

CDM-MP62-A06

Draft Methodological tool

Baseline emissions for modal shift measures in urban passenger transport

Version 01.0 - Draft

DRAFT



United Nations
Framework Convention on
Climate Change

COVER NOTE

1. Procedural background

1. The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP), at its sixth session, decided that Parties, project participants, as well as international industry organizations or admitted observer organizations through the host country's designated national authority (DNA), may submit proposals for standardized baselines applicable to new or existing methodologies, for consideration by the Executive Board of the clean development mechanism (CDM) (hereinafter referred to as the Board).
2. In this context, the Board, at its sixty-second meeting, adopted the "Guidelines for the establishment of sector specific standardized baselines" (the SB guidelines) and at its sixty-fifth meeting, it agreed to the "Work programme on standardized baselines" that included the element of expanding the SB guidelines to CDM projects in the transport sector.
3. In response to the mandate given by the Board to the secretariat on the expansion of the SB guidelines to CDM projects in the transport sector, the secretariat: (i) conducted an elaborate analysis of approaches to standardization of baseline setting in the transport sector; (ii) hired a consultant and scrutinized the report developed by the consultant; (iii) and conducted consultations on the report and approaches to standardization with external experts from the Methodologies Panel (Meth Panel) and the Small-Scale Working Group (SSC WG). After the elaborate analysis and extensive consultations, the secretariat came to the conclusion that there are fundamental limits to the standardization of baseline setting in the transport sector and described these challenges in a concept note presented to the Board at its sixty-ninth meeting.
4. At its sixty-ninth meeting, the Board considered the concept note on the challenges and options for work on the development of guidelines on standardized baselines for the transport sector, provided feedback and requested the secretariat to submit a concept note for the Board's consideration at a future meeting, with a detailed analysis of the following elements:
 - (a) Background information and rationale for the key challenges for the standardized baselines for transport sector projects, as presented in the concept note as per annex 12 to the annotated agenda of the sixty-ninth meeting of the Board;
 - (b) Rationale for the options suggested on standardization and scaling-up of CDM in the transport sector;
 - (c) Benefits of and efforts required for the options suggested.
5. At its seventy-second meeting, the Board considered a concept note on the challenges and opportunities for standardization and simplification in the context of the transport sector and requested the secretariat to develop guidelines on standardized baselines for the transport sector covering standardization at the following levels:

- (a) Standardized parameters or approaches that are country-specific or region-specific;
 - (b) The development of the draft guidelines should be done in consultation with the Meth Panel and the SSC WG, for consideration of the Board at its seventy-fifth meeting.
6. The Board also requested the Meth Panel to develop, where suitable, standardized parameters or approaches that can be applied at the global level in the form of a methodological tool, for the Board's consideration at its seventy-fifth meeting. These tasks were included in the approved work plan of the Board for 2013 which contained the product "Development and implementation of the guideline on standardized baselines for transportation projects" under project 110 on standardized baselines.
7. At its seventy-fifth meeting, the Board considered the draft guideline "Establishment of standardized baselines in the transport sector" and agreed that the document should be a methodological tool to ensure its immediate use by project participants and other stakeholders in the development of standardized baselines. The Board requested the Meth Panel to revise the draft, taking into account the inputs provided by the Board, for the consideration of the Board at a future meeting.

2. Purpose

8. The purpose is to propose a new regulatory document to regulate a new area: a methodological tool that provides methodological approaches for estimating baseline emissions for modal shift measures in urban passenger transport. The tool can be used by both, DNAs to establish standardized baselines to be used by a number of project activities, and project participants to estimate baseline emissions for individual project activities.

3. Key issues and proposed solutions

9. Not applicable.

4. Impacts

10. The draft tool provides methodological approaches to estimate baseline emissions for measures in passenger transport that, according to international literature, offer the largest mitigation potential.

5. Subsequent work and timelines

11. The Meth Panel, at its 62nd meeting, agreed on the draft tool. After receiving public inputs on the document, the Meth Panel will continue working on the draft tool, at its 63rd meeting, for recommendation to the Board at a future meeting of the Board.

6. Recommendations to the Board

12. Not applicable (call for public input).

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1. Introduction

1. The tool provides methodological guidance for estimation of baseline emissions for transport projects implementing modal shift measures in passenger transport.

2. Scope, applicability, and entry into force

2.1. Scope

2. This tool includes approaches for estimating baseline emissions for project activities that implement modal shift measures in urban passenger transport.

2.2. Applicability

3. The tool is applicable to project activities in urban passenger transport that implement a measure or a group of measures aimed at a modal shift to urban public transit such as metro, bus rapid transit (BRT), light rail and trams.
4. The tool can be used by Designated National Authorities (DNAs) for establishing standardized baselines. In this case, relevant provisions from the following documents should be applied:
 - (a) Guidelines for quality assurance and quality control of data used in the establishment of standardized baselines;
 - (b) Procedure for development, revision, clarification and update of standardized baselines.
5. The tool can also be used by project participants and is applicable for establishing baselines for individual project activities. In this case, relevant provisions from the latest approved versions of CDM methodologies, used in conjunction with the tool, should be applied.
6. DNAs, project participants and other stakeholders may propose revisions that further expand the applicability of the tool to include other approaches and measures.

2.3. Entry into force

7. Not applicable (call for public input).

3. Normative references

8. When the tool is used for establishing standardized baselines, relevant provisions from the latest approved versions of the following documents should be applied:
 - (a) Guidelines for quality assurance and quality control of data used in the establishment of standardized baselines;
 - (b) Procedure for development, revision, clarification and update of standardized baselines.

4. Definitions

9. The definitions contained in the Glossary of CDM terms shall apply.
10. For the purpose of this tool, the following definitions apply:
 - (a) **Measure (for emission reduction activities)** - a broad class of greenhouse gas (GHG) emission reduction activities possessing common features;
 - (b) **Level of aggregation** - the level of aggregation and geographical scope is linked to the type of measure implemented. For modal shift in urban passenger transport, only modes operating in an urban context need to be taken into account when the respective baseline emissions are estimated;
 - (c) **Bus rapid transit (BRT) system** - a collective urban or suburban passenger transit service system that is bus-based, uses bus lanes or trunk routes, and operates at high levels of performance, especially with regard to travel times and passenger carrying capacity;
 - (d) **Bus lane (or trunk route)** - a segregated lane where only buses are allowed to operate. Private vehicles are not allowed to use the bus lane. Exceptions, such as for emergency vehicles, can apply. Bus lanes need not necessarily be physically separated from other traffic lanes. If no physical separation is put in place, then it must be ensured that enforcement takes place to prevent the use of the bus lane by other vehicles. It is not a requirement that 100 per cent of the route is a bus-only lane as buses might share part of the lanes with other modes of transport, for example at traffic crossings, bridges, tunnels, in narrow parts or on roads with limited traffic, for example in suburban parts of the city. However, to qualify as a bus lane, more than half of the included bus route must be a bus-only lane.

5. Methodological steps to establish the baseline

5.1. Step 1. Determine relevant vehicle categories

11. Only vehicle categories that are relevant for urban transport shall be included. These may include but are not limited to the following vehicle categories:
 - (a) Buses, differentiating between large, medium and small buses, if appropriate, as well as buses operating in conventional bus systems and buses operating on bus lanes or BRTs, which are in commercial operation at the time of establishing baseline emissions. Emissions from a conventional bus system and BRT shall be determined separately;
 - (b) Passenger cars;
 - (c) Taxis;
 - (d) Motorcycles;
 - (e) Rail-based urban mass transit (metro, light rail transit, trams);
 - (f) Other vehicle categories.

5.2. Step 2. Determine the emission factor per kilometre for each relevant road-based vehicle category

12. Differentiate relevant fuel types for each of the relevant road-based vehicle categories identified in Step 1. Vehicles in a vehicle category using diesel, gasoline, or gas (compressed natural gas (CNG) or liquefied petroleum gas (LPG)) should be listed separately.
13. Estimate CO₂eq emissions per kilometre based on the fraction of vehicles using a specific fuel type, the consumption of each fuel type and CO₂eq emissions per unit of fuel consumed:
 - (a) For vehicles using liquid fuels, CO₂eq emissions are calculated based on the carbon content of the fuel;
 - (b) For vehicles using gaseous fuels, CH₄ emissions shall also be accounted for using the Global Warming Potential of CH₄.

$$EF_{KM,i,y} = (IR_i)^{t+y-1} \quad \text{Equation (1)}$$

$$\times \left[\sum_n SFC_{i,n,x} \times NCV_{i,n,x} \times \frac{N_{i,n,x}}{N_{i,x}} \right. \\ \left. \times (EF_{CO_2,n} + EF_{CH_4,i,n} \times GWP_{CH_4}) \right]$$

Where:

$EF_{KM,i,y}$	= Emission factor per kilometre of vehicle category i in year y (g CO ₂ /km)
$SFC_{i,n,x}$	= Specific fuel consumption of vehicle category i using fuel type n in year x (mass or volume units of fuel/km)
$NCV_{i,n,x}$	= Net calorific value of fuel n in year x (J/mass or volume units of fuel)
$EF_{CO_2,n}$	= Carbon emission factor for fuel type n (g CO ₂ /J)
$N_{i,x}$	= Number of vehicles of category i in year x (units)
$N_{i,n,x}$	= Number of vehicles in vehicle category i using fuel type n in year x (units)

IR_i	=	Technology improvement factor ¹ for vehicle category i per year (ratio)
$EF_{CH_4,i,n}$	=	Methane emission factor for vehicle category i using fuel type n (g CH ₄ /J)
GWP_{CH_4}	=	Global Warming Potential of methane (g CO ₂ /g CH ₄)
n	=	Fuel types used by vehicle category i in year x
i	=	Road-based vehicle categories (passenger car (C), bus (B), motorcycle (M), etc.
t	=	Time difference (in years) between the year for which data is available for vehicle category i and the year of establishing standardized baseline
x	=	Most recent calendar year prior to the submission of standardized baseline
y	=	Year when emission reductions are estimated with the use of standardized baseline

14. Note: instead of the two parameters $N_{i,n,x}$ and $N_{i,x}$, it is possible to use one parameter $N_{i,n,x}/N_{i,x}$ which can be defined using the following options, which are described in the order of preference (see appendix 1 for further guidance on data requirements):

- (a) **Option 1.** The share of vehicle-kilometers within vehicle category i that are driven by vehicles using fuel type n , if a reliable data source for this parameter exists (see appendix 1 for further guidance on data requirements). This is the preferred option;
- (b) **Option 2.** The share of vehicles within vehicle category i that use fuel type n , if a reliable data source for this parameter exists.

5.3. Step 3. Determine the emission factor per passenger-kilometre

15. The emission factors per passengerkilometre (PKM) are determined for each vehicle category as follows:

- (a) **Option 1.** The emission factor per PKM for electricity-based transport systems (e.g. urban rail-based systems) is determined using the following equation:

$$EF_{PKM,i,x} = \frac{TE_{EL,i,x}}{P_{EL,i,x} \times D_{EL,i,x}} 10^6 \quad \text{Equation (2)}$$

¹ Since, according to current requirements, data for standardized baselines need to be updated every three years, after such an update, technology improvement factor can be calculated based on country specific data and used in calculations instead of the default value. Relevant provisions in the recent approved "Procedure for development, revision, clarification and update of standardized baselines" shall be applied when this parameter is calculated for establishing standardized baselines.

Where:

$EF_{PKM,i,x}$	= Emission factor per passenger-kilometre for electricity-based vehicle category i in year x (g CO ₂ /PKM)
$TE_{EL,i,x}$	= Total emissions from electricity-based vehicle category i in year x (t CO ₂)
$P_{EL,i,x}$	= Total number of passengers transported per annum by electricity-based vehicle category i in year x (passengers)
$D_{EL,i,x}$	= Average trip distance travelled by passengers using electricity-based vehicle category i in year x (km)
x	= Most recent calendar year prior to the submission of standardized baseline

16. The total emissions $TE_{EL,i,x}$ from electricity-based vehicle category i should be calculated for each vehicle category i , using the “Tool to calculate baseline, project and/or leakage emissions from electricity consumption”. When applying the tool, the parameter $EC_{BL,k,y}$ in the tool should be taken as the amount of electricity used by the electricity-based vehicle category i in year x , consistent with the transportation of $P_{EL,i,x}$ passengers along the average distance $D_{EL,i,x}$ ².
17. **Option 2.** Emission factors per PKM for fuel-based transport systems (e.g. road-based vehicles) should be calculated as follows:

$$EF_{PKM,i,y} = \frac{EF_{KM,i,y}}{OC_{i,x}}$$

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Equation (3)

Where:

$EF_{PKM,i,y}$	= Emission factor per passenger-kilometre of vehicle category i in year y (g CO ₂ /PKM)
$EF_{KM,i,y}$	= Emission factor per kilometre of vehicle category i in year y (g CO ₂ /km)
$OC_{i,x}$	= Average occupancy rate of vehicle category i in year x (passengers)
i	= Road-based vehicle categories (such as passenger car (C), bus (B), motorcycle (M))
y	= Year when emission reductions are estimated with the use of standardized baseline

² The trip distance is only determined prior to estimating baseline emissions. The electricity consumed and the passengers transported should be updated annually to track technological improvements in the rail-based system leading to changes in the emission factor per passenger transported.

5.4. Step 4. Determine baseline emissions

$$BE_y = \left(EF_{PKM,i,x} \times D_{EL,i,y} \times P_{EL,y} + \sum_i EF_{PKM,i,y} \times D_{i,y} \times P_{i,y} \right) \times 10^{-6} \quad \text{Equation (4)}$$

Where:

BE_y	=	Baseline emissions in year y (t CO ₂ eq)
$EF_{PKM,i,x}$	=	Emission factor per passenger-kilometre for the existing rail system (g CO ₂ eq/PKM)
$D_{EL,i,y}$	=	Average trip distance travelled by passengers who shifted from electricity-based vehicle category i in year y (km)
$P_{EL,y}$	=	Number of passengers who shifted from electricity-based vehicle category i in year y
$EF_{PKM,i,y}$	=	Emission factor per passenger-kilometre for road-based vehicle category i in year y (gCO ₂ eq/PKM)
$D_{i,y}$	=	Average trip distance travelled by passengers who shifted from road-based vehicle category i in year y (km)
$P_{i,y}$	=	Number of passengers shifted from vehicle category i in year y
i	=	Road-based vehicle categories (such as passenger car (C), bus (B), motorcycle (M))
y	=	Year when emission reductions are estimated with the use of standardized baseline

18. The number of passengers shifted from electricity-based or road-based vehicle categories $P_{i,y}$ and $P_{EL,y}$ to the urban public system(s) established as project activities are determined from the survey of the project system by the project developers. (Note: project proponents, not DNAs, should collect these data after the project system starts its commercial operation and is established (i.e. a minimum of one year after the start of the commercial operation.))
19. The survey shall be conducted only once when the operation of the system is established, that is after at least one year from the beginning of the commercial operation of the project system, to determine the share of passengers (%) out of total number of passengers using the project system who have shifted from electricity-based or road-based vehicle categories.
20. The total number of passengers shall be monitored annually, which when multiplied by the shares of passengers (%) who have shifted from electricity-based or road-based vehicle categories to determine the number of passengers shifted from vehicle category i ($P_{i,y}$) and the number of passengers shifted from electricity-based vehicle category i ($P_{EL,y}$) used in equation (4) to calculate baseline emissions.
21. Surveys conducted in year 1 and year 4 of the crediting period shall be used to determine the entry and exit stations for each surveyed passenger to determine the average travel distance on each relevant vehicle category $D_{EL,i,y}$ and $D_{i,y}$. Data on the vehicle category from which each surveyed passenger had shifted and the entry/exit

stations for that passenger shall be recorded and used to determine the average travel distance travelled on each relevant vehicle category $D_{EL,i,y}$ and $D_{i,y}$.

6. Data and parameters

6.1. Data and parameters not monitored

Data / Parameter table 1.

Data / Parameter:	$SFC_{i,n,x}$
Data unit:	Mass or volume units of fuel/km
Description:	Specific fuel consumption of vehicle category i using fuel type n in year x
Source of data:	In decreasing order of preference: <ol style="list-style-type: none"> 1. Local measured data (studies, e.g. performed by universities, other institutions or ordered by project proponent); 2. National or international data from studies; 3. IPCC default values for the respective vehicle categories (latest IPCC report) 4. Design data for relevant vehicle categories 5. Globally applicable default values
Measurement procedures (if any):	<p>The following alternatives are proposed to determine specific fuel consumption (in order of preference):</p> <p>Alternative 1: Measurement of fuel consumption data using total data (if available e.g. from bus or taxi companies) or a representative sample for the respective category and fuel type. Sampling per category and fuel should include, as core characteristics, vehicle age and motorization to ensure that the sample is as close as possible to the actual vehicle composition in the urban area(s) of the region for which the baseline is established. Vehicle age and technology (related often to emission standards such as Euro standards) are factors which influence, to a significant extent, the fuel consumption. To be conservative, specific fuel consumptions based on samples shall be based on the lower limit of the uncertainty band at a 95 per cent confidence level.</p> <p>Alternative 2: use of fixed values based on national or international literature. The literature data can either be based on measurements of similar vehicles in comparable surroundings (e.g. from comparable cities of other countries) or may include identifying the vehicle age and technology of average vehicles circulating in the urban area(s) of the region for which the standardized baseline is established and then matching this with the most appropriate IPCC default values. The most important proxy to identify vehicle technologies is the average age of vehicles used in the urban area(s) of the region for which the standardized baseline is established, to determine whether either US, Japanese or European default factors apply or local vehicle manufacturer information can be used (in the case of having a substantial domestic vehicle motor industry or source of origin of vehicle imports).</p> <p>Alternative 3: latest IPCC default values reported matching the respective vehicle category, age, vehicle origin and technology.</p>

	<p>Alternative 4. Design data for relevant vehicle categories. Alternative 5. Globally applicable default values.</p> <p style="text-align: center;">Table 1. Specific fuel consumption for vehicle category</p> <table border="1" style="margin-left: auto; margin-right: auto;"> <thead> <tr> <th style="text-align: left;">Specific fuel consumption</th> <th style="text-align: center;">Value</th> <th style="text-align: center;">Unit</th> </tr> </thead> <tbody> <tr> <td>Gasoline car (personal car and taxi)</td> <td style="text-align: center;">6</td> <td style="text-align: center;">l/100 km</td> </tr> <tr> <td>Diesel car (personal car and taxi))</td> <td style="text-align: center;">5</td> <td style="text-align: center;">l/100 km</td> </tr> <tr> <td>Motorcycle</td> <td style="text-align: center;">2</td> <td style="text-align: center;">l/100 km</td> </tr> </tbody> </table>	Specific fuel consumption	Value	Unit	Gasoline car (personal car and taxi)	6	l/100 km	Diesel car (personal car and taxi))	5	l/100 km	Motorcycle	2	l/100 km
Specific fuel consumption	Value	Unit											
Gasoline car (personal car and taxi)	6	l/100 km											
Diesel car (personal car and taxi))	5	l/100 km											
Motorcycle	2	l/100 km											
Any comment:	-												

Data / Parameter table 2.

Data / Parameter:	$N_{i,x}$
Data unit:	Vehicles
Description:	Number of vehicles of category <i>i</i> in year <i>x</i>
Source of data:	Municipal transit authorities based on vehicle registration statistics from the respective city or data from vehicle control stations (technical and emission control stations). If no city/municipal data is available, regional data (canton, state) or, as a last option, national data can be used
Measurement procedures (if any):	-
Any comment:	Used for all vehicle categories identified as relevant. In the cases of buses and taxis, informal or illegal units may operate. While estimates on the number of informal units may be available, these are by nature not trustworthy. For both categories it is thus recommended to only include formally registered units. For consistency, it is important that transported passengers are also based on the official records thus not including passenger trips of informal units

Data / Parameter table 3.

Data / Parameter:	$N_{x,n,i}$
Data unit:	-
Description:	Number of vehicles in vehicle category <i>i</i> using fuel type <i>n</i> in year <i>x</i>
Source of data:	Municipal transit authorities based on vehicle registration statistics from the respective city or data from vehicle control stations (technical and emission control stations). If no city/municipal data is available, regional data (canton, state) or, as a last option, national data can be used
Measurement procedures (if any):	-

Any comment:	Used for all vehicle categories identified as relevant vehicle categories. In the cases of buses and taxis, informal or illegal units may operate. While estimates on the number of informal units may be available, these are by their nature not trustworthy. For both categories it is thus recommended to only include formally registered units. For consistency, it is important that transported passengers are also based on the official records thus not including passenger trips of informal units
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Data / Parameter table 4.

Data / Parameter:	$N_{i,n,x}/N_{i,x}$
Data unit:	Percentage or share of vehicle-kilometres driven by vehicles of category <i>i</i> using fuel type <i>n</i> in year <i>x</i>
Description:	Percentage of vehicles of category <i>i</i> using fuel type <i>n</i> in year <i>x</i>
Source of data:	National transport statistics based on vehicle registration statistics, company data (for buses) or surveys
Measurement procedures (if any):	For buses it should be based on urban units as urban buses often use a different fuel type than inter-urban units
Any comment:	Used for all relevant vehicle categories

Data / Parameter table 5.

Data / Parameter:	$NCV_{x,n,i}$						
Data unit:	Energy/mass or volume units of fuel type <i>n</i> in year <i>x</i>						
Description:	Net calorific value of fuel type <i>n</i>						
Source of data:	<p>The following data sources may be used if the relevant conditions apply:</p> <p style="text-align: center;">Table 2. Data sources and conditions for their usage</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 50%;">Data source</th> <th style="width: 50%;">Conditions for using the data source</th> </tr> </thead> <tbody> <tr> <td>(a) National default values</td> <td>This source can only be used for liquid fuels and should be based on well documented, reliable sources (such as national energy balances)</td> </tr> <tr> <td>(b) IPCC default values at the lower limit of the uncertainty at a 95 per cent confidence interval as provided in Table 1.2 of Chapter 1 of Vol. 2 (Energy) of the 2006 IPCC Guidelines on National GHG Inventories</td> <td></td> </tr> </tbody> </table>	Data source	Conditions for using the data source	(a) National default values	This source can only be used for liquid fuels and should be based on well documented, reliable sources (such as national energy balances)	(b) IPCC default values at the lower limit of the uncertainty at a 95 per cent confidence interval as provided in Table 1.2 of Chapter 1 of Vol. 2 (Energy) of the 2006 IPCC Guidelines on National GHG Inventories	
Data source	Conditions for using the data source						
(a) National default values	This source can only be used for liquid fuels and should be based on well documented, reliable sources (such as national energy balances)						
(b) IPCC default values at the lower limit of the uncertainty at a 95 per cent confidence interval as provided in Table 1.2 of Chapter 1 of Vol. 2 (Energy) of the 2006 IPCC Guidelines on National GHG Inventories							
Measurement procedures (if any):	-						

Monitoring frequency:	For (a): review the appropriateness of the values annually. For (b): any future revision of the IPCC Guidelines should be taken into account
QA/QC procedures:	Verify whether the values under (a) and (b) are within the uncertainty range of the IPCC default values as provided in Table 1.2, Vol. 2 of the 2006 IPCC Guidelines. If the values fall below this range, collect additional information from the testing laboratory to justify the outcome or conduct additional measurements. The laboratories in (a) should have ISO17025 accreditation or demonstrate that they can comply with similar quality standards
Any comment:	Vehicle owners or operators can buy fuel from a variety of sources (fuel stations). Therefore, in practice it is considered to be simpler to determine the parameter using options (a) or (b)

Data / Parameter table 6.

Data / Parameter:	IR_i
Data unit:	-
Description:	Technology improvement factor per year for vehicle category <i>i</i>
Source of data:	-
Measurement procedures (if any):	The default technology improvement factor is 0.99 for all vehicle categories
Any comment:	Since, according to current requirements, data for standardized baselines need to be updated every three years, after such an update, technology improvement factor can be calculated based on country specific data and used in calculations instead of the default value. Relevant provisions in the recent approved "Procedure for development, revision, clarification and update of standardized baselines"

Data / Parameter table 7.

Data / Parameter:	GWP_{CH4}
Data unit:	g CO ₂ /g CH ₄
Description:	Global Warming Potential of methane
Source of data:	-
Measurement procedures (if any):	-
Any comment:	Used for all vehicle categories which use gaseous fuels

Data / Parameter table 8.

Data / Parameter:	OC_i/OC_B/OC_T/OC_C/OC_{MR}																								
Data unit:	Passengers																								
Description:	Average occupancy rate of vehicle category <i>i</i> in year <i>x</i> (buses (B), taxis (T), for passenger cars (C), motorized rickshaws (MR))																								
Source of data:	<p>Option 1. Municipal transit authorities or specific studies. Vintage maximum three years.</p> <p>Option 2. The following default values can be applied:</p> <p style="text-align: center;">Table 3. Average occupancy as per vehicle type</p> <table border="1" style="margin-left: auto; margin-right: auto;"> <thead> <tr> <th colspan="4" style="text-align: center;">Average occupancy</th> </tr> <tr> <th></th> <th>World</th> <th>South Asia</th> <th>Unit</th> </tr> </thead> <tbody> <tr> <td>Car</td> <td></td> <td>2</td> <td>Person (including the driver)</td> </tr> <tr> <td>Taxi</td> <td></td> <td>1.1</td> <td>Person (excluding the driver)</td> </tr> <tr> <td>Motorcycle</td> <td></td> <td>1.5</td> <td>Person (including the driver)</td> </tr> <tr> <td>Bus</td> <td>40%</td> <td>80%</td> <td>Standing capacity</td> </tr> </tbody> </table> <p>Option 3. Survey of occupancy of individual motorized transport (motorcycles, personal cars, taxis) in the urban area for which the baseline is established. The obtained occupancy rates can be used as default values for these vehicle categories at a country level, as variation in occupancy rates of individual motorized transport used in the urban context is relatively low.</p> <p>Option 4. Survey of occupancy rates of public transport (bus, light rail, tram, metro, BRTs, etc.) in the urban area for which the standardized baseline is established. If standardized baselines for multiple cities in a country are established, these cities need to be grouped in categories of similar cities (based on population size, population density, etc.) and surveys on occupancy rates of public transport of sample cities need to be conducted. If there is no big variation in occupancy rates of the same mode in the cities of the same category, then surveyed occupancy rates of public transport can be used as defaults for the rest of the cities in the same category.</p>	Average occupancy					World	South Asia	Unit	Car		2	Person (including the driver)	Taxi		1.1	Person (excluding the driver)	Motorcycle		1.5	Person (including the driver)	Bus	40%	80%	Standing capacity
Average occupancy																									
	World	South Asia	Unit																						
Car		2	Person (including the driver)																						
Taxi		1.1	Person (excluding the driver)																						
Motorcycle		1.5	Person (including the driver)																						
Bus	40%	80%	Standing capacity																						
Measurement procedures (if any):	<p>Based on visual occupation studies for all vehicle categories.</p> <p>For buses the occupation rate is based on boarding-alighting studies, electronic smart tickets or on visual occupation studies with expansion factors for routes served to determine the average occupation rate along the entire route. As an alternative for buses, the occupancy rate can be based on average trip distance of bus passengers, total passengers and total distance driven by buses.</p> <p>For taxis (including motorized rickshaws), the driver should not be counted.</p>																								
Any comment:	-																								

Data / Parameter table 9.

Data / Parameter:	EF_{CO₂,n,x}						
Data unit:	gCO ₂ /J						
Description:	CO ₂ emission factor for fuel type <i>n</i> in year <i>x</i>						
Source of data:	The following data sources may be used, if the relevant conditions apply: Table 4. Data sources and conditions for their usage						
	<table border="1"> <thead> <tr> <th>Data source</th> <th>Conditions for using the data</th> </tr> </thead> <tbody> <tr> <td>(a) National default values</td> <td>This source can only be used for liquid fuels and should be based on well documented, reliable sources (such as national energy balances)</td> </tr> <tr> <td>(b) IPCC default values at the lower limit of the uncertainty at a 95 per cent confidence interval as provided in Table 1.4 of Chapter 1 of Vol. 2 (Energy) of the 2006 IPCC Guidelines on National GHG Inventories</td> <td></td> </tr> </tbody> </table>	Data source	Conditions for using the data	(a) National default values	This source can only be used for liquid fuels and should be based on well documented, reliable sources (such as national energy balances)	(b) IPCC default values at the lower limit of the uncertainty at a 95 per cent confidence interval as provided in Table 1.4 of Chapter 1 of Vol. 2 (Energy) of the 2006 IPCC Guidelines on National GHG Inventories	
Data source	Conditions for using the data						
(a) National default values	This source can only be used for liquid fuels and should be based on well documented, reliable sources (such as national energy balances)						
(b) IPCC default values at the lower limit of the uncertainty at a 95 per cent confidence interval as provided in Table 1.4 of Chapter 1 of Vol. 2 (Energy) of the 2006 IPCC Guidelines on National GHG Inventories							
Measurement procedures (if any):	-						
Monitoring frequency:	For (a): review the appropriateness of the values annually. For (b): any future revision of the IPCC Guidelines should be taken into account						
QA/QC procedures:	-						
Any comment:	-						

Data / Parameter table 10.

Data / Parameter:	EF_{KM,i,y}
Data unit:	g CO ₂ /km
Description:	Emission factor per kilometre of vehicle category <i>i</i> in year <i>y</i> (g CO ₂ /km)
Source of data:	Emission factor for new cars
Measurement procedures (if any):	-
Monitoring frequency:	-

QA/QC procedures:	-
Any comment:	This option is available for taxis, personal cars and motorcycles. Depending on the regions from which the cars are purchased (the US, European Union, Japan, domestic car industry, etc.) respective emission factors for new cars manufactured in these regions shall be used

Data / Parameter table 11.

Data / Parameter:	EF_{CH₄,i,n}
Data unit:	g CH ₄ /J
Description:	Methane emission factor for vehicle category <i>i</i> using fuel type <i>n</i>
Source of data:	The source of data should be the following, in order of preference: (a) National default values; or (b) IPCC default values.
Measurement procedures (if any):	-
Monitoring frequency:	-
QA/QC procedures:	-
Any comment:	In case default value is used, the GWP value for the second commitment period under the Kyoto Protocol shall be applied. IPCC default values should be used only when country or region specific data are not available or difficult to obtain.

Data / Parameter table 12.

Data / Parameter:	P_{EL,i,x}
Data unit:	Passengers
Description:	Total number of passengers transported per annum by electricity-based vehicle category <i>i</i> in year <i>x</i>
Source of data:	Official statistics or data obtained from the system operator. Data vintage: maximum three years
Measurement procedures (if any):	-
Any comment:	-

6.2. Data and parameters monitored

Data / Parameter table 13.

Data / Parameter:	D_{EL,i}
Data unit:	kilometres
Description:	Average trip distance travelled by passengers who shifted from electricity-based vehicle category <i>i</i> in year <i>x</i>
Source of data:	Rail operator

Measurement procedures (if any):	The measurement of data should be the following, in order of preference: (a) Based on electronic ticketing system ; or (b) Survey of the project passengers asking about the entry and exit stations in the project system and noting electricity-based vehicle category <i>i</i> each surveyed passenger used prior to shifting to the project system
Any comment:	

Data / Parameter table 14.

Data / Parameter:	D_{P,i,y}
Data unit:	kilometres
Description:	Average trip distance travelled by passengers who shifted from road-based vehicle category <i>i</i> in year <i>y</i> (KM)
Source of data:	For centrally-managed systems (e.g. bus systems, taxis): the system operator; For individual road-based transport: survey
Measurement procedures (if any):	Vintage maximum three years. For centrally-managed systems the measurement shall be based on electronic ticketing system. For centrally-managed systems and individual road-based transport the measurement shall be based on survey of the project passengers asking about the entry and exit stations in the project system and noting the road-based vehicle category <i>i</i> each surveyed passenger used prior to shifting to the project system
Any comment:	-

6.3. Key parameters for calculation of baseline emissions in urban passenger transport**Table 15. List of key parameters used for calculation of baseline emissions in urban passenger transport**

Parameter	Level of aggregation and data sources
Relevant vehicle category	City level
Specific fuel consumption	1. City (local measurements); 2. National defaults; 3. International defaults (IPCC); 4. Design data for relevant vehicle categories
Net calorific value	1. National defaults; 2. International defaults (IPCC)
Fuel emission factor	1. National defaults; 2. International defaults(IPCC)

Parameter	Level of aggregation and data sources
Emission factor	Emission factor for new cars (depending on the market to which the country is exposed, US, EU, Japanese, domestic car industry values can be used). This parameter can be used instead of specific fuel consumption, net calorific value and fuel emission factor, if the value in g CO ₂ /km is available
Occupancy rates of relevant vehicle modes	<p>City level</p> <p>For motorcycles, cars, taxis:</p> <ol style="list-style-type: none"> 1. Globally applicable default; 2. DNAs can conduct a survey to set a default at the country level; 3. DNAs can use available information in national statistics/studies to set a default at the country level. <p>For public transport (bus, light rail, tram, metro, etc.)</p> <ol style="list-style-type: none"> 1. Survey in the city; 2. Survey in multiple cities. <p>Cities in the country should be grouped in categories of similar cities (population size and density, etc.). If there is no big variation within the group of cities, surveyed data on occupancy can be used to set defaults for occupancy for other cities in the same group of cities</p>
Total number of passengers of the project system	City – project system. Data obtained by project participants (PPs), not DNAs
% of people shifted from a specific mode	<p>City – project system. Data obtained by PPs, not DNAs.</p> <p>Survey conducted once when the system is established (one year after its implementation)</p>
Distance travelled on each relevant vehicle mode	<p>City – project system. Data obtained by PPs, not DNAs.</p> <p>Survey to ask about entry/exit point in the project BRT and the mode from which the passenger shifted</p>

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Draft Methodological tool: Baseline emissions for modal shift measures in urban passenger transport

Version 01.0 - Draft

<i>Version</i>	<i>Date</i>	<i>Description</i>
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Keywords: standardized baselines, transport

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