Validation report form for CDM programme of activities

(Version 03.0)

Complete this form in accordance with the instructions attached at the end of this form.

BASIC INFORMATION	
Title of the programme of activities (PoA)	
Version number of the validation report	
Completion date of the validation report	
Version number of PoA-DD to which this validation report applies	
Date when PoA-DD was uploaded for global stakeholder consultation	
Coordinating/managing entity (CME)	
Host Parties	
Applied methodologies and standardized baselines	
Mandatory sectoral scopes	
Conditional sectoral scopes, if applicable	
Name and UNFCCC reference number of the DOE	
Name, position and signature of the approver of the validation report	

SECTION A.Executive summary

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SECTION B.Validation team, technical reviewer and approver

B.1.Validation team members

No.	Role	Type of resource	Last name	First name	Affiliation (e.g. name of central or other office of DOE or outsourced entity)	Involvement in			
						Desk/document review	On-site inspection	Interview(s)	Validation findings
1.	Team Leader								
2.	Validator								
..	…								
..	Technical Expert								
..	…								
..	Financial/ Other Expert								
..	…								
..	Trainee								
..	…								

B.2.Technical reviewer and approver of the validation report

No.	Role	Type of resource	Last name	First name	Affiliation (e.g. name of central or other office of DOE or outsourced entity)
1.	Technical reviewer				
…	**….**				
…	Approver				

SECTION C.Means of validation

C.1.Desk/document review

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C.2.On-site inspection

Duration of on-site inspection: DD/MM/YYYY to DD/MM/YYYY				
No.	**Activity performed on-site**	**Site location**	**Date**	**Team member**
1.				
…				

C.3.Interviews

No.	Interviewee			Date	Subject	Team member
	Last name	First name	Affiliation			
1.						
…						

C.4.Sampling approach

>>

C.5.Clarification requests (CLs), corrective action requests (CARs) and forward action requests (FARs) raised

Areas of validation of compliance	No. of CL	No. of CAR	No. of FAR
Programme of activities			
Identification of programme type			
Description of PoA			
Management system			
Demonstration of additionality of PoA			
Start date and duration of PoA			
Environmental impacts			
Socio-economic impacts			
Local stakeholder consultation			
Sustainable development co-benefits			
Approval			
Authorization			
Modalities of communication			
Global stakeholder consultation			
Generic component project activities			
General description of generic CPA			
Selection of methodologies and standardized baselines			
•Deviation from methodologies and/or methodological tools			
•Clarification on applicability of methodology, tool and/or standardized baseline			
Application of methodologies and standardized baselines			
•General			
•Project boundary, sources and GHGs			
•Baseline scenario			
•Estimation of emission reductions or net anthropogenic removals			
•Monitoring plan			
Crediting period type and duration			
Eligibility criteria for inclusion of CPAs			
Others (please specify)			
Total			

SECTION D.Validation findings

D.1.Programme of activities

D.1.1.Identification of programme type

Means of validation	
Findings	
Conclusion	

D.1.2.Description of PoA

Means of validation	
Findings	
Conclusion	

D.1.3.Management system

Means of validation	
Findings	
Conclusion	

D.1.4.Demonstration of additionality of PoA

Means of validation	
Findings	
Conclusion	

D.1.5.Start date and duration of PoA

Means of validation	
Findings	
Conclusion	

D.1.6.Environmental impacts

Means of validation	
Findings	
Conclusion	

D.1.7.Socio-economic impacts

Means of validation	
Findings	
Conclusion	

D.1.8.Local stakeholder consultation

Means of validation	
Findings	
Conclusion	

D.1.9.Sustainable development co-benefits

Means of validation	
Findings	
Conclusion	

D.1.10.Approval

Means of validation	
Findings	
Conclusion	

D.1.11.Authorization

Means of validation	
Findings	
Conclusion	

D.1.12.Modalities of communication

Means of validation	
Findings	
Conclusion	

D.1.13.Global stakeholder consultation

Means of validation	
Findings	
Conclusion	

D.2.Generic component project activities

D.2.1.General description of generic CPA

Means of validation	
Findings	
Conclusion	

D.2.2.Selection of methodologies and standardized baselines

D.2.2.1.Deviation from methodologies and/or methodological tools

Means of validation	
Findings	
Conclusion	

D.2.2.2.Clarification on applicability of methodology, tool and/or standardized baseline

Means of validation	
Findings	
Conclusion	

D.2.3. Application of methodologies and standardized baselines

D.2.3.1.General

Means of validation	
Findings	
Conclusion	

D.2.3.2.Project boundary, sources and GHGs

Means of validation	
Findings	
Conclusion	

D.2.3.3.Baseline scenario

Means of validation	
Findings	
Conclusion	

D.2.3.4.Estimation of emission reductions or net anthropogenic removals

Means of validation	
Findings	
Conclusion	

D.2.3.5.Monitoring plan

Means of validation	
Findings	
Conclusion	

D.2.4.Crediting period type and duration

Means of validation	
Findings	
Conclusion	

D.2.5.Eligibility criteria for inclusion of CPAs

No.	Eligibility criterion - Category/Required condition	Means of validation	Findings	Conclusion

SECTION E.Internal quality control

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SECTION F.Validation opinion

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Appendix 1.Abbreviations

Abbreviations	Full Texts

Appendix 2.Competence of team member and technical reviewers

Appendix 3.Documents reviewed or referenced

No.	Author	Title	References to the document	Provider
1				
2				
3				
…				

Appendix 4.Clarification requests, corrective action requests and forward action requests

Table 1. CLs from this validation

CL ID	xx	**Section no.**		**Date:** DD/MM/YYYY
Description of CL				
CME response				**Date:** DD/MM/YYYY
Documentation provided by CME				
DOE assessment				**Date:** DD/MM/YYYY

Table 2. CARs from this validation

CAR ID	xx	**Section no.**		**Date:** DD/MM/YYYY
Description of CAR				
CME response				**Date:** DD/MM/YYYY
Documentation provided by CME				
DOE assessment				**Date:** DD/MM/YYYY

Table 3. FARs from this validation

FAR ID	xx	**Section no.**		**Date:** DD/MM/YYYY
Description of FAR				
CME response				**Date:** DD/MM/YYYY
Documentation provided by CME				
DOE assessment				**Date:** DD/MM/YYYY

Attachment: Instructions for completing this form

1. General instructions

1. When completing this form[1], comply with the "CDM validation and verification standard for programmes of activities (VVS)", and, where applicable, the "Standard for sampling and surveys for CDM project activities and programme of activities (Sampling standard)". The "Rules and Reference" section of the UNFCCC CDM website contains all regulatory documents for the CDM, such as standards (including methodologies and standardized baselines), procedures, methodological tools, guidelines, clarifications, forms and the "Glossary: CDM terms" that may be applicable to the PoA.

2. Include, if necessary, additional information other than that indicated in this validation report in order to support how the designated operational entity (DOE) has arrived at its validation conclusions. This information may include, but need not be limited to tables, graphs and annexes such as a validation protocol.

3. List all the abbreviations used in this validation report in Appendix 1 below.

4. Complete this form in English. Prepare all attached documents in English, or if their originals were prepared in other language, provide a full translation of the relevant sections of these documents in English.

5. Complete this form using the same format without modifying its font, headings or logo, and without any other alteration to the form.

6. Do not modify or delete the tables and their columns in this form. Add rows to the tables as needed. Add additional appendices as needed.

7. Section D.2 is to be completed for all generic CPAs covered in this validation report. Replicate each section of Section D.2 per generic CPA. Provide information pertaining to each generic CPA, as appropriate and in accordance with the validation requirements of the VVS.

8. If a section of this form is not applicable, explicitly state "N/A" to indicate that the section is left blank intentionally.

9. Use an internationally recognized format for the presentation of values in this form. For example, use digits grouping in thousands and mark a decimal point with a dot (.), not with a comma (,).

10. Complete this form deleting this Attachment.

[1] This form applies to the validation of any type of CDM PoA except carbon dioxide capture and storage (CCS) CDM PoA.

2. Specific instructions

1. Provide the following information on the cover page:
   (a) Title of the PoA;
   (b) Version number of the validation report (version XX.X);
   (c) Completion date of the validation report (DD/MM/YYYY);
   (d) Version number of PoA-DD to which this report applies (version XX.X);
   (e) Date when PoA-DD was uploaded for global stakeholder consultation (DD/MM/YYYY);
   (f) Name of the coordinating/managing entity (CME);
   (g) Names of the host Parties;
   (h) Titles and UNFCCC reference numbers of the applied methodologies and, where applicable, the applied standardized baselines;
   (i) Mandatory sectoral scopes linked to the applied methodologies;
   (j) Conditional sectoral scopes linked to the applied methodologies, if applicable;
   (k) Name and UNFCCC reference number of the DOE;
   (l) Name, position and signature of the approver of the final validation report.

SECTION A.Executive summary

1.Provide a brief summary of the PoA (including the purpose, general description and location), scope of the validation, validation process and conclusion.

SECTION B.Validation team, technical reviewer and approver

1.Provide details of the validation team members in section B.1, and of the technical reviewer and approver in section B.2. If applicable, also identify any trainees.
2.For “Type of resource” in sections B.1 and B.2, indicate the type of resource of the personnel with the use of one of the following abbreviations referring to the “CDM accreditation standard”:
   (a) IR (Internal Resource);
   (b) EI (External Individuals);
   (c) OR (Outsourced Resource).
3.Demonstrate how the team meets the competence required for the validation in Appendix 2 below.

SECTION C.Means of validation

C.1.Desk/document review

1.List all documents reviewed or referenced during the validation in Appendix 3 below.

C.2.On-site inspection

1.Summarize any on-site inspection performed during the validation in the table. Add rows for additional on-site inspections as needed.
2.Describe the alternative means used and justify that they are sufficient for the purpose of validation, if the DOE does not conduct an on-site inspection as a means of validation in accordance with applicable requirements in the VVS.

C.3.Interviews

1.Summarize all the interviews (i.e. in person interviews, web/teleconferences, etc.) conducted during the validation in the table. Add rows for additional interviewees as needed.

C.4.Sampling approach

1.Where a sampling approach is used for the validation, summarize all the sampling approach used during the validation (e.g. random sampling).
2.Where a sampling approach is used for the on-site inspection, include a description of how the sample size was determined and field check was carried out.

C.5.Clarification requests, corrective action requests and forward action requests raised

1.Indicate in the following table the number of the clarification requests (CLs), corrective action requests (CARs), and forward action requests (FARs) raised in each area of validation findings in SECTION D below.

SECTION D.Validation findings

1.In all sections of D.1 and D.2 below, complete tables to validate the compliance in accordance with applicable validation requirements in the VVS by describing:
   (a) Means of validation: describe how the compliance was validated;
   (b) Findings: provide a brief description of the findings. Include in Appendix 4 below details of any CLs, CARs and FARs, if raised;
   (c) Conclusion: provide a conclusion on the compliance based on the findings.

D.1.Programme of activities

D.1.1. Identification of programme type

1.Explain whether the CME identified the type of CDM PoA in accordance with the "CDM project standard for programme of activities".

D.1.2.Description of PoA

1. Explain how the description of the proposed PoA was assessed in accordance with applicable validation requirements in the VVS, including the following:
   (a) The policy/measure or stated goal that the proposed PoA seeks to promote;
   (b) The framework developed for the implementation of the proposed PoA;
   (c) That the proposed PoA is a voluntary action by the CME;
   (d) The contribution of the PoA to the sustainable development of the host Party.
2.Explain how the physical/geographical boundary of the proposed CDM PoA was assessed in accordance with the relevant validation requirements in the VVS.
3.Confirm that a generic CPA part of a PoA-DD (hereinafter referred to as generic CPA-DD) has been prepared for each technology/measure, each methodology and each combination thereof, or that technologies/measures have been combined in one generic CPA-DD in accordance with the relevant requirements in the "CDM project standard for programmes of activities".
4.Describe the process undertaken to validate the accuracy and completeness of the description in the PoA-DD.
5.State the opinion on the accuracy and completeness of the description in the PoA-DD.
6.For a proposed afforestation/reforestation (A/R) PoA, in addition to paragraphs above, explain how the eligibility of the land and the approach to address non-permanence were assessed in accordance with applicable specific validation requirements for A/R project activities in the VVS.

D.1.3.Management system

1.Explain how the management system was assessed in accordance with the applicable requirements in the VVS.

D.1.4.Demonstration of additionality of PoA

1.Explain how the additionality of the PoA was assessed in accordance with the applicable requirements in the VVS.

D.1.5.Start date and duration of PoA

1.Explain how the start date and duration of the PoA were assessed in accordance with the applicable requirements in the VVS.
2.If the CME, for the purpose of determining the start date of the proposed CDM PoA, has chosen to notify the DNA(s) of the host Parties of the PoA and the secretariat in writing of the intention to seek CDM status of the PoA, confirm whether the start date indicated in the PoA-DD is the date of the notification of the intention in accordance with the applicable requirements in the VVS.
3.If the CME has chosen the date of publication of the PoA-DD for global stakeholder consultation as the start date of the proposed CDM PoA, confirm whether the start date indicated in the PoA-DD is the date of the publication of the PoA-DD in accordance with the applicable requirements in the VVS.

D.1.6.Environmental impacts

1.Determine whether the analysis of environmental impacts and, if considered significant by the CME or by the host Party, the environmental impact assessment was performed at the PoA level and/or at the CPA level.
2.If the analysis is conducted at the PoA level, explain how the analysis of the environmental impacts and, if considered significant by the CME or by the host Party, the environmental impact assessment was assessed in accordance with applicable requirements in the VVS.
3.If the analysis is conducted at the PoA level for a proposed small-scale PoA, instead of paragraph 1 above, explain how the analysis of environmental impacts, if required by the host Parties, was assessed in accordance with the applicable requirements in the VVS.
4.If the analysis is conducted at the PoA level for a proposed A/R PoA or a proposed small-scale A/R PoA, in addition of paragraph 1 above, explain how the analysis of the socio-economic and environmental impacts and, if considered significant by the CMEs or by the host Party, the socio-economic impact assessment and/or environmental impact assessment were assessed in accordance with the applicable requirements in the VVS.

D.1.7.Socio-economic impacts

1.For a proposed A/R CDM PoA, state whether the analysis of socio-economic impacts and, if applicable, a socio-economic impact assessment was performed at the PoA level or at the CPA level.
2.If the analysis is conducted at the PoA level, and, if considered significant by the CME or by the host Party, state whether the analysis was conducted as described in the PoA-DD, and if applicable, a socio-economic impact assessment was conducted in accordance with the relevant procedures of the host Party.

D.1.8.Local stakeholder consultation

1. Explain how the local stakeholder consultation process was assessed in accordance with applicable validation requirements related to the local stakeholder consultation in the VVS.

D.1.9.Sustainable development co-benefits

1.State whether a document describing how the CME intends to monitor sustainable development co-benefits of the proposed CDM PoA was developed by the CME separately from the monitoring plan.
2.If no such document was developed by the PPs, indicate ‘Not applicable’.

D.1.10.Approval

1.Explain how the approval from the DNA of each Party listed in the PoA-DD was assessed in accordance with the applicable requirements in the VVS.

D.1.11.Authorization

1.Explain how the authorization of each project participant was assessed in accordance with the applicable requirements in the VVS.
2.Explain how the authorization of the CME was assessed in accordance with the applicable requirements in the VVS.

D.1.12.Modalities of communication

1.Explain how the modalities of communication (MoC) statement was assessed in accordance with applicable validation requirements in the VVS.

D.1.13.Global stakeholder consultation

1.Explain how the global stakeholder consultation process was assessed in accordance with applicable validation requirements related to the global stakeholder consultation in the VVS.

D.2.Generic component project activities

1.Section D.2 is to be completed for all generic CPAs covered in the PoA-DD. Duplicate Section D.2 of this form for each additional generic CPA.

D.2.1.General description of generic CPA

1. Explain how the description of the generic CPA was assessed in accordance with the applicable requirements in the VVS.

D.2.2.Selection of methodologies and standardized baselines

D.2.2.1.Deviation from methodologies and/or methodological tools

1.Confirm whether any deviation from the selected methodologies and/or methodological tools has been approved by the Board for the proposed PoA. If a deviation has been approved by the Board, provide the date of approval and reference number.

D.2.2.2.Clarification on applicability of methodology, tool and/or standardized baseline

1.Confirm whether any clarification on applicability of methodology, methodological tool and/or standardized baseline to the proposed PoA has been issued. If the clarification has been issued, provide the date of the issuance and reference number.

D.2.3. Application of methodologies and standardized baselines

D.2.3.1. General

1.Explain how the application of methodologies, standardized baselines and the other applied methodological regulatory documents[2] to the design of the proposed generic CPA were assessed in accordance with applicable validation requirements in the VVS. 2.Confirm that the selected versions of methodologies, standardized baselines and the other applied methodological regulatory documents are valid at the time of submission of the proposed CDM PoA for registration.

D.2.3.2.Project boundary, sources and GHGs

1.Explain how the project boundary, selected sources and gasses were assessed in accordance with the applicable validation requirements in the VVS. 2. For a proposed A/R CPA, in addition to paragraph 1 above, explain how the selection of carbon pools was assessed in accordance with applicable specific validation requirements for afforestation and reforestation generic CPA in the VVS.

D.2.3.3.Baseline scenario

1.Explain how the baseline scenario identified for the generic CPA was assessed in accordance with the applicable validation requirements in the VVS.

D.2.3.4.Estimation of emission reductions or net anthropogenic removals

1.Explain how the steps taken and the equation and parameters applied to calculate the emission reductions or net anthropogenic removals for the generic CPA were assessed in accordance with the applicable requirements in the VVS. 2.If a sampling plan for the determination of parameter values for calculating GHG emission reductions is undertaken, explain how the sampling plan was assessed in accordance with the applicable requirements in the VVS and the Sampling standard.

[2] Other standards, methodologies, methodological tools and guidelines (to be) applied in accordance with the applied(selected) methodologies are collectively referred to as the other (applied) methodological regulatory documents).

D.2.3.5.Monitoring plan

1.Explain how the description of the monitoring plan was assessed in accordance with applicable validation requirements related to the monitoring plan in the VVS.
2.For a proposed A/R CDM project activity or a proposed small-scale A/R CDM project activity, in addition to paragraph 1 above, explain how the timing of management activities, including harvesting cycles, and verification were assessed in accordance with applicable specific validation requirements for afforestation and reforestation generic CPA in the VVS.
3.If the project participants have chosen to delay the submission of the monitoring plan for the PoA and its generic CPA(s), instead of paragraphs 1 and 2 above, explain how the choice was assessed in accordance with applicable validation requirements related to the monitoring plan in the VVS.

D.2.4.Crediting period type and duration

1.Explain how compliance with the type and duration of the crediting period applicable to all corresponding CPAs was assessed in accordance with the applicable validation requirements in the VVS.

D.2.5.Eligibility criteria for inclusion of CPAs

1.Explain how the DOE assesses whether the eligibility criteria for inclusion of corresponding CPAs in the proposed CDM PoA are defined in accordance with the project standard.
2.Explain, in the table, how each eligibility criterion - category, including the conditions that corresponding CPAs meet the requirement pertaining to the demonstration of additionality, is defined in accordance with the applicable requirements in the project standard, and is verifiable as well as sufficiently objective and comprehensive to permit the assessment of the inclusion of corresponding CPAs in the PoA.

SECTION E.Internal quality control

1.Describe the measures taken to ensure the quality of the validation activities.

SECTION F.Validation opinion

1.Provide a validation opinion in accordance with applicable validation requirements in the VVS.

Appendix 1. Abbreviations

1.List all the abbreviations used in this report in the table.

Appendix 2. Competence of team member and technical reviewer(s)

1.Provide documentation to substantiate the required competence of validation team members and technical reviewer(s).

Appendix 3. Documents reviewed or referenced

1.List all documents reviewed or referenced during the validation including CDM regulatory documents in the table.
2.For each document indicate the following:
(a) Title: provide the title of the document. Include the version number, if applicable;
(b) Author: provide the name(s) of the author(s). Where the author(s) belong(s) to the organization(s) that issue(s) the document, provide only the name(s) of the organization(s);
(c) References to the document: where applicable, provide the relevant reference to the document such as the dates of completion/publication and URL;
(d) Provider: choose one of the following options to indicate who provided the document to the DOE for its review. Select 'Others' for documents that were provided by those other than the CMEs:
(i) CME;
(ii) Others.

Appendix 4. Clarification requests, corrective action requests and forward action requests

1.If needed, copy tables 1, 2 and/or 3 for each CL, CAR, and/or FAR and copy the following rows until the finding is closed unless a FAR for future verifications is issued:
(a) CME response;
(b) Documentation provided by CME;
(c) DOE assessment.
2.In each table indicate the section number of the validation report to which each CL, CAR, or FAR corresponds.

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Document information

Version	*Date*	*Description*
03.0	31 May 2019	Revision to: •Ensure consistency with version 02.0 of the "CDM validation and verification standard for programmes of activities" (CDM-EB93-A08-STAN); •Make editorial improvements.
02.0	29 December 2017	Revision to align with the requirements of the "CDM validation and verification standard for programme of activities" (version 01.0).
01.0	4 May 2015	Initial publication.

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