



Approved standardized baseline update request initial assessment form (Version 01.0)

INFORMATION TO BE COMPLETED BY THE SECRETARIAT

Reference (number, title and version) of the approved standardized baseline to which the request for update is made:		
Date (DD/MM/YYYY) when the initial assessment is completed:		
Completeness of the submission based on the initial assessment below:	<input type="checkbox"/> Complete <input type="checkbox"/> Incomplete	
Notes for the initial assessment: <p><i>Please assess the completeness of the submitted proposed updated standardized baseline in accordance with the "Procedure: Development, revision and clarification and update of standardized baseline" (CDM-EB63-A28-PROC).</i></p> <p><i>If all the criteria from No.1 to 5 below are assessed as "Yes" while the criterion No. 6 below is assessed as "Yes" or "Not applicable", the submission is evaluated as "complete". Otherwise, the submission is evaluated as "incomplete".</i></p> <p><i>The assessment of the criterion No.7 below does not have any impact on the completeness of the submission. However, if it is assessed as "Yes, it does", the secretariat shall propose that the Board carry out the revision through the top-down process in accordance with the "Procedure: development, revision and clarification of baseline and monitoring methodologies and methodological tools"</i></p>		
Criteria	Assessment	Note
1. The CDM-ASU-FORM has been duly completed.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
2. The proposed updated standardized baseline was submitted, highlighting the proposed changes to the approved standardized baseline.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
3. The proposed updated standardized baseline is derived from the same approach as the one used in the approved standardized baseline that is proposed to be updated.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
4. The DNA submitted all the information required for consideration of the proposed updated standardized baseline (e.g. studies referred to in the proposed updated standardized baseline documents).	<input type="checkbox"/> Yes <input type="checkbox"/> No	

<p>(a) It documents how the proposed updated standardized baseline is derived, including documentation of all underlying data, data sources, assumptions, calculation steps and outcomes.</p> <p>(b) It provides data by using either a sector-specific data template published by the secretariat or prepared in accordance with the relevant methodology or methodological tool.</p> <p>(c) It explains how the proposed updated standardized baseline will be used with the relevant methodology(ies).</p> <p>(d) The validity of the proposed updated standardized baseline is specified in accordance with applicable requirements.</p> <p>(e) Reference is made to the approved or revised methodology to be used together with proposed updated standardized baseline for the calculation of emission reductions.</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	
<p>5. The assessment report includes the DOE's positive assessment opinion on the compliance of:</p> <p>(a) The quality assurance and quality control system with the provisions and data quality objectives of the "Guidelines for quality assurance and quality control of data in the establishment of standardized baselines";</p> <p>(b) The proposed updated standardized baseline with one or a combination of the approaches referred to in the applicable requirements with or without a deviation from the approach.</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>	
<p>6. Where the proposed updated standardized baseline applies to a group of Parties, letters of approval from all the DNAs of the Parties to which it applies (excluding a letter of approval from the DNA submitting this proposed updated standardized baseline) have been submitted.</p>	<p><input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Not applicable</p>	
<p>7. The proposed updated standardized baseline, if developed using one of the following approaches, does not require revisions to the underlying approved methodology or methodological tool:</p> <p>(a) A methodological approach contained in an approved, proposed new or revised methodology; or</p> <p>(b) A methodological approach contained in an approved, proposed new or revised methodological tool.</p>	<p><input type="checkbox"/> Yes, it does <input type="checkbox"/> No, it does not <input type="checkbox"/> Not applicable</p>	

- - - - -

Document information

<i>Version</i>	<i>Date</i>	<i>Description</i>
01.0	18 November 2015	Initial publication.
Decision Class: Regulatory		
Document Type: Form		
Business Function: Methodology		
Keywords: secretariat, standardized baselines, update of standardized baseline		