

**CDM-EB87-A12-INFO**

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# Improving stakeholder consultation processes

Version 01.0



**United Nations**  
Framework Convention on  
Climate Change

## **1. Scope of local stakeholder consultation**

1. The scope of local stakeholder consultation (LSC) shall comprise, as a minimum, the potential direct positive and negative impacts that the project activity or programme of activity (PoA) may have.
2. Where host country rules on local stakeholder consultation are applicable, the project design document (PDD), programme design document (PoA-DD) or component design document (CPA-DD) shall provide a summary of the consultations carried out under the host country rules, including the direct positive and negative impacts identified and how the negative impacts identified will be addressed.

## **2. Minimum group of stakeholders to be involved**

3. For the purpose of LSC, as a minimum, representatives of local stakeholders directly impacted by the project activity or PoA and representatives of local authorities relevant to the project activity or PoA shall be invited.
4. If any of the relevant stakeholders are not invited, the project participants (PPs) or coordinating/managing entity (CME) shall provide appropriate justification.
5. The PPs/CME shall provide evidence that invitations were sent to the relevant stakeholders and that their comments were invited.

## **3. Means for inviting stakeholders' participation**

6. The PPs/CME shall invite local stakeholders to provide comments on the proposed project activity or PoA and shall describe the steps/actions taken to invite comments, taking into account local and national circumstances.
7. The PPs/CME shall convey information to stakeholders about the consultations and the project activity or PoA. This should include information disseminated in ways that are appropriate for the community that is directly affected by the project activity or PoA. In areas where a significant part of the population is illiterate, the information shall be provided orally.

## **4. Information to be made available to stakeholders**

8. The information to be made available to stakeholders shall include, inter alia:
  - (a) A summary of the project activity or PoA, explaining the project activity or PoA in simple, non-technical terms, and containing a description of the direct positive and negative impacts;
  - (b) Information on the projected scope, lifetime, and direct positive and negative impacts of the project activity or PoA;
  - (c) Other relevant information about the project activity or PoA, taking into account confidentiality provisions of the applicable CDM modalities and procedures;
  - (d) The means to provide comments about the project activity or PoA.

## **5. Conduct of the consultation**

9. Local stakeholder consultation shall be conducted through means that are appropriate for the local and national circumstances.
10. Local stakeholders shall be provided the opportunity to comment in writing or via other means and their comments about the project activity or PoA and its direct impacts shall be gathered by the PPs/CME.

## **6. Summary of comments received**

11. The PPs/CME shall prepare a summary report of the comments received from local stakeholders.

## **7. Consideration of comments received**

12. The PPs/CME shall consider the comments provided by local stakeholders and report on how they have taken them into account in the PDD or PoA-DD/CPA-DD, or the revised PDD or PoA-DD/CPA-DD. The PPs/CME shall provide justification if any comments were not incorporated.

## **8. Stakeholder comments provided post-registration**

13. The designated operating entity (DOE) shall, following a similar procedure to that used for global stakeholder consultation, open a 14-day commenting period after the publication of the monitoring report prior to the initial issuance request to allow for comments from stakeholders on any impacts that may have been triggered by the implementation of the CDM project activity or PoA. Comments shall be supported with evidence. The DOE may request further information from the submitters of the comments. The DOE shall also inform the PPs/CME of the comments received and request their feedback within a specified timeframe. The DOE shall consider the input received and assess whether such comments are relevant to the project activity or PoA.
14. The DOE:
  - (a) Where it concludes that the comments are related to CDM requirements, may raise a corrective action request (CAR) and submit a positive verification opinion only when the CAR is resolved by the PPs/CME;
  - (b) Where it concludes that comments are related to issues outside the CDM requirements, shall annex the comments and information gathered, as well as any feedback from the PPs/CME, to its verification report (the “comments annex”). The Board would then forward the comments annex to the designated national authority of the Party or Parties involved.

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### Document information

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