



**Proposed standardized baseline initial assessment form  
(Version 04.0)**

**INFORMATION TO BE COMPLETED BY THE SECRETARIAT**

<b>Title of proposed standardized baseline:</b>	Grid Emission Factor for the Republic of Kenya
<b>Date (DD/MM/YYYY) when the initial assessment is concluded:</b>	10/07/2020

**Notes for completing below:**

*Please undertake the initial assessment of the submitted proposed standardized baseline according to the "Procedure: Development, revision and clarification and update of standardized baseline" (CDM-EB63-A28-PROC).*

*Only if all the criteria are assessed as "Yes" or "Not applicable", the submission is evaluated as "successfully concluding the initial assessment".*

Criteria	Assessment	Note
1. The DNA submitted all the documentation required for consideration of the proposed standardized baseline. <ul style="list-style-type: none"> <li>• The CDM-PSB-FORM</li> <li>• A spreadsheet containing all data used and the calculations performed for the establishment of the standardized baseline, where applicable</li> <li>• A quality control report, where applicable</li> <li>• An assessment report prepared by a designated operational entity (DOE), where applicable</li> <li>• Additional documentation supporting the submission (e.g. statistics and/or, studies, etc.), where applicable</li> </ul>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The DNA has submitted all the documentation required for the consideration of the proposed standardized baseline including, signed CDM-PSB-FORM, a spreadsheet containing all data used and the calculations for grid emission factor, QC report.  Since this is second submission from Kenya, that is also an under-represented country in CDM, and therefore the secretariat can prepare the assessment report for this submission in line with "Procedure: Development, revision, clarification and update of standardized baselines, version 5.2".
2. Where the proposed standardized baseline is developed for a group of Parties, letters of approval of all the DNAs (excluding the letter of the DNA submitting the proposed standardized baseline) have been submitted.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not applicable	The proposed standardized baseline is developed for a single Party, Kenya.
3. The CDM-PSB-FORM has been duly completed.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	

**CDM-PSBA-FORM**

<p>4. The proposed standardized baseline is derived from one or a combination of the following approaches with or without deviation:</p> <p>(a) The approach contained in the “Guidelines for the establishment of sector specific standardized baselines”;</p> <p>(b) A methodological approach contained in an approved, proposed new or revised baseline and monitoring methodology;</p> <p>(c) A methodological approach contained in an approved, proposed new or revised tool; or</p> <p>(d) The approach contained in the “Guideline: Establishment of standardized baselines for afforestation and reforestation project activities under the CDM”.</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>The proposed standardized baseline is derived based on a methodological approach contained in “TOOL07: Tool to calculate the emission factor for an electricity system”, version 07.0</p>
<p>5. The CDM-PSB-FORM documents how the proposed standardized baseline is derived, including documentation of all underlying data, data sources, assumptions, calculation steps and outcomes.</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>The combined margin weighted average grid emission factor is derived using simple adjusted operating margin and build margin data for Kenyan grid. The most recent 3 years’ (2016 to 2018) data is used to develop the grid emission factor.</p>
<p>6. The CDM-PSB-FORM explains how the proposed standardized baseline will be used with the relevant methodology(ies).</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>The proposed standardised baseline is applicable to CDM project activities and programmes of activities located in Kenya, which uses a methodology and/or tool referring to the “Tool to calculate the emission factor for an electricity system”.</p>
<p>7. The validity of the proposed standardized baseline is specified in accordance with applicable requirements. Where an alternative length of the validity is proposed, justification is provided in the CDM-PSB-FORM.</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>The proposed standardized baseline will be valid for a period of 3 years from the date of its approval by the Executive Board of the CDM.</p>
<p>8. The CDM-PSB-FORM provides a justification for the necessity and the appropriateness of a combination of the approaches or deviation from the selected approach(es).</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not applicable</p>	

<p>9. If an assessment report was submitted by the DNA, the assessment report includes the DOE's positive assessment opinion on the compliance of:</p> <p>(a) The quality assurance and quality control system with the provisions and data quality objectives of the "Guideline: Quality assurance and quality control of data in the establishment of standardized baselines";</p> <p>(b) The proposed standardized baseline with one or a combination of the approaches with or without deviation.</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input checked="" type="checkbox"/> Not applicable</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input checked="" type="checkbox"/> Not applicable</p>	<p>Since this is second submission from Kenya, that is also an under-represented country in CDM, and therefore the secretariat can prepare the assessment report for this submission in line with "Procedure: Development, revision, clarification and update of standardized baselines, version 5.2".</p>
-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

-----

**Document information**

<i>Version</i>	<i>Date</i>	<i>Description</i>
04.0	21 September 2018	Revision to: <ul style="list-style-type: none"> <li>• Reflect updated requirements in the version 05.2 of “Procedure: Development, revision, clarification and update of standardized baselines” (CDM-EB63-A28-PROC);</li> <li>• Include editorial and structural improvement.</li> </ul>
03.0	1 September 2015	Revision to: <ul style="list-style-type: none"> <li>• Reflect updated requirements in the version 04.0 of “Procedure: Development, revision, clarification and update of standardized baselines” (CDM-EB63-A28-PROC);</li> <li>• Include an editorial improvement.</li> </ul>
02.0	1 December 2013	The document title has changed from “CDM proposed standardized baselines initial assessment form” (F-CDM-PSB-IA) to “Proposed standardized baseline initial assessment form” (CDM-PSBA-FORM). Revision to: <ul style="list-style-type: none"> <li>• Reflect updated requirements in the “Procedure: Development, revision, clarification and update of standardized baselines”;</li> <li>• Include an editorial improvement.</li> </ul>
01.0	23 March 2012	Initial publication.

Decision Class: Regulatory  
 Document Type: Form  
 Business Function: Methodology  
 Keywords: assessment of standardized baseline, standardized baselines