



**Proposed standardized baseline initial assessment form
(Version 03.0)**

INFORMATION TO BE COMPLETED BY THE SECRETARIAT

Title of proposed standardized baseline:	Emission Factor of the Electricity System of Southern Africa
Date (DD/MM/YYYY) when the initial assessment is completed:	19/04/2018

Notes for completing below:

Please assess the completeness of the submitted proposed standardized baseline according to the "Procedure: Development, revision and clarification and update of standardized baseline" (CDM-EB63-A28-PROC).

*If all the criteria under numbers 1 to 3 are assessed as "Yes" while all the criteria under numbers 4 and 5 are assessed as "Yes" and/or "Not applicable", the submission is evaluated as "**complete**". Otherwise, the submission is evaluated as "**incomplete**".*

Criteria	Assessment	Note
1. The CDM-PSB-FORM has been duly completed.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The submission uses current version i.e. version 3 of the CDM-PSB-FORM.
2. The proposed standardized baseline is derived from one or a combination of the following approaches with or without deviation: <ul style="list-style-type: none"> (a) The approach contained in the "Guidelines for the establishment of sector specific standardized baselines"; (b) A methodological approach contained in an approved, proposed new or revised baseline and monitoring methodology; (c) A methodological approach contained in an approved, proposed new or revised tool; or (d) The approach contained in the "Guideline: Establishment of standardized baselines for afforestation and reforestation project activities under the CDM". 	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The submission uses methodological approach as contained in version 5 of the "Tool to calculate the emission factor for an electricity system". Tool 5 is valid up to 28 June 2018.

CDM-PSBA-FORM

<p>3. The DNA submitted all the information required for consideration of the proposed standardized baseline (e.g. studies referred to in the proposed updated standardized baseline documents).</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>All the reference documents were provided or quoted under reference (which are available for public view on internet) section of the submission.</p>
<p>(a) It documents how the proposed standardized baseline is derived, including documentation of all underlying data, data sources, assumptions, calculation steps and outcomes.</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>The proposed submission provides information relevant to underlying data, data sources, assumptions, calculation steps used for deriving standardized baseline.</p>
<p>(b) It provides data by using either a sector-specific data template published by the secretariat or prepared in accordance with the relevant methodology or methodological tool.</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>The proposed standardized baseline uses data template prepared in accordance with the "Tool to calculate the emission factor for an electricity system"</p>
<p>(c) It explains how the proposed standardized baseline will be used with the relevant methodology(ies).</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>The emission factor derived from proposed standardized baseline will be used within relevant formulae in the methodologies.</p>
<p>(d) The validity of the proposed standardized baseline is specified in accordance with applicable requirements.</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>The submission mentions that proposed standardized baseline will be valid for period of 3 years from its date of approval.</p>
<p>(e) It provides a justification for the necessity and the appropriateness of a combination of the approaches or deviation from the selected approach(es).</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not applicable	<p>The submission did not seek any deviation from the selected approaches.</p>
<p>(f) Reference is made to the approved or proposed new or revised methodology to be used together with proposed standardized baseline for the calculation of emission reductions.</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>The standardized baseline uses approach from the approved methodological tool.</p>
<p>4. In the event that an assessment report was submitted by the DNA, the assessment report includes:</p> <p>(a) Details on the assessment process and assessment findings;</p> <p>(b) A positive assessment opinion on the compliance of: (i) the quality assurance and quality control system with the provisions and data quality objectives of the "Guidelines for quality assurance and quality control of data in the establishment of standardized baselines"; and (ii) the proposed standardized baseline with one or a combination of the approaches with or without deviation.</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable	<p>The assessment report provides details on assessment process and findings DOE has raised during assessment of submission.</p> <p>The DOE also confirmed that the submission is in compliance with "Guidelines for quality assurance and quality control of data in the establishment of standardized baselines" and uses approach as provided in the "Tool to calculate the emission factor for an electricity system".</p>

<p>5. Where the proposed standardized baseline is developed for a group of Parties, letters of approval of all the DNAs (excluding the letter of the DNA submitting the proposed standardized baseline) have been submitted.</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable</p>	<p>The proposed standardized baseline is developed for a group of Parties and all the Parties involved provided letters of approval for the submission.</p>
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Document information

<i>Version</i>	<i>Date</i>	<i>Description</i>
03.0	1 September 2015	<p>Revision to:</p> <ul style="list-style-type: none"> • Reflect updated requirements in the version 04.0 of “Procedure: Development, revision, clarification and update of standardized baselines” (CDM-EB63-A28-PROC); • Include an editorial improvement.
02.0	1 December 2013	<p>The document title has changed from “CDM proposed standardized baselines initial assessment form” (F-CDM-PSB-IA) to “Proposed standardized baseline initial assessment form” (CDM-PSBA-FORM).</p> <p>Revision to:</p> <ul style="list-style-type: none"> • Reflect updated requirements in the “Procedure: Development, revision, clarification and update of standardized baselines”; • Include an editorial improvement.
01.0	23 March 2012	Initial publication.

Decision Class: Regulatory
Document Type: Form
Business Function: Methodology
Keywords: standardized baselines