

CDM-2013MP7PB-INFO02

DOE Performance monitoring

Report to the public on the seventh monitoring period

Version 02.0



United Nations
Framework Convention on
Climate Change

1. Performance Indicators for eligible DOEs

1- Performance monitoring period: 01 January 2013 to 30 April 2013

2- Data for submissions finalized as of: 31 October 2013

3- Performance of DOEs:

INDICATORS CORRESPONDING TO REQUEST FOR REGISTRATION AND REQUESTS FOR ISSUANCE

DOE	Type & Thresholds	PA ¹ submitted for registration /issuance	PA for which a final decision was made	% of PA finalized	PA automatically registered /issued	PA incomplete at CC	PA incomplete at IRC	PA reviewed	PA rejected	PA withdrawn	I1 _{CC} ²	I1 _{IRC} ³	I2 ⁴
Spanish Association for Standardisation and Certification (AENOR)	Registration	-	-	-	-	-	-	-	-	-	UD	UD	NE
	Issuance I1 _{CC} =0.04 I1 _{IRC} =0.07	8	8	100%	8	-	-	-	-	-	-	-	-
LGAI Technological Center, S.A. (Applus)	Registration	1	-	0%	-	-	-	-	-	-	UD	UD	NE
	Issuance I1 _{CC} =0.04 I1 _{IRC} =0.07	8	8	100%	8	-	-	-	-	-	-	-	-
Bureau Veritas Certification Holding SAS (BVCH)	Registration	18	11	61%	11	-	-	-	-	-	-	-	-
	Issuance I1 _{CC} =0.02 I1 _{IRC} =0.03	96	95	99%	95	1	3	-	-	-	0.01	0.03	-
Carbon Check (Pty) Ltd (Carbon Check)	Registration	-	-	-	-	-	-	-	-	-	UD	UD	NE
	Issuance I1 _{CC} =0.11 I1 _{IRC} =0.15	1	1	100%	1	-	-	-	-	-	-	-	NE

DOE	Type & Thresholds	PA ¹ submitted for registration /issuance	PA for which a final decision was made	% of PA finalized	PA automatically registered /issued	PA incomplete at CC	PA incomplete at IRC	PA reviewed	PA rejected	PA withdrawn	I _{CC} ²	I _{IRC} ³	I ₂ ⁴
China Environmental United Certification Center Co., Ltd. (CEC)	Registration	-	-	-	-	-	-	-	-	-	UD	UD	NE
	Issuance I _{CC} =0.03 I _{IRC} =0.04	26	26	100%	26	-	-	-	-	-	-	-	-
CEPREI certification body (CEPREI)	Registration	-	-	-	-	-	-	-	-	-	UD	UD	NE
	Issuance I _{CC} =0.05 I _{IRC} =0.07	6	6	100%	6	-	-	-	-	-	-	-	NE
China Quality Certification Center (CQC)	Registration	-	-	-	-	-	-	-	-	-	UD	UD	NE
	Issuance I _{CC} =0.03 I _{IRC} =0.04	25	25	100%	25	-	-	-	-	-	-	-	-
Shenzhen CTI International Certification Co., Ltd (CTI)	Registration	-	-	-	-	-	-	-	-	-	UD	UD	NE
	Issuance I _{CC} =0.08 I _{IRC} =0.11	2	2	100%	2	-	1	-	-	-	-	0.50	NE

DOE	Type & Thresholds	PA ¹ submitted for registration /issuance	PA for which a final decision was made	% of PA finalized	PA automatically registered /issued	PA incomplete at CC	PA incomplete at IRC	PA reviewed	PA rejected	PA withdrawn	I _{CC} ²	I _{IRC} ³	I ₂ ⁴
Deloitte Tohmatsu Evaluation and Certification Organization (Deloitte-TECO)	Registration	-	-	-	-	-	-	-	-	-	UD	UD	NE
	Issuance I _{CC} =0.03 I _{IRC} =0.05	18	18	100%	18	-	1	-	-	-	-	0.06	-
Det Norske Veritas Certification AS (DNV)	Registration	3	1	33%	1	-	-	-	-	-	-	-	NE
	Issuance I _{CC} =0.02 I _{IRC} =0.03	106	104	98%	103	1	1	1	-	-	0.01	0.01	0.05
Korea Environment Corporation (KECO)	Registration	-	-	-	-	-	-	-	-	-	UD	UD	NE
	Issuance I _{CC} =0.11 I _{IRC} =0.15	1	1	100%	1	-	-	-	-	-	-	-	NE
ERM Certification and Verification Services Ltd. (ERM CVS)	Registration	-	-	-	-	-	-	-	-	-	UD	UD	NE
	Issuance I _{CC} =0.03 I _{IRC} =0.05	20	20	100%	20	-	-	-	-	-	-	-	-
Germanischer Lloyd Certification GmbH (GLC)	Registration	-	-	-	-	-	-	-	-	-	UD	UD	NE
	Issuance I _{CC} =0.03 I _{IRC} =0.05	18	17	94%	16	-	-	1	1	-	-	-	0.29

DOE	Type & Thresholds	PA ¹ submitted for registration /issuance	PA for which a final decision was made	% of PA finalized	PA automatically registered /issued	PA incomplete at CC	PA incomplete at IRC	PA reviewed	PA rejected	PA withdrawn	I _{CC} ²	I _{IRC} ³	I ₂ ⁴
Colombian Institute for Technical Standards and Certification (ICONTEC)	Registration	2	-	0%	-	-	-	-	-	-	UD	UD	NE
	Issuance I _{CC} =0.11 I _{IRC} =0.15	1	1	100%	1	-	-	-	-	-	-	-	NE
JACO CDM., LTD (JACO)	Registration	1	1	100%	1	-	-	-	-	-	-	-	NE
	Issuance I _{CC} =0.05 I _{IRC} =0.08	5	5	100%	5	-	-	-	-	-	-	-	NE
Japan Consulting Institute (JCI)	Registration	3	2	67%	2	-	-	-	-	-	-	-	NE
	Issuance I _{CC} =0.03 I _{IRC} =0.05	18	18	100%	18	-	1	-	-	-	-	0.06	-
Japan Quality Assurance Organisation (JQA)	Registration	-	-	-	-	-	-	-	-	-	UD	UD	NE
	Issuance I _{CC} =0.04 I _{IRC} =0.06	11	11	100%	11	-	-	-	-	-	-	-	-
KBS Certification Services Pvt. Ltd (KBS)	Registration	2	-	0%	-	-	-	-	-	-	UD	UD	NE
	Issuance I _{CC} =0.04 I _{IRC} =0.06	10	10	100%	10	1	1	-	-	-	0.10	0.10	-

DOE	Type & Thresholds	PA ¹ submitted for registration /issuance	PA for which a final decision was made	% of PA finalized	PA automatically registered /issued	PA incomplete at CC	PA incomplete at IRC	PA reviewed	PA rejected	PA withdrawn	I _{CC} ²	I _{IRC} ³	I ₂ ⁴
Korea Energy Management Corporation (KEMCO)	Registration	-	-	-	-	-	-	-	-	-	UD	UD	NE
	Issuance I _{CC} =0.06 I _{IRC} =0.08	4	4	100%	4	-	-	-	-	-	-	-	NE
Korean Foundation for Quality (KFQ)	Registration	-	-	-	-	-	-	-	-	-	UD	UD	NE
	Issuance I _{CC} =0.08 I _{IRC} =0.11	2	2	100%	2	-	-	-	-	-	-	-	NE
Korean Standards Association (KSA)	Registration	-	-	-	-	-	-	-	-	-	UD	UD	NE
	Issuance I _{CC} =0.11 I _{IRC} =0.15	1	1	100%	1	-	-	-	-	-	-	-	NE
Korea Testing & Research Institute (KTR)	Registration	-	-	-	-	-	-	-	-	-	UD	UD	NE
	Issuance I _{CC} =0.11 I _{IRC} =0.15	1	1	100%	1	-	-	-	-	-	-	-	NE
Lloyd Register Quality Assurance Ltd. (LRQA)	Registration	2	1	50%	1	-	-	-	-	-	-	-	NE
	Issuance I _{CC} =0.03 I _{IRC} =0.05	18	18	100%	18	-	-	-	-	-	-	-	-

DOE	Type & Thresholds	PA ¹ submitted for registration /issuance	PA for which a final decision was made	% of PA finalized	PA automatically registered /issued	PA incomplete at CC	PA incomplete at IRC	PA reviewed	PA rejected	PA withdrawn	I _{CC} ²	I _{IRC} ³	I ₂ ⁴
Perry Johnson Registrars Carbon Emissions Services (PJRCS)	Registration	3	3	100%	3	-	-	-	-	-	-	-	NE
	Issuance I _{CC} =0.04 I _{IRC} =0.06	9	9	100%	9	-	-	-	-	-	-	-	-
re-consult Ltd. (Re-consult)	Registration	-	-	-	-	-	-	-	-	-	UD	UD	NE
	Issuance I _{CC} =0.07 I _{IRC} =0.09	3	3	100%	3	-	-	-	-	-	-	-	NE
RINA Services S.p.A. (RINA)	Registration	6	3	50%	3	-	-	-	-	-	-	-	NE
	Issuance I _{CC} =0.05 I _{IRC} =0.07	7	7	100%	7	-	-	-	-	-	-	-	-
SGS United Kingdom Limited (SGS)	Registration	10	10	100%	10	-	-	-	-	-	-	-	-
	Issuance I _{CC} =0.02 I _{IRC} =0.03	132	131	99%	131	1	2	-	-	-	0.01	0.02	-

DOE	Type & Thresholds	PA ¹ submitted for registration /issuance	PA for which a final decision was made	% of PA finalized	PA automatically registered /issued	PA incomplete at CC	PA incomplete at IRC	PA reviewed	PA rejected	PA withdrawn	I _{CC} ²	I _{IRC} ³	I ₂ ⁴
SIRIM QAS International SDN.BHD (SIRIM)	Registration	3	2	67%	2	-	-	-	-	-	-	-	NE
	Issuance I _{CC} =0.05 I _{IRC} =0.08	5	5	100%	5	-	-	-	-	-	-	-	NE
TÜV NORD CERT GmbH (TÜV NORD)	Registration	15	13	87%	13	-	-	-	-	-	-	-	-
	Issuance I _{CC} =0.02 I _{IRC} =0.03	74	72	97%	72	2	-	-	-	-	0.03	-	-
TÜV Rheinland (China) Ltd. (TÜV Rheinland)	Registration	8	6	75%	6	-	-	-	-	-	-	-	NE
	Issuance I _{CC} =0.02 I _{IRC} =0.03	69	69	100%	69	-	2	-	-	-	-	0.03	-
TÜV SÜD Industrie Service GmbH (TÜV SÜD)	Registration	3	3	100%	3	-	-	-	-	-	-	-	NE
	Issuance I _{CC} =0.03 I _{IRC} =0.04	36	35	97%	34	1	1	-	-	1	0.03	0.03	-
URS Verification Private Ltd. (URS)	Registration	3	2	67%	2	-	-	-	-	-	-	-	NE
	Issuance I _{CC} =0.11 I _{IRC} =0.15	1	1	100%	1	-	-	-	-	-	-	-	NE

Key:

- = 0 (zero)
- UD = undefined (division by zero) or indeterminate (zero divided by zero)
- NE = not eligible

Note:

1. Project activity
2. Rate of incomplete submissions at completeness check: I1_{cc}. The thresholds for this indicator are defined for each DOE.
3. Rate of incomplete submissions at information and reporting check I1_{IRC}. The thresholds for this indicator are defined for each DOE.
4. Rate of requests for review adjusted by weight of requests I2 = SUM (weights of Request for Reviews) / Number of requests completed. A DOE shall be subject to monitoring of its indicator I2 only after it has finalised a seventh request for registration or issuance in a given monitoring period.
5. Definition of thresholds for I2

Registration	I2 < 0,5	
	I2 = 0,5 ~ 3	
	I2 ≥ 3	

Issuance	I2 < 0,5	
	I2 = 0,5 ~ 1,5	
	I2 ≥ 1,5	

INDICATORS CORRESPONDING TO REQUESTS FOR POST REGISTRATION CHANGES

DOE	Submitted			Finalized				Prior approval track					
	Total PRCs	Issuance track	Prior approval track	Total PRCs	Issuance track	Prior approval track	Total PRCs (%)	PRC incomplete at CC	Clarification sought	PRC rejected	Withdrawn	I3 ¹	I4 ²
Bureau Veritas Certification Holding SAS (BVCH)	12	7	5	12	7	5	100%	-	1	-	-	-	1.40
CEPREI certification body (CEPREI)	3	2	1	3	2	1	100%	-	-	-	-	-	-
China Environmental United Certification Center Co., Ltd. (CEC)	2	-	2	2	-	2	100%	-	-	-	-	-	-
China Quality Certification Center (CQC)	2	2	-	2	2	-	100%	-	-	-	-	UD ³	UD
Colombian Institute for Technical Standards and Certification (ICONTEC)	6	-	6	6	-	6	100%	1	2	1	2	0.17	0.83
Deloitte Tohmatsu Evaluation and Certification Organization (Deloitte-TECO)	8	7	1	8	7	1	100%	-	-	-	-	-	-
Det Norske Veritas Certification AS (DNV)	27	14	13	27	14	13	100%	-	3	1	-	-	1.00

DOE	Submitted			Finalized				Prior approval track					
	Total PRCs	Issuance track	Prior approval track	Total PRCs	Issuance track	Prior approval track	Total PRCs (%)	PRC incomplete at CC	Clarification sought	PRC rejected	Withdrawn	I3 ¹	I4 ²
ERM Certification and Verification Services Ltd. (ERM CVS)	2	-	2	2	-	2	100%	-	-	-	-	-	-
Germanischer Lloyd Certification GmbH (GLC)	5	5	-	4	4	-	80%	-	-	-	-	UD	UD
JACO CDM., LTD (JACO)	2	2	-	2	2	-	100%	-	-	-	-	UD	UD
Japan Consulting Institute (JCI)	3	3	-	3	3	-	100%	-	-	-	-	UD	UD
Japan Quality Assurance Organisation (JQA)	1	1	-	1	1	-	100%	-	-	-	-	UD	UD
KBS Certification Services Pvt. Ltd (KBS)	5	3	2	3	3	-	60%	-	-	-	-	UD	UD
Korea Environment Corporation (KECO)	1	1	-	1	1	-	100%	-	-	-	-	UD	UD
Korean Foundation for Quality (KFQ)	1	-	1	1	-	1	100%	-	-	-	-	-	-
Korean Standards Association (KSA)	1	1	-	1	1	-	100%	-	-	-	-	UD	UD
LGAI Technological Center, S.A. (Applus)	4	4	-	4	4	-	100%	-	-	-	-	UD	UD

DOE	Submitted			Finalized				Prior approval track					
	Total PRCs	Issuance track	Prior approval track	Total PRCs	Issuance track	Prior approval track	Total PRCs (%)	PRC incomplete at CC	Clarification sought	PRC rejected	Withdrawn	I3 ¹	I4 ²
Lloyd Register Quality Assurance Ltd. (LRQA)	5	2	3	5	2	3	100%	-	-	-	-	-	-
Perry Johnson Registrars Carbon Emissions Services (PJRCS)	1	1	-	1	1	-	100%	-	-	-	-	UD	UD
re-consult Ltd. (Re-consult)	1	1	-	1	1	-	100%	-	-	-	-	UD	UD
RINA Services S.p.A. (RINA)	1	-	1	1	-	1	100%	-	-	-	-	-	-
SGS United Kingdom Limited (SGS)	21	15	6	20	14	6	95%	-	2	-	-	-	1.67
SIRIM QAS International SDN.BHD (SIRIM)	2	1	1	1	-	1	50%	-	-	-	-	-	-
TÜV NORD CERT GmbH (TÜV NORD)	15	13	2	15	13	2	100%	-	1	-	-	-	2.00
TÜV Rheinland (China) Ltd. (TÜV Rheinland)	15	12	3	15	12	3	100%	-	1	-	-	-	1.33
TÜV SÜD Industrie Service GmbH (TÜV SÜD)	2	1	1	1	1	-	50%	-	-	-	-	UD	UD
URS Verification Private Limited (URS)	1	1	-	1	1	-	100%	-	-	-	-	UD	UD

Note:

1. I_3 : Rate of incomplete submissions at completeness check of the post-registration changes
 2. I_4 : Rate of issues required for clarifications from the DOE and requests from post registration changes rejected adjusted by weight of the requests.
 3. $-$ = 0 (zero)
 4. UD = undefined (division by zero) or indeterminate (zero divided by zero)
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Document information

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02.0	3 December 2013	As required according to "Procedure: Performance monitoring of designated operational entities" (EB73, Annex 14, paragraphs 37-38).
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