<sub>2</sub>/MWh)

Electricity consumed from the captive power plant *j* to produce virgin  $EC_{BL,captive,i,v}$ plastics in the host country in year y (MWh)  $\lambda \cup \lambda \cup \lambda$ 

If project participants can't identify the sources to determine the baseline emissions from plastics produced in the host Country, a simplified approach can be applied assuming that all plastic consumed in the host Country is imported and a weight of 0 is assigned to W<sub>i,in-country,y</sub> and 1 to W<sub>i,imported,y</sub> in Equation 2.

#### 5.2.2. Baseline emissions for associated with the recycling of paper and cardboard recycling

32. Baseline emissions for the anaerobic decay of paper and cardboard in the solid waste disposal site are calculated using the latest version of the methodological tool "TOOL04: Emissions from solid waste disposal sites".

#### 5.2.3. Baseline emissions for associated with the recycling of glass recycling

33. Baseline emissions for the production of container glass from virgin inputs are calculated using following equation:

$$BE_{\overline{gtass,y}} = \sum_{i} \left[ Q_{\overline{gtass,y}} \times L_{\overline{gtass}} \times B_{i} \times SEC_{BL,\overline{gtass}} \times EF_{\overline{et,y}} \right]$$
 Equation (6)

$$BE_{glass,y} = Q_{glass,y} \times L_{glass} \times B_i \times SEC_{Bl,glass} \times EF_{el,PJ,y}$$

Where:

BE<sub>alass,y</sub>

Baseline emissions in year y (tCO<sub>2/y</sub>)

<sup>12</sup> This default value is determined assuming electricity is supplied by a natural gas cogeneration plant with an efficiency of 83% (the efficiency is sourced from the Table 2 of the Appendix of the "TOOL09: Determining the baseline efficiency of thermal or electric energy generation systems").

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Q<sub>glass,y</sub>
 Quantity of glass cullet recycled by the project activity in year y (t<sub>Y</sub>)
 L<sub>glass</sub>
 Net to gross adjustment factor to cover degradation in material quality and material loss in the production process of the final product using the recycled material (use 0.88)
 SEC<sub>Bl,glass</sub>
 Specific electricity consumption for the production of raw materials displaced by the glass recycling (MWh/t), take the value specified in paragraph 34(c)
 EF<sub>el,PJ,V</sub>
 Emission factor of the electric grid supplying electricity to the recycling facility in year y (tCO<sub>2</sub>/MWh)

- 34. The following conservative assumptions were made to determine the baseline emissions for the production of container glass from virgin inputs:
  - (a) Container glass cullet will displace only the preparation and mixing of raw materials before the melting stage;
  - (b) The only source of energy consumed by the preparation and mixing of raw materials is electricity no fossil-fuels are used;
  - (c) The default value for SEC (specific electricity consumption) of 0.026 MWh/t<sub>glass</sub> shall be used<sup>13</sup>:
  - (d) The remaining steps of container glass production are not considered because the use of container glass cullet does not avoid melting and the subsequent steps of the glass manufacturing process (i.e. forming and post-forming).

#### 5.2.4. Baseline emissions for associated with the recycling of metals recycling

Baseline emissions for the production of metal type *i* from virgin inputs are calculated using the equation  $\frac{1}{b}$ 

$$\frac{BE_{metal,y}}{E_{metal,y}} = \sum_{i} [Q_{i,y} \times B_{i} \times SE_{i}]$$
 Equation (7)

$$BE_{metal,y} = \sum_{i} Q_{i,y} \times B_i \times SE_i$$

Where:

BE<sub>metal,y</sub>

= Baseline emissions for metals recycling in year y (tCO<sub>2</sub>/year)

i

= Metal type *i* (i = 5, 6 for Steel erand Aluminium)

 $Q_{i,v}$ 

 Quantity of metal type i (Steel or Aluminium) recycled and sent to a processing or manufacturing facility in year y (t<sup>4</sup>/<sub>Y</sub>)

 $SE_i$ 

Specific CO<sub>2</sub> emission factor for production of metal *i* (tCO<sub>2</sub>/t), take the value specified in Table 4 below

Source: "Revision of AMS-III.AJ methodology to cover glass – Conservativeness study for the baseline calculation", prepared by ALLCOT Group, aavailable at <a href="http://cdm.unfccc.int/UserManagement/FileStorage/NC0TF6YEJU8GMVIK49D1LBSWP3HRO2">http://cdm.unfccc.int/UserManagement/FileStorage/NC0TF6YEJU8GMVIK49D1LBSWP3HRO2</a>.

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36. The specific CO<sub>2</sub> emission factors are indicated in below. These values shall be updated at each renewal of the crediting period, in accordance with the latest version of the methodology.

Table 4. Specific CO<sub>2</sub> emission factor for production of metals

Metal	Specific CO <sub>2</sub> e emission factor for production of metals (tCO <sub>2</sub> /t)
Aluminium	8.40 <sup>(a)</sup>
Steel	1.27 <sup>(b)</sup>

- (a) For details on how the emission factor for the production of aluminium was determined, please refer to Appendix 2 of the methodology "AMS-III.BA: Recovery and Recycling of E-waste".
- (b) For details on how the emission factor for the production of steel was determined, please refer to Appendix 3 of the methodology "AMS-III.BA: Recovery and Recycling of E-waste"

#### 5.3. Project emissions

37. Project emissions include emissions for energy use at recycling facility and emissions associated with the use of the recycled material in the manufacturing facility. No project emissions need to be considered in the case of paper and cardboard. For project activities of Case B, project emissions are calculated using equation (5). The electricity and fuel energy consumption (EC<sub>y</sub>, FC<sub>y</sub>) shall be directly monitored.

$$PE_{y} = \sum_{i} \{Q_{i,y} \times [(EC_{i,y} + SEC_{P,i}) \times EF_{el,y} + (FC_{i,y} \times NCV_{FF} \times EF_{FF,CO2})]\}$$

$$Where:$$

<u>PE</u>, = Project emissions in year y (t CO₂/y)

<u>i</u>
<u>Material type – plastics (HDPE, LDPE, PET and PP), container glass cullet, aluminium or steel</u>

Q<sub>t,y</sub> = Quantity of material type recycled in year y (t/y)

EC<sub>t,y</sub> = Electricity consumption of the recycling facility apportioned to material type i (MWh/t) in year y

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SEC<sub>P,t</sub> = Energy consumption factor for processing of recycled material i in the processing/manufacturing facility (MWh/t)

Use 0.66 for aluminium

Use 0.9 for steel

Use 0 for plastics and glass 14

FC<sub>t,y</sub> = Fuel consumption of the recycling facility apportioned to material type i (unit mass or volume/t) in year y

= Net calorific value of the fossil fuel consumed in the recycling

EF<sub>FF,CO2</sub> = CO<sub>2</sub> emission factor of the fossil fuel consumed at the recycling facility (tCO<sub>2</sub>/GJ) in year y

facility in year y (GJ/unit mass or volume)

58. For Case Λ project activities, when project emissions are calculated using equation (5), the project emissions for electricity and fuel energy consumption (ΕC<sub>y</sub>, FC<sub>y</sub>) may be estimated based on the nameplate specific energy consumption of the equipment used and the average time of operation and level of service delivered. The project emissions from plastic recycling may be calculated using equation (6).

$$PE_{y} = \sum_{i} (Q_{i,y} \times SEC_{rec} \times EF_{el,y})$$

Equation (3)

Where:

SEC<sub>rec</sub> = Specific electricity consumption for the recycling of plastic type i, use 0.83 MWh/t (3 GJ/t) for HDPE/LDPE/PET/PP

39. Project emissions include emissions associated with the energy use at recycling facility and at the processing facility, and are calculated based on the equation below. No project emissions need to be considered in the case of paper and cardboard.

40. For project activities where the recycling facility includes both waste sorting and processing, project emissions are calculated through the equation below:

<sup>14</sup> Emissions associated with transportation of recyclable materials and processing/manufacturing under the project activity are considered as equivalent to the corresponding emissions for the virgin materials and therefore ignored in this methodology.

<sup>&</sup>lt;sup>15</sup> In case the nameplate energy consumption and/or service provided by the equipment used in the recycling facility in Case A are unknown, they may be estimated by a local expert in order to define a locally applicable emission factor for the recycling plant.

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$$PE_{y} = EC_{PJ,y} \times EF_{el,PJ,y} + \sum_{f} (FC_{f,PJ,y} \times NCV_{f,y} \times EF_{f,CO2,y})$$
 Equation (8)

Where:

 $PE_{v}$  = Project emissions in year y (tCO<sub>2</sub>)

Material type *i* (i = 1, 2, 3, 4, 5, 6, 7, 8, 9 for HDPE, LDPE, PET, PP, aluminium, steel, paper, cardboard and container glass cullet)

 $Q_{i,y}$  = Quantity of material type *i* recycled in year *y* (t/y)

 $EC_{PLy}$  = Electricity consumed by the recycling facility in year y (MWh)

 $FC_{f,PJ,y}$  = Fuel type f consumed by the recycling facility in year y (unit mass or volume/t)

NCV<sub>f,y</sub> = Net calorific value of the fossil fuel type *f* consumed in the recycling facility in year *y* (GJ/unit mass or volume)

 $EF_{f,CO2,y}$  = CO<sub>2</sub> emission factor of the fossil fuel type f consumed at the recycling facility in year y (tCO<sub>2</sub>/GJ)

41. For project activities-where the recycling facility includes only waste sorting and the waste processing is done by a third-party processing plant, project emissions are determined through the equation below:

$$PE_{y} = EC_{PJ,y} \times EF_{el,PJ,y} + \sum_{f} (FC_{f,PJ,y} \times NCV_{f,y} \times EF_{f,CO2,y})$$

$$+ \sum_{i} \left\{ Q_{i,y} \times \left[ SEC_{P,i} \times EF_{el,PJ,y} + \sum_{f} (SFC_{f,i,PJ,y} \times NCV_{f} \times EF_{f,CO2}) \right] \right\}$$

Where:

 $SEC_{Pi}$ 

Specific electricity consumption factor for processing of recycled material *i* in the processing/manufacturing facility *P* (MWh/t). Use the following values:

0.66 for aluminium;

• 0.9 for steel;

0 for plastics and glass<sup>16</sup>

 $SFC_{f,i,PI,y}$ 

Specific consumption of fuel type *f* by the processing facility apportioned to material type *i* in year *y* (unit mass/t or volume/t)

For project activities that fall under Case A, the parameters  $EC_{PJ,y}$  and  $FC_{f,PJ,y}$  may be estimated based on the nameplate specific energy consumption of the equipment used

<sup>&</sup>lt;sup>16</sup> Emissions associated with transportation of recyclable materials and processing/manufacturing under the project activity are considered as equivalent to the corresponding emissions for the virgin materials and therefore ignored in this methodology.

and the average time of operation and level of service delivered <sup>17</sup>, or based on measurement campaigns of the energy consumption under typical operation conditions.

43. For the recycling of plastics, project emissions may alternatively be calculated using the equation below.

$$PE_y = \sum_{i} Q_{i,y} \times SEC_{rec} \times EF_{el,PJ,y}$$

Equation (10)

Where:

 $SEC_{rec}$ 

- Specific electricity consumption for the recycling of plastic type i, use 0.83 MWh/t (3 GJ/t) for HDPE/LDPE/PET/PP
- 44. If the recycling plant is claiming emission reductions for only part of recycled materials (e.g. only for plastics and not for metals), project emissions may be allocated to each mass unit of segregated material by gross sales revenues, that is apportioning the emissions proportional to the market prices of plastics, metals, organics, glass and paper etc. and their respective throughput. The market prices are the average prices of recycled materials paid by processing units/retailers to the recycling plants, may be either monitored ex post or be determined once for the crediting period. This rule can be applied only if transparent and reliable information on market prices is available. Alternatively, as a conservative approach, all project emissions shall be allocated to recycled material.
- 45. The following formulas may be used to allocate project emissions to each mass unit of segregated material *s* by market prices:

$$EC_{i,PJ,y} = EC_{PJ,y} \times \frac{Q_{i,y} \times \$_{i,y}}{\sum_{s} (Q_{s,y} \times \$_{s,y})}$$

Equation (11)

$$FC_{f,i,PJ,y} = FC_{f,PJ,y} \times \frac{Q_{i,y} \times \$_{i,y}}{\sum_{s} (Q_{s,y} \times \$_{s,y})}$$

Equation (12)

$$PE_{y} = \sum_{i} Q_{i,y} \times \left[ EC_{i,PJ,y} \times EF_{el,PJ,y} + \sum_{f} \left( FC_{f,i,PJ,y} \times NCV_{f,y} \times EF_{f,CO2,y} \right) \right]$$

Equation (13)

Where:

S

 Type of Mmaterial segregated at the recycling facility with a market price, including plastic and other marketable items such as organics

 $\frac{EC_{v,i}}{EC_{i,P,I,v}}$ 

Total electricity consumption of the recycling facility in year y (MWh/y) apportioned to product i (MWh)

<sup>&</sup>lt;sup>17</sup> In case the nameplate energy consumption and/or service provided by the equipment used in the recycling facility in Case A are unknown, they may be estimated by a local expert in order to define a locally applicable emission factor for the recycling plant.

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 $FC_{f,i,PJ,y}$  = Total fossil fuel type f consumption of the recycling facility in year y apportioned to product i (unit mass or volume  $\frac{1}{y}$ )

 $Q_{s,y}$  = Quantity of material type s segregated in the recycling facility in year y

 $\$_{i,y}$  = Sale price of plastic type-the product i or container glass in year y

 $\$_{s.v}$  = Sale price of the segregated material type s in year y

#### 5.4. Leakage

46. If it is demonstrated that organic biogenic waste segregated in the recycling facility would otherwise have been deposited in a landfill without methane recovery in the baseline scenario, or if the baseline scenario is the incineration of the wastes, then no leakage calculation is required.

#### 5.5. Emission reductions

47. The emission reductions achieved by the project activity shall be determined as the difference between the baseline emissions and the project emissions and leakage.

$$ER_y = BE_y - PE_y - L_y$$
 Equation (14)

Where:

 $ER_y$  = Emission reductions in year y (t CO<sub>2</sub>e)  $L_y$  = Leakage emissions in year y (t CO<sub>2</sub>e)

# 6. Monitoring methodology

48. The following parameters as indicated in section 6.1 below shall be monitored and recorded during the crediting period. The applicable requirements specified in the "General guidelines for SSC CDM methodologies" are also an integral part of the monitoring guidelines specified below and therefore shall be referred to by the project participants.

#### 6.1. Data and parameters monitored

#### Data / Parameter table 1.

Data / Parameter:	Municipal solid waste
<del>Data unit:</del>	<del>t/y</del>
Description:	Quantity of municipal solid waste collected at the recycling facility
Source of data:	-
<del>Measurement</del>	<del>Quantity</del>
<del>procedures (if any):</del>	
Monitoring frequency:	<del>Yearly</del>
QA/QC procedures:	-
Any comment:	-

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#### Data / Parameter table 2.

Data / Parameter:	Qs,y, Qi,y and Qglass
Data unit:	t <mark>/y</mark>
Description:	Quantity of each of the segregated materials leaving the recycling facility with a market price, including plastic types and other marketable items such as organics, container glass cullet, metals etc. $Q_{i,y}$ : Quantity of material $i$ recycled and sent to a processing or manufacturing facility in year $y$ (i=1,2,3,4,5,6,7,8 for HDPE, LDPE, PET, PP, aluminium, steel, paper and cardboard)
	$Q_{glass}$ : Quantity of glass cullet recycled by the project activity in year $y$
	$Q_{s,y}$ : Quantity of material type $s$ segregated in the recycling facility in year $y$
Source of data:	-
Measurement procedures (if any):	Direct weighing and recording of the weight, cross checked with company's records that is invoiced and backed by receipt of payments.
	For the case of plastic type <i>i</i> in Case B, cross-check with the mass of product(s) used at the processing/ manufacturing facility using production records <sup>18</sup>
Monitoring frequency:	Recorded at the time of sending each consignment from recycling facility to processing/ manufacturing facility or other customers
QA/QC procedures:	-
Any comment:	-

#### Data / Parameter table 3.

Data / Parameter:	W <sub>i,in-country,y</sub>
Data unit:	<mark>%</mark>
Description:	Percentage of plastics produced in the host party out of total plastic consumed in year <i>y</i>
Source of data:	Sectoral reports, peer-reviewed studies or national/sectoral statistics
Measurement procedures (if any):	•
Monitoring frequency:	Annual
QA/QC procedures:	-
Any comment:	-

#### Data / Parameter table 4.

Data / Parameter:	ECyW <sub>i,imported,y</sub>
Data unit:	<mark>MWh</mark> %
Description:	Electricity consumption Percentage of the recycling facility imported plastics out of total plastic consumed in year y

<sup>&</sup>lt;sup>18</sup> This is to ensure that the recycled HDPE and LDPE are further utilized and substitute virgin raw materials.

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	<del>-</del>
Source of data:	Sectoral reports, peer-reviewed studies or national/sectoral statistics
Measurement	As per the latest version of the methodological tool "TOOL05:
procedures (if any):	Baseline, project and/or leakage emissions from electricity
	consumption and monitoring of electricity generation".
	When applying the tool, requirements for EG <sub>PJ,grid,y</sub> and/or EG <sub>PJ,y</sub>
	specified in the tool should apply to electricity consumed form the grid
	and electricity consumed from the captive power plant
Monitoring frequency:	Annual
QA/QC procedures:	-
Any comment:	-

# Data / Parameter table 5.

Data / Parameter:	EF <sub>BL,grid,k,y</sub>
Data unit:	tCO <sub>2</sub> /MWh
Description:	Emission factor of the electric grid supplying electricity to the recycling facility in year y
Source of data:	The monitoring provisions of the parameter <i>EF<sub>grid,CM,y</sub></i> from the latest version of the TOOL07 apply
Measurement procedures (if any):	The monitoring provisions of the parameter <i>EF<sub>grid,CM,y</sub></i> from the latest version of the TOOL07 apply
Monitoring frequency:	The monitoring provisions of the parameter $EF_{grid,CM,y}$ from the latest version of the TOOL07 apply
QA/QC procedures:	The monitoring provisions of the parameter $EF_{grid,CM,y}$ from the latest version of the TOOL07 apply
Any comment:	The monitoring provisions of the parameter $EF_{grid,CM,y}$ from the latest version of the TOOL07 apply

# Data / Parameter table 6.

Data / Parameter:	$EF_{el,PJ,y}$
Data unit:	tCO <sub>2</sub> /MWh
Description:	Emission factor of the electric grid supplying electricity to the latest version of the recycling facility in year <i>y</i>
Source of data:	The monitoring provisions of the parameter <i>EF</i> <sub>grid,CM,y</sub> from the latest version of the TOOL07 apply
Measurement procedures (if any):	The monitoring provisions of the parameter <i>EF<sub>grid,CM,y</sub></i> from the latest version of the TOOL07 apply
Monitoring frequency:	The monitoring provisions of the parameter <i>EF</i> <sub>grid,CM,y</sub> from the latest version of the TOOL07 apply
QA/QC procedures:	The monitoring provisions of the parameter <i>EF</i> <sub>grid,CM,y</sub> from the latest version of the TOOL07 apply
Any comment:	The monitoring provisions of the parameter <i>EF</i> <sub>grid,CM,y</sub> from the latest version of the TOOL07 apply

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# Data / Parameter table 7.

Data / Parameter:	EC <sub>BL,grid,k,y</sub>
Data unit:	MWh
Description:	Electricity consumed from the grid $k$ to produce virgin plastics in the host country in year $y$
Source of data:	The monitoring provisions of the parameter $EC_{BL,k,y}$ from the latest version of the TOOL05 apply
Measurement procedures (if any):	The monitoring provisions of the parameter $EC_{BL,k,y}$ from the latest version of the TOOL05 apply
Monitoring frequency:	The monitoring provisions of the parameter $EC_{BL,k,y}$ from the latest version of the TOOL05 apply
QA/QC procedures:	The monitoring provisions of the parameter $EC_{BL,k,y}$ from the latest version of the TOOL05 apply
Any comment:	The monitoring provisions of the parameter <i>EC<sub>BL,k,y</sub></i> from the latest version of the TOOL05 apply

#### Data / Parameter table 8.

Data / Parameter:	EF <sub>BL,captive,j,y</sub>
Data unit:	tCO <sub>2</sub> /MWh
Description:	Emission factor of the captive power plant <i>j</i> supplying electricity to produce virgin plastics in the host country
Source of data:	The provisions to determine the parameter $EF_{EL,k,y}$ from the latest version of the TOOL05 apply
Measurement procedures (if any):	The monitoring provisions of the parameter <i>EF<sub>EL,k,y</sub></i> from the latest version of the TOOL05 apply
Monitoring frequency:	The monitoring provisions of the parameter <i>EF<sub>EL,k,y</sub></i> from the latest version of the TOOL05 apply
QA/QC procedures:	The monitoring provisions of the parameter <i>EF<sub>EL,k,y</sub></i> from the latest version of the TOOL05 apply
Any comment:	The monitoring provisions of the parameter <i>EF<sub>EL,k,y</sub></i> from the latest version of the TOOL05 apply

# Data / Parameter table 9.

Data / Parameter:	EC <sub>BL,captive,j,y</sub>
Data unit:	MWh
Description:	Electricity consumed from the captive power plant $j$ to produce virgin plastics in the host country in year $y$
Source of data:	The monitoring provisions of the parameter <i>EC<sub>BL,k,y</sub></i> from the latest version of the TOOL05 apply
Measurement procedures (if any):	The monitoring provisions of the parameter <i>EC<sub>BL,k,y</sub></i> from the latest version of the TOOL05 apply
Monitoring frequency:	The monitoring provisions of the parameter $EC_{BL,k,y}$ from the latest version of the TOOL05 apply
QA/QC procedures:	The monitoring provisions of the parameter $EC_{BL,k,y}$ from the latest version of the TOOL05 apply

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Any comment:	The monitoring provisions of the parameter EC <sub>BL,k,y</sub> from the latest
	version of the TOOL05 apply

## Data / Parameter table 10.

Data / Parameter:	EC <sub>PJ,y</sub>
Data unit:	MWh
Description:	Electricity consumed by the recycling facility in year y
Source of data:	Records from the recycling facility
Measurement procedures (if any):	As per the latest version of the methodological tool "TOOL05: Baseline, project and/or leakage emissions from electricity consumption and monitoring of electricity generation".  When applying the tool, requirements for <i>EG<sub>PJ,grid,y</sub></i> and/or <i>EG<sub>PJ,y</sub></i> specified in the tool should apply to electricity consumed form the grid and electricity consumed from the captive power plant
Monitoring frequency:	As per the latest version of the tool "TOOL05: Baseline, project and/or leakage emissions from electricity consumption and monitoring of electricity generation"
QA/QC procedures:	As per the latest version of the tool "TOOL05: Baseline, project and/or leakage emissions from electricity consumption and monitoring of electricity generation"
Any comment:	-

#### Data / Parameter table 11.

Data / Parameter:	FC <sub>v7</sub> / NCV <sub>FF</sub> / EF <sub>FF</sub> FC <sub>f,PJ,V</sub>
Data unit:	As per latest version of the "TOOL03: Tool to calculate project or leakage CO <sub>2</sub> emissions from fossil fuel combustion"
Description:	FC <sub>y</sub> -Fossil fuel type f consumption of the recycling facility in year y
	$NCV_{FF}$ : Net calorific value of the fossil fuel consumed in the recycling facility in year $y$
	EFFF: CO2-emission factor of the fossil fuel consumed at the recycling facility in year y
Source of data:	-
Measurement procedures (if any):	As per the latest version of "TOOL03: Tool to calculate project or leakage CO <sub>2</sub> emissions from fossil fuel combustion".
	When applying the tool, requirements for $FC_{i,j,y}$ should apply to the total fossil fuel consumption, requirements for $NCV_{i,y}$ should apply for the net calorific value of the fossil fuel consumed in the recycling facility and requirements for $EF_{CO2,i,y}$ should apply for the $CO_2$ emission factor of the fossil fuel consumed at the recycling facility
Monitoring frequency:	As per the latest version of "TOOL03: Tool to calculate project or leakage CO <sub>2</sub> emissions from fossil fuel combustion"
QA/QC procedures:	As per the latest version of "TOOL03: Tool to calculate project or leakage CO <sub>2</sub> emissions from fossil fuel combustion"
Any comment:	-

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# Data / Parameter table 12.

Data / Parameter:	$NCV_{f,y}$
Data unit:	As per latest version of the "TOOL03: Tool to calculate project or leakage CO <sub>2</sub> emissions from fossil fuel combustion"
Description:	Net calorific value of the fossil fuel type $f$ consumed in the recycling facility in year $y$
Source of data:	-
Measurement procedures (if any):	As per the latest version of "TOOL03: Tool to calculate project or leakage CO <sub>2</sub> emissions from fossil fuel combustion".
	When applying the tool, requirements for $NCV_{i,y}$ should apply for the net calorific value of the fossil fuel consumed in the recycling facility
Monitoring frequency:	As per the latest version of "TOOL03: Tool to calculate project or leakage CO <sub>2</sub> emissions from fossil fuel combustion"
QA/QC procedures:	As per the latest version of "TOOL03: Tool to calculate project or leakage CO <sub>2</sub> emissions from fossil fuel combustion"
Any comment:	

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Data / Parameter table 13.

Data / Parameter:	$EF_{f,CO2}$ ; $EF_{BL,FF,CO2}$
Data unit:	As per latest version of the "TOOL03: Tool to calculate project or leakage CO <sub>2</sub> emissions from fossil fuel combustion".
Description:	$EF_{f,CO2}$ : CO <sub>2</sub> emission factor of the fossil fuel type $f$ consumed at the recycling facility in year $y$
	EF <sub>BL,FF,CO2</sub> : CO <sub>2</sub> emission factor of the baseline fossil fuel
Source of data:	_
Measurement procedures (if any):	As per the latest version of "TOOL03: Tool to calculate project or leakage CO <sub>2</sub> emissions from fossil fuel combustion".
	When applying the tool, requirements for $EF_{CO2,i,y}$ should apply for the $CO_2$ emission factor of the fossil fuel consumed at the recycling facility and for the $CO_2$ emission factor of the baseline fossil fuel
Monitoring frequency:	As per the latest version of "TOOL03: Tool to calculate project or leakage CO <sub>2</sub> emissions from fossil fuel combustion"
QA/QC procedures:	As per the latest version of "TOOL03: Tool to calculate project or leakage CO <sub>2</sub> emissions from fossil fuel combustion"
Any comment:	<u>-</u>

# Data / Parameter table 14.

Data / Parameter:	$SFC_{f,i,PJ,y}$
Data unit:	unit mass/t or volume/t
Description:	Specific consumption of fuel type $f$ of the processing facility, apportioned to material type $i$ in year $y$
Source of data:	Records from the processing facility

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Measurement procedures (if any):	Determined by dividing the quantity of material type $i$ recycled in the processing facility $(Q_{i,y})$ by the fossil fuel type $f$ consumed by the processing facility $(FC_{PJ,f,y})$ .  The monitoring provisions of the parameters $Q_{i,y}$ and $FC_{f,PJ,y}$ apply
Monitoring frequency:	The monitoring provisions of the parameters $Q_{i,y}$ and $FC_{f,P,J,y}$ apply
QA/QC procedures:	The monitoring provisions of the parameters $Q_{i,y}$ and $FC_{f,P,J,y}$ apply
Any comment:	The monitoring provisions of the parameters $Q_{i,y}$ and $FC_{f,P,J,y}$ apply

#### Data / Parameter table 15.

Data / Parameter:	Municipal solid waste
Data unit:	t/y
Description:	Quantity of municipal solid waste collected at the recycling facility
Source of data:	-
Measurement (1)	Quantity
procedures (if any):	
<b>Monitoring frequency:</b>	Yearly Yearly
QA/QC procedures:	
Any comment:	· DRAFI

#### Data / Parameter table 16.

Data / Parameter:	\$i,y and \$s,y
Data unit:	Local currency or USD
Description:	Sale price of plastic type <i>i</i> or material <i>s</i> in year <i>y</i>
Source of data:	-
Measurement procedures (if any):	Cross check with sale invoices/receipts
Monitoring frequency:	As per paragraph 44
QA/QC procedures:	-
Any comment:	-

#### Data / Parameter table 17.

Data / Parameter:	Intrinsic Viscosity
Data unit:	decilitres/gram (dL/g)
Description:	Intrinsic Viscosity of PET/PP
Source of data:	-
Measurement procedures (if any):	Test method for determining Intrinsic viscosity is as per ASTM D 4603 "Standard test method for determining Viscosity of Polyethylene Terephthalate" for PET and as per "Plastics - Determination of the viscosity of polymers in dilute solution using capillary viscometers; EN ISO 1628-3:2010)" for PP
Monitoring frequency:	Every batch of Polymerisation
QA/QC procedures:	-
Any comment:	-

Sectoral scope(s): 13

# 6.2. Project activity under a programme of activities

49. Further guidance on leakage would be required to adapt this methodology for application to project activities under programme of activities.

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#### **Document information**

Version	Date	Description	
08.0	8 July 2021	MP 85, Annex 5	
		A call for public input will be issued for this draft document. If no public input is received, this draft document will be considered by the Board at EB111.	
		Revision to ensure consistent methods in determining the emission factors associated with the consumption of fossil fuel and with the consumption of electricity in the production of virgin plastics.	
07.0	31 August 2018	EB 100, Annex 7 Revision to update the default values.	
06.0	4 May 2017	EB 94, Annex 10	
		Revision to broaden the applicability to cover recovery and recycling of metals (aluminium and steel) from waste collection systems.	
05.2	12 August 2016	Editorial revision to correct the year in paragraph 11.	
05.1	5 August 2016	Editorial revision to include information on mandatory and conditional sectoral scopes under section 2.4, paragraph 12.	
05.0	22 July 2016	EB 90, Annex 14	
		Revision to broaden the applicability of container glass.	
04.0	23 November 2012	EB 70, Annex 28	
		The revision includes inclusion of Polypropylene (PP).	
03.0	15 July 2011	EB 62, Annex 10	
		The revision includes:	
		<ul> <li>Inclusion of accounting avoided methane emissions for recycling of paper and cardboard;</li> </ul>	
		<ul> <li>Inclusion of simplified requirements such as the use of default values for project emissions for the informal waste sector; and</li> </ul>	
		<ul> <li>Elimination of project emissions associated with energy use at processing/manufacturing facility.</li> </ul>	
02.0	18 February 2011	EB 59, Annex 3	
		Inclusion of Polyethylene Terephthalate (PET).	
01.0	26 March 2010	EB 53, Annex 15	

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		Initial adoption.	

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