



**Proposed standardized baseline initial assessment form
(Version 03.0)**

INFORMATION TO BE COMPLETED BY THE SECRETARIAT

Title of proposed standardized baseline:	Uganda’s Standardized Baseline for Methane Recovery from Municipal Wastewater Treatment
Date (DD/MM/YYYY) when the initial assessment is completed:	05/07/2016

Notes for completing below:

Please assess the completeness of the submitted proposed standardized baseline according to the “Procedure: Development, revision and clarification and update of standardized baseline” (CDM-EB63-A28-PROC) (hereinafter referred as procedure).

If all the criteria under numbers 1 to 3 are assessed as “Yes” while all the criteria under numbers 4 and 5 are assessed as “Yes” and/or “Not applicable”, the submission is evaluated as “complete”. Otherwise, the submission is evaluated as “incomplete”.

Criteria	Assessment	Note
1. The CDM-PSB-FORM has been duly completed.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The CDM-PSB-FORM has been duly completed by the DNA.
2. The proposed standardized baseline is derived from one or a combination of the following approaches with or without deviation: (a) The approach contained in the “Guidelines for the establishment of sector specific standardized baselines”; (b) A methodological approach contained in an approved, proposed new or revised baseline and monitoring methodology; (c) A methodological approach contained in an approved, proposed new or revised tool; or (d) The approach contained in the “Guideline: Establishment of standardized baselines for afforestation and reforestation project activities under the CDM”.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The proposed standardized baseline is derived using the combination of (a) The approach contained in the “Guidelines for the establishment of sector specific standardized baselines”, for baseline identification and (b) A methodological approach contained in small-scale methodology, AMS-III.H: Methane recovery in wastewater treatment, for establishment of additionality and standardization of baseline parameter, which is in line with the paragraph 13 of the procedure.

CDM-PSBA-FORM

<p>3. The DNA submitted all the information required for consideration of the proposed standardized baseline (e.g. studies referred to in the proposed updated standardized baseline documents).</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>Please refer to comments below</p>
<p>(a) It documents how the proposed standardized baseline is derived, including documentation of all underlying data, data sources, assumptions, calculation steps and outcomes.</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>The submission provides information regarding how the proposed standardized baseline is derived and further provides relevant data, data sources, assumptions, calculations sheets and outcomes.</p>
<p>(b) It provides data by using either a sector-specific data template published by the secretariat or prepared in accordance with the relevant methodology or methodological tool.</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>The proposed standardized baseline uses a combination of approaches provided in the “Guidelines for the establishment of sector specific standardized baselines”, for baseline identification and methodological approach contained in small-scale methodology, AMS-III.H: Methane recovery in wastewater treatment.</p>
<p>(c) It explains how the proposed standardized baseline will be used with the relevant methodology(ies).</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>The CDM-PSB-FORM mentions how the proposed standardized baseline will be used with the relevant methodology(ies).</p>
<p>(d) The validity of the proposed standardized baseline is specified in accordance with applicable requirements.</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<p>The CDM-PSB-FORM mentions that the standardized baseline remains valid after three years from the date of approval by the Board.</p>
<p>(e) It provides a justification for the necessity and the appropriateness of a combination of the approaches or deviation from the selected approach(es).</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable	<p>The CDM-PSB-FORM provides justification for using combination of approaches for proposed standardized baseline.</p>

CDM-PSBA-FORM

<p>(f) Reference is made to the approved or proposed new or revised methodology to be used together with proposed standardized baseline for the calculation of emission reductions.</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>	<p>(a) The proposed standardized baseline uses a combination of approaches provided in the “Guidelines for the establishment of sector specific standardized baselines”, for baseline identification and methodological approach contained in small-scale methodology, AMS-III.H: Methane recovery in wastewater treatment.</p> <p>(b) The proposed standardized baseline refers to small-scale additionality guidelines and microscale additionality guidelines; however these guidelines were converted into tools in EB 83, “Demonstration of additionality of small-scale project activities” and “Demonstration of additionality of microscale project activities”, respectively. The DNA is requested to update the reference in the proposed standardized baseline accordingly.</p>
<p>4. In the event that an assessment report was submitted by the DNA, the assessment report includes:</p> <p>(a) Details on the assessment process and assessment findings;</p> <p>(b) A positive assessment opinion on the compliance of: (i) the quality assurance and quality control system with the provisions and data quality objectives of the “Guidelines for quality assurance and quality control of data in the establishment of standardized baselines”; and (ii) the proposed standardized baseline with one or a combination of the approaches with or without deviation.</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable</p>	<p>The re-submission includes a deviation as follows:</p> <p>“According to the <i>Guidelines</i> measure 3 is relevant to this SBL, i.e. “Methane destruction”, however, the baseline determination approach proposed in the <i>Guidelines</i> is dependent on a national enforcement data on methane destruction for the wastewater treatment sector. Since there is no national enforcement in Uganda in regards to methane destruction from wastewater treatment, the PSB has used the approach given for Measure 1 “Fuel and feedstock switch” deviating from the main approach given by the <i>Guidelines</i>.”</p>
<p>5. Where the proposed standardized baseline is developed for a group of Parties, letters of approval of all the DNAs (excluding the letter of the DNA submitting the proposed standardized baseline) have been submitted.</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Not applicable</p>	<p>The proposed standardized baseline is developed for a single Party.</p>

Document information

<i>Version</i>	<i>Date</i>	<i>Description</i>
03.0	1 September 2015	<p>Revision to:</p> <ul style="list-style-type: none"> • Reflect updated requirements in the version 04.0 of “Procedure: Development, revision, clarification and update of standardized baselines” (CDM-EB63-A28-PROC); • Include an editorial improvement.
02.0	1 December 2013	<p>The document title has changed from “CDM proposed standardized baselines initial assessment form” (F-CDM-PSB-IA) to “Proposed standardized baseline initial assessment form” (CDM-PSBA-FORM).</p> <p>Revision to:</p> <ul style="list-style-type: none"> • Reflect updated requirements in the “Procedure: Development, revision, clarification and update of standardized baselines”; • Include an editorial improvement.
01.0	23 March 2012	Initial publication.

Decision Class: Regulatory
Document Type: Form
Business Function: Methodology
Keywords: standardized baselines
