



Final assessment report (Version 08.0)

Section 1: General Information

Entity name and address of site(s) assessed	
UNFCCC entity ref. no.	
Type of assessment (Tick option(s) as appropriate)	<input type="checkbox"/> Initial accreditation <input type="checkbox"/> Regular on-site surveillance <input type="checkbox"/> Performance assessment <input type="checkbox"/> Validation (<input type="checkbox"/> project activity; <input type="checkbox"/> PoA) <input type="checkbox"/> Verification (<input type="checkbox"/> project activity, <input type="checkbox"/> PoA) <input type="checkbox"/> Reaccreditation <input type="checkbox"/> Spot-check <input type="checkbox"/> Extension of sectoral scopes <input type="checkbox"/> Verification of corrective actions <input type="checkbox"/> Specific assessment requested by CDM-AP/CDM-EB Was an on-site assessment involved? <input type="checkbox"/> No <input type="checkbox"/> Yes (<input type="checkbox"/> Entity office site; <input type="checkbox"/> Outsourced entity(ies)/other offices)
UNFCCC project reference number	
Project title	
Version of accreditation standard	
Sectoral scope(s) assessed	<input type="checkbox"/> 1. Energy industries (renewable - / non-renewable sources) <input type="checkbox"/> 2. Energy distribution <input type="checkbox"/> 3. Energy demand <input type="checkbox"/> 4. Manufacturing industries <input type="checkbox"/> 5. Chemical industry <input type="checkbox"/> 6. Construction <input type="checkbox"/> 7. Transport <input type="checkbox"/> 8. Mining/Mineral production <input type="checkbox"/> 9. Metal production <input type="checkbox"/> 10. Fugitive emissions from fuels (solid, oil and gas) <input type="checkbox"/> 11. Fugitive emissions from production and consumption of halocarbons and sulphur hexafluoride <input type="checkbox"/> 12. Solvents use <input type="checkbox"/> 13. Waste handling and disposal <input type="checkbox"/> 14. Afforestation and reforestation <input type="checkbox"/> 15. Agriculture <input type="checkbox"/> 16. Carbon dioxide capture and storage in geological formations

CDM-AT leader's name	
CDM-AT members' name(s)	
Start date of the assessment	
On-site assessment dates (if applicable)	
Assessment status	<input type="checkbox"/> Assessment finalised <input type="checkbox"/> Proposed corrective actions not accepted <input type="checkbox"/> Implemented corrective actions not accepted <input type="checkbox"/> Post CDM-AP decision <input type="checkbox"/> Any other status, please specify:
Section 2: Summary	
<p><i>For on-site assessment:</i></p> <p><i>The CDM-AT should provide a brief summary of the assessment process indicating the dates of the desk review (if applicable) and the assessment of the strengths and weaknesses of the AE/DOE according to the following sections of the "CDM accreditation standard" and/or the Assessment Workplan.</i></p> <p><i>Section 6: Legal issues and matters</i> <i>Section 7: Liability and finance</i> <i>Section 8: Entity's management</i> <i>Section 9: Safeguarding Impartiality</i> <i>Section 10: Human resources and competence</i> <i>Section 11: Information management</i> <i>Section 12: Validation and verification/certification process</i> <i>Section 13: Quality management system</i> <i>Section 14: Compliant, dispute and appeal processes</i></p> <p><i>Any other comments:</i></p> <p><i>For performance assessment:</i></p> <p><i>The CDM-AT should provide a brief summary of the assessment process indicating the dates of the desk review and site visit (if applicable) and the assessment of the strengths and weaknesses of the AE/DOE according to the following sections of the "CDM accreditation standard" and the Assessment Workplan.</i></p> <p><i>Section 10: Human Resources and Competence</i> <i>Section 12: Validation and verification/certification process (The level of compliance of the DOE to their procedures and the CDM accreditation requirements.)</i> <i>(a) Did the team of DOE make adequate in-depth analysis? (Describe with examples.)</i> <i>(b) Did the team of DOE arrive at the right conclusions? (Describe with examples.)</i> <i>(c) Was the internal review process adequate and was able to bring out all the shortcoming of the draft validation/verification reports?</i></p> <p><i>Section 11: Information management (Were the required documents made public in accordance with the requirements and procedures of the DOE?)</i> <i>Section 9: Safeguarding impartiality (List the checks made by the DOE related to its impartial functioning and determine whether these checks were adequate.)</i> <i>Section 13: Quality management system (Did the DOE perform the validation and verification in accordance with their procedures?)</i></p> <p><i>Any other comments:</i></p>	
<p><i>For performance assessment:</i></p> <p>Did the DOE conduct the validation or verification/certification activity competently? <input type="checkbox"/> YES <input type="checkbox"/> NO</p>	

<p>List of documents prepared in relation to this assessment (e.g. workplan, desk review report, on-site assessment report, non-conformity forms)</p>	
<p>Signature of CDM-AT leader</p>	<p>Date:</p>
<p>Comments by AE/DOE:</p>	
<p>Signature of representative of AE/DOE</p>	<p>Date:</p>
<p>Description of how the entity's comments have been addressed, refer to non-conformity forms as applicable:</p>	
<p>Signature by CDM-AT leader</p>	<p>Date :</p>
<p>Section 3: Conclusions for consideration by the CDM-AP</p>	
<p>For initial accreditation and reaccreditation and extension of sectoral scopes: List of sectoral scope that are applied for accreditation or accredited : e.g 1 to 3, 5, 7 to 9, 13, 14 & 15</p> <p>For initial accreditation, regular surveillance and reaccreditation:</p> <p><input type="checkbox"/> No NCs have been raised, or all non-conformities (NCs) raised have been closed. The management system of AE/DOE complies with the accreditation requirements.</p> <p><input type="checkbox"/> X out of Y NCs raised are still open.</p> <p>For performance assessment:</p> <p><input type="checkbox"/> No NCs have been raised, or all NCs raised have been closed. The validation/verification process complies with the accreditation requirements.</p> <p><input type="checkbox"/> X out of Y NCs raised are still open.</p> <p>Additional comments: <i>This space may be used for comments that further substantiate the above conclusion. This space is also to be used for special assessments such as spot-checks or assessment of proposed/implemented corrective actions not accepted after the additional and final opportunity to resolve remaining NCs.</i></p>	
<p>Section 4: Conclusions for consideration by the CDM-AP for other assessments (case specific)</p>	

(To be used only for special assessments or when proposed/implemented corrective actions are not accepted after the additional and final opportunity to resolve remaining NCs).'

Signature by CDM-AT leader

Date:

Document information

<i>Version</i>	<i>Date</i>	<i>Description</i>
08.0	27 June 2016	Addition of field to conclude whether the DOE conducted the validation or verification/certification activity competently to align with version 12.0 of the CDM accreditation procedure (CDM-EB05-A02-PROC).
07.0	13 February 2015	Removal of the option to observe significant deficiencies despite closing an NC.
06.0	2 December 2014	Revision to align with version 6.0 of the CDM accreditation standard (CDM-EB46-A02-STAN).
05.0	30 April 2014	Revision to: <ul style="list-style-type: none"> Align and improve according to version 11.0 of the CDM accreditation procedure; Change the symbol from F-CDM-FR to CDM-FAR-FORM.
04.0	25 February 2013	The section “Conclusion regarding.....CDM-AP” has been elaborated with prefilled statements. Version no. of accreditation standard added.
03.1	20 April 2012	Editorial changes to include new logo and other improvements.
03	20 January 2011	Type of assessments and status of assessments inserted based on the Accreditation Procedure (EB 56, Annex 02, version 10.1) and editorial review.
02	15 July 2009	The new columns inserted to include scope(s) assessed, summary of on-site assessment/performance assessment, administration of assessment, and comments by AE/DOE.
01	2 August 2002	EB 05. Initial publication.

Decision Class: Regulatory
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