Fifth CDM Roundtable

Development of consolidated procedure for development, revision and clarification of methodologies

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UNFCCC Secretariat SDM programme

Background

- Procedures relating to the development, revision and clarification of methodologies/tools have been developed individually for different project types and processes → inconsistencies
- No procedure for top-down development/revision of methodologies/tools → inconsistencies
- Mandate: The Board agreed to develop a consolidated procedure.
- Objective:
 - a) Ensure consistency of processes for different types of methodologies/tools
 - b) Streamline the processes as much as possible
 - c) Define the top-down processes
 - d) Improve the effectiveness and clarity



Bottom-up processes

	Large- scale	Small- scale	Large- scale A/R	Small- scale A/R	CCS
New meth development	\checkmark	\checkmark	\checkmark	← (mutatis mutandis)	\checkmark
Revision of approved meth/tool	\checkmark	\checkmark	\checkmark	← (mutatis mutandis)	
Clarification of approved meth/tool	\checkmark	\checkmark	← (mutatis mutandis)	← (mutatis mutandis)	

Top-down processes

• No dedicated procedures



Current procedures - comparison

Inconsistencies exist in:

- Between corresponding processes for different meth/tool types
- Between different process types
 - a) Who may propose
 - b) Who may submit
 - c) Timeframe
 - d) Whether to charge a fee
 - e) Whether to conduct pre-assessment (screening of submissions)
 - f) Involvement of selected panel/WG members
 - g) Utilization of external experts (desk-reviewers)
 - h) Public comments
 - i) Iteration with submitters for clarification or additional information
 - j) Roles of panel/WG chair
 - k) Roles of the secretariat
 - I) Version control, reference to top-down process, etc.



Proposals – principles (1)

Common principles

- <u>Align provisions</u> within the same process type for different meth/tool types as much as possible (e.g. one process of new meth development applicable for all types of meths)
- No fees to any process
- To cover <u>CCS</u> meths/tools
- Allow proponents to make <u>corrections</u> or provide <u>clarification</u>, <u>additional information</u> at any stage
- Define the top-down process
- Decide on the necessity of involving <u>external experts</u> depending on technical complexity of the case (i.e. not automatic involvement)
- Include possibility of <u>returning the recommendation to panel/WG</u> for further consideration
- Delegate some <u>administrative roles</u> from panel/WG chair to the secretariat



Proposals – principles (2)

Principles for new meth development process

Allow any stakeholders to directly <u>submit a proposed new meth</u>
Ensure <u>consultation with the proponent</u> before panel/WG makes final recommendation to the Board

Principles for meth revision process

•Allow any stakeholders to directly submit a proposed revised meth

•Decide the necessity of <u>public consultation</u> depending on the impact of revision

•Ensure <u>consultation with the proponent</u> before panel/WG makes final recommendation to the Board

•Align version control rules



Principles for clarification process

• Limit to project participants and DOEs for making a request



Proposals – new meth development process (1)

Bottom-up process

1.Submission of a proposed new meth by any stakeholder \rightarrow No fee

- 2.Completeness check by the secretariat
- 3.Pre-assessment by the secretariat

4. Publication of "qualified" submission for public commenting

5.Assessment of submission by the secretariat, in consultation with selected members of relevant panel/WG \rightarrow Involvement of external experts is optional

6.Consideration by the panel/WG

7. Consultation with the proponent

8.Correction by the proponent or requesting to the proponent for additional information/clarification at anytime

9. Consideration by the panel/WG to prepare recommendation to the Board

10.Consideration by the Board \rightarrow Possible return to panel/WG

11. Publication of adopted meth



Proposals – new meth development process (2)

Top-down process

1.Initiation of the process by the Board, panel/WG or the secretariat

2.Preparation of workplan and/or draft meth/tool by the secretariat, in consultation with selected members of relevant panel/WG \rightarrow Involvement of external experts is optional

- 3. Consideration by the panel/WG
- 4. Publication of draft meth/tool for public commenting
- 5. Consideration by the panel/WG to prepare recommendation to the Board

6.Consideration by the Board \rightarrow Possible return to panel/WG

7. Publication of adopted meth/tool



Proposals – meth revision process

• The same main steps as for the new meth development process, mutatis mutandis, with possible differentiation in small areas (e.g. time frame)



Proposals – clarification process (1)

Bottom-up process

1.Submission of a request for clarification by project participants through a DOE \rightarrow No fee

2.Completeness check by the secretariat

3. Preparation of draft clarification by the secretariat, and:

- a. Responding to the enquirer (super-fast track: very simple case)
- b. Consultation with selected members of relevant panel/WG, and responding to the enquirer (fast track: simple case)
- c. Consultation with selected members of relevant panel/WG → Involvement of external experts is optional (regular track: all other cases)

Regular track only

1.Consideration by the panel/WG to prepare recommendation to the Board

2.Consideration by the Board \rightarrow Possible return to panel/WG

3. Responding to the enquirer and publication of adopted clarification



Top-down process

1. Initiation of the process by the Board, panel/WG or the secretariat

2.Preparation of draft clarification by the secretariat, in consultation with selected members of relevant panel/WG \rightarrow Involvement of external experts is optional

3. Consideration by the panel/WG to prepare recommendation to the Board

- 4.Consideration by the Board \rightarrow Possible return to panel/WG
- 5. Publication of adopted clarification



Implications

 The implementation of the new process may take time to allow necessary IT development for the workflows, stakeholders adaptation and internal training

