

5th CDM Roundtable

Session II: Improving the stakeholder consultation process

Bonn, Germany, 10 August 2012



Background

- EB61 the Board considered a proposal and background paper prepared by a member
- At EB62 the Board considered a initial analysis by the secretariat and agreed to launch a call for inputs
- At EB64 the Board considered summary of call for inputs and requested Secretariat to provide recommendations for consideration in future EB meetings
- At EB66, CDM MAP 2012 was approved which requires work on this area (project No. 151) this year by considering/incorporating recommendations through revised standards/ guidelines



Objective and approach

Objective

Improving the stakeholder consultation process with the aim of increasing participation, transparency and effectiveness

Approach

- Complement the current process by adding missing steps/provisions that would help:
 - Ensure uniformity of the practices for stakeholder consultations among all project participants (PPs) and the way it is assessed by DOEs;
 - Ensure that affected communities and stakeholders are adequately consulted and are provided the opportunity to give feedback;
- Elaborate the current requirements so as to provide better guidance to PPs and DOEs on how to undertake a specific step in the process;
- Propose a change to existing requirements.



Local stakeholder consultation

Issues identified

- Current rules do not provide specific guidance to PPs on who to consult during the LSC process, when and using what method.
- No clear guidance to DOEs on how to adequately assess the LSC conducted by the PPs.



Local stakeholder consultation

Proposal

- Define the start time of the consultation
- Define the scope of consultations
- Identification of stakeholders that should be involved
- Solicitation of comments;
 - a) Specify the means for inviting stakeholders participation
 - b) Specify what information has to be made available to stakeholders
 - c) Specify the format of the information to be provided
 - d) Specify how the consultation shall be conducted.
- Summary of comments received
- Report on consideration of comments received



Local stakeholder consultation

Proposal

- Stakeholder feedback round
 - a) Define how to conduct this round
 - b) Define what information shall be made available to stakeholders
 - c) Define how the consultation shall be conducted;
 - d) Define reporting requirements including how comments were taken into account

- Grievance mechanism for stakeholders if their comments are not taken into account



Global stakeholder consultation

Issues identified

- PDD is presented to stakeholders only in English
- Short time period allocated for comments
- Requirements for DOEs on how to validate stakeholder comments and how to deal with vexatious comments are also not currently very elaborated.



Global stakeholder consultation

Proposal

- Extend the commenting period for submission of global stakeholder comments
- Expand the types of documents that are required to be published for global stakeholder consultation
- Define the scope of GSC of comments to be submitted
- Improve the GSC web pages
- Allow comments to be submitted in local language used in the location of the project
- Report on consideration of comments received



Stakeholder concerns raised after the GSC or registration of the CDM project

Issue

The current regulatory framework provides only limited and specific timing within the project cycle for consideration of issues regarding possible non-compliance with CDM rules raised by stakeholders.

Therefore, if negative impacts become manifest during project implementation that were not visible in the design phase, currently there is no provisions to deal with such situations.



Stakeholder concerns raised after the GSC or registration of the CDM project

Proposal

- Option 1: At the issuance stage when a monitoring report is uploaded, comments shall be invited from stakeholders on any negative impacts that may have been triggered by the implementation of the CDM project activity. The DOE shall verify those allegations if any and include an opinion in its verification report.
- Option 2: At the issuance stage when a monitoring report is uploaded, stakeholders may raise concerns directly with the DOE. These concerns or comments shall be limited to any negative impacts that the project may have triggered. The DOE shall verify those allegations if any and include an opinion in its verification report.
- Option 3: if a concern is raised by stakeholders on any negative impacts that may have been triggered by the implementation of the CDM project activity (for example, human right abuses etc...), the Board communicate the concerns to the host country DNA with a request to investigate the issue.



Concerns with regard to the length of time between the conduct of consultations and registration of the CDM project

Issue

For some projects, there is a long time elapsing between the LSC and registration of those projects, concerns were therefore raised that the project design may have significantly changed from the earlier version submitted for stakeholders comments or that negative impact may have become apparent.



Concerns with regard to the length of time between the conduct of consultations and registration of the CDM project

Proposal

- Option 1: Repeating the local stakeholder consultation if the project is not submitted for registration within 2 years from the conduct of the initial consultations. PPs/CME shall undertake a third round of stakeholder consultation if the project is not submitted for registration within 2 years from the conduct of the initial consultations.
- Option 2: Repeating the global stakeholder consultation if the project is not submitted for request for registration within 2 years from the conduct of the initial global stakeholders consultation. The DOE shall upload in the CDM website the latest version of the documents required for the GSC and shall follow the same process for conducting it.



Thank you!

