

**CDM-SSCWG45-A14**

## Draft Small-scale Methodology

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# AMS-II.G: Energy efficiency measures in thermal applications of non-renewable biomass

Version 07.0 - Draft

Sectoral scope(s): 03

DRAFT



**United Nations**  
Framework Convention on  
Climate Change

## COVER NOTE

### 1. Procedural background

1. The Conference of Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) in decision 3/CMP.9, paragraph 11, has reiterated its encouragement to the Executive Board (hereinafter referred as the Board) of the clean development mechanism (CDM), as contained in decision 5/CMP.8, to continue its work on the simplification and streamlining of methodologies, with the aim of reducing transaction costs for all project activities and programmes of activities, especially those in regions underrepresented in the CDM.
2. The Board, at its seventy-eighth meeting, considered a concept note on further work on methodologies, tools and standards and agreed on the methodological products for further work in 2014.<sup>1</sup>
3. Table 1 of annex 8 of the seventy-eighth meeting of the Board covers the issues related to top-down development of standardized eligibility criteria to reduce uncertainties for programme of activities (PoA) developers, increase efficiency and reduce costs, minimize repetition/redundancy of information, ensure objectivity of information, which is included under MAP project 223 for 2014.

### 2. Purpose

4. The purpose of the proposed revision is to provide standardised eligibility criteria and possible means for demonstrating component project activities (CPA) compliance for PoAs involving distribution of high efficient biomass cook stoves.

### 3. Key issues and proposed solutions

5. The draft revision takes into account requirements of different standards regarding PoA development, e.g. the requirement and/or exemption for conducting de-bundling check, conditions for automatic additionality, as well as methodological requirements in respective methodologies.

### 4. Impacts

6. The proposed eligibility criteria will further streamline the development/consideration of PoAs, i.e. to reduce uncertainties for PoA developers, increase efficiency and reduce costs, minimize repetition/redundancy of information.

### 5. Subsequent work and timelines

7. The SSC WG, at its 45<sup>th</sup> meeting, agreed on the draft revised methodology. After receiving public inputs on the document, the SSC WG will continue working on the

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<sup>1</sup> See annex 8 of EB 78 meeting report.

methodology, at its 46<sup>th</sup> meeting, for recommendation to the Board at a future meeting of the Board.

**6. Recommendations to the Board**

7. Not applicable (call for public input).

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## 1. Introduction

- The following table describes the key elements of the methodology:

**Table 1. Methodology key elements**

<b>Typical project(s)</b>	Introduction of efficient thermal energy generation units utilizing non-renewable biomass (e.g. complete replacement of existing biomass-fired cook stoves or ovens or dryers with more efficient appliances), or retrofitting of existing units reducing the use of non-renewable biomass for combustion
<b>Type of GHG emissions mitigation action</b>	Energy efficiency

## 2. Scope, applicability, and entry into force

### 2.1. Scope

- This methodology comprises of efficiency improvements in thermal applications of non-renewable biomass. Examples of applicable technologies and measures include the introduction of high efficiency biomass fired cook stoves or ovens or dryers to replace the existing devices and/or energy efficiency improvements in existing biomass fired cook stoves or ovens or dryers.<sup>2</sup>
- The methodology is applicable to single pot or multi pot portable or in-situ cook stoves with rated efficiency of at least 20 per cent. The efficiency of the project devices shall be based on certification by a national standards body or an appropriate certifying agent recognized by that body. Alternatively, manufacturer specifications on efficiency based on water boiling test (WBT) may be used. The sampling test of stoves by such certification bodies/agents or manufacturers shall be conducted following a 90/10 confidence/precision in accordance with the "Standard for sampling and surveys for CDM project activities and programme of activities". However, the following simplified approach may be used, when the efficient cook-stoves are produced by a manufacturer with a good quality management system in place to ensure that the individual equipment produced do not vary beyond the range of acceptance limits (e.g. characteristics such as materials, critical dimensions):
  - Conduct a sample test on three cook stoves with three tests conducted for each stove;
  - If the standard deviation of the nine test results indicated above is very small and 90/10 confidence/precision requirement is met (in this case, the value of the t-distribution for 90 per cent confidence shall be used instead of Z value), the efficiency determined is acceptable, otherwise more sample tests would be required until 90/10 confidence/precision is met.

<sup>2</sup> Implementation of Greenfield applications is not covered in this methodology.

4. Project participants shall be able to show that non-renewable biomass has been used in the project region since 31 December 1989, using survey methods or referring to published literature, official reports or statistics.

## 2.2. Applicability

5. The aggregate energy savings of a single project activity shall not exceed the equivalent of 60 GWh per year or 180 GWh thermal per year in fuel input.

## 2.3. Entry into force

6. Not applicable (call for public input).

## 2.4. Normative references

7. Project participants shall apply the “General guidelines for SSC CDM methodologies”, “Guidelines on the demonstration of additionality of small-scale project activities” and “General guidance on leakage in biomass project activities” (attachment C to appendix B) available at: <<http://cdm.unfccc.int/Reference/Guidclarif/index.html#meth>>.
8. This methodology also refers to the latest approved versions of the following approved methodology:
  - (a) “AMS-III.BG: Emission reduction through sustainable charcoal production and consumption”

## 3. Definitions

9. The definitions contained in the Glossary of CDM terms shall apply.
10. For the purpose of this methodology, the definitions of demonstrably renewable woody biomass and non-renewable woody biomass provided in paragraphs 26 and 27 shall apply.
11. The following definition shall also apply:
  - (a) **Batch** - is the population of the device of the same type installed at a certain calendar year. All the devices in the same batch will be considered as having the same date of commissioning as 1 January of the calendar year.

## 4. Baseline methodology

### 4.1. Project boundary

12. The project boundary is the physical, geographical site of the efficient devices that burn biomass.

### 4.2. Emission reductions

13. It is assumed that in the absence of the project activity, the baseline scenario would be the projected use of fossil fuels to meet similar thermal energy needs as those provided by the project devices.

14. Emission reductions are calculated as:

$$ER_y = \sum_i ER_{y,i} \quad \text{Equation (1)}$$

Where:

- $i$  = Indices for the situation where more than one type of project device is introduced to replace the pre-project devices<sup>3</sup>
- $ER_y$  = Emission reductions during year  $y$  in t CO<sub>2</sub>e
- $ER_{y,i}$  = Emission reductions by project device of type  $i$  during year  $y$  in t CO<sub>2</sub>e

(a) For household cook stoves:

$$ER_{y,i} = \sum_{a=1}^{a=y} B_{y,savings,i,a} \times N_{y,i,a} \times \frac{\mu_{y,i}}{365} \times f_{NRB,y} \times NCV_{biomass} \times EF_{projected\_fossilfuel} - LE_y \quad \text{Equation (2)}$$

(b) For ovens or dryers:<sup>4</sup>

$$ER_{y,i} = B_{y,savings,i} \times N_{y,i} \times f_{NRB,y} \times NCV_{biomass} \times EF_{projected\_fossilfuel} - LE_y \quad \text{Equation (3)}$$

<sup>3</sup> For example, in some instances, full replacement of the pre-project device would require the implementation of more than one project device (e.g. one stove suitable for cooking and the other stove suitable for cooking/boiling water).

<sup>4</sup> Ovens and dryers are considered here as equipment used for non-household applications (e.g. for agriculture, commercial and industrial users). Therefore, the equipment is considered subject to a maintenance program by the users to keep its performance stable over time. If the project activity is for industrial/institutional cook-stoves (e.g. schools, hospitals, etc.), this approach may be used, provided that project participants are able to demonstrate that the maintenance program is in place.

## Where:

$a$	=	'a' is the indices for the age (in years) of the cook stoves that are operating in the year 'y' of the crediting period. At any year y of the crediting period (e.g. y = 1, 2, 3...7 or 10) there will be a population of $N_{y,i,a}$ operational devices of the type i with age varying from a=1 (the cook stoves installed during the current year y) up to the age a=y (the cook stoves installed during the first year of the crediting period). Since the lifetime of cook stoves is often shorter than the length of the crediting period and cook stoves are likely to show significant efficiency losses over time, this aspect needs to be captured through the monitoring plan
$B_{y,savings,i,a}$	=	Quantity of woody biomass that is saved in tonnes per cook stove device of type i and age a in year y
$B_{y,savings,i}$	=	Quantity of woody biomass that is saved in tonnes per oven or drier of type i in year y
$f_{NRB,y}$	=	Fraction of woody biomass saved by the project activity in year y that can be established as non-renewable biomass using survey methods or government data or default country specific fraction of non-renewable woody biomass (fNRB) values available on the CDM website. <sup>5</sup> The parameter value may be fixed ex ante at the beginning of each crediting period
$NCV_{biomass}$	=	Net calorific value of the non-renewable woody biomass that is substituted (IPCC default for wood fuel, 0.015 TJ/tonne, based on the gross weight of the wood that is 'air-dried')
$EF_{projected\_fossilfuel}$	=	Emission factor for the fossil fuels projected to be used for substitution of non-renewable woody biomass by similar consumers. Use a value of 81.6 t CO <sub>2</sub> /TJ <sup>6</sup>
$N_{y,i,a}$	=	Number of project devices of type i and age a operating in year y, determined as per paragraph 33

<sup>5</sup> Default values endorsed by designated national authorities and approved by the Board are available at <<http://cdm.unfccc.int/DNA/fNRB/index.html>>.

<sup>6</sup> This value represents the emission factor of the substitution fuels likely to be used by similar users, on a weighted average basis. It is assumed that the mix of present and future fuels used would consist of a solid fossil fuel (lowest in the ladder of fuel choices), a liquid fossil fuel (represents a progression over solid fuel in the ladder of fuel use choices) and a gaseous fuel (represents a progression over liquid fuel in the ladder of fuel use choices). Thus a 50 per cent weight is assigned to coal as the alternative solid fossil fuel (96 t CO<sub>2</sub>/TJ) and a 25 per cent weight is assigned to both liquid and gaseous fuels (71.5 t CO<sub>2</sub>/TJ for kerosene and 63.0 t CO<sub>2</sub>/TJ for liquefied petroleum gas (LPG)).



- $\mu_{y,i}$  = Number of days of utilization of the project device during the year 'y'. Its value may be considered as 365 where it can be demonstrated that the pre-project device has been decommissioned and is no longer used, otherwise it shall be determined as per paragraphs 22–24
- $LE_y$  = Leakage emissions in the year y, please refer to the section 4.3 below
15.  $B_{y,savings,i,a}$  for cook stoves is estimated using one the following methods. The approach used to determine  $B_{y,savings,i,a}$  in the options below requires annual sampling of project device to determine efficiency of each batch of project devices. Alternatively, the result of a sampling of the first batch may be used as a proxy to subsequent batches (e.g. the efficiency in year 4 for the batch installed in year 1 could be used for the efficiency in year 5 for the batch installed in year 2):
16. Option 1: Kitchen performance test (KPT):

$$B_{y,savings,i,a} = B_{old,i} - B_{a=1,i,KPT} \times \Delta B_{y,i,a} \quad \text{Equation (4)}$$

Where:

- $B_{old,i}$  = Annual quantity of woody biomass that would be used in the absence of the project activity to generate thermal energy equivalent to that provided by the project device type  $i$ , if the project device operates throughout the year  $y$ . Value in tonnes per year per device of type  $i$ , determined as per paragraph 19 below
- $B_{a=1,i,KPT}$  = Annual quantity of woody biomass used in tonnes per device of type  $i$ , measured as per the KPT protocol, for the initial efficiency determined in the year of its installation ( $a=1$ ). The KPT shall be carried out in accordance with national standards (if available) or international standards or guidelines (e.g. the KPT procedures specified by the partnership for clean indoor air (PCIA): <http://www.pciaonline.org/node/1049>)>

$\Delta B_{y,i,a}$  = Factor to consider the efficiency loss of the project device type  $i$  due to its aging at the year  $y$ , expressed as follows:

$$\Delta B_{y,i,a} = \frac{B_{a,i,KPT}}{B_{a=1,i,KPT}}$$

where  $B_{a,i,KPT}$  is the biomass consumption of the device ' $i$ ' with age ' $a$ ' determined using the KPT (in tonnes per year per device) and  $B_{a=1,i,KPT}$  is the biomass consumption of the device at its first year of operation.  $\Delta B_{y,i,a}$  may be determined through sample surveys of project device type  $i$  for batches of stoves with the same age at each year of the crediting period. Alternatively, the monitoring may determine annually the biomass consumption of the devices installed at the first year of the crediting period, through the crediting period and the efficiency loss of this population may be used to correct the initial efficiency of the population of stoves installed later on. For example, the loss rate of year 2016 for the project device of type  $i$  installed in 2015 can be considered the same as that of year 2014 for the project device of the same type installed in 2013. In this way, the monitoring at any year  $y$  during the crediting period will consist of the determination of the biomass consumption for the devices installed during the current year (the initial value  $B_{a=1,i,KPT}$  for the population commissioned during this year), and the values of  $B_{a,i,KPT}$  and of  $\Delta B_{y,i,a}$  for oldest population (i.e. the devices from the first year that have now reached the age  $a=y$ )

17. This calculation assumes that there is only one device per household. Considering the KPT is used to estimate the consumption per household, an adjusted formula shall be used in the PDD in case more than one device is used in a household.

18. Option 2: water boiling test (WBT):<sup>7</sup>

$$B_{y,savings,i,a} = B_{old,i} \times \left(1 - \frac{\eta_{old}}{\eta_{new,i,a=1} \times \Delta \eta_{y,i,a}}\right) \quad \text{Equation (5)}$$

$$B_{y,savings,i,a} = B_{y=1,new,i,survey} \times \left(\frac{\eta_{new,i,a=1} \times \Delta \eta_{y,i,a}}{\eta_{old}} - 1\right) \quad \text{Equation (6)}$$

<sup>7</sup> Based on whether  $\eta_{new,i,y}$  or  $B_{y,new,i,survey}$  is used for monitoring, either equation (5) or (6) may be used respectively.

Where:

$B_{y=1,new,i,survey}$  = Annual quantity of woody biomass used by project devices in tonnes per device of type  $i$ , determined in the first year of the introduction of the devices (e.g. during the first year of the crediting period,  $y=1$ ) through a sample survey. Sample surveys to estimate this parameter, that are solely based on questionnaires or interviews (i.e. that do not implement measurement campaigns) may only be used if the following conditions are satisfied:

- (a) Pre-project devices have been completely decommissioned and only efficient project devices are exclusively used in the project households;
- (b) If multiple devices are used in the project, it is possible from the results of the survey questions to clearly differentiate the quantity of woody biomass being used by each device. In other words, if more than one device, or another device that consumes woody biomass, are in use in project households, then the sample survey needs to distinguish the quantity of biomass used by the project device and the other devices that use biomass.

$\eta_{old}$  = Efficiency of the pre-project device (fraction), determined using one of the following options:

- (a) Measured using representative sampling methods or based on literature reporting results of measurements relevant for the type of pre-project devices. Use weighted average values (taking the amount of woody biomass consumed by each device as the weighting factor) if more than one type of device is being replaced;
- (b) A default value of 0.10 may be optionally used if the pre-project device is a three stone fire using firewood (not charcoal), or a conventional device with no improved combustion air supply or flue gas ventilation, that is without a grate or a chimney; for other types of devices, a default value of 0.2 may be optionally used. Use weighted average values (taking the amount of woody biomass consumed by each device as the weighting factor) if more than one type of device is being replaced

$\eta_{new,i,a=1}$  = Thermal efficiency of the device of type  $i$  being deployed as part of the project activity (fraction), using the WBT protocol carried out in accordance with national standards (if available) or international standards or guidelines,<sup>8</sup> for the initial efficiency determined in the year of its installation ( $a=1$ )

<sup>8</sup> In all cases the testing protocol shall be the same for both the device being replaced and the device being deployed.

$\Delta \eta_{y,i,a}$  = Factor to consider the efficiency loss of the project device type  $i$  due to its aging at the year  $y$ , as expressed as follows:

$$\Delta \eta_{y,i,a} = \frac{\eta_{new,i,a}}{\eta_{new,i,a=1}}$$

where  $\eta_{new,i,a}$  is the thermal efficiency of the device ' $i$ ' with age ' $a$ ' determined using the WBT and  $\eta_{new,i,a=1}$  is the thermal efficiency of the device at its first year of operation.  $\Delta \eta_{y,i,a}$  may be determined through sample surveys of project device type  $i$  for batches of stoves with the same age at each year of the crediting period. Alternatively, the monitoring may determine annually the thermal efficiency of the devices installed at the first year of the crediting period, and the efficiency loss of this population may be used to correct the initial efficiency of the population of devices installed later on. For example, the loss rate of year 2016 for the project device of type  $i$  installed in 2015 can be considered the same as that of year 2014 for the project device of the same type installed in 2013. In this way, the monitoring at any year  $y$  during the crediting period will consist of the determination of the thermal efficiency for the devices installed during the current year (the initial value  $\eta_{new,i,a=1}$  for the population commissioned during this year), and the values of  $\eta_{new,i,a}$  and of  $\Delta \eta_{y,i,a}$  for oldest population (i.e. the devices from the first year that have now reached the age  $a=y$ )

19. Option 3: Controlled cooking test (CCT):

$$B_{y,savings,i,a} = B_{old,i} \times \left(1 - \frac{SC_{new,i,a=1} \times \Delta SC_{y,i,a}}{SC_{old}}\right) \quad \text{Equation (7)}$$

Where:

$SC_{old}$  = Specific fuel consumption or fuel consumption rate of the pre-project devices, that is fuel consumption per quantity of item/s processed (e.g. food cooked) or fuel consumption per hour, respectively. Specific fuel consumption or fuel consumption rate are to be determined using the CCT protocol carried out in accordance with national standards (if available) or international standards or guidelines (e.g. the CCT procedures specified by the partnership for clean indoor air (PCIA): <<http://www.pciaonline.org/node/1050>>). Use weighted average values if more than one type of device is being replaced (taking the amount of woody biomass consumed by each device as the weighting factor)

$SC_{new,i,a=1}$  = Specific fuel consumption or the fuel consumption rate of the devices of type  $i$  deployed as part of the project, that is fuel consumption per quantity of item/s processed (e.g. food cooked) or fuel consumption per hour respectively, for the initial efficiency determined in the year of its installation ( $a=1$ ). Specific fuel consumption or fuel consumption rate shall be determined using the same CCT protocol used to test the pre-project devices. If more than one project devices are necessary to replace the pre-project device, woody biomass consumption should be calculated per device (taking the amount of woody biomass consumed by each device as the weighting factor)

$\Delta SC_{y,i,a}$  = Factor to consider the efficiency loss of the project device type  $i$  due to its aging at the year  $y$ , as expressed as follows:

$$\Delta SC_{y,i,a} = \frac{SC_{new,i,a=1}}{SC_{new,i,a}}$$

where  $SC_{new,i,a}$  is the specific fuel consumption of the device ' $i$ ' with age ' $a$ ' determined using the CCT and  $SC_{new,i,a=1}$  is the specific fuel consumption of the device at its first year of operation.

$\Delta SC_{y,i,a}$  may be determined through sample surveys of project device type  $i$  for batches of stoves with the same age at each year of the crediting period. Alternatively, the monitoring may determine annually the specific fuel consumption of the devices installed at the first year of the crediting period, and the efficiency loss of this population may be used to correct the initial efficiency of the population of devices installed later on. As an example, the loss rate of year 2016 for the project device of type  $i$  installed in 2015 can be considered the same as that of year 2014 for the project device of the same type installed in 2013. In this way, the monitoring at any year  $y$  during the crediting period will consist of the determination of the specific fuel consumption for the devices installed during the current year (the initial value  $SC_{new,i,a=1}$  for the population commissioned during this year), and the values of  $SC_{new,i,a}$  and of  $\Delta SC_{y,i,a}$  for oldest population (i.e. the devices from the first year that have now reached the age  $a=y$ )

20.  $B_{old,i}$  is determined with one of the following three options:

(a) Estimated as the average annual consumption of woody biomass per device (tonnes/year). This may be derived from historical data or a sample survey of local usage;

or

(b) Calculated from the thermal energy generated in the project activity as:

$$B_{old,i} = \frac{HG_{p,y}}{NCV_{biomass} \times \eta_{old}}$$

Equation (8)

Where:

$HG_{p,y}$  = Amount of thermal energy generated by the project devices in year  $y$  (TJ), if the thermal output of the devices can be directly measured

or

(c) A default value of 0.5 tonnes woody biomass per capita per year may be used. The household occupancy shall be determined based on literature or sample surveys conducted for the target project area as per “Standard for sampling and surveys for CDM project activities and programmes of activities”.

21. Where charcoal is used as the fuel, the quantity of woody biomass ( $B_{old,i}$  or  $B_{a=1,i,KPT}$  or  $B_{y=1,new,i,survey}$  or  $B_{y,savings,i,a}$ ) may be determined by using a default wood to charcoal conversion factor of six kg of firewood (wet basis) per kg of charcoal (dry basis).<sup>9</sup> Alternatively, credible local conversion factors determined from a field study or literature may be applied.
22.  $U_{y,i}$  is the number of days of utilization of the project device during the year ‘ $y$ ’. If it can be demonstrated that the pre-project device has been decommissioned and is no longer used, its value may be considered as 365 days.
23. On the other hand, if both the project devices and pre-project devices are used together, measurement campaigns shall be undertaken using data loggers such as stove utilization monitors (SUMs) which can log the operation of all devices (recording the situation of the device being used or not during any day ‘ $d$ ’ of the measurement campaign) in order to determine the average device utilization intensity (to establish the relative share of the usage of the devices). Alternatively, surveys may be conducted if the use of data loggers to record the continued operation of baseline devices is not practical, for example when the baseline device is the three stone fire. The surveys should be better designed to capture cooking habits and stove usage of households in the region, including quantification of use of baseline devices, by formulating questions and/or collecting evidences to determine the frequency of usage of both the project devices and baseline devices.
24. When the data loggers are used, the days when only project devices or only pre-project devices are used will be attributed accordingly. The days where both devices have been used, if the data loggers are able to detect and record the time each device has been used (e.g. in hours), the share in the total duration of utilization will be used to attribute a fraction of this day to one or to the other device. Alternatively, if the data loggers are not able to determine the duration of the utilization, but only the situation of the device being on or off (i.e. used or not used during that day), the share of 50:50 may be used.
25. The measurement campaign shall be conducted in at least 10 randomly selected participant households of the project activity or the component project activity (CPA) for at least 90 days during the year  $y$ . If seasonal variation is observed, the average value determined through the campaign shall be annualised taking into account seasonal variation of device utilization.

<sup>9</sup> Refer to: <<http://www.ipcc-nggip.iges.or.jp/public/gl/guidelin/ch1ref3.pdf>>. The term ‘wet basis’ assumes that the wood is ‘air-dried’ as is specified in the IPCC default table.

**4.2.1. Differentiation between non-renewable and renewable woody biomass**

26. Project participants shall determine the shares of renewable and non-renewable woody biomass in  $B_{old,i}$  (the quantity of woody biomass used in the absence of the project activity in tonnes per device of type  $i$ ), using nationally approved methods (e.g. surveys or government data if available) and then determine  $f_{NRB,y}$  as described below. The following principles shall be taken into account:

**4.2.2. Demonstrably renewable woody biomass<sup>10</sup> (DRB)**

27. Woody<sup>11</sup> biomass is 'renewable' if one of the following two conditions is satisfied:

- (a) The woody biomass originates from land areas that are forests<sup>12</sup> where:
  - (i) The land area remains a forest;
  - (ii) Sustainable management practices are undertaken on these land areas to ensure, in particular, that the level of carbon stocks on these land areas does not systematically decrease over time (carbon stocks may temporarily decrease due to harvesting);
  - (iii) Any national or regional forestry and nature conservation regulations are complied with;
- (b) The biomass is woody biomass and originates from non-forest areas (e.g. croplands, grasslands) where:
  - (i) The land area remains as non-forest or is reverted to forest;
  - (ii) Sustainable management practices are undertaken on these land areas to ensure that the level of carbon stocks on these land areas does not systematically decrease over time (carbon stocks may temporarily decrease due to harvesting);
  - (iii) Any national or regional forestry, agriculture and nature conservation regulations are complied with.

**4.2.3. Non-renewable biomass**

28.  $NRB$  is, the quantity of woody biomass used in the absence of the project activity in tonnes per device of type  $i$  ( $B_{old,i}$ ) minus the  $DRB$  component, as long as at least two of the following supporting indicators are shown to exist:

- (a) A trend showing an increase in time spent or distance travelled for gathering fuel-wood, by users (or fuel-wood suppliers) or alternatively, a trend showing an increase in the distance the fuel-wood is transported to the project area;

<sup>10</sup> This definition uses elements of annex 18, EB 23.

<sup>11</sup> In the case of charcoal produced from woody biomass, the demonstration of renewability shall be done for the areas where the woody biomass is sourced.

<sup>12</sup> The forest definitions as established by the country in accordance with the decisions 11/CP.7 and 19/CP.9 shall apply.

- (b) Survey results, national or local statistics, studies, maps or other sources of information, such as remote-sensing data, that show that carbon stocks are depleting in the project area;
  - (c) Increasing trends in fuel wood prices indicating a scarcity of fuel-wood;
  - (d) Trends in the types of cooking fuel collected by users that indicate a scarcity of woody biomass.
29. Thus the fraction of woody biomass saved by the project activity in year  $y$  that can be established as non-renewable is:

$$f_{NRB,y} = \frac{NRB}{NRB + DRB} \quad \text{Equation (9)}$$

30. Project participants shall also provide evidence that the identified trends are not occurring due to the enforcement of local/national regulations.

### 4.3. Leakage

31. Leakage related to the non-renewable woody biomass saved by the project activity shall be assessed based on ex post surveys of users and the areas from which this woody biomass is sourced (using 90/30 precision for a selection of samples). The potential source of leakage due to the use/diversion of non-renewable woody biomass saved under the project activity by non-project households/users that previously used renewable energy sources shall be considered. If this leakage assessment quantifies an increase in the use of non-renewable woody biomass by the non-project households/users, that is attributable to the project activity, then  $B_{old,i}$  is adjusted to account for the quantified leakage. Alternatively,  $B_{y,savings,i,a}$  is multiplied by a net to gross adjustment factor of 0.95 to account for leakages, in which case surveys are not required.
32. If devices currently being utilised outside the project boundary are transferred to the project activity, then leakage is to be considered.
33. Project activities switching from baseline device using firewood to efficient project device using charcoal shall take into account the leakage effects related to the charcoal production. A default value of 0.030 t CH<sub>4</sub>/t charcoal may be used in accordance with “AMS-III.BG: Emission reduction through sustainable charcoal production and consumption”.

## 5. Monitoring methodology

34. The installation date and recipient/location of each device shall be tracked individually, and emissions reductions shall be considered from the date of commissioning of each device. Monitoring shall consist of checking all devices or a representative sample thereof, at least once every two years (biennially) to determine if they are still operating; those devices that have been replaced prior to and independently from the monitoring survey by an equivalent in-service device can be counted as operating.



35. Monitoring shall also consist of checking the efficiency of all devices or a representative sample thereof annually as below:
- For project activities using the KPT protocol to determine the quantity of fuel saved (i.e. paragraph 15, Option 1), monitoring shall determine the fuel consumption per operating device ( $B_{a=1,i,KPT}$ ) of all operating devices or a representative sample thereof, annually and  $\Delta B_{y,i,a}$  as per paragraph 15;
  - For project activities using the WBT protocol (i.e. paragraph 17, Option 2), monitoring shall consist of determining the efficiency of all operating devices or a representative sample thereof, annually and  $\Delta \eta_{y,i,a}$  as per paragraph 17. For the purpose of calculating emissions reductions, the ex post monitored value of the efficiency of the operating devices ( $\eta_{new,i,a=1}$ ) shall be used;
  - For project activities using the CCT protocol (i.e. paragraph 18, Option 3), monitoring shall consist of determining the specific fuel consumption or the fuel consumption rate ( $SC_{new,i,a=1}$ ) of all operating devices or a representative sample thereof, annually and  $\Delta SC_{y,i,a}$  as per paragraph 18.
36. If Option (b) in paragraph 19 is chosen for determining  $B_{old,i}$  monitoring shall also determine the amount of thermal energy generated by the project device  $i$  in year  $y$ .
37. In order to assess the leakage described in section 4.3 above, monitoring shall include data on the amount of woody biomass saved under the project activity that is used by non-project households/users (who previously used renewable energy sources). Other data on non-renewable woody biomass use required for leakage assessment shall also be collected.
38. Monitoring shall ensure that either:
- The replaced low efficiency devices are disposed of and not used within the boundary or within the region; or
  - If pre-project stoves continue to be used, monitoring shall ensure that the fuel-wood consumption of those stoves is excluded from  $B_{old,i}$ .
39. Relevant parameters shall be monitored and recorded during the crediting period as indicated in section 5.1 below. The applicable requirements specified in the "General guidelines for SSC CDM methodologies" are also an integral part of the monitoring guidelines specified below and therefore shall be followed by the project participants.

## 5.1. Data and parameters monitored

**Data / Parameter table 1.**

<b>Data / Parameter:</b>	$N_{y,i,a}$
Data unit:	-
Description:	Number of project devices of type <i>i</i> and age <i>a</i> that are operating in year <i>y</i>
Source of data:	-
Measurement procedures (if any):	As per paragraphs 13 and 33
Monitoring frequency:	At least once every two years (biennial)
QA/QC procedures:	-
Any comment:	-

**Data / Parameter table 2.**

<b>Data / Parameter:</b>	$B_{a,i,KPT}$
Data unit:	t/year
Description:	Annual quantity of woody biomass used during the project activity in tonnes per device of type <i>i</i> with the age <i>a</i>
Source of data:	-
Measurement procedures (if any):	As per paragraphs 15 and 34(a)
Monitoring frequency:	Yearly
QA/QC procedures:	-
Any comment:	-

**Data / Parameter table 3.**

<b>Data / Parameter:</b>	$B_{y=1,new,i,survey}$
Data unit:	t/year
Description:	Annual quantity of woody biomass used during the project activity in tonnes per device of type <i>i</i> , determined through a sample survey
Source of data:	-
Measurement procedures (if any):	As per paragraph 17
Monitoring frequency:	Once in the first year
QA/QC procedures:	-
Any comment:	-

**Data / Parameter table 4.**

<b>Data / Parameter:</b>	$HG_{p,y}$
Data unit:	TJ

Description:	Amount of thermal energy generated by the project devices in year $y$
Source of data:	-
Measurement procedures (if any):	As per paragraphs 19(b) and 35
Monitoring frequency:	Yearly
QA/QC procedures:	-
Any comment:	-

**Data / Parameter table 5.**

<b>Data / Parameter:</b>	$\eta_{\text{new},i,a}$
Data unit:	Fraction
Description:	Efficiency of the device of type $i$ being deployed as part of the project activity, with the age $a$
Source of data:	-
Measurement procedures (if any):	As per paragraphs 17 and 34(b)
Monitoring frequency:	Yearly
QA/QC procedures:	-
Any comment:	-

**Data / Parameter table 6.**

<b>Data / Parameter:</b>	$SC_{\text{new},i,a}$
Data unit:	t fuel/unit output or t fuel/hour
Description:	Specific fuel consumption or fuel consumption rate in year $y$ of the device(s) of type $i$ deployed as part of the project that is fuel consumption per quantity of item/s processed (e.g. food cooked) or fuel consumption per hour respectively with the age $a$
Source of data:	-
Measurement procedures (if any):	As per paragraphs 18 and 34(c)
Monitoring frequency:	Yearly
QA/QC procedures:	-
Any comment:	-

**Data / Parameter table 7.**

<b>Data / Parameter:</b>	$f_{\text{NRB},y}$
Data unit:	-
Description:	Fraction of woody biomass saved by the project activity in year $y$ that can be established as non-renewable biomass
Source of data:	-
Measurement procedures (if any):	As per paragraphs 13, 24 and 28

Monitoring frequency:	Yearly, if project proponents opt for annual monitoring instead of fixing the value ex ante at the beginning of each crediting period
QA/QC procedures:	-
Any comment:	-

**Data / Parameter table 8.**

<b>Data / Parameter:</b>	$\Delta B_{y,i,a}$ or $\Delta \eta_{y,i,a}$ or $\Delta SC_{y,i,a}$
Data unit:	-
Description:	Factor to consider the efficiency loss of the project device type <i>i</i> due to its aging at the year <i>y</i>
Source of data:	-
Measurement procedures (if any):	As per paragraphs 15, 17, 18
Monitoring frequency:	yearly
QA/QC procedures:	-
Any comment:	-

**5.2. Representative sampling methods**

40. A statistically valid sample of the locations where the devices are deployed, with consideration, in the sampling design, of occupancy and demographic differences can be used to determine parameter values used to calculate emission reductions, as per the relevant requirements for sampling in the “Standard for sampling and surveys for CDM project activities and programmes of activities”. When biennial inspection is chosen a 95 per cent confidence interval and a 10 per cent margin of error shall be achieved for the sampling parameter. On the other hand when the project proponent chooses to inspect annually, a 90 per cent confidence interval and a 10 per cent margin of error shall be achieved for the sampled parameters. In cases where survey results indicate that 90/10 precision or 95/10 precision are not achieved, the lower bound of the 90 per cent or 95 per cent confidence interval of the parameter value may be chosen as an alternative to repeating the survey efforts to achieve the 90/10 or 95/10 precision.
41. Efficiency of devices may be monitored in a common survey with other monitoring parameters; therefore, a random sub-sample within the common survey can be taken for which stove efficiency is tested, as long as the required precision for stove efficiency is achieved.

**5.3. Project activity under a programme of activities**

42. The use of this methodology in a project activity under a programme of activities is legitimate if the following leakages are estimated and accounted for, as required on a sample basis using a 90/30 precision for the selection of samples:
- (a) Use of non-renewable woody biomass saved under the project activity to justify the baseline of other CDM project activities can also be a potential source of leakage. If this leakage assessment quantifies a portion of non-renewable woody biomass saved under the project activity that is then used as the baseline of other CDM project activities then  $B_{old,i}$  is adjusted to account for the quantified leakage;

- (b) Increase in the use of non-renewable woody biomass outside the project boundary to create non-renewable woody biomass baselines can also be a potential source of leakage. If this leakage assessment quantifies an increase in the use of non-renewable woody biomass outside the project boundary then  $B_{old,i}$  is adjusted to account for the quantified leakage;
- (c) As an alternative to subparagraphs (a) and (b)  $B_{old,i}$  can be multiplied by a net to gross adjustment factor of 0.95<sup>13</sup> to account for both leakages, in which case surveys are not required.
43. To determine the value of the fraction of non-renewable biomass (fNRB) to be applied in a Component Project Activity (CPA) of a POA, use one of the two options as follows: (a) conduct local studies to determine the local fNRB value (sub national values); or (b) use default national values approved by the Board (see footnote 3). The choice of which option to use shall be made ex ante. However, a switch from a national value of fNRB (i.e. option (b)) to sub-national values (i.e. option (a)) is permitted, under the condition that the selected approach is consistently applied to all CPAs.
44. Monitoring approaches for  $B_{y,savings,i,a}$  (Option 1, 2 or 3 in paragraphs 15-18),<sup>14</sup> and values for parameters fNRB (when Option (a) in paragraph 42 is chosen) and the quantity of woody biomass  $B_{old,i}$  may be determined either at the CPA level before the inclusion of the CPA or at the PoA level before the registration of the PoA-DD.
45. The eligibility criteria attached in the appendix may be used by the coordinating and managing entity (CME) for the development of PoAs.

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<sup>13</sup> The adjustment factor does not need to be applied twice for option (a) and (b).

<sup>14</sup> Any one of the three options in paragraphs 15, 17 and 18 may be used for a particular CPA, but there should be no change in the chosen option during the crediting period.

## Appendix. Eligibility criteria for PoA development

1. Eligibility criteria and possible means for demonstrating CPA's compliance, applicable to PoAs involving distribution of high efficient biomass cook stoves, are provided below. The CME may propose additional eligibility criteria and/or other means for demonstrating compliance if deemed necessary.

**Table 1. Eligibility criteria for PoAs distributing high efficient biomass cook stoves**

No.	Requirements in PoA standard <sup>15</sup>	Eligibility criteria	Evidence used by CPA for demonstrating compliance	Section/page number of CPA-DD where detailed information is provided, if applicable
1	Geographical boundary	Cook stoves are located within the geographical boundaries of	<input type="checkbox"/> GPS coordinates <input type="checkbox"/> Map or address	
2	Start date	CPA start date shall not before start data of PoA	The start date of the CPA is , the date at which the real action started: <input type="checkbox"/> It is the date at which the first cook stove is distributed; <input type="checkbox"/> It is the purchase order dates for improved cook stoves distributed under the CPA	
3	Life time	CPA crediting period shall be within the life time of PoA	CPA start data is , with <input type="checkbox"/> Fixed crediting period <input type="checkbox"/> Renewable crediting period	
4	ODA	For all CPAs, funding from Annex I Parties, if any, does not result in a diversion of official development assistance (ODA);	<input type="checkbox"/> ODA not involved <input type="checkbox"/> ODA involved but not leading to diversion	
5	De-bundling	Debundling will not occur for any CPA	<input type="checkbox"/> Each cook stove generate less than 1% of SSC threshold (i.e., 1.8 GWh <sub>th</sub> ) <sup>16</sup>	
6	Double accounting	The CPAs of PoA shall not result in double counting of emission reductions.	For CPA no. , all the following are fulfilled: <input type="checkbox"/> Contractual agreements between CME	

<sup>15</sup> Demonstration of additionality, development of eligibility criteria and application of multiple methodologies for programme of activities.

<sup>16</sup> [https://cdm.unfccc.int/Reference/Guidclarif/ssc/methSSC\\_guid17.pdf](https://cdm.unfccc.int/Reference/Guidclarif/ssc/methSSC_guid17.pdf).

No.	Requirements in PoA standard <sup>15</sup>	Eligibility criteria	Evidence used by CPA for demonstrating compliance	Section/page number of CPA-DD where detailed information is provided, if applicable
			and CPA implementer on CER transferring. <input type="checkbox"/> End user details (i.e. name, address) inserted to a database  And, individual cook stove is identifiable by <input type="checkbox"/> Serial numbers of cook stoves recorded in the database <input type="checkbox"/> Stamp or logo used in the PoA cook stoves <input type="checkbox"/> Using mobile phone networks (e.g., pay-as-you-go and GSM)	
7	Local stakeholder consultations and environmental impact	The PoA or CPA shall undergo local stakeholder consultations and environmental impact assessment (EIA), where required.	Local stakeholder consultation is undertaken at <input type="checkbox"/> PoA level <input type="checkbox"/> CPA level  The EIA is required by the host country? <input type="checkbox"/> Yes <input type="checkbox"/> No  If EIA is required by the host country, the EIA is undertaken at <input type="checkbox"/> PoA level <input type="checkbox"/> CPA level	
8	Distribution mechanism	The CPA specifies the target group of the efficient stoves and distribution mechanisms of the efficient stoves.	<input type="checkbox"/> CPA specifies the distribution mechanism, including the way of installation (e.g., direct installation), replacement arrangement etc. <input type="checkbox"/> CPA specifies the target group, i.e., households or SME	
9	Additionality	CPA shall be additional	<input type="checkbox"/> End users are households, or SME <input type="checkbox"/> Total annual energy savings per cook stove is no larger than 5% of the small-scale threshold (9 GWh <sub>th</sub> per year)	
10	Technology	CPA will distribute energy efficiency (>20%) biomass cook stoves and Specifications of the efficient cook stoves are provided.	<input type="checkbox"/> Distributed biomass cook stove , with an efficiency of through ; <input type="checkbox"/> Baseline cook stove ], with a efficiency of <input type="checkbox"/> Specification of efficient cook stoves	

No.	Requirements in PoA standard <sup>15</sup>	Eligibility criteria	Evidence used by CPA for demonstrating compliance	Section/page number of CPA-DD where detailed information is provided, if applicable
			<input type="checkbox"/> To ensure its quality, cook stove in CPA comply with	
11	Use of NRB	The CPA demonstrates that non-renewable biomass has been used since 31 December 1989, using survey methods or referring to published literature, official reports or statistics.	The use of NRB is demonstrated by <input type="checkbox"/> Survey report <input type="checkbox"/> Published literature <input type="checkbox"/> Official reports and/or statistics; <input type="checkbox"/> Other means  It is demonstrated at <input type="checkbox"/> CPA level <input type="checkbox"/> PoA level	
12	Sampling	Sampling design and calculation shall meet the requirement in the sampling standard <sup>17</sup>	<input type="checkbox"/> is determined through sampling at level: <input type="checkbox"/> For , sampling is designed; <input type="checkbox"/> For , sampling size is , which gives a result of ;	
13	SSC threshold	The SSC threshold shall be met, i.e., 180kWh th. Equivalent to maximum efficient cook stoves	<input type="checkbox"/> CPA distributes efficient cook stoves	

<sup>17</sup> Sampling and surveys for CDM project activities and programme of activities.



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### Document information

<i>Version</i>	<i>Date</i>	<i>Description</i>
Draft 07.0	5 September 2014	SSC WG 45, Annex 14 A call for public input will be issued on this draft revised methodology. Revision to: <ul style="list-style-type: none"> <li>• Provide standardised eligibility criteria and possible means for demonstrating component project activities (CPA's) compliance for PoAs involving distribution of high efficient biomass cook stoves.</li> </ul>
06.0	21 February 2014	EB 77, Annex 11 Revision to: <ul style="list-style-type: none"> <li>• Introduce simplified approaches to determine the thermal efficiency of project devices;</li> <li>• Introduce default values for baseline fuel wood consumption.</li> </ul>
05.0	23 November 2012	EB 70, Annex 30 Includes clarification on monitoring requirements under different options; and provides a provision of wood to charcoal conversion factor.

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<i>Version</i>	<i>Date</i>	<i>Description</i>
04.0	20 July 2012	EB 68, Annex 23 Includes a reference to the available country specific default values for fNRB and specifies requirements of using national or local fNRB values for CPAs under a PoA.
03	15 April 2011	EB 60, Annex 21 KPT for stove testing included, requirements for leakage estimation simplified, default net gross adjustment factor is included as an option to account for any leakages, emission factor for the projected fossil fuel revised, more options for sampling and survey included.
02	04 December 2009	EB 51, Annex 18 To include: (a) Default efficiency factors for baseline cook stoves; (b) Procedures for sampling, (c) Revised procedures for determination of quantity of woody biomass that can be considered as non-renewable; and (d) Clarifications as to which leakage requirements are appropriate for projects versus PoAs.
01	01 February 2008	EB 37, Annex 7 Initial adoption.

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Decision Class: Regulatory

Document Type: Standard

Business Function: Methodology

Keywords: biomass, retrofit, simplified methodologies, thermal energy production, type (ii) projects

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