

# Proposed standardized baseline initial assessment form (Version 04.0)

INFORMATION TO BE COMPLETED BY THE SECRETARIAT					
Title of proposed standardized baseline:	Grid Emission Factor of the Dominican Republic				
Date (DD/MM/YYYY) when the initial assessment is concluded:	13/03/2019				
Notes for completing below:					
Please undertake the initial assessment of the submitted proposed standardized baseline according to the "Procedure: Development, revision and clarification and update of standardized baseline" (CDM-EB63-A28- PROC).					
Only if all the criteria are assessed as "Yes" or "Not applicable", the submission is evaluated as "successfully concluding the initial assessment".					
Criteria	Assessment	Note			

### **CDM-PSBA-FORM**

1.	<ul> <li>The DNA submitted all the documentation required for consideration of the proposed standardized baseline.</li> <li>The CDM-PSB-FORM</li> <li>A spreadsheet containing all data used and the calculations performed for the establishment of the standardized baseline, where applicable</li> <li>A quality control report, where applicable</li> <li>An assessment report prepared by a designated operational entity (DOE), where applicable</li> <li>Additional documentation supporting the submission (e.g. statistics and/or, studies, etc.), where applicable</li> </ul>	Yes No	Paragraph 17 of the procedure "Development, revision, clarification and update of standardized baselines", version 05.2, states that the assessment report prepared by a DOE may be omitted for up to three submissions if the DNA is of a Party that had 10 or fewer registered CDM project activities as of 31/12/2010 – in this case, the assessment report would be prepared by the Secretariat. The DNA of Dominican Republic had only two projects registered until 31/12/2010. DNA has developed two standardized baselines: ASB0015 (Grid Emission Factor for the Dominican Republic, already expired), ASB0011 (Landfill gas capture and flaring in the Dominican Republic) updated to ASB0011-2018. Of these, ASB0011 and ASB0011-2018 were developed based only on existence of or lack of a policy/regulation (i.e. regulation requiring flaring of landfill) and did not require a DOE assessment in accordance with footnote 7 of above cited procedure. On the other hand, ASB0015 required significant data collection/processing but applied the provisions of paragraph 17 of the above cited procedure. Therefore, in effect the submission of the proposed SB can be considered the second submission from the DNA of Dominican Republic
2.	Where the proposed standardized baseline is developed for a group of Parties, letters of approval of all the DNAs (excluding the letter of the DNA submitting the proposed standardized baseline) have been submitted.	Yes No Not applicable	
3.	The CDM-PSB-FORM has been duly completed.	Yes No	

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4.	<ul> <li>The proposed standardized baseline is derived from one or a combination of the following approaches with or without deviation:</li> <li>(a) The approach contained in the "Guidelines for the establishment of sector specific standardized baselines";</li> <li>(b) A methodological approach contained in an approved, proposed new or revised baseline and monitoring methodology;</li> <li>(c) A methodological approach contained in an approved, proposed new or revised tool; or</li> <li>(d) The approach contained in the "Guideline: Establishment of standardized baselines for afforestation and reforestation project activities under the CDM".</li> </ul>	⊠ Yes □ No	The approach is based on the "TOOL07: Tool to calculate the emission factor for an electricity system", version 7.0.
5.	The CDM-PSB-FORM documents how the proposed standardized baseline is derived, including documentation of all underlying data, data sources, assumptions, calculation steps and outcomes.	Yes	The PSB form contains a description of the grid of the Dominican Republic, the source of electricity generated and fuel consumed and the commissioning dates of the power plants connected to the grid.
6.	The CDM-PSB-FORM explains how the proposed standardized baseline will be used with the relevant methodology(ies).	Yes	
7.	The validity of the proposed standardized baseline is specified in accordance with applicable requirements. Where an alternative length of the validity is proposed, justification is provided in the CDM-PSB-FORM.	Yes	The proposed standardized baseline will be valid for 3 years, from the date of adoption.
8.	The CDM-PSB-FORM provides a justification for the necessity and the appropriateness of a combination of the approaches or deviation from the selected approach(es).	<ul><li>Yes</li><li>No</li><li>Not applicable</li></ul>	
9.	<ul> <li>If an assessment report was submitted by the DNA, the assessment report includes the DOE's positive assessment opinion on the compliance of:</li> <li>(a) The quality assurance and quality control system with the provisions and data quality objectives of the "Guideline: Quality assurance and quality control of data in the establishment of standardized baselines";</li> <li>(b) The proposed standardized baseline with one or a combination of the approaches with or without deviation.</li> </ul>	<ul> <li>Yes</li> <li>No</li> <li>Not applicable</li> <li>Yes</li> <li>No</li> <li>No</li> <li>Not applicable</li> </ul>	

## **CDM-PSBA-FORM**

Version	Date	Description
04.0	21 September 2018	Revision to:
		<ul> <li>Reflect updated requirements in the version 05.2 of "Procedure: Development, revision, clarification and update of standardized baselines" (CDM-EB63-A28-PROC);</li> </ul>
		<ul> <li>Include editorial and structural improvement.</li> </ul>
03.0	1 September 2015	Revision to:
		<ul> <li>Reflect updated requirements in the version 04.0 of "Procedure: Development, revision, clarification and update of standardized baselines" (CDM-EB63-A28-PROC);</li> </ul>
		Include an editorial improvement.
02.0	1 December 2013	The document title has changed from "CDM proposed standardized baselines initial assessment form" (F-CDM-PSB-IA) to "Proposed standardized baseline initial assessment form" (CDM-PSBA-FORM).
		Revision to:
		<ul> <li>Reflect updated requirements in the "Procedure: Development, revision, clarification and update of standardized baselines";</li> </ul>
		Include an editorial improvement.
01.0	23 March 2012	Initial publication.
Documen Business	Class: Regulatory it Type: Form Function: Methodology s: assessment of standardiz	zed baseline, standardized baselines

#### **Document information**