



**Approved standardized baseline update request
initial assessment form
(Version 02.0)**

INFORMATION TO BE COMPLETED BY THE SECRETARIAT

Reference (number, title and version) of the approved standardized baseline to which the request for update is made:

Date (DD/MM/YYYY) when the initial assessment is concluded:

Notes for completing below:

Please undertake the initial assessment of the submitted proposed updated standardized baseline in accordance with the "Procedure: Development, revision and clarification and update of standardized baseline" (CDM-EB63-A28-PROC).

*Only if all the criteria, except criterion No. 8, are assessed as "Yes" or "Not applicable", the submission is evaluated as "**successfully concluding the initial assessment**".*

The assessment of the criterion No. 8 below does not have any impact on the completeness of the submission. However, if it is assessed as "Yes, it does", the secretariat shall propose that the Board carry out the revision through the top-down process in accordance with the "Procedure: development, revision and clarification of baseline and monitoring methodologies and methodological tools".

Criteria	Assessment	Note
<p>1. The DNA submitted all the documentation required for consideration of the proposed updated standardized baseline.</p> <ul style="list-style-type: none"> • The CDM-ASU-FORM • The proposed updated standardized baseline, highlighting the proposed changes to the approved standardized baseline • A spreadsheet containing all data used and the calculations performed for the establishment of the proposed updated standardized baseline; • A quality control report, where applicable • An assessment report prepared by a designated operational entity (DOE) • Additional documentation supporting the submission (e.g. statistics and/or, studies etc.), where applicable 	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<p>2. Where the proposed updated standardized baseline applies to a group of Parties, letters of approval from all the DNAs of the Parties to which it applies (excluding a letter of approval from the DNA submitting this proposed updated standardized baseline) have been submitted.</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable	
<p>3. The CDM-ASU-FORM has been duly completed.</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No	

<p>4. The proposed updated standardized baseline is derived from the same approach as the one used in the approved standardized baseline that is proposed to be updated.</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	
<p>5. The CDM-ASU-FORM explains how the proposed updated standardized baseline will be used with the relevant methodology(ies).</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	
<p>6. The validity of the proposed updated standardized baseline is specified in accordance with applicable requirements. Where an alternative length of the validity is proposed, justification is provided in the CDM-ASU-FORM.</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	
<p>7. The assessment report includes the DOE's positive assessment opinion on the compliance of:</p> <p>(a) The quality assurance and quality control system with the provisions and data quality objectives of the "Guideline: Quality assurance and quality control of data in the establishment of standardized baselines";</p> <p>(b) The proposed updated standardized baseline with one or a combination of the approaches referred to in the applicable requirements with or without a deviation from the approach.</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	
<p>8. The proposed updated standardized baseline, if developed using one of the following approaches, does not require revisions to the underlying approved methodology or methodological tool:</p> <p>(a) A methodological approach contained in an approved methodology; or</p> <p>(b) A methodological approach contained in an approved methodological tool.</p>	<p><input type="checkbox"/> Yes, it does <input type="checkbox"/> No, it does not <input type="checkbox"/> Not applicable</p>	

Document information

<i>Version</i>	<i>Date</i>	<i>Description</i>
02.0	21 September 2018	Revision to: <ul style="list-style-type: none">• Reflect updated requirements in the version 05.2 of "Procedure: Development, revision, clarification and update of standardized baselines" (CDM-EB63-A28-PROC);• Include editorial and structural improvement.
01.0	18 November 2015	Initial publication.

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