



**Approved standardized baseline update request
initial assessment form
(Version 02.0)**

INFORMATION TO BE COMPLETED BY THE SECRETARIAT

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| Reference (number, title and version) of the approved standardized baseline to which the request for update is made: | ASB0038-2018: Grid emission factor for the electricity system of the Republic of Armenia for 2016 (version 01.0) |
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| Date (DD/MM/YYYY) when the initial assessment is concluded: | 05/01/2021 |
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Notes for completing below:

Please undertake the initial assessment of the submitted proposed updated standardized baseline in accordance with the "Procedure: Development, revision and clarification and update of standardized baseline" (CDM-EB63-A28-PROC).

*Only if all the criteria, except criterion No. 8, are assessed as "Yes" or "Not applicable", the submission is evaluated as "**successfully concluding the initial assessment**".*

The assessment of the criterion No. 8 below does not have any impact on the completeness of the submission. However, if it is assessed as "Yes, it does", the secretariat shall propose that the Board carry out the revision through the top-down process in accordance with the "Procedure: development, revision and clarification of baseline and monitoring methodologies and methodological tools".

| Criteria | Assessment | Note |
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| <p>1. The DNA submitted all the documentation required for consideration of the proposed updated standardized baseline.</p> <ul style="list-style-type: none"> • The CDM-ASU-FORM • The proposed updated standardized baseline, highlighting the proposed changes to the approved standardized baseline • A spreadsheet containing all data used and the calculations performed for the establishment of the proposed updated standardized baseline; • A quality control report, where applicable • An assessment report prepared by a designated operational entity (DOE) • Additional documentation supporting the submission (e.g. statistics and/or, studies etc.), where applicable | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | <p>The DNA submitted the CDM-ASU-FORM where the proposed changes to approved SB are explained. The DNA also submitted excel files containing data and calculations, quality control report, and other additional information.</p> <p>Submission of assessment report is discussed under criteria 7 of this assessment form.</p> |

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| <p>2. Where the proposed updated standardized baseline applies to a group of Parties, letters of approval from all the DNAs of the Parties to which it applies (excluding a letter of approval from the DNA submitting this proposed updated standardized baseline) have been submitted.</p> | <p><input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not applicable</p> | <p>Not applicable.</p> |
| <p>3. The CDM-ASU-FORM has been duly completed.</p> | <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> | <p>The CDM-ASU-FORM has been duly completed.</p> |
| <p>4. The proposed updated standardized baseline is derived from the same approach as the one used in the approved standardized baseline that is proposed to be updated.</p> | <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> | <p>The proposed updated standardized baseline is derived from the approach as contained in the methodological tool "Tool to calculate the emission factor for an electricity system". This is the same approach that was used in the approved standardized baseline.</p> |
| <p>5. The CDM-ASU-FORM explains how the proposed updated standardized baseline will be used with the relevant methodology(ies).</p> | <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> | <p>The grid emission factor values calculated in the proposed updated standardized baseline will be used by CDM project activities and programme of activities (PoA), applying the relevant methodology(ies) that refer to the methodological tool "Tool to calculate the emission factor for an electricity system".</p> |
| <p>6. The validity of the proposed updated standardized baseline is specified in accordance with applicable requirements. Where an alternative length of the validity is proposed, justification is provided in the CDM-ASU-FORM.</p> | <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> | <p>The DNA has proposed the default validity period of 3 years for the updated SB.</p> |

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| <p>7. The assessment report includes the DOE's positive assessment opinion on the compliance of:</p> <p>(a) The quality assurance and quality control system with the provisions and data quality objectives of the "Guideline: Quality assurance and quality control of data in the establishment of standardized baselines";</p> | <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input checked="" type="checkbox"/> Not applicable</p> | <p>An assessment report prepared by the DOE is not submitted. The DNA stated that for this update:</p> <ul style="list-style-type: none"> - There was no change in the data points/sources compared to the previous submission i.e. the utility involved in the submission remains same. Data was collected by the utility and provided to the DNA. - The methodological approach used to update SB remained the same in compliance with the methodological tool "Tool to calculate the emission factor for an electricity system". <p>Further, the secretariat noted that:</p> <ul style="list-style-type: none"> - In accordance with the SB procedures, for new proposed standardized baselines, an assessment report by the DOE is waived and the secretariat prepares the assessment report for the cases that meet the following condition i.e. no more than 3 cases are exempted from each Party, the Party is underrepresented under the CDM; - This is the 3rd standardized baseline (SB) submission from Armenia (i.e. the first one is PSB0011, the second one is PSB0042). Armenia is a Party that had 10 or fewer registered CDM project activities as of 31 December 2010; - if the DNA of Armenia were to decide to submit this as a new proposed standardized baseline, as per paragraph 17 of the SB procedure, it would not be required to submit an assessment report in the submission. <p>The Board has instructed the secretariat to treat the consideration of new vs revised submissions of SBs flexibly, so as to avoid creating gap periods between two approved SB which may cause difficulties for the PPs.</p> <p>In addition, the Board revised the SB procedures to indicate that it may, upon request from the DNA(s), request the secretariat to provide the technical support to the DNA(s).</p> <p>Therefore, taking into account the above, the assessment report will be prepared by the secretariat.</p> |
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| <p>(b) The proposed updated standardized baseline with one or a combination of the approaches referred to in the applicable requirements with or without a deviation from the approach.</p> | <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> | |
| <p>8. The proposed updated standardized baseline, if developed using one of the following approaches, does not require revisions to the underlying approved methodology or methodological tool:</p> <p>(a) A methodological approach contained in an approved methodology; or</p> <p>(b) A methodological approach contained in an approved methodological tool.</p> | <p><input type="checkbox"/> Yes, it does <input checked="" type="checkbox"/> No, it does not <input type="checkbox"/> Not applicable</p> | <p>The proposed updated standardized baseline is developed using a methodological approach contained in the methodological tool "Tool to calculate the emission factor for an electricity system".</p> |

Document information

| <i>Version</i> | <i>Date</i> | <i>Description</i> |
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| 02.0 | 21 September 2018 | Revision to: <ul style="list-style-type: none">• Reflect updated requirements in the version 05.2 of "Procedure: Development, revision, clarification and update of standardized baselines" (CDM-EB63-A28-PROC);• Include editorial and structural improvement. |
| 01.0 | 18 November 2015 | Initial publication. |

Decision Class: Regulatory
Document Type: Form
Business Function: Methodology
Keywords: standardized baselines, update of standardized baseline
