

CDM-EB108-A03-AMEN

Standard

Amendments to version 02.0 of the CDM project standard for programmes of activities on application of standardized baselines

Version 01.0



United Nations
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1. Amendments to “CDM project standard for programmes of activities” (version 02.0)

1. This document contains the amendments adopted by the Executive Board of the clean development mechanism at its 108th meeting, to the “CDM project standard for programmes of activities” (PS-PoA) (version 02.0) (CDM-EB93-A07-STAN). This document will be consolidated into the PS-PoA in its next revision.
2. The amendments contained in appendix enter into force on 14 December 2020.

Appendix. Amendments to “CDM project standard for programmes of activities” (version 02.0)

1. Changes to section 9.3.5. Changes to programme or project design

1. The existing paragraph 238 shall read as follows:

238. Changes to a registered CDM PoA shall be limited to:

- (a) Changes to the programme boundary to expand the geographical coverage or to include additional host Parties;
- (b) The following revisions to the eligibility criteria for inclusion of CPAs in the PoA:
 - (i) Mandatory revision to the eligibility criteria due to the revision or replacement of the applied methodologies by the Board subsequent to placing them on hold;³⁹
 - (ii) If a revision to the eligibility criteria is required by the Board due to an issue related to environmental integrity having been identified;
 - (iii) If the use of positive lists or related provisions is introduced or modified based on the valid version of the “Methodological tool: Demonstration of additionality of small-scale project activities” or the “Methodological tool: Demonstrating additionality of microscale project activities”;
 - (iv) If the geographical boundary of the PoA is expanded within the host Party or to include one or more host Parties;
 - (v) If there is an addition or change of technologies/measures with or without addition or change of applied methodologies in the registered PoA-DD as referred in subparagraph (e) below;
 - (vi) A revision to the eligibility criteria pertaining to the demonstration of additionality;
- (c) Removal of applied methodologies and/or standardized baselines from the registered PoA-DD;
- (d) Changes to the capacity⁴⁰ range specified in the registered PoA-DD;
- (e) Addition of new components or extension/addition of technologies/measures that introduce complementary technologies/measures involving mass and/or energy transfer to/from the original technologies/measures described in the registered PoA-DD.⁴¹
- (f) Removal of a component or technology/measure described in the registered PoA-DD;

- (g) Changes to the technologies/measures that result in the same technologies/measures as in the registered technologies/measures as per the definition of “the same technologies” in paragraph 167(b) above;
- (h) Any consequential changes to the application of methodologies, standardized baselines and/or the other methodological regulatory documents resulting from the changes referred to in subparagraphs (a)–(g) above, including change to or addition of other methodologies, other standardized baselines and/or other methodological regulatory documents, or application of a baseline scenario that is more appropriate as a result of the proposed or actual modifications to the PoA;
- (i) Voluntary update of the applied methodologies or the other applied methodological regulatory documents to later valid versions of them, or voluntary change to other methodologies, provided that all requirements in the updated/changed methodologies or the other methodological regulatory documents are met;
- (j) Revision of generic CPA to:
 - a. Apply an applicable and valid standardized baseline to determine baseline emissions or parameter values;
 - b. Switch from an ex post to an ex ante determination of parameter values for calculating baseline emissions using a standardized baseline, subject to the provisions and conditions set out in paragraphs 266^{bis} below.

2. Changes to section 10.5. Data and parameters

2. The existing paragraphs 266 and 267 shall read as follows and insert a new paragraph after paragraph 266:

266. For a registered CDM PoA that applied a methodology or methodological tool providing a choice between an ex ante and an ex post determination of parameter values for calculating baseline emissions for a generic CPA and that chose the ex ante determination, or that applied a methodology or methodological tool requiring a determination of these values ex ante, and applied an applicable standardized baseline valid at the time of the submission of the request for registration of the PoA for this purpose to the generic CPA,¹ the coordinating/managing entity shall apply the version of the standardized baseline valid at the time of inclusion of a corresponding CPA for the purpose of monitoring for the CPA until the end of its first crediting period. If no valid version of the applied standardized baseline is available at the time of inclusion of a corresponding CPA despite the fact that the corresponding generic CPA applied the standardized baseline, the coordinating/managing entity shall calculate baseline emissions or parameter values in accordance with the applied methodology and/or applicable methodological tool and apply them to the CPA until the end of its first crediting period. ~~For an included CPA applying an approved standardized baseline that~~

¹ The PoAs that apply the SBs for which the use is mandatory automatically fall under this category.

standardizes baseline emissions, the coordinating/managing entity shall apply, in the first monitoring report of the first crediting period, the version of the applied standardized baseline that contains the more conservative standardized value(s)² of the parameter(s) (e.g. emission factors) between those in the latest version³ applicable on the first day of the first monitoring period and those in the latest version applicable on the last day of the first monitoring period.⁴ In the subsequent monitoring reports for the first crediting period, the coordinating/managing entity shall apply:

(a) The same version of the standardized baseline as the one applied in the first monitoring report, if the included CPA applies:

(i) An approved constant standardized baseline that standardizes baseline emissions and that does not require an ex post application of the standardized values; or

(ii) An approved dynamic standardized baseline⁵ that standardizes baseline emissions; or

(b) The latest version of the standardized baseline applicable on the first day of each monitoring period, if the included CPA applies an approved constant standardized baseline that standardizes baseline emissions and that requires an ex post application of the standardized values.⁶

266^{bis}. For a registered CDM PoA that applied a methodology or methodological tool providing a choice between an ex ante and an ex post determination of parameter values for calculating baseline emissions to a generic CPA and that chose the ex

²—More conservative values provide lower baseline emissions. However, if standardized parameters (e.g. the grid emission factors) as an approved standardized baseline are also used for the purpose of determining the project emissions and/or leakage emissions, more conservative values provide lower GHG emission reductions.

³—The latest version of the applied standardized baselines, referred to in paragraphs 266 and 267, does not refer to the previous version that is still valid after a major and/or minor revision in accordance with the “Procedure: Development, revision, clarification and update of standardized baselines” but refers to the latest version only.

⁴—See EB 70 meeting report, paragraph 45(c). For example, if version 01.0 is the latest version of the applied standardized baseline at the first day of the first monitoring period, while version 02.0 is the latest version at the last day of the first monitoring period and contains more conservative values, version 02.0 applies to the first monitoring report. However, if version 0.10 is the latest version both at the first and last days of the first monitoring period, then version 01.0 applies to the first monitoring report.

⁵—See EB 70 meeting report, paragraph 45(f). A “constant standardized baseline” refers to a standardized baseline without a dynamic factor(s), such as approved standardized baselines ASB0001, ASB0002, ASB0003 and ASB0004. On the other hand, a “dynamic standardized baseline” refers to a standardized baseline with a dynamic factor(s) (e.g. autonomous improvement factors). For example, one option in the calculation of baseline emissions in the methodology AM0070 requires that a specific electricity consumption of a certain class and design of refrigerators be reduced annually by a fixed percentage of autonomous improvement factors. Therefore, a standardized baseline developed using the methodological approach of AM0070 can be a dynamic standardized baseline.

⁶—This refers to an approved standardized baseline that requires project participants to use the latest standardized value(s) of baseline emission parameter(s) in the latest version of the standardized baseline for the monitoring reports subsequent to the first monitoring report.

post determination where there was no applicable standardized baseline valid at the time of the registration, the coordinating/managing entity may, at any time during the first PoA period, switch to the ex ante determination by applying to the generic CPA an applicable standardized baseline that becomes available after the registration and is valid at the time of the switch. For all corresponding CPAs that have been included before the switch, the coordinating/managing entity shall switch to the ex ante determination by applying the standardized baseline as per the revised generic CPA. After the switch, for all new corresponding CPAs, the coordinating/managing entity shall apply the version of the standardized baseline valid at the time of their inclusion or, if no valid version of the standardized baseline is available at the time of their inclusion, the coordinating/managing entity shall calculate these values in accordance with the applied methodology and/or applicable methodological tool for the CPAs. Such revision of a generic CPA shall undergo the post-registration change approval process for the PoA, and the switch of all included CPAs to the ex ante determination shall undergo the post-registration change notification process in accordance with the “CDM project cycle procedure for programmes of activities”.

267. For a registered CDM PoA that applied a methodology or methodological tool providing a choice between an ex ante and an ex post determination of parameter values for calculating baseline emissions to generic CPAs, the coordinating/managing entity shall, at each renewal of the PoA period, choose the ex ante or the ex post determination for each generic CPA individually, and shall follow the same rules in terms of the use and validity of standardized baseline as in paragraphs 266 and 266^{bis} above. For an included CPAs applying an approved standardized baseline that standardizes baseline emissions, if the selected type of crediting period in accordance with the corresponding generic CPA is renewable, the coordinating/managing entity shall ensure that each CPA, at its respective renewal of the crediting period, is consistent with the corresponding generic CPA in terms of ex ante or ex post determination of parameter values for calculating baseline emissions, including the application of a standardized baseline as applicable. apply, in the first monitoring report for the second and third crediting periods, the latest version applicable on the first day of the first monitoring period in the new crediting period.⁷ In the subsequent monitoring reports for the second and third crediting periods, the coordinating/managing entity shall apply:

- (a) The same version of the standardized baseline as the one applied in the first monitoring report of the respective crediting period, if the included CPA-DD applies:
 - (i) An approved constant standardized baseline that standardizes baseline emissions and that does not require an ex post application of the standardized values; or
 - (ii) An approved dynamic standardized baseline that standardizes baseline emissions; or
- (b) The latest version of the standardized baseline applicable on the first day of each monitoring period, if the included CPA applies an approved

⁷—See EB 70 meeting report, paragraph 45(d).

~~constant standardized baseline that standardizes baseline emissions and that requires an ex post application of the standardized values.~~

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Document information

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