



**Proposed standardized baseline initial assessment form
(Version 04.0)**

INFORMATION TO BE COMPLETED BY THE SECRETARIAT

Title of proposed standardized baseline:	
Date (DD/MM/YYYY) when the initial assessment is concluded:	

Notes for completing below:
Please undertake the initial assessment of the submitted proposed standardized baseline according to the "Procedure: Development, revision and clarification and update of standardized baseline" (CDM-EB63-A28-PROC).
Only if all the criteria are assessed as "Yes" or "Not applicable", the submission is evaluated as "successfully concluding the initial assessment".

Criteria	Assessment	Note
1. The DNA submitted all the documentation required for consideration of the proposed standardized baseline. <ul style="list-style-type: none"> • The CDM-PSB-FORM • A spreadsheet containing all data used and the calculations performed for the establishment of the standardized baseline, where applicable • A quality control report, where applicable • An assessment report prepared by a designated operational entity (DOE), where applicable • Additional documentation supporting the submission (e.g. statistics and/or, studies, etc.), where applicable 	<input type="checkbox"/> Yes <input type="checkbox"/> No	
2. Where the proposed standardized baseline is developed for a group of Parties, letters of approval of all the DNAs (excluding the letter of the DNA submitting the proposed standardized baseline) have been submitted.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable	
3. The CDM-PSB-FORM has been duly completed.	<input type="checkbox"/> Yes <input type="checkbox"/> No	

<p>4. The proposed standardized baseline is derived from one or a combination of the following approaches with or without deviation:</p> <p>(a) The approach contained in the “Guidelines for the establishment of sector specific standardized baselines”;</p> <p>(b) A methodological approach contained in an approved, proposed new or revised baseline and monitoring methodology;</p> <p>(c) A methodological approach contained in an approved, proposed new or revised tool; or</p> <p>(d) The approach contained in the “Guideline: Establishment of standardized baselines for afforestation and reforestation project activities under the CDM”.</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	
<p>5. The CDM-PSB-FORM documents how the proposed standardized baseline is derived, including documentation of all underlying data, data sources, assumptions, calculation steps and outcomes.</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	
<p>6. The CDM-PSB-FORM explains how the proposed standardized baseline will be used with the relevant methodology(ies).</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	
<p>7. The validity of the proposed standardized baseline is specified in accordance with applicable requirements. Where an alternative length of the validity is proposed, justification is provided in the CDM-PSB-FORM.</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>	
<p>8. The CDM-PSB-FORM provides a justification for the necessity and the appropriateness of a combination of the approaches or deviation from the selected approach(es).</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not applicable</p>	
<p>9. If an assessment report was submitted by the DNA, the assessment report includes the DOE’s positive assessment opinion on the compliance of:</p> <p>(a) The quality assurance and quality control system with the provisions and data quality objectives of the “Guideline: Quality assurance and quality control of data in the establishment of standardized baselines”;</p> <p>(b) The proposed standardized baseline with one or a combination of the approaches with or without deviation.</p>	<p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not applicable</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><input type="checkbox"/> Not applicable</p>	

Document information

<i>Version</i>	<i>Date</i>	<i>Description</i>
04.0	21 September 2018	Revision to: <ul style="list-style-type: none"> • Reflect updated requirements in the version 05.2 of “Procedure: Development, revision, clarification and update of standardized baselines” (CDM-EB63-A28-PROC); • Include editorial and structural improvement.
03.0	1 September 2015	Revision to: <ul style="list-style-type: none"> • Reflect updated requirements in the version 04.0 of “Procedure: Development, revision, clarification and update of standardized baselines” (CDM-EB63-A28-PROC); • Include an editorial improvement.
02.0	1 December 2013	The document title has changed from “CDM proposed standardized baselines initial assessment form” (F-CDM-PSB-IA) to “Proposed standardized baseline initial assessment form” (CDM-PSBA-FORM). Revision to: <ul style="list-style-type: none"> • Reflect updated requirements in the “Procedure: Development, revision, clarification and update of standardized baselines”; • Include an editorial improvement.
01.0	23 March 2012	Initial publication.

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