

CDM-AP77

Meeting report

CDM Accreditation Panel seventy-seventh meeting

Version 01.0

Date of meeting: 7-9 February 2017

Place of meeting: Bonn, Germany



United Nations
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Climate Change

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Agenda item 1. Agenda and meeting organization

Agenda item 1.1. Opening

1. Mr. Arthur Rolle, Chair of the Clean Development Mechanism Accreditation Panel (hereinafter referred to as the CDM-AP), welcomed members and opened the meeting.
2. The CDM-AP noted that Mr. Martin Enderlin (Vice-Chair) was not able to attend the meeting due to illness and had provided proper justification. The CDM-AP wished the Vice-Chair a speedy recovery. Table 1 below represents the attendance of members at the meeting held from 7 to 9 February 2017.

Table 1. Attendance

Chair and Vice Chair	Members
Mr. Arthur Rolle (Chair)	Mr. Anil Jauhri
	Ms. Ann Marie Howard
	Mr. Ricardo Esparta
	Mr. Sven Gunther Kolmetz
	Ms. Verónica Garcíá Malo

Agenda item 1.2. Adoption of the agenda

3. The agenda of the seventy-seventh meeting of the CDM Accreditation Panel (AP77) was adopted as presented.
4. The CDM-AP considered information provided by members and the Chair with respect to any potential conflict of interest.

Agenda item 2. Governance and management matters

Agenda item 2.1. Performance management

5. The CDM-AP took note of a report on the achievements made against the 2016 assessment plan and on the assessment plan for 2017.
6. The CDM-AP took note of a report on delays of more than seven days in ongoing assessments.
7. The CDM-AP considered the annual conflict of interest analysis prepared in accordance with paragraphs 15 and 16 of the "Procedure: Selection and performance evaluation of experts on the CDM accreditation roster of experts"(version 1.0) and recommended to the secretariat modifications to be made to mitigation actions.
8. The CDM-AP considered the outcome of the performance monitoring of experts on the CDM accreditation roster of experts (ARoE) and agreed on appropriate actions.
9. The CDM-AP took note of a report on the performance assessment of verifications of programmes of activities (PoAs) and provided further guidance to the secretariat, including:

- (a) The next three performance assessments of verifications of PoAs should have CDM Assessment Team (CDM-AT) visits at one of the following: opening meeting, closing meeting, during the entire site visits or another time during the verification;
- (b) The CDM-AT should consist of one CDM lead assessor and one technical expert (10 person-days in total), for such performance assessments;
- (c) The secretariat should prioritize the selection of PoAs for household energy-efficient technologies. Target countries should be the top five countries in terms of number of registered CDM PoAs.
- (d) Given the greater complexity in work for the designated operational entities (DOE) to complete the final verification report and extended visits to component project activity sites, it may be reasonable to allow the DOE to go beyond the 30-day deadline stipulated in paragraph 87 of the "CDM accreditation procedure" (version 12.0).
- (e) No further guidance was provided on the "Performance assessment report on verification and certification activity" form (CDM-PAVE-FORM).

Agenda item 2.2. Matters related to the panel

- 10. The CDM-AP took note of the outcomes of the ninety-second meeting of the CDM Executive Board (hereinafter referred to as the Board) that are relevant to the work of the CDM-AP.
- 11. The CDM-AP considered the tentative schedule of CDM-AP meetings for 2017 and noted that the secretariat suggests the following dates for the upcoming meetings:
 - (a) 78th meeting of the CDM-AP: 6–8 June 2017;
 - (b) 79th meeting of the CDM-AP (AP79): 10–12 October 2017.
- 12. The CDM-AP considered the draft agenda for the calibration meeting, scheduled to take place in conjunction with AP79, and provided the following guidance on the priority areas and approach for the meeting:
 - (a) As assessing competence was a focus of the previous interaction with CDM Assessment Team leaders, the secretariat should propose other areas to focus on, at the next meeting of the CDM-AP;
 - (b) The secretariat may invite CDM assessment team leaders to provide inputs to the agenda of the calibration meeting.

Agenda item 3. Rulings (case-specific matters)

- 13. The CDM-AP considered two reaccreditation cases. The CDM-AP's recommendation on these cases will be submitted to the Board in confidence.
- 14. The CDM-AP considered six regular surveillance cases. The CDM-AP's notifications on these cases will be submitted to the Board in confidence.
- 15. The CDM-AP considered five performance assessments. The CDM-AP's notifications on these cases will be submitted to the Board in confidence.

16. The CDM-AP considered electronically one performance assessment case since the previous CDM-AP meeting (AP76).
17. The CDM-AP took note of one notification of partial voluntary withdrawal of accreditation.
18. The CDM-AP considered eleven notifications of change.
19. The CDM-AP considered three other issues concerning DOEs. The CDM-AP's recommendations on these cases will be submitted to the Board in confidence.
20. The CDM-AP considered electronically two transfers of accreditation since the previous CDM-AP meeting (AP76).

Agenda item 4. Regulatory matters

Agenda item 4.1. Procedures

21. The CDM-AP provided input to the secretariat on the joint concept note "Performance assessments and inactive designated operational entities" as contained in Annex 8 to the annotated agenda for the Board's ninety-third meeting.

Agenda item 5. Relations with forums and other stakeholders

22. The CDM-AP interacted with the DOE/accredited independent entities (AIE) Coordination Forum chair, Mr. Werner Betzenbichler, in accordance with the "Procedure: Direct communication with stakeholders" (version 2.0). Mr. Betzenbichler provided input, summarized as follows:
 - (a) Financing of the activities of the DOE/AIE Coordination Forum chair are secured for the first half of 2017; further funding is being sought but is not yet confirmed;
 - (b) DOEs have shown strong support for holding an electronic DOE Forum meeting this year, either in September or October, with an invitation extended to CDM-AP members;
 - (c) With regard to the draft joint concept note "Performance assessments and inactive designated operational entities", the final of which is contained in Annex 8 to the annotated agenda for the Board's ninety-third meeting:
 - (i) The forum has long supported the proposal to reduce the number of performance assessments to three per accreditation cycle;
 - (ii) For DOEs not meeting the mandatory number of performance assessments during an accreditation cycle, the forum is in favour of maintaining the status quo, i.e. not applying sanctions to such DOEs;
 - (iii) The forum is not in favour of withdrawing inactive DOEs;
 - (iv) To single out DOEs by designating them 'inactive' would distort the market.
 - (d) With regard to DOEs using their CDM accreditation status for purposes other than the CDM, the chair of the forum provided his view on this matter and conveyed his

sentiment that misleading or erroneous statements by DOEs in connection with their CDM accreditation status should be avoided.

Agenda item 6. Other matters

23. The CDM-AP recommended the secretariat to insert a statement in the "CDM Application for accreditation" form (CDM-AA-FORM) to prevent misleading statements by the DOEs regarding their CDM accreditation, and to make the revised version of the form available on the CDM website.

Agenda item 7. Conclusion of the meeting

24. The CDM-AP approved the report of the 77th meeting and thanked the Chair and the Vice-Chair for their commitment and fine chairmanship.
25. The CDM-AP Chair thanked all panel members and the secretariat for their dedication and excellent work and closed the meeting.

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Document information

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