



Approved standardized baseline update request initial assessment form (Version 01.0)

INFORMATION TO BE COMPLETED BY THE SECRETARIAT

Reference (number, title and version) of the approved standardized baseline to which the request for update is made:	ASB0002: Fuel switch, technology switch and methane destruction in the charcoal sector of Uganda, Version 01.0
Date (DD/MM/YYYY) when the initial assessment is completed:	14/03/2017
Completeness of the submission based on the initial assessment below:	<input checked="" type="checkbox"/> Complete <input type="checkbox"/> Incomplete

Notes for the initial assessment:

Please assess the completeness of the submitted proposed updated standardized baseline in accordance with the "Procedure: Development, revision and clarification and update of standardized baseline" (CDM-EB63-A28-PROC).

If all the criteria from No.1 to 5 below are assessed as "Yes" while the criterion No. 6 below is assessed as "Yes" or "Not applicable", the submission is evaluated as "**complete**". Otherwise, the submission is evaluated as "**incomplete**".

The assessment of the criterion No.7 below does not have any impact on the completeness of the submission. However, if it is assessed as "Yes, it does", the secretariat shall propose that the Board carry out the revision through the top-down process in accordance with the "Procedure: development, revision and clarification of baseline and monitoring methodologies and methodological tools"

Criteria	Assessment	Note
1. The CDM-ASU-FORM has been duly completed.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>The ASB expired in May 2016.</p> <p>The submission date for the request to update the SB is 07 February 2017.</p> <p>Paragraph 146 of the procedure for "Development, revision, clarification and update of standardized baselines", v04.0, states that:</p> <p>"The DNA submitting the proposed updated standardized baseline shall submit the following documentation to the secretariat through a specified e-mail account made available on the UNFCCC CDM website between 270 to 180 days prior to the date when the validity of the current standardized baseline expires"</p>

<p>2. The proposed updated standardized baseline was submitted, highlighting the proposed changes to the approved standardized baseline.</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>The changes involve:</p> <ul style="list-style-type: none"> - Inclusion of another methodology (AMS-III.K “Avoidance of methane release from charcoal production”.) - Inclusion of an additional value (0.011) for standardized parameter SMG,y,b in case AMS-III.K is applied (to be further assessed) - Inclusion of additional technologies in the positive list (Sam 1 retort kiln, Namibian metallic retort kiln, Collapsible casamance kiln) <p>Note: f_{NRB} is same as in ASB0002 and is valid until 10 April 2017</p>
<p>3. The proposed updated standardized baseline is derived from the same approach as the one used in the approved standardized baseline that is proposed to be updated.</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>The proposed updated standardized baseline (PUSB) was derived from a methodological approach contained in the “Guidelines for the establishment of sector specific standardized baselines”.</p>
<p>4. The DNA submitted all the information required for consideration of the proposed updated standardized baseline (e.g. studies referred to in the proposed updated standardized baseline documents).</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>The submission refers to the “National charcoal survey for Uganda 2015”, however, it has not been submitted.</p> <p>DOE has validated the information sourced from this study.</p>

<p>(a) It documents how the proposed updated standardized baseline is derived, including documentation of all underlying data, data sources, assumptions, calculation steps and outcomes.</p> <p>(b) It provides data by using either a sector-specific data template published by the secretariat or prepared in accordance with the relevant methodology or methodological tool.</p> <p>(c) It explains how the proposed updated standardized baseline will be used with the relevant methodology(ies).</p> <p>(d) The validity of the proposed updated standardized baseline is specified in accordance with applicable requirements.</p> <p>(e) Reference is made to the approved or revised methodology to be used together with proposed updated standardized baseline for the calculation of emission reductions.</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>The submission documents how the PUSB is derived</p> <p>Data is provided in accordance with the relevant methodology (AMS-III.BG and AMS-III.K).</p> <p>The PUSB will be used with AMS-III.BG or AMS-III.K.</p> <p>The validity of the PUSB will be determined in accordance with Standard: Determining coverage of data and validity of standardized baselines”</p> <p>The standardized baseline has to be used in conjunction with the most recent version of:</p> <ul style="list-style-type: none"> - AMS-III.BG. (Fuel switch is mandatory). - In cases where fuel switch is not intended the most recent version of AMS-III.K can be used.
<p>5. The assessment report includes the DOE’s positive assessment opinion on the compliance of:</p> <p>(a) The quality assurance and quality control system with the provisions and data quality objectives of the “Guidelines for quality assurance and quality control of data in the establishment of standardized baselines”;</p> <p>(b) The proposed updated standardized baseline with one or a combination of the approaches referred to in the applicable requirements with or without a deviation from the approach.</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>The DOE states that “the SB is developed using secondary sources of data and information (official publications/reports, international peer reviewed publications, reports of multilateral agencies etc). The data applied is deemed to be reliable</p> <p>The DOE states that SB have been developed and updated in accordance with the approved SSC CDM methodologies; AMS-III.BG ver.03.0 and AMS III.K ver 05.0.</p>
<p>6. Where the proposed updated standardized baseline applies to a group of Parties, letters of approval from all the DNAs of the Parties to which it applies (excluding a letter of approval from the DNA submitting this proposed updated standardized baseline) have been submitted.</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not applicable</p>	<p>Paragraph 146 (e) of the procedure requires “Letters of approval on the proposed updated standardized baseline from all the DNAs of the Parties to which it applies, where it applies to a group of Parties”</p>

<p>7. The proposed updated standardized baseline, if developed using one of the following approaches, does not require revisions to the underlying approved methodology or methodological tool:</p> <p>(a) A methodological approach contained in an approved, proposed new or revised methodology; or</p> <p>(b) A methodological approach contained in an approved, proposed new or revised methodological tool.</p>	<p><input checked="" type="checkbox"/> Yes, it does</p> <p><input type="checkbox"/> No, it does not</p> <p><input type="checkbox"/> Not applicable</p>	<p>The standardized baseline was developed using the Guidelines for the establishment of sector specific standardized baselines” v2.0</p>
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Document information

<i>Version</i>	<i>Date</i>	<i>Description</i>
01.0	18 November 2015	Initial publication.

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