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Title/Subject (give a short title or specify the subject of your submission)  
Determining “Project Participant” in the application of “Guidelines on assessment of debundling for SSC project activities” (Annex 13, EB 54)

Please mention whether the submitter of the form is:  
☐ Project participant  
☒ Other stakeholder, please specify Multilateral Financial Institution

Specify whether you want the letter to be treated as confidential:  
☐ To be treated as confidential  
☒ To be publicly available (UNFCCC CDM web site)

Please choose any of the type(s) below to describe the purpose of this submission:

☒ Type I:  
☐ Request for clarification  
☒ Revision of existing rules  
☐ Standards. Please specify reference  
☐ Procedures. Please specify reference  
☐ Guidance. Please specify reference “Guidelines on assessment of debundling for SSC project activities” (Annex 13, EB 54)  
☐ Forms. Please specify reference  
☐ Others. Please specify reference

☐ Type II: Request for Introduction of new rules

☐ Type III: Provision of information and suggestions on policy issues

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1 DNAs and DOEs shall use the respective DNA/DOE forms for communication with the Board.  
2 As per the applicable modalities and procedures, the Board may make its response publicly available.  
3 Latest CDM regulatory documents and information are available at:  
Dear Members of the Executive Board,

We would like to bring to your attention an inconsistency between the General Principles of Bundling (Annex 21, EB66) and “Guidelines on assessment of debundling for SSC project activities” (debundling guidelines, Annex 13, EB 54), as well as the difficulties encountered by projects in applying the guidelines for bundling projects.

Per the paragraph 2(a) of the debundling Guidelines, a proposed small-scale project activity shall be deemed to be a debundled component of a large project activity if it has the same project participants as other small scale projects. As shown in Figure 1 below, bundles that comprise different project participants who own and operate plants independently and are coordinated by the same participant entity for communications with the UNFCCC are interpreted by the UNFCCC Secretariat to be non-compliant of the debundling guidelines.

Figure 1: different bundles with one representing entity for CDM transaction

In the above context, the following provisions related to bundling are relevant.

1) Para 18 (a) of “General Principles for Bundling” (version 2.0 Annex 21, EB 66): project entities who submitted a written agreement to bundle their individual project activities are deemed to be project participants;

2) Para 18 (b) of “General Principles for Bundling” (version 2.0 Annex 21, EB 66): one project participant will represent all the project participants to communicate with the Board.

3) “Glossary of CDM terms” (Version 6.0, Annex 63, EB 66): project participant is “a Party involved that intends to participate, or a private and/or public entity authorized by the DNA of a Party involved to participate in a CDM project activity or PoA, as applicable.”

To be consistent with the above provisions, we would like to request the revision of the project participant definition under the “Glossary of terms” when it applies to bundled projects, the multiple project participants should be viewed as authorized when their presence are fully acknowledged by DNA’s authorisation.

The SSC-WG 40 noted that “the SSC WG understands that there may be cases when project participants listed in the PDD do not have operational or financial control of a project activity….it is out of the scope of the SSC WG to modify the definition or to create sub-categories for various types of project participants.” (Referring to paragraph 46 of the meeting report of the SSC WG 40). Considering that SSC-WG has acknowledged that the matter is out of its scope, we request the EB to review the consistency of the provisions of bundling and debundling guidelines and update the definition of project participant under the glossary of CDM terms so facilitate its application to bundled projects. We look forward to providing relevant information as necessary.

If necessary, list attached files containing relevant information (if any)

• [replace this bracket with text, the field will expand automatically with size of text]

Section below to be filled in by UNFCCC secretariat

Date when the form was received at UNFCCC secretariat 22 May 2013

Reference number 2013-265-S
<table>
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<th>Date</th>
<th>Nature of revision</th>
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<td>01.2</td>
<td>08 February 2012</td>
<td>Editorial revision.</td>
</tr>
<tr>
<td>01.1</td>
<td>09 August 2011</td>
<td>Editorial revision.</td>
</tr>
<tr>
<td>01</td>
<td>04 August 2011</td>
<td>Initial publication date.</td>
</tr>
</tbody>
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**Decision Class**: Regulatory  
**Document Type**: Form  
**Business Function**: Governance