Draft revision to the approved baseline and monitoring methodology AM0031

“Baseline Methodology for Bus Rapid Transit Projects”

I. SOURCE, DEFINITIONS AND APPLICABILITY

Sources

This baseline methodology is based on the proposals from the following proposed methodology:

- NM0105-rev “Baseline Methodology for Bus Rapid Transit Projects,” whose baseline methodology was developed by Gruetter consulting.

This methodology also refers to the latest approved version of the following tool(s):

- “Tool for the demonstration and assessment of additionality”;
- “Tool to calculate project, baseline and/or leakage emissions from electricity consumption”;
- “Tool to calculate project or leakage CO₂ emissions from fossil fuel consumption.”

For more information regarding the proposed new methodologies and the tools as well as their consideration by the Executive Board please refer to <http://cdm.unfccc.int/goto/MPappmeth>.

Selected approach from paragraph 48 of the CDM modalities and procedures

Existing actual or historical emissions, as applicable.

Definitions

For the purpose of this methodology, the following definitions apply:

Mass Rapid Transit Systems (MRTS or MRT systems) are collective urban or suburban passenger services operating at high levels of performance, especially with regard to travel times and passenger carrying capacity. They can be based on elevated, surface level or underground roads or rail systems. MRTS can be rail-based systems such as subways/metros, Light Rail Transit (LRTs) systems, including trams, or suburban heavy duty rail systems or road-based bus systems. For the purpose of this methodology road-based MRTS are bus systems using bus-lanes (see below the definition of a bus lane), which can also be called Bus Rapid Transit (BRT) systems.

Bus rapid transit (BRT) system is a collective urban or sub-urban passenger transit service system that is bus-based, uses bus lanes for trunk routes, and operates at high levels of performance, especially with regard to travel times and passenger carrying capacity.

Bus lane (or trunk route) refers to a segregated lane, where only buses are allowed to operate. Private vehicles are not allowed to use the bus lane. Exceptions, such as emergency vehicles can apply. Bus lanes need not necessarily be physically separated from other traffic lanes. If no physical separation is realized then it must be ensured that enforcement takes place to prevent the usage of the bus lane by other vehicles. It is not a requirement that 100% of the route is a bus-only lane as buses might share part of the lanes with other modes of transport e.g. at traffic crossings, bridges, tunnels, in narrow parts or on roads with limited traffic e.g. in suburban parts of the city. However to qualify for this methodology more than half of the included bus route must be a bus-only lane.
Extensions of bus lanes refers to situations where the same bus operates on the previously existing lane and the extended lane, i.e. passengers do not need to change from one bus to another bus to use the extended bus lane. The entire bus lane is thus composed of an existing or “old lane” and a “lane extension” (latter is the project activity).

New bus lanes are bus lanes on which buses are operated that are different than buses operated on the previously existing lanes. New bus lanes might share certain stations with an existing lane but passengers will have to switch buses, if their trip involves stations on the “existing” and the “new” lane.

Feeder routes refer to bus routes which have intersections with trunk routes and which “feed” passengers on the trunk routes. Feeder routes are those with less passenger demand and which operate under mixed traffic conditions.

City is a permanent settlement defined by its administrative boundaries and includes surrounding suburbs.

Rebound Effect is the term used to describe the effect that the BRT has on changing ‘consumer behaviour’ leading to additional trips. The rebound effect describes the effect that consumption (i.e. in this case the number and length of trips) may increase if prices decline or the quality of the service improves. If the BRT project reduces traffic congestion or improves the quality of transportation and reduces travel time, therefore reducing opportunity costs, it tends to increase the number and/or length of trips undertaken.

Applicability

The methodology is applicable to project activities that reduce emissions through the construction and operation of a Bus Rapid Transit (BRT) system for urban road based transport. The methodology is also applicable for extensions or expansions of existing BRT systems (adding new routes and lines).

The following applicability conditions apply:

- The project has a clear plan to reduce existing public transport capacities either through scrapping, permit restrictions, economic instruments or other means and replacing them by a BRT system;
- Local regulations do not constrain the establishment or expansion of a BRT system.
Any fuels, including (liquefied) gaseous fuels or biofuel blends, as well as electricity, can be used in the baseline or project case. The following conditions apply:

1. In the case of biofuels, project buses must use the same biofuel blend (same percentage of biofuel) as commonly used by conventional comparable urban buses in the country, i.e. the methodology is not applicable if project buses use higher or lower blends of biofuels than those used by conventional buses. In addition, the project busses shall not use a significantly higher biofuel blend than cars and taxis.

The project activity BRT system is road-based. The baseline public transport system and other public transport options are road- or rail-based (the methodology excludes air and water-based systems from analysis). However, the methodology is not applicable if the project activity BRT system replaces an urban rail-based Mass Rapid Transit System (MRTS), i.e. if the MRTS stops operating after project implementation due to the project activity;

- The BRT system partially or fully replaces a traditional public transport system in a given city. The methodology cannot be used for BRT systems in areas where currently no public transport is available;

The methodology is applicable if the analysis of possible baseline scenario alternatives leads to the result that a continuation of the use of the current public modes of transport system is the baseline scenario that reasonably represents the anthropogenic emissions by sources of greenhouse gases (GHG) that would occur in the absence of the proposed project activity (i.e. the baseline scenario).

This baseline methodology shall be used in conjunction with the approved monitoring methodology AM0031 (Monitoring methodology for Bus Rapid Transit project).

### Summary description

**Bus Rapid Transit (BRT)** is a bus-based mass transit system that delivers fast, comfortable, and cost-effective urban mobility. A BRT system can reduce greenhouse gas emissions via:

- Improved fuel-use efficiency through new and larger buses;
- Mode switching due to the availability of a more efficient and attractive public transport system;
- Load increase by having a centrally managed organisation dispatching vehicles;
- Potentially a fuel switch to low carbon fuels.

BRT systems replace conventional public transport systems. The new bus system transports passengers who, in absence of the project, would have used the conventional public transport system or other modes of transport such as passenger cars. A reduction or retirement of some of the conventional buses through scrapping, reduction of permits or market-based instruments is thus an integral part of this methodology.

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1 No provisions to calculate upstream emissions from the production of biofuels are provided in order to keep the methodology simple. Therefore, in order to ensure that the calculated emission reductions are conservative, this applicability condition aims to limit the use of the methodology to cases where the upstream emissions under the project activity are likely to be equal or lower than in the baseline scenario. Note that other methodologies involving fuel switch situations usually require the consideration of upstream emissions.

2 Comparable means of the same fuel type e.g. project buses using diesel are compared with conventional buses using diesel etc. The comparison is made for each year of monitoring based on official fuels sold. The term commonly used refers to the majority of units.

3 Project proponents wishing to consider project busses with a higher biofuel blend may propose a revision of this methodology based on future EB guidance on biofuels use.

4 Permits to operate certain routes given by the corresponding authority.
II. BASELINE METHODOLOGY PROCEDURE

Project Boundary

The project boundary is defined by the passenger trips completed on the BRT project that is part of the public and private road-based passenger transport sector of the city in which the project is realized. The physical delineation is determined by the outreach of the new BRT or public or private urban passenger transport project.

In case of using electricity from an interconnected grid or captive power plant for the propulsion of the transport systems included in the project boundary, the project boundary also includes the power plants connected physically to the electricity system that supply power to those transport systems. Please refer to the “Tool to calculate baseline, project and/or leakage emissions from electricity consumption”.

Emission sources not considered in the Methodology

- Emissions caused by remaining transport system (taxis, cars, conventional public transport)
- Emissions caused by freight, ship, rail and air transport

Emission sources considered in the Methodology

Direct project and baseline emissions
Emissions caused by passengers transported in the BRT project

Emissions included as leakage
Congestion change provoked by project resulting in (inter alia):
- Increased vehicle speed
- Rebound effect
- Upstream emissions of gaseous fuels in case more gaseous fuels are used by the project case compared to the baseline case

Other emissions included as leakage
Change of baseline factors monitored during project and included as leakage:
- Change of load factors of taxis provoked indirectly by project
- Change of load factor of remaining conventional buses provoked indirectly by project

Figure 1: Project Boundary

5 Incentives or disincentives; A market based strategy is also to simply let the rule of supply and demand work i.e., the reduced demand for conventional non-BRT bus transport will automatically lead to a reduced supply through less passengers i.e., less income and thus a drop in the profit rate for operating buses.
### Table 1: Emissions sources included in or excluded from the project boundary

<table>
<thead>
<tr>
<th>Source</th>
<th>Gas</th>
<th>Included?</th>
<th>Justification / Explanation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mobile source emissions of different modes of road transport for passengers which use BRT system (buses, passenger cars, motorcycles, taxis)</td>
<td>CO₂</td>
<td>Yes</td>
<td>Main Major emission source</td>
</tr>
<tr>
<td></td>
<td>CH₄</td>
<td>Yes</td>
<td>Included only if gaseous fuels are used and excluded for liquid fuels. CH₄ emissions are a minor emission source of the total CO₂e emissions in diesel/gasoline vehicles. Neglecting these emissions in baseline as well as project emissions is conservative as fuel consumption and thus also CH₄ emissions are reduced through the project.</td>
</tr>
<tr>
<td></td>
<td>N₂O</td>
<td>Yes</td>
<td>N₂O emissions are a minor source of the total CO₂e emissions in diesel/gasoline vehicles. Neglecting these emissions in baseline as well as project emissions is conservative as fuel consumption and thus also N₂O emissions are reduced through the project.</td>
</tr>
<tr>
<td>Project Activity</td>
<td>BRT bus emissions (feeder and trunk routes)</td>
<td>CO₂</td>
<td>Yes</td>
</tr>
<tr>
<td></td>
<td>CH₄</td>
<td>Yes</td>
<td>Included only if gaseous fuels are used. See explanation above</td>
</tr>
<tr>
<td></td>
<td>N₂O</td>
<td>Yes</td>
<td>See explanation above</td>
</tr>
</tbody>
</table>

### Identification of the Baseline Scenario

**Step 1: Identify all options available that meet the same requirement as the proposed project activity**

Alternatives assessed include, but not limited to:
- A continuation of the current public transport system;
- The project proposal (BRT system) not implemented as a CDM project activity;
- Rail or water-based systems;
- Comprehensive re-organization of the transport system.

**Step 2: Analyze all options identified in Step 1 using the latest version of the “Tool for the demonstration and assessment of additionality”**

**Step 3: If Step 2 results in more than one possible alternative baseline scenario, the most likely baseline scenario is the scenario with the lowest baseline emissions**
This methodology is only applicable if the identified baseline scenario is continuation of the current public transport system up to the end of the crediting period. Baseline emissions are those corresponding to existing actual or historical emissions by sources in the baseline scenario and are calculated ex post. The parameter “emissions per passenger per trip” (or per passenger per km) is taken to measure the efficiency of the current system in respect to GHG emissions.

Additionality

The additionality of the project is determined using the latest approved version of the “Tool for the demonstration and assessment of additionality.”

The following steps are used without repeating the details described in the above mentioned tool:

Step 1: Identification of alternatives to the project activity consistent with current laws and regulations. Alternatives included are at minimum a continuation of the current public transport system and the BRT system proposed as project. All alternatives, which are potentially viable, are included in the further steps and may represent the baseline scenario.

Step 2: In cases where the BRT project is fully privately financed (including roads, infrastructure etc) or where the public financed component is fully repaid on commercial terms through tariffs charged to system users the financial analysis as described in the tool under Step 2 can be used. If the BRT project is financed partially through public funding, the cost-benefit calculations of the public sector should include external costs and benefits such as the macroeconomic impact of reduced congestion or reduced health costs due to reduced air pollution. The relative comparison shall be made to other transport investment opportunities.

Step 3: Barrier analysis including typical barriers in public transport projects:

- Financial or investment barriers due to resource constraints of public bodies while having many potential investment opportunities aside from transport such as investment in health, education, social welfare etc;
- Prevailing practice barriers if such projects are first in its kind in the region or country;
- Resistance to change from the existing transport operators and resistance to change from an informal to a formal transport system. Transport operators in many countries are a powerful body and fear reduced profits;
- Political resistance or political risk to implement continuously such projects. Urban public transport projects are in general realized in phases. Public authorities however change office and often projects are abandoned after one phase as the political benefit of additional phases is limited and new administrations tend to prefer new projects to reap the related publicity benefits;
- Technological or organizational barriers e.g., if buses with new technologies (e.g., CNG) are introduced or latter require special fuel (e.g., low sulphur diesel) or the new transport system requires sophisticated management not available currently.

Depending on the project either Step 3 (barrier analysis) or a combination of Step 2 and 3 is undertaken. Where the BRT project is fully privately financed (including roads, infrastructure etc) or where the publicly financed component is fully repaid on commercial terms through tariffs charged to system users, the project proponent should use both investment analysis and barrier analysis. If the infrastructure is fully publicly financed or not being repaid on commercial terms, project proponents may use a barrier analysis only.
In many BRT systems only operational costs excluding infrastructure costs are taken as a basis when calculating the tariffs while the infrastructure is paid through other means (e.g. general government revenues or special fuel taxes). The PDD should indicate the sources of financing for the investment, and whether or not these are repaid on commercial terms.

**Step 4: Common practice analysis assessing the number of similar projects that exist in comparable project contexts without the CDM.**

**Step 5: Impact of CDM registration**

The Meth panel would like to invite for comments on the new approach to identify the baseline scenario and demonstrate additionality and the appropriateness of the benchmarks used in this new approach. The panel would also welcome data and analysis with regard to the values used in the approach.

**Identification of the baseline Scenario**

Project proponents shall demonstrate, through the analysis of alternatives, that the baseline scenario is the continuation of the use of current modes of transport and that the existing transport system is sufficient to meet the transportation demand that will be met by the project system. In this analysis, project proponents shall identify all options available that meet the same transportation demand as the project system.

**Step 1: Identify all options available that meet the same requirement as the proposed project activity**

Alternatives assessed include, but not limited to:

- A continuation of the current public transport system;
- The project proposal (BRT system) not implemented as a CDM project activity;
- Rail or water-based systems;
- Comprehensive re-organization of the transport system.

**Additionality demonstration**

BRT projects implemented in least developed countries (LDC) are deemed to be automatically additional. For other countries, project participants shall demonstrate additionality through the application of the following steps, which are also illustrated in Error! Reference source not found.
Are there less than 3 cities with MRTS in the country? 

No

Is the share of motorized trips realized on the existing BRT systems equal or less than 5% of total public transport trips in the city? 

Yes

Are the revenues from CERs per year equal or more than 30% of total annual operating & maintenance costs of the project BRT system? 

No

Yes

Project is additional

Figure 1: Additionality demonstration
Step 1: Assessment of common practice at country level

This step aims to determine whether the proposed CDM project activity is common practice in the host country where the project is proposed to be implemented. For this purpose, project participants shall assess whether there are less than 3 cities with MRT systems that started commercial operation in the host country of the proposed CDM project activity prior to the start of the CDM project activity.

Identify all cities with MRTs that have started commercial operation in the host country prior to the start of the CDM project activity. Project participants shall include a brief description of each system in the CDM-PDD.

Identify which MRT systems were developed as CDM project activities in the host country (registered project activities and project activities which have been published on the UNFCCC website for global stakeholder consultation as part of the validation process) and exclude the first three such MRT systems from the assessment of common practice in this step.

If the number of cities with MRTs (excluding the first three systems developed as CDM project activities) is equal to or exceeds 3 cities, then project participants should proceed to Step 2, otherwise project participants should proceed to Step 3 of the additionality demonstration test (see Error! Reference source not found.).

Step 2: Assessment of common practice at city level

This step aims to determine whether the proposed project activity is common practice in the city where the CDM project activity is proposed to be implemented. For this purpose, project participants shall assess whether the share of trips realized on the existing BRT system(s) in the city is equal or less than 5% of total public transport trips in the city.

Provide a breakdown of the total public transport trips realized in the city by the shares of trips realized on different public transport categories, distinguishing between the following public transport categories:

- Metro;
- Sub-urban rail;
- Light transit rail including trams;
- Conventional bus system;
- BRTs.

Project participants shall describe in the CDM-PDD a list of the existing public transport systems in the city that have started commercial operation prior to the start of the CDM project activity and identify to which of the public transport categories they belong. Project participants shall include a brief description of each system and also determine and document in the CDM-PDD the shares of motorized trips realized on each public transport category, expressed in percentages of the total motorized trips realized on all public transport systems in the city.

Identify which BRT systems were developed as CDM project activities in the host city (registered project activities and project activities which have been published on the UNFCCC website for global stakeholder consultation as part of the validation process) and exclude the first three such BRT systems from the assessment of common practice in this step.

If the share of trips realized on the existing BRT system (excluding the first three systems developed as CDM project activities) exceeds 5% of total public transport trips in the city, then the proposed
**CDM project activity is not additional. If the share of trips is equal or below 5% or if no BRT systems have been implemented in the city prior to the start of the project activity, then project participants should proceed to Step 3.**

### Step 3: Financial assessment at project level

The aim of this step is to determine whether the revenues from CERs per year constitute a significant proportion of the total operating and maintenance costs of the project BRT. For this purpose, the project participants shall assess whether the revenues from CERs per year are equal to or more than 30% of the total operating and maintenance costs of the project BRT.

Project participants shall provide an assessment of the *ex-ante* estimated revenues from CERs per year expected to be generated by the proposed project activity. For this assessment, the price of CERs should be taken as the average secondary CER price for the full year prior to the start of the proposed project activity. In case the project participants signed a contract with a CER buyer, the CER price from this contract can be used for calculations.

Project participants shall document and describe transparently the operational and maintenance cost components that are taken into account and provide an estimate of the total expected operating and maintenance costs of the proposed project activity per year, justifying relevant assumptions.

An indicative list of operational and maintenance cost categories that project proponents should include in the analysis are presented in Table below for BRTs. Depending on the specific circumstances of the proposed project activity, operational and maintenance cost components of a particular BRT system may differ from those listed in Table, which is provided as an example.

<table>
<thead>
<tr>
<th>Item</th>
<th>Unit of accounting for cost calculation</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Fixed operating costs</strong></td>
<td></td>
</tr>
<tr>
<td>Driver salaries</td>
<td>Employees/vehicle</td>
</tr>
<tr>
<td>Salaries of mechanics</td>
<td>Employees/vehicle</td>
</tr>
<tr>
<td>Salaries of administrative personnel and supervisors</td>
<td>Employees/vehicle</td>
</tr>
<tr>
<td>Other administrative expenses</td>
<td>% of variable costs + maintenance + personnel</td>
</tr>
<tr>
<td>Fleet insurance</td>
<td>% of value of vehicle/year</td>
</tr>
<tr>
<td><strong>Variable operating costs</strong></td>
<td></td>
</tr>
<tr>
<td>Fuel</td>
<td>Liters/ 100 km</td>
</tr>
<tr>
<td></td>
<td>m³ of natural gas/100 km</td>
</tr>
<tr>
<td>Tires</td>
<td>Units/ 100,000 km</td>
</tr>
<tr>
<td>New tires</td>
<td>Units/ 100,000 km</td>
</tr>
<tr>
<td>Retreading</td>
<td></td>
</tr>
<tr>
<td>Lubricants</td>
<td></td>
</tr>
<tr>
<td>Motor</td>
<td>Liters /10,000 km</td>
</tr>
<tr>
<td>Transmission</td>
<td>Liters /10,000 km</td>
</tr>
<tr>
<td>Differential</td>
<td>Liters /10,000 km</td>
</tr>
<tr>
<td>Grease</td>
<td>Kilograms/10,000 km</td>
</tr>
<tr>
<td>Maintenance</td>
<td>% value of vehicle/year</td>
</tr>
</tbody>
</table>

If the revenues from CERs are equal to or more than 30% of the total operating and maintenance costs of the BRT proposed as a CDM project activity, then the proposed CDM project activity is additional. Otherwise, the proposed CDM project activity is not deemed additional.

When validating the application of this additionality demonstration test, Designated Operation Entities (DOEs) shall carefully assess and verify the reliability and credibility of all data, rationales, assumptions, justifications and documentation provided by project participants to support the demonstration of additionality. The elements and data checked during this assessment and the conclusions shall be documented transparently in the validation report.

Baseline emissions

Baseline emissions are estimated using two main steps:

1. Determination of emissions per passenger transported per vehicle category: This is calculated ex ante, including the usage of a fixed technology change factor. The baseline emission factor is adapted to potential changes in trip distance and type of fuel used by passenger cars if the surveys indicate that changes in trip distance or fuel type used would lead to lower baseline emission factors;

2. Baseline emissions: are estimated ex post based on the passengers transported by the project and their modal split. Core baseline parameters used for calculating the baseline emission factors are reviewed through an annual survey, with changes only being applied if the baseline emissions factors would be lower than the original factor. The system operator records passenger numbers.

Note: If the project does not generate credits for the modal switch, it need not determine emissions per passenger using passenger cars, taxis or motorcycles. The annual modal survey will also not include these categories or questions related directly to these categories (change of trip distance of passenger cars or fuel type of passenger cars). The survey will, however, include the categories of public transport, non-motorised transport (NMT), and induced traffic (i.e. categories with emission factors lower than the project, to ensure that emission reductions are not overstated).
Two methodological alternatives or paths can be used to determine the baseline emission per passenger transported:

(A) As a function of emissions per kilometre and passengers per kilometre;

(B) As a function of sectoral fuel consumptions per vehicle category and passengers transported.

A mixed approach can also be used i.e., approach A for certain vehicle categories and approach B for others. The criteria for selecting the approach are data availability and data quality.

Note: Alternative B should only be chosen if the project proponent can ascertain that full consumption data as well as total passenger transported data are consistent (spatial, and vintage) and complete. This shall be verified by the DOE at validation.
Baseline emissions are determined through a sequence of the following steps:

1. **Determine Vehicle Categories**

   Identify relevant vehicle categories, which include:
   - Buses, differentiating large, medium and small buses, if appropriate;
   - Passenger cars;
   - Taxis;
   - Motorcycles.

   Criteria for identifying the categories are as follows:
   - At a minimum, public transport, non-motorised transport and induced traffic have to be included;
   - Conditions to include categories are that there are with reliable data on fuel consumption and load factors;
   - Only include categories that are relevant for the BRT project. If the project will only generate credits from public transport without modal switch shift, then passenger cars, taxis and motorcycles need not be included;
   - Differentiate relevant fuel types for each category. Diesel, gasoline and gas (CNG or LPG) are listed separately if a minimum of 10% of vehicles of the respective category use such a fuel, while the threshold for zero-emission\(^6\) fuels is minimum 1%. The 10% threshold is justified, as GHG emission differentials between diesel, gasoline and gaseous fuels are less than 20%;
   - In case of a system extension, the currently operating system is not included as a vehicle category.

2. **Calculate Emissions Per Passenger Based on Relative Data**

   2.A.1. **Determine Emissions per Kilometre for Vehicle Categories**

   CO\(_2\)e emissions per kilometre are calculated, fixed \textit{ex ante} for the project period, based on the consumption of each fuel type, the CO\(_2\)e emissions per litre of fuel and the fraction of vehicles using

   - CO\(_2\) emissions are developed estimated on the basis of the carbon content of the fuel;
   - CH\(_4\) and N\(_2\)O emission factors: CH\(_4\) emissions are a function of the fuel and engine type, and any post-combustion controls. N\(_2\)O emissions are technology based for each fuel type, vehicle category, installed control technologies and local data such as average driving speeds, temperatures, and altitude. The emission factors are transformed into CO\(_2\)eq using GWP factors approved by the Conference of the Parties to the UNFCCC. CH\(_4\) and N\(_2\)O emissions from gaseous fuels shall be accounted for. They can be ignored for liquid fuels, such as diesel and gasoline, as CH\(_4\) and N\(_2\)O emissions constitute a minor emission source for liquid fuels.

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\(^6\) Zero-emission in the context of operating emissions and not well-to-wheel or life-cycle emissions; this includes hydrogen.
Two methods are possible to determine the relevant CH\textsubscript{4} and N\textsubscript{2}O emission factors:

1. Local measured emission factors based on a reliable data source to be detailed in the PDD;
2. The pre-determined default value per vehicle category is used (described later in this section). The default value per vehicle category is the technology with the lowest sum of CO\textsubscript{2}eq emissions of N\textsubscript{2}O and CH\textsubscript{4}. This ensures a conservative approach. Alternative 1 is preferred. However, using the default value is a conservative approach. Using fixed and average values is also justified as CH\textsubscript{4} as well as N\textsubscript{2}O emissions in vehicles account, on average, for less than 1–2% of total CO\textsubscript{2}eq emissions.

The default parameters per vehicle category for CH\textsubscript{4} and N\textsubscript{2}O are presented in the Appendix in gCO\textsubscript{2}eq per litre of fuel consumed.

If electricity is used by vehicles the emissions are calculated based on the latest approved version of the “Tool to calculate project, baseline and or leakage emissions from electricity consumption”.

In case biofuel blends are used the biofuel share is calculated with a CO\textsubscript{2}eq emission factor equal to zero.

This equation calculates emissions per km for vehicles of different vehicle categories.

\[
EF_{KM,i} = \sum_x \left[ SEC_{x,i} \times \left( EF_{CO_2,x} + EF_{CH_4,x} + EF_{N2O,x} \right) \times \left( \frac{N_{x,i}}{N_i} \right) \right]
\]  
(1)

Where:
- \( EF_{KM,i} \) = Transport emissions factor per distance of vehicle category \( i \) (gCO\textsubscript{2}eq per kilometer driven)
- \( SEC_{x,i} \) = Specific energy consumption of fuel type \( x \) in vehicle category \( i \) (litre per kilometer)
- \( EF_{CO_2,x} \) = CO\textsubscript{2} emission factor for fuel type \( x \) (gCO\textsubscript{2} per litre)
- \( EF_{CH_4,x} \) = CH\textsubscript{4} emission factor for fuel type \( x \) (gCO\textsubscript{2}eq per litre, based on GWP)
- \( EF_{N2O,x} \) = N\textsubscript{2}O emission factor for fuel type \( x \) (gCO\textsubscript{2}eq per litre, based on GWP)
- \( N_i \) = Total number of vehicles in category \( i \)
- \( N_{x,i} \) = Number of vehicles in vehicle category \( i \) using fuel type \( x \)

If fewer than 10% of vehicles in a specific vehicle category are gasoline, diesel, CNG or LPG powered then this respective fuel can be omitted for simplicity purposes. In alternative vehicles the threshold value is less than 1%.

Two methodological alternatives are proposed for the fuel consumption data (in order of preference):

- Alternative 1: Measurement of fuel consumption data using a representative sample for the respective category and fuel type. To ensure a conservative approach the top 20% of the sample is not included in calculations lower 95% confidence level of the sample measurement shall be taken;
- Alternative 2: Use of fixed values based on the national or international literature. The literature data can either be based on measurements of similar vehicles in comparable surroundings (e.g., from comparable cities of other countries) or may include identifying the vehicle age and technology of average vehicles circulating in the project region and then
matching this with the most appropriate IPCC default values. The most important proxy to identify vehicle technologies is the average age of vehicles used in the area of influence of the project. To determine if either US or European default factors apply either local vehicle manufacturer information can be used (in the case of having a substantial domestic vehicle motor industry) or a source of origin of vehicle imports.

A technical improvement factor is thereafter introduced. The technology improvement factor results in dynamic emission factors for the different units. See Step 3.

### 3.2.A.2. Calculate Emissions per Passenger per Vehicle Category

This step calculates emission factors showing the emissions per passenger per average trip for each vehicle category. This equation is used to determine the emissions per passenger transported for passenger cars, taxis or motorcycles. All data used is determined *ex ante* project. A change in the occupancy rate of taxis is registered as leakage of the project.

\[
EF_{P,i} = \frac{EF_{KM,i} \times TD_i}{OC_i} \quad \text{(2)}
\]

Where:
- \(EF_{P,i}\) = Transport emissions factor per passenger before project start, where \(i = C\) (passenger cars), \(M\) (motorcycles) or \(T\) (taxis) (grams per passenger)
- \(EF_{KM,i}\) = Transport emissions factor per distance of category \(i\) (gCO\(_2\)e per kilometer driven)
- \(OC_i\) = Average vehicle occupancy rate of vehicle category \(i\) (passengers)
- \(TD_i\) = Average trip distance for vehicle category \(i\) (kilometers)

\[
EF_{P,Z} = \frac{EF_{KM,Z,S} \times DD_{Z,S} + EF_{KM,Z,M} \times DD_{Z,M} + EF_{KM,Z,L} \times DD_{Z,L}}{P_Z} \quad \text{(3)}
\]

Where:
- \(EF_{P,Z}\) = Transport emissions factor in buses for before project start (grams per passenger)
- \(EF_{KM,Z,S}\) = Emissions from small buses (gCO\(_2\)e per kilometer)
- \(DD_{Z,S}\) = Total distance driven by small buses (kilometer)
- \(EF_{KM,Z,M}\) = Emissions from medium buses (gCO\(_2\)e per kilometer)
- \(DD_{Z,M}\) = Total distance driven by medium buses (kilometer)
- \(EF_{KM,Z,L}\) = Emissions from large buses (gCO\(_2\)e per kilometer)
- \(DD_{Z,L}\) = Total distance driven by large buses (kilometer)
- \(P_Z\) = Passengers transported by buses in the baseline

The time period for the number of passengers and the distance they travel must be equal (e.g., one year or one month). All data used is determined *ex ante* project. A change in the occupancy rate of buses is registered as leakage of the project.

\(^7\) In the case of taxis the driver is not counted and only passengers are included in the occupancy rate.
### 2.B. Calculate Emission Factor Based on Sector Data

This approach is based on sector fuel consumption data and differentiates fuel consumption per fuel type for all different vehicle categories such as identified in the first step.

Following conditions apply to using this alternative:

- A study on sector fuel consumption separating the vehicle categories is available with a confidence interval of minimum 95% (i.e., maximum error margin of 5%);
- The geographic region of the project can be separated well;
- Data for fuel consumption must have the same year/time period and the same geographic boundaries as data of passengers transported;
- Data must be cross-checked with total fuel consumption of the region.

Calculates the emission factor per passenger for different vehicle categories.

\[
EF_{P,i} = \frac{\sum TC_{v,x,i} \times (EF_{CO_2,x} + EF_{CH_4,x} + EF_{N2O,x})}{P_i}
\]

Where:
- \(EF_{P,i}\) = Transport emissions factor in vehicle category \(i\) before project start (grams per passenger)
- \(TC_{v,x,i}\) = Total consumption of fuel type \(x\) by vehicle category \(i\) (litres)
- \(EF_{CO_2,x}\) = \(\text{CO}_2\) emission factor for fuel type \(x\) (g\(\text{CO}_2\) per litre)
- \(EF_{CH_4,x}\) = \(\text{CH}_4\) emission factor for fuel type \(x\) (g\(\text{CO}_2\)e per litre, based on GWP)
- \(EF_{N2O,x}\) = \(\text{N}_2\text{O}\) emission factor for fuel type \(x\) (g\(\text{CO}_2\)e per litre, based on GWP)
- \(P_i\) = Passengers transported by category \(i\) in the baseline

### 3. Technological Change

Under business as usual conditions emission factors per vehicle category per fuel type may change due to:

- Vehicles are replaced with more efficient ones;
- Vehicles in stock tend to increase emissions based on wear and tear.

For simplicity purposes, a constant average improvement rate per annum is established per vehicle category. The improvement rate is applied to each calendar year. The year 0 is the year for which specific or sector fuel consumption data was collected or determined. Emissions per vehicle category are multiplied with the corresponding technology improvement factor. The default technology improvement factors per vehicle category are included in the appendix A.
4. Change of Baseline Parameters during the Project Crediting Period

The change of baseline parameters is only necessary if the project includes a modal switch (change from passenger cars, motorcycles or taxis to BRT). In this case, some parameters used for calculating the baseline emission factors could change over time:

- The load factor or the number of passengers per vehicle. The load factor is potentially influenced indirectly by the project. This factor is included in the monitoring of leakage of the project and thus not included in the baseline calculations;
- The distance driven by passengers using the BRT system might change or not be equivalent to the average distance driven used to calculate the baseline emission parameter. This factor is monitored through the annually conducted survey conducted annually of passengers using the project system (see corresponding monitoring methodology);
- Type of fuel used by passenger cars. This factor is only relevant for people who have switched from cars to public transport. The annual passenger survey monitors the fuel used by passengers switching from passenger cars to the BRT system and adjusts the corresponding baseline emission factor for passenger cars.

The methodology only takes into account those changes in passenger emission factors into account if these are reduced that lead to a reduction in baseline emissions.

Details of the survey used for data on to be conducted to monitor the changes of in trip distances as well as for the changes of in the fuel types used by passenger cars are included in the monitoring methodology section.

The baseline emissions per passenger trip for taxis, passenger cars and motorcycles are adjusted annually with a correction factor for changing trip distances.

\[ CD_{i,y} = \frac{TD_{i,y}}{TD_i} \]  

Where:
- \( CD_{i,y} \) = Correction factor for changing trip distance in category \( i \) for the year \( y \), where \( i = T \) (taxis), \( C \) (passenger cars) or \( M \) (motorcycles)
- \( TD_i \) = Average trip distance in kilometers in category \( i \) before the project start
- \( TD_{i,y} \) = Average trip distance in kilometers in category in year \( y \)

Note: The adjustment is only made if \( TD_{i,y} < TD_i \) to ensure a conservative approach.\(^8\)

4.1. Change of Fuel Used by Passenger Cars

For passengers that, in absence of the project, would have used a passenger car, the type of fuel used by their cars is determined via a survey (see Monitoring Methodology). Equation (1) is used to re-calculate the new emission factors for passenger cars. The same threshold values for fuel types apply as described in Step 1 (determination of vehicle categories).

\(^8\) Larger distances would increase baseline emissions per passenger trip. The project emissions resulted from larger trip distances are however fully recorded as project emissions are based on total fuel consumed.
The applicability condition for applying this change in fuel type used for passenger cars is: 

\[ \text{EF}_{KMC,C,Y} < \text{EF}_{KMC,C} \]

In other words, the baseline emission factor is only changed, if the new emission factor is lower than the original emission factor.

Note: This question, and the corresponding adjustment in the emissions factor estimation, is only included in the survey, if modal shift from passenger cars and the associated emission reductions are included in the project.

5. **Policy Effects**

Only policies with a measurable impact on GHG emissions shall be considered. Project participants need to assess if policies might have effects on various parameters. To remain conservative the full impact monitored is attributed to the policy. All relevant policies and their impact are included in the baseline from the date of their planned implementation. However, broad development strategies and concepts are not considered if they do not have a legally binding character including as minimum an implementation date, enforcement procedures and clear activities.

The project proponent shall analyse all policies following these steps:

1. **Identification of policies with a potential impact on GHG emissions of the current transport system.**
2. Has the policy been legally adopted with a clear implementation date? If no implementation date is given then the policy is not further considered. If the date is fixed and within the time frame of the project proposed then the policy is included in the analysis.
3. Assess the potential impact of the policy on any of the baseline parameters listed above.
4. Introduce a correction factor if required. The correction factor must be determined to achieve a conservative result.

A general equation for introducing policy aspects cannot be stated at the level of a methodology as this element is project specific.

Policy effects and their implementation data are assessed ex ante. Monitoring shall be carried out on a regular basis for policies affecting parameters of the baseline. This involves:

1. **Assessing new and enforced policies, which could significantly affect the modal split of passengers in the project area.** This is defined here as policies which expect to change the modal split by 5% or more towards public transport. If several policies, which change the modal split, are enforced during the project’s crediting period then the cumulative effect of these policies must be superior to 5 percentage points. This threshold value only applies to policies affecting the modal split. The expected modal split change is based on calculation or targets realized by the policy proponents (i.e., the ministry or governmental authority in charge of the policy). If such a policy has been enforced in year x, a year where no survey has been carried out, the modal split of the most recent year prior to that no survey is realized, and the modal split of the year x - 1 is applied to all passengers using the system.

---

9 E.g., a new policy to reduce private vehicles will potentially have an impact on the modal split. The full change of the modal split will be accounted as a result of the policy even though this could also be influenced by other factors e.g., improved supply of public transport.

10 Policies, which potentially have an impact, include mainly fuel policies (e.g., compulsory usage of bio-fuel blends), fiscal policies (e.g., differential fuel taxes according to carbon contents), and transport policies (e.g., promotion of Non-Motorized Transport or car restriction policies).
(2) Assessing new and enforced policies that change the fuel usage of vehicles (either fuel type or regulations concerning maximum fuel usage). This potentially changes the emission factor per distance driven of vehicles.

(3) Assessing any other policy which results in a measurable and verifiable manner in a change of a parameter used for calculating baseline emissions such as a compulsory technology change by establishing and enforcing maximum vehicle ages.

Determination of Baseline Emissions

The baseline emissions for all passengers transported are calculated. This is differentiated according to the mode of transport, which the person would have used in absence of the project. Passengers transported are determined through the project (activity level of the project). The system operator shall report the total amount of passengers transported by the project.

\[
BE_y = \sum_i \left( EF_{P,i,y} \times P_{i,y} \right)
\]

(5)

Where:
\[
BE_y = \text{Baseline emissions in year } y \ (tCO_2e)
\]
\[
EF_{P,i,y} = \text{Transport emissions factor per passenger in vehicle category } i \text{ in year } y \ (\text{grams per passenger})
\]
\[
P_{i,y} = \text{Passengers transported by the project (BRT) in year } y \text{ that without the project activity would have used category } i, \text{ where } i = Z \text{ (buses, public transport)}, T \text{ (taxis)}, C \text{ (passenger cars)}, \text{rail-based urban mass transit (R)} \text{ or } M \text{ (motorcycles)}^{11} \ (\text{millions of passengers})
\]

\[
EF_{P,i,y} = EF_{P,y} \times IR_{i,y} \times CD_{i,y}
\]

(6)

Where:
\[
EF_{P,y} = \text{Transport emissions factor per passenger before project start} \ (\text{grams per passenger})
\]
\[
EF_{P,i} = \text{Transport emissions factor per passenger in vehicle category } i \text{ before project start} \ (\text{grams per passenger})
\]
\[
CD_{i,y} = \text{Correction factor for changing trip distance in category } i \text{ for the year } y, \text{ where } i = T \text{ (taxis)}, C \text{ (passenger cars)} \text{ or } M \text{ (motorcycles)}
\]
\[
IR_{i,y} = \text{Technology improvement factor at year } t \text{ for vehicle category } i
\]
\[
t = \text{Age Vintage in years of fuel consumption data [in years]} \text{ used for calculating the emission factor in year } y^{12}
\]

See applicability condition for \(CD_{i,y}\) (Equation 5: The adjustment is only made if \(TD_{i,y} < TD_i\)). For passenger cars, \(EF_{KMC,y}\) is annually adjusted as described under heading in Section 4.1 above, considering the applicability condition of reduced emissions per kilometer.

Emissions from passengers who in absence of the project would have used rail-based mass transit systems (R) are counted as \(EF_{P,R,y} = 0 \text{ grams per passenger}\).

\[
P_{i,y} = P_y \times S_{i,y}
\]

(7)

\(^{11}\) NMT and induced transport (IT) are not included as emissions are 0 for this category in the baseline.

\(^{12}\) E.g., “t=7” for the year 2007 if the fuel data is from the year 2000.
Where:

\[ P_{i,y} = \text{Passengers transported by the project which in absence of the latter would have used transport type } i, \text{ where } i = Z \text{ (buses, public transport)}, T \text{ (taxis)}, C \text{ (passenger cars)}, M \text{ (motorcycles)}, NMT \text{ (non-motorized transport)}, R \text{ (rail-based urban mass transit) and } IT \text{ (induced transport, i.e., would not have traveled in absence of project) (millions)} \]

\[ P_y = \text{Total passengers transported by the project monitored in year } y \text{ (millions)} \]

\[ S_{i,y} = \text{Share of passengers transported by the project which in absence of latter would have used transport type } i, \text{ where } i = Z \text{ (buses, public transport)}, T \text{ (taxis)}, C \text{ (passenger cars)}, M \text{ (motorcycles)}, NMT \text{ (non-motorized transport)}, R \text{ (rail-based urban mass transit) and } IT \text{ (induced transport, i.e., would not have traveled in absence of project) (\%)} \]

If the project does not include an estimate of credits for modal shift then the survey only includes the categories of public transport, NMT, rail-based urban mass transit and induced traffic. Details of the survey are found in the appendix B.

Induced travel is included in leakage calculations (induced travel in passenger cars) as well as in the baseline (induced travel in public transport).

**Sensitivity Analysis**

A sensitivity analysis is carried out for data and parameters, which are used to calculate baseline as well as project emissions (at minimum where uncertainty level of data is considered moderate or high). The PDD shall identify data with this level of uncertainty. The sensitivity analysis shall also identify potential critical parameters and to further discuss these in the PDD.

The sensitivity analysis made shall be based on calculating the change of the data parameter that would be required to reduce emission reductions by 5%. This value gives an indication of the magnitude of change of the data parameter required to significantly change calculated emission reductions. A sensitivity analysis shall be undertaken at a minimum for the load factor and for the modal distribution.

Steps to carry out the sensitivity analysis include:

1. Identify all data with moderate or high uncertainty levels.
2. Carry out a sensitivity analysis on these parameters calculating the level of change of the parameter required to reduce emission reductions by 5% below that originally estimated.
3. Assess the result in light of possible data uncertainty:
   - The parameter change required is considered as highly improbable. The PDD needs to deliver the arguments why this is considered improbable.
   - The parameter change is considered as plausible. In this case the maximum plausible change must be incorporated in the parameter to assure for a conservative calculation of emission reductions e.g. if fuel consumption values for the baseline could also be 20% lower and would change the emission reductions by more than 5%, then the PDD must use a parameter for fuel consumption which is 20% lower than the original data indicates.
Project emissions

The project emissions are only from the new project transport system. All emissions from trips undertaken in the new system need to be included (i.e., both on trunk routes and feeder lines).

Total emissions can be calculated in one of the two ways, depending on data availability. If records exist, the data quality of both alternatives is equal. Reliable data are, e.g., based on electronic measurement of fuel consumption or data monitored by the bus company managing the units. For both alternatives, specific fuel consumption data (i.e., consumption per distance driven) needs to be cross-checked in the QA system. Cross-checks include a comparison over time within the same company, as well as a comparison with, e.g., other companies operating in the BRT systems using the same type of buses.

Alternative A: Use of Fuel Consumption Data

This alternative is based on the total fuel consumed. For BRTs using liquid fossil fuels, the project emissions from fossil fuel consumption shall be estimated using the latest version of the “Tool to calculate project or leakage CO2 emissions from fossil fuel consumption.” The following guidance is provided for applying the tool:

- The parameter PEFC,j,y in the tool corresponds to the project emissions from the project transport system that uses fossil fuels in year y; and
- Element process j corresponds to the combustion of fuel type x in the project vehicles.

For BRTs using gaseous fossil fuels, the project emissions from fossil fuel consumption shall be estimated according to the following equation:

$$PE_y = \sum_x TC_{pj,x,y} \times (EF_{CO_2,x} + EF_{CH_4,x} + EF_{N_2O,x})$$

Where:

- $PE_y$ = Project emissions in year y (tCO2e)
- $TC_{pj,x,y}$ = Total consumption of fuel type x in year y by the project (million litres)
- $EF_{CO_2,x}$ = CO2 emission factor for fuel type x (gCO2 per litre)
- $EF_{CH_4,x}$ = CH4 emission factor for fuel type x (gCO2e per litre, based on GWP)
- $EF_{N_2O,x}$ = N2O emission factor for fuel type x (gCO2e per litre, based on GWP)

For BRTs using electricity, the emissions from electricity consumption are based on the latest approved version “Tool to calculate baseline, project and/or leakage emissions from electricity consumption”.

Alternative B: Use of Specific Fuel Consumption and Distance Data

This alternative uses as a basis fuel efficiency data (i.e. consumption per kilometre driven).

$$EF_{km,j,x,y} = \sum_x SEC_{j,x,y} \times (EF_{CO_2,x} + EF_{CH_4,x} + EF_{N_2O,x})$$
Where:

\[ EF_{KM,j,y} = \text{Transport emissions factor per distance for project bus category } j \text{ in year } y \,(\text{gCO}_2\text{e per kilometer}) \]

\[ SEC_{j,x,y} = \text{Specific energy consumption of fuel type } x \text{ in project bus category } j \text{ in year } y \,(\text{litre per kilometer}) \]

\[ EF_{CO2,x} = \text{CO}_2 \text{ emission factor for fuel type } x \,(\text{gCO}_2\text{ per litre}) \]

\[ EF_{CH4,x} = \text{CH}_4 \text{ emission factor for gaseous fuel type } x \,(\text{gCO}_2\text{e per litre, based on GWP}) \]

\[ EF_{N2O,x} = \text{N}_2\text{O emission factor for gaseous fuel type } x \,(\text{gCO}_2\text{e per litre, based on GWP}) \]

Fuel-efficiency data is derived from annual data reported by the bus companies operating the units either of all units or of a representative sample of comparable units (comparable technology, vintage and size). To ensure a conservative approach, all data with specific fuel consumption values which are more than 20% lower than the average specific fuel consumption of comparable units are omitted from calculations, the specific fuel consumption of comparable vehicles, if based on sample measurement, should be taken as the upper 95% confidence level of the sample measurement conducted. This ensures a conservative approach, as providing that project emissions are potentially not overstated.

If the CDM project includes only parts of a larger activity, the fuel used for the CDM project is separated from the total fuel used. The separation is done (in order of preference) by the following means:

- By operators: This method is used if certain operators are assigned to certain parts of the project;
- By distance driven: The fuel share for each part of the project is based on the share of kilometers per project part;
- By passengers: The fuel share for each part of the project is based on the share of passengers per part of the project (based on the entry points of passengers).

Total project emissions are calculated from the following equation.

\[ PE_y = \left( EF_{KM,TB,y} \times DD_{TB,y} \right) + \left( EF_{KM,FB,y} \times DD_{FB,y} \right) \]

Where:

\[ PE_y = \text{Project emissions in year } y \,(\text{tCO}_2\text{e}) \]

\[ EF_{KM,TB,y} = \text{Transport emissions factor per distance for trunk buses in year } y \,(\text{gCO}_2\text{e per kilometer}) \]

\[ DD_{TB,y} = \text{Total distance driven by trunk buses in year } y \,(\text{million kilometers}) \]

\[ EF_{KM,FB,y} = \text{Transport emissions factor per distance for feeder buses in year } y \,(\text{gCO}_2\text{e per kilometer}) \]

\[ DD_{FB,y} = \text{Total distance driven by feeder buses in year } y \,(\text{million kilometers}) \]

Leakage

The following leakage sources are addressed:

1. Change of load factor of the baseline transport system due to the project, i.e., the project potentially influences the occupancy rate of the remaining vehicles. This is monitored on a regular basis during project execution in the year 1 and 4 of the crediting period.
(2) Reduced congestion in remaining roads, provoking higher average vehicle speed, plus a rebound effect. The total impact of congestion is calculated ex ante monitored in the year 1 and 4 of the crediting period, in case the implementation of the project activity leads to a reduction of road space (e.g., the project utilises an existing road by separating one of its lanes to be exclusively used by the project BRT), and not monitored, in case the implementation of the project activity does not lead to a reduction of road space (e.g., the project provides a new road infrastructure).

(3) In case of more gaseous fuel are used in the project than in the baseline case, the upstream emissions of gaseous fuels should be included. No leakage emissions should be included if in the baseline more or an equal amount of gaseous fuel are used than in the project as this would lead to negative leakage (conservative approach).

For the sake of a conservative approach, leakage is only considered if the total annual effect is to reduce estimated emission reductions.

1. Change of Load Factor

The project could have a negative impact on the load factor of taxis or the remaining conventional bus fleet. Load factor changes in the baseline public transport system of taxis and buses are thus monitored in the year 1 and 4 of the crediting period. Leakage is only included if the load factor changes by more than 10 percentage points, as certain variations in the load factor caused by external circumstances are normal. The methodology also considers load factor changes in taxis if they are included as vehicle category by the project, thus claiming credits from a modal shift from taxis to the BRT system. In the case of lower load factors, it is assumed that this change has occurred immediately after the last measurement, and the leakage calculation for this year includes the sum of load-factor leakage of all years since the last monitoring. This ensures a conservative approach. To avoid the risk of having to include ex post leakage from former years, the project proponent can monitor the load factor annually.

\[
ROC_{i,y} = \frac{OC_{i,y}}{CV_{i,y}}
\]  

(11)

Where:

\(ROC_{i,y}\) = Average occupancy rate relative to capacity in category \(i\) in year \(y\), where \(i = Z\) (buses) or \(T\) (taxis)

\(OC_{i,y}\) = Average occupancy of vehicle in category \(i\) in year \(y\) (persons)

\(CV_{i,y}\) = Average capacity of vehicle \(i\) in year \(y\) (persons)

In the case of public transport, the occupancy rate is measured in relation to the bus capacity, as bus sizes may change over time or before/after project. \(ROC_{i,y}\) shall be monitored directly through visual surveys.

This equation determines leakage emissions from change of load factors in buses.

\[
LE_{LF, Z, y} = EF_{KM, Z} \times VD_{Z} \times N_{Z,y} \times \left(1 - \frac{ROC_{Z,y}}{ROC_{Z,0}}\right)
\]  

(13)

\[
LE_{LF, Z, y} = EF_{KM, Z} \times VD_{Z} \times N_{Z,y} \times \left(1 - \frac{ROC_{Z,y}}{ROC_{Z,0}}\right) \times 10^{-6}
\]  

(12)
Where:

- $LE_{LF,Z,y}$ = Leakage emissions from change of load factor in buses in year $y$ (tCO$_2$e)
- $EF_{KM,Z}$ = Baseline transport emissions factor per distance for buses (gCO$_2$e per kilometer)
- $VD_Z$ = Annual distance driven per vehicle for buses before the project start, determined \textit{ex ante} (kilometres)
- $N_{Z,y}$ = Number of buses in the conventional transport system operating in year $y$
- $ROC_{Z,y}$ = Average occupancy rate relative to capacity of conventional buses in year $y$, based on the most recent study of occupancy rates
- $ROC_{Z,0}$ = Average occupancy rate relative to capacity of buses before start of project

$$VD_Z = \sum_{k=S,M,L} DD_{Z,k} \over \sum_{k=S,M,L} N_{Z,k} \quad (14)$$

Where:

- $VD_Z$ = Distance driven per bus before the project start (kilometres)
- $DD_{Z,k}$ = Total distance driven by buses of size $k$ (kilometres)
- $N_{Z,k}$ = Number of buses in the conventional transport system of size $k$, where $S$, $Md$ and $L$ stands for small, medium and large buses, respectively

Note: If $ROC_{Z,0} - ROC_{Z,y} \leq 0.1$ then $LE_{LF,Z,y} = 0$, i.e., if the occupancy rate of buses is not reduced by more than 0.1 then the project has had no negative effect (leakage).

This equation determines leakage emissions from a change of load factors in taxis.

$$LE_{LF,T,y} = EF_{KM,T} \times VD_T \times N_{T,y} \times \left(1 - \frac{OC_{T,y}}{OC_{T,0}}\right) \quad (14)$$

Where:

- $LE_{LF,T,y}$ = Leakage emissions from change of load factor in taxis in year $y$ (tCO$_2$e)
- $EF_{KM,T}$ = Transport emissions factor per distance of taxi baseline (gCO$_2$e per kilometer)
- $VD_T$ = Distance driven per taxi on average before the project starts (kilometres)
- $N_{T,y}$ = Number of taxis operating in year $y$
- $OC_{T,y}$ = Average occupancy rate of taxi for the year $y$ (passengers only: Driver not counted)
- $OC_{T,0}$ = Average occupancy rate of taxi before project start (passengers only: Driver not counted)
Note: If \( OC_{T,0} \cdot OC_{T,y} \leq 0.1 \) then \( LE_{L,T,y} = 0 \), i.e. if the occupancy rate of taxis is not reduced by more than 0.1 then the project has had no negative effect (leakage).

The measurement of the occupancy rate is based on representative surveys, which register all taxis passing the survey points. Taxis without passengers are counted as “0” occupancy rate. Only circulating taxis are counted.

2. Impact of Reduced Congestion on Remaining Roads

An implementation of a BRT project may have differing overall impacts on congestion. On the one hand, a project BRT system may be implemented on an exiting road by dedicating one of the lanes of the road to be exclusively used by the project BRT (with an exception of emergency vehicles). This will result in a reduced road space available to the vehicles operating on that road prior to the project activity, which, in turn, may increase the congestion on that reduced road space and, therefore, lead to higher emissions. On the other hand, an implementation of the project BRT may provide a new road infrastructure. In this case, the project BRT will likely attract passengers from conventional modes of transport and reduce the number of vehicles on the affected roads and, therefore reduce congestion. A BRT project reduces buses on the road and thus potentially reduces congestion. In this case, Reduced congestion has may have the following impacts relevant for GHG emissions:

- "Rebound effect" leading to additional trips and thus higher emissions;
- Higher average speeds and less stop-and-go traffic leading to lower emissions.

Therefore, if a project leads to increased congestion, then all equations presented can be used equally. The effects will simply be reversed, i.e., the lower average speed and increased stop-and-go traffic will lead to increased emissions while the rebound effect will lead to less induced traffic than under BAU. A reduced road space available to the existing modes of transport by dedicating a portion of an existing road to BRT lanes, then the congestion impact shall be monitored in the years 1 and 4 of the crediting period following the procedure described below. If the project does not lead to a reduced road space and provides a new road infrastructure for the project BRT system, then the congestion impact shall not be monitored and this type of leakage shall not be accounted for in emission reduction calculations assuming its overall impact to be equal to 0 (no leakage).

Steps to Address Congestion Impact

Two elements need to be considered:

- Trunk roads can potentially reduce the space of remaining roads. The proportion of reduced road space available to passenger cars has to be calculated;
- Conventional buses are retired thus freeing road space. The proportion of retired buses and the proportion of public transport in road space have to be determined.

The additional impact of new and longer trips shall be assessed via the direct application of a “capacity elasticity”, i.e., percentage additional cars resulting from a percentage change in road capacity.

Step 1: Calculate additional road-space available

This equation determines the additional road space available in year \( y \) if good quality data is available.

\[
ARS_y = \sum_{w=1}^{y} \frac{BSCR_w}{N_z} \times SRS - \frac{RSB - RSP}{RSB}
\]
Where:

\( ARS_y \) = Additional road space available in year \( y \) (in percentage)

\( BSCR_w \) = Bus units scrapped by project in year \( w \), where \( w = 1 \) to \( y \) (NB: if buses are not scrapped the estimated amount of retired buses is taken)

\( N_Z \) = Number of buses in use in the baseline (units)

\( SRS \) = Share of road space used by public transport in the baseline (in percentage)

\( RSB \) = Total road space available in the baseline (lane-kilometers)

\( RSP \) = Total available road space in the project (= RSB minus kilometre of lanes that were reduced due to dedicated bus lanes) (lane-kilometers)

If \( ARS_y < 0 \), then we have a reduced road space in that year, and thus increased emissions due to reduced vehicle speed, but reduced emissions due to a negative “rebound effect”.  

This equation is required to determine SRS if no recent and good quality study is available which has calculated this parameter.

\[
SRS = \frac{DD_Z}{DD_Z + DD_T + DD_C}
\]

Where:

\( SRS \) = Share of road space used by public transport in the baseline (in percentage)

\( DD_Z \) = Total distance driven by public transport buses baseline (kilometers)

\( DD_T \) = Total distance driven in kilometers by taxis baseline (kilometers)

\( DD_C \) = Total distance driven in by passenger cars baseline (kilometers)

For all distance variables the same vintage of data, the same spatial scope and the same time-span (e.g., one month or one year) is required.

**Step 2: Assess the rebound impact of the additional road space**

This equation calculates leakage emissions from additional/longer trips (“rebound effect”).

\[
LE_{TRIPS,y} = ITR \times ARS_y \times TR_C \times TD_C \times EF_{KM,C} \times D_y
\]

Where:

\( LE_{TRIPS,y} \) = Leakage emissions from additional and/or longer trips in year \( y \) (tCO₂e)

\( ITR \) = Elasticity factor for additional and/or longer trips: the factor is fixed at 0.1

\( ARS_y \) = Additional road space available (percentage)

\( TR_C \) = Number of daily trips realized by passenger cars baseline (number)

\( TD_C \) = Average trip distance for passenger cars (kilometers)

\( EF_{KM,C} \) = Transport emissions factor per distance of passenger cars before the project start (gCO₂e per kilometer) (see Equation 2)

\( D_y \) = Number of days buses operate in year \( y \) (days)
The impact is calculated as immediately although the short-term reaction of induced traffic is significantly lower than the long-term (3 years+) reaction.

**Step 3: Assess the impact of changing vehicle speed from passenger cars**

\[
LE_{SP,y} = TR_C \times TD_C \times \left( EF_{KM,VP,C} - EF_{KM,VB,C} \right) \times DW_y
\]

Where:
- \( LE_{SP,y} \) = Leakage emissions from change in vehicle speed in year \( y \) (tCO₂e)
- \( TR_C \) = Number of daily trips realized by passenger cars baseline (number)
- \( TD_C \) = Average trip distance driven by passenger cars (kilometers)
- \( EF_{KM,VP,C} \) = Transport emissions factor per distance for passenger cars at project speed in year \( y \) (gCO₂ per km)
- \( EF_{KM,VB,C} \) = Transport emissions factor per distance for passenger cars at baseline speed (gCO₂ per km)
- \( DW_y \) = Number of days per year in year \( y \)

The new vehicle speed is calculated based on the number of retired vehicles or additional available road space. The project proponent can either use a speed dependency factor developed with an officially recognized methodology for the project region (with the corresponding documentation to ensure a good quality; if latter is available this would be the first preference) or use as default relation the speed dependency factor Passenger Cars (gCO₂ per km) developed by CORINAR. If the project has no data on speed changes or current speed, then it is assumed that the speed impact is equal to 0.

CORINAR speed emission factor equation:

\[
EF_{KM,VP,m} = 135.44 - 2.314 \times V + 0.0144 \times V^2
\]

\[
EF_{KM,VP,B} = (135.44 - 2.314 \times V_B + 0.0144 \times V_B^2) \times NCV \times EF_{CO₂,m}
\]

\[
EF_{KM,VP,C,y} = (135.44 - 2.314 \times V_{P,y} + 0.0144 \times V_{P,y}^2) \times NCV \times EF_{CO₂,m}
\]

Where:
- \( EF_{KM,VP,m} \) = Transport emissions factor per distance for passenger cars traveling at speed \( m \) (gCO₂ per km)
- \( EF_{KM,VP,B} \) = Transport emissions factor per distance for passenger cars traveling at baseline speed \( V_B \) prior to the start of the project activity (gCO₂ per km)
- \( EF_{KM,VP,C,y} \) = Transport emissions factor per distance for passenger cars traveling at project speed \( V_P \) in year \( y \) (gCO₂ per km)
- \( V \) = Vehicle speed (km/h), calculated both for the project speed (VP) and baseline speed (VB)
Step 4: Sum of Congestion Impacts and Determination of Leakage Factor

The sum of the rebound and the speed impact is included as leakage. The congestion impact is only calculated and monitored in years 1 and 4 of the crediting period in case the project BRT leads to a reduction of road space, as stated in the requirement above.

\[
LE_{CONG,y} = LE_{TRIPS,y} + LE_{SP,y}
\]  

Where:
\[
LE_{CONG,y} = \text{Leakage emissions from reduced congestion in year } y \text{ (tCO}_2\text{e)}
\]
\[
LE_{TRIPS,y} = \text{Leakage emissions from additional and/or longer trips in year } y \text{ (tCO}_2\text{e)}
\]
\[
LE_{SP,y} = \text{Leakage emissions from change in vehicle speed in year } y \text{ (tCO}_2\text{e)}
\]

3. Upstream Emissions of Gaseous Fuels

Upstream leakage of gaseous fuels is only included if project vehicles consume more gaseous fuels than baseline vehicles. In this case and to simplify calculations the upstream leakage included is based only on project gaseous fuels used. The following leakage emission sources shall be considered:

- Fugitive CH₄ emissions associated with fuel extraction, processing, liquefaction, transportation, re-gasification and distribution of natural gas used in the project plant and fossil fuels used in the grid in the absence of the project activity;
- In the case LNG is used in the project plant: CO₂ emissions from fuel combustion/electricity consumption associated with the liquefaction, transportation, re-gasification and compression into a natural gas transmission or distribution system.

Thus, leakage emissions are calculated as follows:

\[
LE_{UP,y} = LE_{CH₄,y} + LE_{LNG,CO₂,y}
\]  

Where:
\[
LE_{UP,y} = \text{Leakage upstream emissions of gaseous fuels during the year } y \text{ in t CO}_2\text{e)}
\]
\[
LE_{CH₄,y} = \text{Leakage emissions due to fugitive upstream CH}_4\text{ emissions in the year } y \text{ in t CO}_2\text{e)}
\]
\[
LE_{LNG,CO₂,y} = \text{Leakage emissions due to fossil fuel combustion/electricity consumption associated with the liquefaction, transportation, re-gasification and compression of LNG into a natural gas transmission or distribution system during the year } y \text{ in t CO}_2\text{e)}
\]
Emissions due to fugitive upstream CH₄ emissions

\[ LE_{CH₄,y} = TC_{PJ,NG,y} \times NCV_{NG,y} \times EF_{NG,upstream,CH₄} \times GWP_{CH₄} \]  \hspace{1cm} (23)

Where:
- \( L_{CH₄,y} \) = Leakage emissions due to upstream fugitive CH₄ emissions in the year \( y \) in tCO₂e
- \( TC_{PJ,NG,y} \) = Quantity of natural gas used by project units in the year \( y \) in m³
- \( NCV_{NG,y} \) = Net calorific value of the natural gas used by the project during the year \( y \) in GJ/m³
- \( EF_{NG,upstream,CH₄} \) = Emission factor for upstream fugitive methane emissions from production, transportation and distribution of natural gas in tCH₄/GJ
- \( GWP_{CH₄} \) = Global warming potential of methane valid for the relevant commitment period

Where reliable and accurate national data on fugitive CH₄ emissions associated with the production, transportation and distribution of NG is available, project participants should use this data. Where such data is not available, project participants may use the default values provided by IPCC [latest version]. The NCV is based on local, regional or national data or on IPCC default values.

CO₂ emissions from LNG

Where applicable, CO₂ emissions from fuel combustion / electricity consumption associated with the liquefaction, transportation, re-gasification and compression of LNG into a natural gas transmission or distribution system (\( LE_{LNG,CO₂,y} \)) should be estimated by multiplying the quantity of natural gas combusted in the project system with an appropriate emission factor, as follows:

\[ LE_{LNG,CO₂,y} = TC_{PJ,NG,y} \cdot EF_{CO₂,upstream,LNG} \]  \hspace{1cm} (24)

Where:
- \( LE_{LNG,CO₂,y} \) = Leakage emissions due to fossil fuel combustion/electricity consumption associated with the liquefaction, transportation, re-gasification and compression of LNG into a natural gas transmission or distribution system during the year \( y \) in tCO₂e
- \( TC_{PJ,NG,y} \) = Quantity of natural gas used by project units during the year \( y \) in TJ
- \( EF_{CO₂,upstream,LNG} \) = Emission factor for upstream CO₂ emissions due to fossil fuel combustion/electricity consumption associated with the liquefaction, transportation, re-gasification and compression of LNG into a natural gas transmission or distribution system in tCO₂/TJ

Where reliable and accurate national data on fugitive CH₄ emissions associated with the production, transportation and distribution of LNG is available, project participants should use this data. Where such data is not available, project participants may use the default values provided by IPCC [latest version].

Total Leakage

\[ LE_y = LE_{UP,y} + LE_{LF,Z,y} + LE_{LF,T,y} + LE_{CONG,y} \]  \hspace{1cm} (25)

Where:
- \( LE_y \) = Emissions leakage in year \( y \) (tCO₂e)
- \( LE_{UP,y} \) = Leakage upstream emissions of gaseous fuels during the year \( y \) (tCO₂e)
\[ LE_{LF,Z,y} = \text{Leakage emissions from change of load factor in buses in year } y \text{ (tCO}_2\text{e)} \]
\[ LE_{LF,T,y} = \text{Leakage emissions from change of load factor in taxis in year } y \text{ (tCO}_2\text{e)} \]
\[ LE_{CONG,y} = \text{Leakage emissions from reduced congestion in year } y \text{ (tCO}_2\text{e)} \]

If \( LE_y < 0 \), then leakage is not included;

If \( LE_y > 0 \), then leakage is included.

The impact of induced traffic (additional trips) provoked through the new transport system is addressed directly in the project emissions and is not part of the leakage. This is addressed by including as project emissions the trips of passengers, who, in absence of the BRT project, would not have realized the trip.

\[ ER_y = BE_y - PE_y - LE_y \]  \hspace{1cm} (26)

Where:
\[ ER_y = \text{Emission reductions in year } y \text{ (tCO}_2\text{e)} \]
\[ BE_y = \text{Baseline emissions in year } y \text{ (tCO}_2\text{e)} \]
\[ PE_y = \text{Project emissions in year } y \text{ (tCO}_2\text{e)} \]
\[ LE_y = \text{Leakage emissions in year } y \text{ (tCO}_2\text{e)} \]

**Changes required for methodology implementation in 2nd and 3rd crediting periods**

The revision at the end of the first crediting period in preparation for the next crediting period shall include an assessment of:

- The applicability conditions for the approved methodology shall still be valid at the time of the revision.

- Project participants shall evaluate the institutional and legal conditions, particularly related with environmental and fuel regulations governing the project, to determine whether original baseline conditions still apply.

**Crediting period**

The implementation of the methodology is limited to a 10 year crediting period.
**Data and Parameters not monitored**

In addition to the parameters listed in the tables below, the procedures contained in the tools referred to in this methodology also apply.

<table>
<thead>
<tr>
<th>Data / Parameter:</th>
<th>SEC(_{x,i})</th>
</tr>
</thead>
<tbody>
<tr>
<td>Data Unit</td>
<td>litres/km, kWh/km, kg/km, m(^3)/km</td>
</tr>
<tr>
<td>Description</td>
<td>Specific fuel efficiency</td>
</tr>
<tr>
<td>Source of Data</td>
<td>Specific studies conducted by the project proponent, IPCC or international literature</td>
</tr>
<tr>
<td>Measurement Procedure</td>
<td>The result should be checked for consistency against manufacturer data and default IPPC values (alternative for baseline estimation; see baseline methodology)</td>
</tr>
<tr>
<td>Comments</td>
<td>For vehicle categories. Based either on local measurements or international data from comparable regions or IPCC values adapted to local circumstances. In case of bio-fuel blends being used, the biofuel share must be transparently recorded and emissions are only calculated on the fossil share of the blend.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Data / Parameter:</th>
<th>DD(<em>{Z,S}), DD(</em>{Z,M}), DD(_{Z,L}), DD(_T)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Data Unit</td>
<td>km</td>
</tr>
<tr>
<td>Description</td>
<td>Total distance driven by all vehicles in category</td>
</tr>
<tr>
<td>Source of Data</td>
<td>Official statistics</td>
</tr>
<tr>
<td>Measurement Procedure</td>
<td>In general various official sources are available (vehicle registration data; transportation statistics). For QA it is important to have the same data source for items N(<em>{x,i}), SEC(</em>{x,i}) and P(_i) if calculations are related</td>
</tr>
<tr>
<td>Comments</td>
<td>Statistics is based, in general, on samples. Required for all sub-categories of baseline buses and taxis and potentially other categories. To ensure consistency, it is important to have the same data source for distance driven and passengers for public transport. Data can be either with or without the informal sector as long as above mentioned parameters are from the same data source. In general, data including only the formal sector is of better data quality and should thus be taken.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Data / Parameter:</th>
<th>P(_i)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Data Unit</td>
<td>Passengers</td>
</tr>
<tr>
<td>Description</td>
<td>Passengers transported in the baseline by vehicle category (i)</td>
</tr>
<tr>
<td>Source of Data</td>
<td>Official statistics. Vintage maximum 3 years</td>
</tr>
<tr>
<td>Measurement Procedure</td>
<td>In general various official sources are available (vehicle registration data; transportation statistics). The same data source should be taken as for DD(<em>{Z,S}), DD(</em>{Z,M}), DD(_{Z,L}), DD(_T) to ensure data consistency</td>
</tr>
<tr>
<td>Comments</td>
<td>This is for the calculation of the emission factor for the baseline and is not for calculating the total baseline emissions. The latter are calculated based on the passengers transported by the project. It is important to have the same data source for distance driven (DD(<em>{Z,S}), DD(</em>{Z,M}), DD(_{Z,L}), DD(_T)) and passengers (P(_i)) to ensure consistency. Data can be either with or without the informal sector as long as above-mentioned parameters are from the same data source. In general data including only the formal sector is of better data quality and should thus be taken.</td>
</tr>
<tr>
<td>Data / Parameter</td>
<td>Description</td>
</tr>
<tr>
<td>------------------</td>
<td>-------------</td>
</tr>
<tr>
<td>$P_T$</td>
<td>Passengers transported by the project</td>
</tr>
<tr>
<td>SRS</td>
<td>Share of road space used by public transport baseline</td>
</tr>
<tr>
<td>RSP, RSB</td>
<td>Road space baseline and project</td>
</tr>
<tr>
<td>TR$_C$</td>
<td>Number of daily trips undertaken by passenger cars</td>
</tr>
</tbody>
</table>
### Data / Parameter: \( V_{PJ}, V_{BL} \)
- **Data Unit:** km/h
- **Description:** Average speed passenger car in baseline and project
- **Source of Data:** Based on transport models
- **Measurement Procedure:** Traffic models use such data and have verified them. The data accuracy is not very important as data is only used to estimate roughly leakage based on change of vehicle speed and induced traffic. Both elements in it have a moderate accuracy.
- **Comments:** The average speed of passenger cars before project start and the expected speed after decongestion is calculated.

### Data / Parameter: \( NCV_{NG,y} \)
- **Data Unit:** GJ/m³
- **Description:** Net calorific value of the natural gas used by the project during the year \( y \)
- **Source of Data:** Local, regional, national data or IPCC
- **Measurement Procedure:** annually
- **Comments:** In case of IPCC default values, the upper limit of the uncertainty at a 95% confidence interval should be taken.

### Data / Parameter: \( E_{CO2,upstream,CH4} \)
- **Data Unit:** tCH4/GJ
- **Description:** Emission factor for upstream fugitive methane emissions from production, transportation and distribution of natural gas
- **Source of Data:** National data or IPCC
- **Comments:**

### Data / Parameter: \( E_{CO2,upstream,LNG} \)
- **Data Unit:** tCO2/TJ
- **Description:** Emission factor for upstream CO2 emissions due to fossil fuel combustion/electricity consumption associated with the liquefaction, transportation, re-gasification and compression of LNG into a natural gas transmission or distribution system
- **Source of Data:** National data or IPCC
- **Comments:**


III. MONITORING METHODOLOGY

Monitoring procedures

BRT systems have as core environmental aspect that the resource efficiency of transporting passengers in a city shall be improved i.e. fuel consumption and emissions per passenger trip shall be reduced compared to the situation without the project. The methodology directly addresses the objective of increased resource efficiency and is thus based upon emissions per transported passenger.

The monitoring methodology for the baseline has *ex ante* determined emission factors per passenger transported for all modes of transport. These factors are fixed but not constant. For passengers using the project, which in absence would have used taxis, passenger cars or motorcycles, the change in distance travelled and in the fuel-mix is monitored based on a questionnaire. To ensure a conservative approach the baseline emission factors are only changed if the monitoring results show that the new factors would be lower than the ones originally used.

The total baseline emissions are derived by applying to these emission factors the activity level (passengers per mode transported) of the project. Data sources are either from recent statistics or measurements made or are based on fixed default values taken from the international literature, primarily IPCC. Preference is for local data. Default values are the last options in case of non-availability of more precise data. The project proponents can choose to either invest resources to carry out measurements or opt for the simpler and less expensive alternative of using default values with the drawback of claiming less emission reductions as the default values of the baseline methodology are very conservative. All the data used to calculate the baseline emission factors are monitored collected *ex ante*. For calculating the total baseline emissions, the number of passengers using the project and the traffic mode they would have used in absence of the new transport system needs to be monitored (public transport, taxis, passenger cars, motorcycles, Non-Motorized Transport or induced traffic). Baseline emissions can thus only be calculated *ex post*.

The monitoring methodology for the project is based on measuring the total fuel consumption and thus emissions of the new transport system. From a methodological viewpoint, data is derived from measurements. Data reliability is very high due to having exact measurements and established control procedures for the data required. Default values for fuel consumption cannot be used for project emissions.

The monitoring methodology for leakage depends basically on elements calculated *ex ante* based on pre-established factors and, to a minor degree, on measurements during project execution implementation.

Congestion leakage is calculated *ex ante* for the project period and not monitored. Data is derived basically from planning sources, fixed parameters derived from the international literature and from periodic surveys.

QA and QC is assured by having a monitoring manual containing *inter alia* how to proceed with key measurements and survey, how to screen data for quality and potential errors and by training the staff in charge of monitoring. Also for the periodic survey of passengers and for the surveys monitoring the load factor, the core outline is shall be included in this methodology and the PDD shall contain a detailed design of both instruments.
Table B1: Main Points of Monitoring Methodology

<table>
<thead>
<tr>
<th>Element</th>
<th>Monitoring Methodology</th>
</tr>
</thead>
<tbody>
<tr>
<td>Core data for determining baseline emissions:</td>
<td>➢ Alternative A: fuel consumption based on measurement of a representative sample, international literature, IPCC values related to local circumstances and distance driven based on official statistics; ➢ Alternative B: Based on representative surveys; ➢ Default value based on international literature; ➢ Monitored annually in the year 1 and 4 of the crediting period by the project proponent based on surveys plus registration of total passengers transported by the system.</td>
</tr>
<tr>
<td>➢ Alternative A based on relative data (fuel consumption and distance driven per vehicle category and fuel type); ➢ Alternative B: sectoral fuel consumption; ➢ Technology improvement factor; ➢ Passengers per transport mode using new the project transport system after the project start (relative distribution and absolute numbers).</td>
<td></td>
</tr>
<tr>
<td>Core data for determining project emissions:</td>
<td>➢ Measured annually by the project proponent based on company accounts and measurements; or ➢ Distance driven measured annually by GPS; fuel efficiency based on measurement.</td>
</tr>
<tr>
<td>➢ Fuel consumption of the project system; or ➢ Fuel efficiency and distance driven by project units.</td>
<td></td>
</tr>
<tr>
<td>Core data for determining leakage:</td>
<td>➢ Measured regularly by the project proponent based on representative samples; ➢ Based on transport models, local statistics and default values from international literature sources; value is calculated ex-ante. Congestion impact shall be monitored in the years 1 and 4 of the crediting period in case the implementation of the project BRT reduces road space.</td>
</tr>
<tr>
<td>➢ Change of in load factor; ➢ Congestion impact (rebound effect and change in vehicle speed).</td>
<td></td>
</tr>
</tbody>
</table>

Describe and specify in the CDM-PDD all monitoring procedures, including the type of measurement instrumentation used, the responsibilities for monitoring and QA/QC procedures that will be applied. Where the methodology provides different options (e.g., use of default values or on-site measurements), specify which option will be used. All meters and instruments should be calibrated regularly as per industry practices.

All data collected as part of monitoring should be archived electronically and be kept at least for 2 years after the end of the last crediting period. 100% of the data should be monitored if not indicated differently in the comments in the tables below.

Data and parameters - Project Emissions

**Alternative A: Use of Fuel Consumption Data**

This alternative is based on the total fuel consumed by the project activity, and uses Equation (9).

The emission factor electricity is calculated in accordance with the latest approved version “Tool to calculate baseline, project and/or leakage emissions from electricity consumption.”

**Alternative B: Use of Specific Fuel Consumption and Distance Data**

This alternative uses as a basis fuel efficiency data (i.e. consumption per kilometre driven), and uses Equation (10).

Fuel-efficiency data is derived from annual data reported by the bus companies operating the units either of all units or of a representative sample of comparable units (comparable technology, vintage...
and size). To ensure a conservative approach, all data with specific fuel consumption values which are more than 20% lower than the average specific fuel consumption below the 95% confidence level of the sample measurement of comparable units are excluded from calculations. This ensures a conservative approach, as ensuring that project emissions are not overstated.

If the CDM project includes only parts of a larger activity, the fuel used for the CDM project is separated from the total fuel used. The separation is done (in order of preference) by the following means:

- By operators: This method is used if certain operators are assigned to certain parts of the project;
- By distance driven: the fuel share for each part of the project is based on the share of kilometers per project part;
- By passengers: the fuel share for each part of the project is based on the share of passengers per part of the project (based on the entry points of passengers).
<table>
<thead>
<tr>
<th>ID number</th>
<th>Data variable</th>
<th>Source of data</th>
<th>Data unit</th>
<th>Measured (m), calculated (c) or estimated (e)</th>
<th>Recording frequency</th>
<th>Proportion of data to be monitored</th>
<th>How will the data be archived? (electronic/paper)</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>TC_{pj,x,i}</td>
<td>Total fuel consumption</td>
<td>Proprietary</td>
<td>Litre kWh kg m³</td>
<td>M</td>
<td>Annual</td>
<td>100%</td>
<td>Electronic</td>
<td>Required if alternative A is chosen for as described in baseline methodology (for total project or only for trunk lanes); Based in general on company records. In case of bio-fuel blends being used the biofuel share must be transparently recorded and emissions are only calculated on the fossil share; It must be shown that conventional comparable urban buses use the same biofuel blend as project buses. In case of usage of electricity based on kWh</td>
</tr>
<tr>
<td>SEC_{j,x,y}</td>
<td>Fuel efficiency</td>
<td>Proprietary</td>
<td>l/km kWh/km kg km³/m³</td>
<td>M</td>
<td>Annual</td>
<td>100% or sample</td>
<td>Electronic</td>
<td>Required if alternative B is chosen as described in baseline methodology for total or part of the project; required for trunk and for feeder buses separately. In case of bio-fuel blends being used the biofuel share must be transparently recorded and emissions are only calculated on the fossil share; It must be shown that conventional comparable urban buses use the same biofuel blend as project buses. In case of usage of electricity based on kWh</td>
</tr>
<tr>
<td>DD_{TB,y}</td>
<td>Distance</td>
<td>Proprietary</td>
<td>million km</td>
<td>M</td>
<td>Annual</td>
<td>100%</td>
<td>Electronic</td>
<td>Required for alternative B baseline (see above); required for trunk and for feeder buses separately; based in general on GPS (at minimum for trunk buses) and/or reports checked by the operator of the BRT system as payments are based inter alia on distance driven</td>
</tr>
</tbody>
</table>
Data and parameters - Baseline Emissions

Details of Data on Fuel Consumption Baseline [ID.5]

Two methodological alternatives are proposed for the fuel consumption data (in order of preference):

- **Alternative 1**: Measurement of fuel consumption data using a representative sample for the respective category and fuel type. Factors such as the specific urban driving conditions (drive-cycle, average speed etc), vehicle maintenance and geographical conditions (altitude, road gradients, etc.) are thus included. The sample must be large enough to be representative.\(^{14}\) To ensure a conservative approach the top 20% of the sample is not included in calculations the lower 95% confidence level of the sample measurement to be taken. This ensures a conservative approach. Such surveys are potentially conducted by international organizations or by local transit or environmental authorities. As such surveys are, however, costly they are only available in few cities;

- **Alternative 2**: Use of fixed values based on the national or international literature. The literature data can either be based on measurements of similar vehicles in comparable surroundings (e.g., from comparable cities of other countries) or may include identifying the vehicle age and technology of average vehicles circulating in the project region and then matching this with the most appropriate IPCC values. The most important proxy to identify vehicle technologies is the average age of vehicles used in the area of influence of the project. To determine if either US or European default factors apply either local vehicle manufacturer information can be used (in the case of having a substantial domestic vehicle motor industry) or source of origin of vehicle imports.

Note that a technical improvement factor is also considered (see equation in Annex to the Baseline methodology).

Details of Survey to Identify Mode of Transport [ID.12 and 9]

The survey is used to distribute the electronically or mechanically registered total number of passengers to different transport modes that they would have used in absence of the project. The basic goal of this survey is to identify the mode of transport used in absence of the project. Additionally the survey is also used to track any changes in distance driven of by passengers (which in absence would have used passenger cars, motorcycles or taxis) as well as the fuel type used in passenger cars for passengers using the project system which in absence would have used passenger cars. The precise survey methodology to be used will vary with each individual project.

The PDD must contain an elaborated version of such a survey. Also a sensitivity analysis shall be made in the PDD to assess the sensitivity of emission reductions to changes in the recorded shares of passengers towards different modes of transport, change of distance driven per mode of transport and change of fuel type used by passenger cars.

The survey is conducted annually during project duration based on a representative survey of all passengers. The categories of transport modes include public transport (buses and, if applicable, rail-based urban MRTS), taxis, passenger cars, motorcycles, non-motorized transport and induced traffic (i.e., passenger would not have realized the trip in absence of the project). The relative distribution is measured and the absolute numbers are calculated based on total passengers transported. Additionally, per specific transport mode the users are asked for their trip origin and destination to calculate distance driven.

\(^{14}\) Variances of fuel consumption will result due to different routes, load factors, engine and vehicle types, driver, driving conditions, ambient conditions etc.
of the project system that would have used passenger cars in absence of the BRT system are additionally asked what fuel type their passenger car uses.

The following survey principles shall be followed:

- The survey must be realized with maximum 5% error margin and a 95% confidence interval. This confidence interval corresponds to the guidelines issued by the EB in its 22nd meeting Annex 2 (EB 22 report Annex 2, D, page 3): “Methodologies employing sampling to derive parameters in estimating emissions reductions shall quantify these parameter uncertainties at the 95% confidence level” Standard for sampling and surveys for CDM project activities and programme of activities.
- The sampling size is determined by the 95% confidence interval and the 5% maximum error margin;
- Sampling must be statistically robust and relevant i.e. the survey has a random distribution and is representative of the persons using the BRT system;
- The methodology to select persons for interviews is based on a systematic random sampling based on the flow of passengers per station per day per hour (i.e., the number of persons to be interviewed randomly per bus station and per hour per day is based on the total flow of passengers per station-day-hour to have a representative sample);
- Only persons over age 12 are interviewed;
- Minimum bi-monthly and preferably monthly surveys are to be realized to avoid any problems due to varying usage dependent on month of use (e.g., vacations);
- The survey shall be executed by an external organization with specialized knowledge on survey and survey techniques;
- Training of the people conducting the questionnaire survey must be made by the organization performing the latter to ensure good quality. The training must be based on standard questionnaire techniques and quality assurance;
- Before starting the official monitoring a test-run using the same questionnaire should be realized. This to ensure that the questions and multiple-choice answers are correctly understood by the passengers;
- The PDD must contain the design details of the survey. Relevant for the PDD is that the design can guarantee a representative survey with the targeted confidence interval. The same question should be used throughout the crediting period to ensure consistency;
- The survey must allow for a clear separation of modes of transport which the passenger would have used in absence of the project;
- The survey should include control questions to assure a conservative approach;
- A sensitivity analysis of the share of passengers that would have taken a given transport mode in absence of the project needs to be carried out showing the percentage change in the modal split required to change emission reductions by 5%;
- A sensitivity analysis is realized to calculate the impact of lower than baseline trip distances and of changing fuel types in passenger cars;
- The relative modal distribution is maintained constant for the year after a policy affecting potentially the modal distribution has been enforced. The emission reductions due to the policy...
change are thus fully accounted for in the baseline in a conservative manner (100% is attributed
to the policy change);

- BRT projects are in general implemented gradually. The questions asked by surveys can thus
  compare a still existing public transport system with the project situation;
- If a passenger is not sure how he would have made a trip he is assigned to induced transport.
  This ensures a conservative approach.

The default questionnaire to be used is included in Appendix A below. This questionnaire should be used
by all projects except if valid arguments exist to change the questionnaire and to adapt it to local
circumstances. The questionnaire must be realized in the local language.

Equation (1) is used to calculate transport emissions factor per distance of vehicle category.

If fewer less than 10% of vehicles in a specific vehicle category are gasoline, diesel, CNG or LPG
powered, then this respective fuel can be omitted for simplicity purposes. In For alternative vehicles the
threshold value is less than 1%.

Two methodological alternatives are proposed for the fuel consumption data (in order of preference)

- Alternative 1: Measurement of fuel consumption data using a representative sample for the
  respective category and fuel type. To ensure a conservative approach the top 20% lower 95%
  confidence level of the sample is not included in calculations;
- Alternative 2: Use of fixed values based on the national or international literature. The literature
  data can either be based on measurements of similar vehicles in comparable surroundings (e.g.,
  from comparable cities of other countries) or may include identifying the vehicle age and
  technology of average vehicles circulating in the project region and then matching this with the
  most appropriate IPCC default values. The most important proxy to identify vehicle technologies
  is the average age of vehicles used in the area of influence of the project. To determine if either
  US or European default factors apply either local vehicle manufacturer information can be used
  (in the case of having a substantial domestic vehicle motor industry) or source of origin of vehicle
  imports.

A technical improvement factor is thereafter introduced. The technology improvement factor results in
dynamic emission factors for the different units. See Step 3.

Calculate Emissions per Passenger per vehicle Category

This step calculates emission factors showing the emissions per passenger per average trip for each
vehicle category and uses Equations (2) (for buses) and (3) (for passenger cars, taxis and motorcycles).

The time period for passengers and distance must be equal (e.g., one year or one month). All data used is
determined ex ante project. A change in the occupancy rate of buses is registered as leakage of the
project.

Calculate Emission Factor Based on Sector Data

This approach is based on sector fuel consumption data and differentiates fuel consumption per fuel type
for all different vehicle categories such as identified in the first step.

Following conditions apply to using this alternative:
- A study on sector fuel consumption separating the vehicle categories is available with a
  confidence interval of minimum 95% (i.e., error margin maximum 5%).
The geographic region of the project can be separated well;

Data for fuel consumption must have the same year/time period and the same geographic boundaries as data of passengers transported;

Data must be crosschecked with total fuel consumption of the region.

Emissions per passenger are calculated by taking the sector consumption and the passengers transported per vehicle category, and using Equation (4). Fuel consumption data is transformed to CO\textsubscript{2}e emissions. This is calculated for all relevant vehicle categories. If alternative fuels such as gas (CNG or LNG) are used they are included in the calculations using the appropriate default values for CO\textsubscript{2}, CH\textsubscript{4}, and N\textsubscript{2}O.

Change of Baseline Parameters during Project crediting period

The baseline emissions per passenger trip for taxis, passenger cars and motorcycles are adjusted annually with a correction factor to changing trip distances, and uses Equation (5).

Note: The adjustment is only made if $TD_{i,y} < TD_i$ to ensure a conservative approach.\textsuperscript{15}

The baseline emissions for all passengers transported are calculated. This is differentiated according to the mode of transport, which the person would have used in absence of the project. Passengers transported are determined through the project (activity level of the project). The total amount of passengers transported by the project shall be reported by the system operator.

Total baseline emissions. These are calculated using Equations (6), (7), (8).

\textsuperscript{15} Larger distances would increase baseline emissions per passenger trip. The project emissions of larger trip distances are however fully recorded as project emissions are based on total fuel consumed.
<table>
<thead>
<tr>
<th>ID number</th>
<th>Data variable</th>
<th>Source of data</th>
<th>Data unit</th>
<th>Measured (m), calculated (c), estimated (e)</th>
<th>Recording frequency</th>
<th>Proportion of data to be monitored</th>
<th>How will the data be archived? (electronic/paper)</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>4. Nv,i</td>
<td>Number of vehicles</td>
<td>Official statistics and proprietary</td>
<td>Vehicles</td>
<td>m</td>
<td>Before project start and annually (in the case of modal shift for passenger cars)</td>
<td>100% and annually based on a survey of passengers using the new system</td>
<td>Electronic</td>
<td>Per vehicle category the amount of vehicles per relevant fuel type (gasoline, diesel, LNG, CNG or electric vehicles) needs to be identified. Only categories are included where modal shift is expected (next to public transport) – see NMB. Annual recording of fuel type used from passengers using the new system which in absence of the project would have used a passenger car (only required if a modal shift of passenger cars is included in the project)</td>
</tr>
<tr>
<td>5. SEC,i</td>
<td>Fuel efficiency</td>
<td>Proprietary, IPCC or international literature</td>
<td>litres/km kWh/km kg/km m³/km</td>
<td>m</td>
<td>Before project start</td>
<td>Sample</td>
<td>Electronic</td>
<td>Per vehicle category required, Based either on local measurements or international data from comparable regions or IPCC values adapted to local circumstances. In case of bio-fuel blends being used the biofuel share must be transparently recorded and emissions are only calculated on the fossil share; In case of usage of electricity based on kWh</td>
</tr>
<tr>
<td>6. DDZ,S, DDZ,M, DDZ,L, DDZ,T</td>
<td>Total distance driven by all vehicles in category</td>
<td>Official statistics</td>
<td>km</td>
<td>m</td>
<td>Before project start and partially annually</td>
<td>Sample</td>
<td>Electronic</td>
<td>Statistics are based in general on samples. Required for all sub-categories of buses baseline and for taxis and potentially other categories. Important is to have the same data source for distance driven and passengers for public transport to ensure consistency. Data can be either with or without the informal sector as long as above mentioned parameters are from the same data source. In general data including only the formal sector is of better data quality and should thus be taken</td>
</tr>
<tr>
<td>ID number</td>
<td>Data variable</td>
<td>Source of data</td>
<td>Data unit</td>
<td>Measured (m), calculated (c), estimated (e)</td>
<td>Recording frequency</td>
<td>Proportion of data to be monitored</td>
<td>How will the data be archived? (electronic/paper)</td>
<td>Comment</td>
</tr>
<tr>
<td>-----------</td>
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</tr>
<tr>
<td>7 P</td>
<td>Passengers transported baseline by vehicle category 1</td>
<td>Official statistics</td>
<td>Passengers</td>
<td>m</td>
<td>Before project start</td>
<td>100%</td>
<td>Electronic</td>
<td>This is for calculation the emission factor for the baseline and is not for calculating the total baseline emissions. Latter are calculated based on the passengers transported by the project. It is important to have the same data source for distance driven (ID 6) and passengers (ID 7) to ensure consistency. Data can be either with or without the informal sector as long as above-mentioned parameters are from the same data source. In general data including only the formal sector is of better data quality and should thus be taken.</td>
</tr>
<tr>
<td>8 DC DCy</td>
<td>Average occupancy rate baseline of vehicle category 1</td>
<td>Official statistics or proprietary</td>
<td>Passengers</td>
<td>m</td>
<td>Before project start and for buses and taxis minimum year 3, 6 and 10</td>
<td>Sample</td>
<td>Electronic</td>
<td>Required for all categories of vehicles baseline if passenger km is calculated based on occupancy rate and trip distance and for leakage taxis and buses. For buses, monitoring required at a minimum in years 3, 6 and 10 as part of leakage. For taxis also if this vehicle category is included in the project. Need to have explanation of how this survey is done.</td>
</tr>
<tr>
<td>9 TD TDy</td>
<td>Average trip distance baseline for vehicle category 1</td>
<td>Official statistics or proprietary</td>
<td>Km</td>
<td>m</td>
<td>Before project start and annually (in the case of modal shift for passenger cars)</td>
<td>Sample and sample survey</td>
<td>Electronic</td>
<td>Required for all categories of vehicles baseline if passenger km is calculated based on occupancy rate and trip distance. Average trip distances of passengers using the new system are recorded through surveys based on the mode of transport they would have used in absence of the project (for users which would have used passenger cars, taxis or motorcycle; only required if modal shift effects are demanded by the project).</td>
</tr>
<tr>
<td>ID number</td>
<td>Data variable</td>
<td>Source of data</td>
<td>Data unit</td>
<td>Measured (m), calculated (c), estimated (e)</td>
<td>Recording frequency</td>
<td>Proportion of data to be monitored</td>
<td>How will the data be archived? (electronic/paper)</td>
<td>Comment</td>
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</tr>
<tr>
<td>10. TC&lt;sub&gt;x&lt;/sub&gt;</td>
<td>Total fuel consumption per vehicle category</td>
<td>Official statistics or proprietary</td>
<td>Litres</td>
<td>m</td>
<td>Before project start</td>
<td>Sample</td>
<td>Electronic</td>
<td>Required if calculations are based on sectoral fuel consumption data</td>
</tr>
<tr>
<td>11. P&lt;sub&gt;y&lt;/sub&gt;</td>
<td>Passengers transported by project</td>
<td>Proprietary</td>
<td>Passengers</td>
<td>m</td>
<td>Annually</td>
<td>100%</td>
<td>Electronic</td>
<td>Statistics of transit management unit show the number of passengers transported by the project in total. This is based on electronic or mechanical measurement of all passengers using the system. Used to calculate ex-post the baseline emissions and to fulfil the applicability conditions</td>
</tr>
<tr>
<td>11bis. S</td>
<td>Share of passengers that would have taken transport mode</td>
<td>Proprietary</td>
<td>%</td>
<td>m</td>
<td></td>
<td></td>
<td></td>
<td>The project monitors what transport mode passengers would have used in absence of the project. See paragraph below for details on the survey. The survey is also required if no modal shift is included in the project. In this case the modes of transport are only public transport, NMT, rail based urban transit and induced traffic</td>
</tr>
<tr>
<td>12. P&lt;sub&gt;z&lt;/sub&gt;</td>
<td>Passengers transported by project who would have used transport mode</td>
<td>Proprietary</td>
<td>Passengers</td>
<td>s</td>
<td>Bi-monthly</td>
<td>Sample survey</td>
<td>Electronic</td>
<td></td>
</tr>
<tr>
<td>ID number</td>
<td>Data variable</td>
<td>Source of data</td>
<td>Data unit</td>
<td>Measured (m), calculated (c), estimated (e)</td>
<td>Recording frequency</td>
<td>Proportion of data to be monitored</td>
<td>How will the data be archived? (electronic/paper)</td>
<td>Comment</td>
</tr>
<tr>
<td>-----------</td>
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<td>-----------------------------------------------</td>
<td>---------</td>
</tr>
<tr>
<td>13</td>
<td>Policies</td>
<td>Proprietary</td>
<td>None</td>
<td>E</td>
<td>Before project start and annually</td>
<td>100%</td>
<td>Electronic</td>
<td>Transport policies, which affect the baseline emissions, are identified and their impact on any of the baseline factors is estimated. This is done ex-ante to project start. Annually the project assesses if a new policy has been implemented which changes in a measurable manner a baseline parameter. Project participants need to assess if policies might have effects on various parameters.</td>
</tr>
</tbody>
</table>

Transport policies, which affect the baseline emissions, are identified and their impact on any of the baseline factors is estimated. This is done ex-ante to project start. Annually the project assesses if a new policy has been implemented which changes in a measurable manner a baseline parameter. Project participants need to assess if policies might have effects on various parameters.
Data and parameters - Leakage

Details of Load Factor Study

The frequency of the road load study is:

- If 100% of the project is implemented at the start: Year 2 to monitor short-term response of remaining bus fleet to project and years 5 and 10 to monitor medium-term response. Data of year 2 is used for years 3-5 and data of year 5 for rest of crediting period. To monitor the occupancy rate of the remaining buses every year is not considered as necessary, as changes are expected either in the first years (short-term response) or then in the medium-term. In between only incremental annual changes are expected which would not justify the considerable expenses for realizing such surveys;

- With gradual project implementation monitoring years may vary. It is proposed to monitor at a minimum every 3 years e.g. year 3, 6 and 10.

Changes in load factor of the remaining conventional buses and taxis shall be monitored in the years 1 and 4 of the crediting period. If the load factor reduces less than 10 percentage points no leakage is included. If the load factor reduces by more than 10 percentage points relative to the measurement before project start (benchmark) then leakage is calculated and included. In this case the amount of leakage is the cumulative sum of all years since the last load factor survey was realized assuming that the reduction of the load factor occurred immediately since the last survey.

Guideline for the establishment of load factor studies for buses

Load factor surveys shall be based on “Visual Occupation Studies”. The procedures to establish visual occupation are as follows:

1. Vehicle categories are defined according to the characteristics of the fleet and types of services (e.g., with or without standing passengers);
2. Occupation categories are defined (usually 5 or 6), for instance <50% occupied, 50–100% seats occupied, 100% seats occupied, <50% space for standing passengers occupied, 50–100% of standing space occupied, overload (>100% of legally permitted space occupied);
3. The number of passengers corresponding to each vehicle category and type of service is defined. A pilot study could be completed to calibrate the levels of occupation with actual in vehicle counts;
4. Formats for field study are prepared;
5. Field data collectors are trained;
6. Locations, days and times for field study are defined. Points are strategically located to cover all the routes with the minimum of points. Suggested days are Tuesday to Thursday, avoiding days immediately after or before a holiday. A typical seasons (school or university vacations) should be avoided. The recommended time period for the study is 6AM-9PM. More important is, however, that the same days and time periods are chosen for the baseline as well as for the monitoring studies to ensure data comparability;
7. Field data is collected. Coverage of the occupation counts should be higher than 95% of the number of buses that cross the checkpoint. 100% coverage is desired. To control this outcome, a separate vehicle count is advised. Data can be adjusted with the actual count;
8. Data is digitized and its quality is controlled. In case of mistakes in data collection, counts should be repeated;
The total number of vehicles, number of available spaces (vehicle capacity) and the total number of passengers is reported. Occupation is the number of passengers divided by the vehicle capacity.

The average load factor is equal to the average load factor of each route multiplied by the total number of passengers in the route, divided by the total passengers in the network.

**Guideline for the establishment of load factor studies for taxis**

This study is only conducted if modal shift is claimed from former taxi passengers. The actual number of passengers excluding the driver of taxis is counted in a given point within a given time period. The counting is based on visual occupation counting the number of passengers occupying the taxi.

Procedures to establish visual occupation:

1. Locations, days and times for field study are defined. Suggested days are Monday to Friday, avoiding days immediately after or before a holiday. Atypical seasons (school or university vacations) should be avoided. The recommended time period for the study is 6AM-9PM. More important is, however, that the same days and time periods are chosen for the baseline as well as for the monitoring studies to ensure data comparability;
2. Field data is collected. Coverage of the occupation counts should be higher than 95% of the number of taxis that cross the checkpoint. 100% coverage is desired. To control this outcome a separate vehicle count is advised. Data can be adjusted with the actual count;
3. Data is digitized and its quality is controlled. In case of mistakes in data collection counts should be repeated;
4. Occupation is the number of passengers using the taxi. The driver is not counted. Taxis without passengers are counted as 0 occupation;
5. The total number of taxis and the total number of passengers is reported. The average occupation rate of taxis is the total number of passengers divided by the total number of taxis in which counts were performed;
6. The study is realized in different locations of the city during minimum 5 days;
7. The same methodology is used for the load study performed prior to the project as during the monitoring. Locations of monitoring can however change as traffic flows in cities change over time. Other parameters of the study (duration, sample size, counting method etc) however should remain constant to ensure consistency and comparability of studies.
Data and Parameters Monitored

All data collected as part of monitoring should be archived electronically and be kept at least for two years after the end of the last crediting period. 100% of the data should be monitored if not indicated otherwise in the tables below. All measurements should be conducted with calibrated measurement equipment according to relevant industry standards.

In addition to the parameters listed in the tables below, the procedures contained in the tools referred to in this methodology also apply.

<table>
<thead>
<tr>
<th>Data / Parameter:</th>
<th>TCPJ,x,i</th>
</tr>
</thead>
<tbody>
<tr>
<td>Data Unit</td>
<td>Litre, kWh, kg, m³</td>
</tr>
<tr>
<td>Description</td>
<td>Total fuel consumption</td>
</tr>
<tr>
<td>Source of Data</td>
<td>Based on company records</td>
</tr>
<tr>
<td>Measurement Procedure</td>
<td>Annual</td>
</tr>
<tr>
<td>Monitoring frequency</td>
<td></td>
</tr>
<tr>
<td>QA/QC procedures</td>
<td>Data of measurements can be cross-checked against specific fuel consumption data. Variations in the specific fuel consumption from the average factor need to be controlled. Variations are possible due to different bus models used, variations resulting from routes and frequency, load factor variances and driver variances.</td>
</tr>
<tr>
<td>Comments</td>
<td>In case of bio-fuel blends being used, the biofuel share must be transparently recorded and emissions are only calculated for the fossil fuel share of the blend. It must be shown that conventional comparable urban buses use the same biofuel blend as project buses.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Data / Parameter:</th>
<th>TD_{i}, TD_{i,y}</th>
</tr>
</thead>
<tbody>
<tr>
<td>Data Unit</td>
<td>Km</td>
</tr>
<tr>
<td>Description</td>
<td>Average trip distance baseline for vehicle category /</td>
</tr>
<tr>
<td>Source of Data</td>
<td>Official statistics or specific studies conducted by the project proponent. Vintage maximum 3 years.</td>
</tr>
<tr>
<td>Measurement Procedure</td>
<td></td>
</tr>
<tr>
<td>Monitoring frequency</td>
<td></td>
</tr>
<tr>
<td>QA/QC procedures</td>
<td>Data is based on origin-trip survey used to design the project including the QA procedures involved in such studies The same data source should be taken as for OC_{1} and OC_{1,y} to ensure data consistency. The annual survey is based on a questionnaire, which is representative. Data from the annual survey is however only used if this results in lower baseline emissions (i.e. lower trip distances are monitored than the original baseline data).</td>
</tr>
<tr>
<td>Comments</td>
<td>Required for all categories of baseline vehicles if passenger-km is calculated based on occupancy rate and trip distance. Average trip distances for passengers using the project system are recorded through surveys based on the mode of transport they would have used in absence of the project (for users which would have used passenger cars, taxis or motorcycle; only required if modal shift effects are accounted for in emissions reductions attributed to the project)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Data / Parameter:</th>
<th>Si</th>
</tr>
</thead>
<tbody>
<tr>
<td>Data Unit</td>
<td>%</td>
</tr>
</tbody>
</table>
### Description
Share of passengers that would have taken transport mode *i* in absence of the project activity

### Source of Data
Survey conducted by an external survey company

### Measurement Procedure
Based on survey

### Monitoring frequency

### QA/QC procedures
See Annex for the survey design. Statistics is based on electronic or mechanic measurements and is cross-checked against financial receipts from the sale of tickets

### Comments
The project monitors via a survey which transport mode passengers would have used in absence of the project. The survey is also required if no modal shift is included in the project. In this case the modes of transport are only public transport, NMT, rail based urban transit and induced traffic.

<table>
<thead>
<tr>
<th>Data / Parameter:</th>
<th>( P_{i,y} )</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Data Unit</strong></td>
<td>Passengers transported by project who would have used transport mode <em>i</em> in absence of the project activity</td>
</tr>
<tr>
<td><strong>Description</strong></td>
<td>Survey conducted by an external survey company</td>
</tr>
<tr>
<td><strong>Measurement Procedure</strong></td>
<td>Based on survey</td>
</tr>
<tr>
<td><strong>Monitoring frequency</strong></td>
<td>Bi-monthly</td>
</tr>
<tr>
<td><strong>QA/QC procedures</strong></td>
<td>See Annex for the survey design</td>
</tr>
</tbody>
</table>

Important is that the same methodology is used to estimate transport modes over the whole crediting period. For QA a precise and transparent data collection protocol is established detailing methodology and operational issues (including frequency, location, time, duration of measurement). The sample size is determined to ensure a 90% confidence interval using statistical techniques for random surveys. The PDD must contain a survey format as well as the survey methodology to be used. A sensitivity analysis of this parameter must be realized.

<table>
<thead>
<tr>
<th>Data / Parameter:</th>
<th>( OC_i )</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Data Unit</strong></td>
<td>passengers</td>
</tr>
<tr>
<td><strong>Description</strong></td>
<td>Occupancy of baseline vehicle category <em>i</em></td>
</tr>
<tr>
<td><strong>Source of Data</strong></td>
<td>Official statistics or survey conducted by an external survey company</td>
</tr>
<tr>
<td><strong>Measurement Procedure</strong></td>
<td>Based on survey</td>
</tr>
<tr>
<td><strong>Monitoring frequency</strong></td>
<td>Before the project start and for buses and taxis and in the year 1 and 4</td>
</tr>
<tr>
<td><strong>QA/QC procedures</strong></td>
<td>See Annex for the survey design. The same data source should be taken as for ( T_{D,y} ) and ( T_{D,y} ) to ensure data consistency</td>
</tr>
</tbody>
</table>


### Comments

Required for all categories of vehicles baseline if passenger-km is calculated based on occupancy rate and trip distance and for leakage taxis and buses. For buses, monitoring required in the year 1 and 4 of the crediting period as part of leakage. The same requirement is for taxis if this vehicle category is included in the project. Need to have explanation of how this survey is done.

### Data / Parameter: \( \text{ROCi}_i, \text{OC}_i \)

<table>
<thead>
<tr>
<th>Data Unit</th>
<th>( \text{ROCi}_i, \text{OC}_i )</th>
</tr>
</thead>
<tbody>
<tr>
<td>Description</td>
<td>Occupancy rate of vehicle category ( i ) relative to its capacity; occupancy of vehicle category ( i ) in year ( y )</td>
</tr>
<tr>
<td>Source of Data</td>
<td>Survey conducted by an external survey company</td>
</tr>
<tr>
<td>Measurement Procedure</td>
<td>Based on survey</td>
</tr>
<tr>
<td>Monitoring frequency</td>
<td>The year 1 and 4 of the crediting period</td>
</tr>
<tr>
<td>QA/QC procedures</td>
<td>See Annex for the survey design</td>
</tr>
</tbody>
</table>

Important is that the same methodology is used to measure the occupancy rate thus ensuring data consistency. For QA a precise and transparent data collection protocol is thus established detailing methodology and operational issues (including frequency, location, time, duration of measurement). The data is only required at a medium level as only changes >10 percentage points will be registered.

Comments

The occupancy rate of taxis and the remaining bus fleet is monitored through representative samples. If results show negative changes > 10 % in the load factor, this change is included in the leakage calculation for all years since the last monitoring of the load factor.

### Data / Parameter: \( \text{N}_{\text{B}_i}, \text{N}_{\text{T}_i} \)

<table>
<thead>
<tr>
<th>Data Unit</th>
<th>( \text{N}_{\text{B}<em>i}, \text{N}</em>{\text{T}_i} )</th>
</tr>
</thead>
<tbody>
<tr>
<td>Description</td>
<td>Number of conventional buses and taxis remaining in operation</td>
</tr>
<tr>
<td>Source of Data</td>
<td>Official registration statistics or survey conducted by an external survey company</td>
</tr>
<tr>
<td>Measurement Procedure</td>
<td>Based on survey</td>
</tr>
<tr>
<td>Monitoring frequency</td>
<td>The year 1 and 4 of the crediting period</td>
</tr>
<tr>
<td>QA/QC procedures</td>
<td>See Annex for the survey design</td>
</tr>
</tbody>
</table>

In general various official sources are available (vehicle registration data; transportation statistics). Important is to ensure that over time the same source or the same calculation method (e.g. average of sources) is applied. The same data source should be taken as for \( \text{ROCi}_i \) and \( \text{OC}_i \) to ensure data consistency.

Comments
Data / Parameter: \( N_{i,x} \)

<table>
<thead>
<tr>
<th>Data Unit</th>
<th>Vehicles</th>
</tr>
</thead>
<tbody>
<tr>
<td>Description</td>
<td>Number of vehicles</td>
</tr>
<tr>
<td>Source of Data</td>
<td>Official statistics or specific studies done by the project proponent or a third party. Vintage maximum 3 years.</td>
</tr>
<tr>
<td>Measurement</td>
<td></td>
</tr>
<tr>
<td>Procedure</td>
<td></td>
</tr>
<tr>
<td>Monitoring</td>
<td>Before project start and in the year 1 and 4 (in the case of modal shift for passenger cars)</td>
</tr>
<tr>
<td>QA/QC procedures</td>
<td>In general various official sources are available (vehicle registration data; transportation statistics). Important is to have the same data source for distance driven and passengers for public transport to ensure consistency. Data can be either with or without the informal sector as long as above-mentioned parameters are from the same data source. In general data including only the formal sector is of better data quality and should thus be taken. To ensure quality, the data source and calculation method need to be stated. With the survey data on the fuel type of passenger cars used by passengers now using the BRT system is recorded. Changes to the baseline emission factor for passenger cars are only made if the monitored data results in lower emission factors, not so however if the data results in higher emission factors.</td>
</tr>
<tr>
<td>Comments</td>
<td>Per vehicle category the amount of vehicles per relevant fuel type (gasoline, diesel, LNG, CNG or electric vehicles) needs to be identified. Only categories are included where modal shift is expected (next to public transport). Recording of fuel type used by passengers using the project system who in absence of the project would have used a passenger car (only required if a modal shift of passenger cars is included in the project) shall be conducted in the year 1 and 4 of the crediting period.</td>
</tr>
</tbody>
</table>

Data / Parameter: \( NCV_x \)

<table>
<thead>
<tr>
<th>Data Unit</th>
<th>J/mass or volume units of fuel</th>
</tr>
</thead>
<tbody>
<tr>
<td>Description</td>
<td>Net calorific value of fuel type ( x )</td>
</tr>
<tr>
<td>Source of Data</td>
<td>The following data sources may be used if the relevant conditions apply:</td>
</tr>
<tr>
<td></td>
<td><strong>Data source</strong></td>
</tr>
<tr>
<td></td>
<td>(a) Values provided by the fuel supplier in invoices taken from a sample of fuel stations in the larger urban zone of the city</td>
</tr>
<tr>
<td></td>
<td>(b) Measurements by the project participants taken from a sample of fuel stations in the larger urban zone of the city</td>
</tr>
<tr>
<td></td>
<td>(c) Regional or national default values</td>
</tr>
<tr>
<td></td>
<td>(d) IPCC default values at the lower limit of the uncertainty at a 95%</td>
</tr>
</tbody>
</table>
**Data / Parameter:** \( EF_{\text{CO}_2,x} \)  
**Data Unit:** gCO\(_2\)/J  
**Description:** CO\(_2\) emission factor for fuel type \( x \)

### Source of Data

<table>
<thead>
<tr>
<th>Data source</th>
<th>Conditions for using the data source</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a) Values provided by the fuel supplier in invoices taken from a sample of fuel stations in the larger urban zone of the city</td>
<td>This is the preferred source</td>
</tr>
<tr>
<td>(b) Measurements by the project participants taken from a sample of fuel stations in the larger urban zone of the city</td>
<td>If (a) is not available</td>
</tr>
<tr>
<td>(c) Regional or national default values</td>
<td>If (a) is not available. This source can only be used for liquid fuels and should be based on well documented, reliable sources (such as national energy balances)</td>
</tr>
<tr>
<td>(d) IPCC default values at the lower limit of the uncertainty at a 95% confidence interval as provided in Table 1.4 of Chapter 1 of Vol. 2 (Energy) of the 2006 IPCC Guidelines on National GHG Inventories</td>
<td></td>
</tr>
</tbody>
</table>

**Measurement Procedure**  
For (a) and (b): measurements should be undertaken in line with national or international fuel standards.

**Monitoring frequency**  
For (a) and (b): the NCV should be obtained for each fuel delivery, from which weighted annual average values should be calculated.  
For (c): review the appropriateness of the values annually  
For (d): any future revision of the IPCC Guidelines should be taken into account.

**QA/QC procedures**  
Verify if the values under (a), (b) and (c) are within the uncertainty range of the IPCC default values as provided in Table 1.2, Vol. 2 of the 2006 IPCC Guidelines. If the values fall below this range, collect additional information from the testing laboratory to justify the outcome or conduct additional measurements. The laboratories in (a), (b) or (c) should have ISO17025 accreditation or justify that they can comply with similar quality standards.

**Comments**  
The parameter is used for baseline as well as project emissions and vehicle owners or operators can buy fuel from a variety of sources (fuel stations). In practice therefore it is considered to be simpler to determine the parameter using options (c) or (d).
Measurement Procedure

For (a) and (b): measurements should be undertaken in line with national or international fuel standards.
For (a): if fuel suppliers provide the NCV value and the CO₂ emission factor on the invoices and these two values are based on measurements for this specific fuel, this CO₂ factor should be used. If another source for the CO₂ emission factor is used or no CO₂ emission factor is provided, options (b), (c) or (d) should be used.

Monitoring frequency

For (a) and (b): the CO₂ emission factor should be obtained for each fuel delivery, from which weighted average annual values should be calculated.
For (c): review the appropriateness of the values annually.
For (d): any future revision of the IPCC Guidelines should be taken into account.

QA/QC procedures

Comments

The parameter is used for baseline as well as project emissions and vehicle owners or operators can buy fuel from a variety of sources (fuel stations). In practice, therefore it is considered to be simpler to determine the parameter using options (c) or (d).

Data / Parameter: V_p,y

Data Unit: km/h

Description: Average project speed of passenger cars on remaining roads in year y

Source of Data: Municipal transit authorities or studies ordered by project proponent

Measurement Procedure: On-board measurements determining the total average speed and the average moving speed (when circulating) on the remaining roads based, e.g. on GPS measuring.

This parameter should be monitored for each affected road.

Monitoring frequency: Once in the years 1 and 4 of the crediting period

QA/QC procedures

Comments
<table>
<thead>
<tr>
<th>ID number</th>
<th>Data variable</th>
<th>Source of data</th>
<th>Data unit</th>
<th>Measured (m), calculated (c), estimated (e)</th>
<th>Recoding frequency</th>
<th>Proportion of data to be monitored</th>
<th>How will the data be archived? (electronic/paper)</th>
<th>Comment</th>
</tr>
</thead>
<tbody>
<tr>
<td>20. ROC$_{i,y}$</td>
<td>Occupancy rate of vehicle category $i$ relative to capacity; occupancy of vehicle category $i$</td>
<td>Proprietar y</td>
<td>%</td>
<td>C,M</td>
<td>Before project start plus regular intervals thereafter</td>
<td>Sample</td>
<td>Electronic</td>
<td>The occupancy rate of taxis and the remaining bus fleet is monitored through representative samples. If results show negative changes $&gt;10%$ in the load factor, this change is attributed and included in the leakage calculation for all years since the last monitoring of the load factor. Recommended interval: year 3, 6 and 10 for 10 year crediting period; year 3 and 7 for 7 year crediting period. See details below.</td>
</tr>
<tr>
<td>21. N$<em>{C,y}$, N$</em>{T,y}$</td>
<td>Number of conventional buses and taxis still operating</td>
<td>Official statistics or proprietary</td>
<td>Units</td>
<td>M</td>
<td>Before project start plus regular intervals thereafter</td>
<td>100%</td>
<td>Electronic</td>
<td>Registration statistics. Same years to be monitored as in Item 20.</td>
</tr>
<tr>
<td>ID number</td>
<td>Data variable</td>
<td>Source of data</td>
<td>Data unit</td>
<td>Measured (m), calculated (c), estimated (e)</td>
<td>Recordin g frequency</td>
<td>Proportion of data to be monitored</td>
<td>How will the data be archived? (electronic/paper)</td>
<td>Comment</td>
</tr>
<tr>
<td>-----------</td>
<td>---------------</td>
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<td>---------</td>
</tr>
<tr>
<td>22. SRS</td>
<td>Share of road space used by public transport baseline</td>
<td>Official statistics or proprietary</td>
<td>Percentage</td>
<td>B-c-e</td>
<td>Before project</td>
<td>Electronic</td>
<td>Used for urban transport and infrastructure models; see baseline equations for calculation of SRS if the data is not available from reports. The share of road space used by public transport is a figure often calculated in transport studies. If no reliable data is available as proxy the relative distance driven per different vehicles can also be taken. SRS would then be the distance driven by the public transport (baseline) divided by the total distance of all vehicles driven (baseline). This would be a conservative factor as buses are larger than private cars and thus occupy a larger share of road space per kilometre driven.</td>
<td></td>
</tr>
<tr>
<td>23. RSP, RSB</td>
<td>Road space baseline and project</td>
<td>Official statistics and proprietary</td>
<td>Index, km</td>
<td>B</td>
<td>Before project start</td>
<td>100% Electronic</td>
<td>Road space baseline based on official information. Reduced road space based on construction plans (reduced road space is lanes which where eliminated due to dedicated bus lanes). Road space project = road space baseline – eliminated lanes.</td>
<td></td>
</tr>
<tr>
<td>24. TRc</td>
<td>Number of daily-trips undertaken by passenger cars</td>
<td>Official statistics or proprietary</td>
<td>Unit</td>
<td>m</td>
<td>Before project start</td>
<td>Sample Electronic</td>
<td>Based on surveys. Used for urban transport and infrastructure models.</td>
<td></td>
</tr>
<tr>
<td>25. Vpj, Vpj</td>
<td>Average speed passenger car in baseline and project</td>
<td>Proprietar y</td>
<td>km/h</td>
<td>m/c</td>
<td>Before project start</td>
<td>100% Electronic</td>
<td>Based on transport models. The average speed of passenger cars before project start and the expected speed after decongestion is calculated.</td>
<td></td>
</tr>
<tr>
<td>ID number</td>
<td>Data variable</td>
<td>Source of data</td>
<td>Data unit</td>
<td>Measured (m), calculated (c), estimated (e)</td>
<td>Recording frequency</td>
<td>Proportion of data to be monitored</td>
<td>How will the data be archived? (electronic/paper)</td>
<td>Comment</td>
</tr>
<tr>
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<td>---------</td>
</tr>
<tr>
<td>26. NCV&lt;sub&gt;NG,y&lt;/sub&gt;</td>
<td>Net calorific value of the natural gas used by the project during the year y</td>
<td>Local, regional, national data or IPCC</td>
<td>GJ/m³</td>
<td>m</td>
<td>annually</td>
<td>100%</td>
<td>electronic</td>
<td>If IPCC default values at the upper limit of the uncertainty at a 95% confidence interval</td>
</tr>
<tr>
<td>27. EF&lt;sub&gt;CO2,upstream,CH4&lt;/sub&gt;</td>
<td>Emission factor for upstream fugitive methane emissions from production, transportation and distribution of natural gas</td>
<td>National or IPCC</td>
<td>tCH₄/GJ</td>
<td>m</td>
<td>Prior to project start</td>
<td>100%</td>
<td>electronic</td>
<td></td>
</tr>
<tr>
<td>28. EF&lt;sub&gt;CO2,upstream,LNG&lt;/sub&gt;</td>
<td>Emission factor for upstream CO₂ emissions due to fossil fuel combustion/electricity consumption associated with the liquefaction, transportation, re-gasification and compression of LNG into a natural gas transmission or distribution system</td>
<td>National or IPCC</td>
<td>tCO₂/TJ</td>
<td>m</td>
<td>Prior to project start</td>
<td>100%</td>
<td>electronic</td>
<td></td>
</tr>
<tr>
<td>Data (Indicate table and ID number e.g., 3.1.3.2)</td>
<td>Uncertainty level of data (High/Medium/Low)</td>
<td>Explain QA/QC procedures planned for these data, or why such procedures are not necessary.</td>
<td></td>
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<td>-------------------------------------------------</td>
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</tr>
<tr>
<td>Fuel consumption project 2-1; 1</td>
<td>Low</td>
<td>Data of measurements can be cross checked against specific fuel consumption data. Variations in the specific fuel consumption from the average factor need to be controlled. Variations are possible due to different bus models used, variations resulting from routes and frequency, load factor variances and driver variances.</td>
<td></td>
<td></td>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Fuel efficiency project 2-1; 2</td>
<td>Low</td>
<td>Operators record fuel consumption data—Distance driven based in general on GPS. Thus precise results for project data. Variations in the specific fuel consumption in a specific enterprise and between enterprises need to be controlled. Variations are possible due to different bus models used, variations resulting from routes and frequency, load factor variances and driver variances. Controls are based on checking data with the operators including checks of bills issued by fuel companies. If project fuel emissions are based on specific fuel consumption values of not the total fleet but only a representative sample then all data with specific fuel consumptions more than 20% lower than the average specific fuel consumption of comparable units is omitted to ensure a conservative approach.</td>
<td></td>
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</tr>
<tr>
<td>Distance driven project 2-1; 3</td>
<td>Low</td>
<td>Based in general on GPS. Kilometres driven is the base for paying bus operators. This data is thus well checked and verified by the transit operator.</td>
<td></td>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Number of vehicles baseline 2-3; 4</td>
<td>Low</td>
<td>In general various official sources are available (vehicle registration data; transportation statistics). Important is to have the same data source for distance driven and passengers for public transport to ensure consistency. Data can be either with or without the informal sector as long as above-mentioned parameters are from the same data source. In general data including only the formal sector is of better data quality and should thus be taken. To ensure quality the data source and calculation method need to be stated. With the annual survey data on the fuel type of passenger cars used by passengers now using the BRT system is recorded. Changes to the baseline emission factor for passenger cars are only made if the monitored data results in lower emission factors, not so however if the data results in higher emission factors.</td>
<td></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>Fuel efficiency vehicles baseline 2-3; 5</td>
<td>Medium</td>
<td>Result is checked for consistency against manufacturer data and default IPPC values (alternative for baseline estimation; see baseline methodology).</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Distance driven baseline buses and taxis 2-3; 6</td>
<td>Medium</td>
<td>In general various official sources are available (vehicle registration data; transportation statistics). For QA it is important to have the same data source for items 4, 5 and 7 if calculations are related.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Passengers transported baseline 2-3; 7</td>
<td>Low</td>
<td>In general various official sources are available (vehicle registration data; transportation statistics). The same data source should be taken as for item 6 to ensure data consistency.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Parameter</td>
<td>Level</td>
<td>Description</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>--------------------------------------------------------------------------</td>
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<td>-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Average occupancy rates vehicles baseline</td>
<td>Medium</td>
<td>The same data source should be taken as for item 9 to ensure data consistency.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Average trip distance baseline</td>
<td>Low</td>
<td>Data is based on origin-trip survey used to design the project including the QA4 procedures involved in such studies. The same data source should be taken as for item 8 to ensure data consistency. The annual survey is based on a questionnaire, which is representative. Data from the annual survey is however only used if this results in lower baseline emissions (i.e. lower trip distances are monitored than the original baseline data).</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total fuel consumption per vehicle category</td>
<td>Low</td>
<td>Data is based on sector surveys of fuel consumption per category and can be checked against statistics of total fuel consumption. The same data source should be taken as for item 8 to ensure data consistency. The study should have a 95% confidence interval with a 5% error margin.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Passengers transported by project</td>
<td>Low</td>
<td>Statistics are based on electronic or mechanic measurements and are cross-checked against financial receipts from the sale of tickets.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Passengers transported by the project which in absence of latter would have used other transport modes</td>
<td>Low</td>
<td>Important is that the same methodology is used to estimate transport modes over the whole crediting period. For QA a precise and transparent data collection protocol is established detailing methodology and operational issues (including frequency, location, time, duration of measurement). The sample size is determined to ensure a 90% confidence interval using statistical techniques for random surveys. The PDD must contain a survey format as well as the survey methodology to be used. A sensitivity analysis of this parameter must be realized.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Policies which affect baseline</td>
<td>Moderate</td>
<td>Policies are assessed. Their potential impact on the modal split and on other relevant parameters affecting baseline emissions is assessed based on information or studies realized by the policy promoter. If the impact in modal switch is significant it is assumed that the full modal switch of the implementation year is attributable to the policy and not the project. If a measurable impact exists on any baseline parameter the respective baseline emission factors are changed.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Average occupancy rates of remaining taxis and conventional buses relative to capacity in buses</td>
<td>Medium</td>
<td>Important is that the same methodology is used to measure the occupancy rate thus ensuring data consistency. For QA a precise and transparent data collection protocol is established detailing methodology and operational issues (including frequency, location, time, duration of measurement). The data is only required at a medium level as only changes &gt;10 percentage points will be registered. The same data source should be taken as for item 19 to ensure data consistency.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Number of conventional buses and taxis still operating</td>
<td>Low</td>
<td>In general various official sources are available (vehicle registration data, transportation statistics). Important is to ensure that over time the same source or the same calculation method (e.g. average of sources) is applied. The same data source should be taken as for item 20 to ensure data consistency.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Share of road space used by public transport</td>
<td>Medium</td>
<td>Based on calculations made for urban infrastructure and transport scenarios or on the calculation method provided using data on the distance driven of various vehicle categories.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Policies which affect baseline</td>
<td>Medium</td>
<td>Important is that the same methodology is used to measure the occupancy rate thus ensuring data consistency. For QA a precise and transparent data collection protocol is established detailing methodology and operational issues (including frequency, location, time, duration of measurement). The data is only required at a medium level as only changes &gt;10 percentage points will be registered. The same data source should be taken as for item 19 to ensure data consistency.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
### Road space baseline and project

<table>
<thead>
<tr>
<th>Description</th>
<th>Accuracy</th>
<th>Methodology</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of daily trips realized by passenger cars</td>
<td>Low</td>
<td>Based on calculations made for urban infrastructure and transport scenarios, based on sample countings in general</td>
</tr>
<tr>
<td>Average speed passenger car baseline and project</td>
<td>Medium</td>
<td>Traffic models use such data and have verified them. The data accuracy is not very important as data is only used to estimate roughly leakage based on change of vehicle speed and induced traffic. Both elements in it have a moderate accuracy</td>
</tr>
</tbody>
</table>

#### IV. REFERENCES AND ANY OTHER INFORMATION

1121 Not applicable.
Appendix A: Parameters Used in Baseline Methodology

BASELINE AND PROJECT EMISSIONS PARAMETERS (fixed ex-ante, including potential default parameters):¹⁶

1. Fuel emissions factors

CO₂ emissions factors are a fixed value per litre of fuel is used, on the basis of the carbon content of the fuel. The calculation is based on the carbon content of the fuel, the net calorific value of the fuel, and the oxidation of the fuel during combustion. CH₄ and N₂O emissions factors depend on vehicle type.

Table A.1: Default Emission Factors for all Vehicle Categories and Fuel Types (gCO₂e/litre)

<table>
<thead>
<tr>
<th>Vehicle category</th>
<th>CO₂ emission factors</th>
<th>CH₄ emission factors</th>
<th>N₂O emission factors</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Gasoline</td>
<td>Diesel</td>
<td>Gasoline</td>
</tr>
<tr>
<td>Bus large</td>
<td>2 313</td>
<td>2 661</td>
<td>11</td>
</tr>
<tr>
<td>Bus medium¹⁷</td>
<td>2 313</td>
<td>2 661</td>
<td>12</td>
</tr>
<tr>
<td>Bus small</td>
<td>2 313</td>
<td>2 661</td>
<td>13</td>
</tr>
<tr>
<td>Taxis¹⁸</td>
<td>2 313</td>
<td>2 661</td>
<td>14</td>
</tr>
<tr>
<td>Passenger cars</td>
<td>2 313</td>
<td>2 661</td>
<td>15</td>
</tr>
<tr>
<td>Motorcycles</td>
<td>2 313</td>
<td>2 661</td>
<td>29</td>
</tr>
</tbody>
</table>

Note: CH₄ and N₂O has been transformed in CO₂e using GWP factors; Default values represent per vehicle category the technology with the lowest sum of CO₂e emissions.

2. Fuel consumption for vehicles

IPCC values can be used. However the project proponent must identify the average vehicle age per category and the most common technology to assess which factor is the most appropriate for the local circumstances. The most important proxy to identify vehicle technologies is the average age of vehicles used in the area of influence of the project. To determine if either US or European default factors apply either local vehicle manufacturer information can be used (in the case of having a substantial domestic vehicle motor industry) or the source of origin of vehicle imports. Data sources for IPCC values on fuel consumption are the Revised 1996 IPCC Guidelines for National GHG Inventories: Reference Manual Tables 1-27 to 1-42. If these tables are updated, the latest available version must be used.

3. Technology improvement factor: This is a fixed and constant parameter per vehicle category.

Table A.2: Technology Improvement Factor for fuel consumption

<table>
<thead>
<tr>
<th>Vehicle category</th>
<th>Improvement Factor IR</th>
</tr>
</thead>
<tbody>
<tr>
<td>Buses</td>
<td>0.99</td>
</tr>
<tr>
<td>Taxis</td>
<td>0.99</td>
</tr>
<tr>
<td>Passenger cars</td>
<td>0.99</td>
</tr>
<tr>
<td>Motorcycles</td>
<td>0.997</td>
</tr>
</tbody>
</table>

4. Upstream Emissions

The default value for UEF is 14%.

¹⁶ Project proponents can use in many cases fixed default parameters or use local data. The different options including a preference for certain options are listed in the respective formulas.

¹⁷ Calculated as average between small and large buses.

¹⁸ Taken as equivalent to passenger cars.
LEAKAGE PARAMETERS (fixed *ex ante* or default values):\(^{19}\)

1. **Fixed elasticity factor for relation between additional road space and induced trips:**

This parameter cannot be observed with a reasonable effort during the project. The default factor taken is 0.1, based on literature, taking a conservative approach.

2. **Fixed relation between vehicle speed and emissions:**

The relation is based upon the speed dependency factor Passenger Cars (gCO\(_2\) per km) developed by CORINAR. The category from this analysis used is 1.4l <CC<2.0l for Euro I onwards with a speed range between 13.1 and 130 km/h.

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\(^{19}\) Project proponents can use in many cases fixed default parameters or use local data. The different options including a preference for certain options are listed in the respective formulas.
Appendix B

DEFAULT QUESTIONNAIRE FOR MODAL SPLIT SURVEY (ID 12, partially 4 and 9)

Interviewer:……………………………
Date:……………………………………
Time:……………………………………
Bus identification (line):………………………………

“Assuming that the bus system you are currently using would not exist: What mode of transport would you have used for this specific trip you are doing currently”.

For the interviewer:

- The question is related to this specific trip and not to the trips realized by the person during the year in general;
- To clarify mention that you are comparing the system he/she is using currently to the one which existed formerly respectively (according to project) continues to exist in other parts of the city not served by the BRT system;
- Persons which cannot relate it to any mode of transport are taken as induced traffic (conservative default parameter).

Multiple-choice answers

(Only tick one; if the passenger would have used more than one transport mode for the trip he/she is realizing currently then tick the mode, which involves the longest distance):

1. Conventional bus based public transport (this exists normally still as BRT systems are implemented gradually; otherwise a description can be given of the former existing system including photos of former buses);
2. Passenger car → please go to 2A;
3. Taxi (if relevant in the project) → please go to 3A;
4. Motorcycle (if relevant in the project) → please go to 4A;
5. Rail-based urban transit;
6. NMT (per foot or bicycle);
7. I would not have made the trip (induced traffic).

If the passenger responds with the answer 2 then ask:

2A. Do you or your family own a car or do you have access to a car (e.g. car-sharing)?

☐ NO ☐ YES

If the passenger responds with NO this specific questionnaire is deemed as non-consistent and removed from the final counting

2B. What fuel type does the car use to which you have access?

☐ gasoline ☐ diesel ☐ gas (CNG or LPG) ☐ electric ☐ I don’t know ☐ other:

which:…………………………………………
221. What is the starting point of your trip (origin) and which is the final (destination) point? Please name
the station or location where you first boarded a bus and where you will make the final stop?

For the interviewer: Please advise the passenger that the original departing and final point is required.
This may include bus transboarding such as first using a feeder line and then a main line. It is thus the
origin and final destination of the passenger trip and not of the ride on this specific bus-line.

Origin (departing point): ..........................................................
Destination (final point): ..........................................................

If the passenger responds with the answer 3 then ask:

3A. Have you used in the last 12 months a taxi?  
□ NO □ YES

If the passenger responds with NO this specific questionnaire is deemed as non-consistent and
removed from the final counting

3B. What is the starting point of your trip (origin) and which is the final (destination) point? Please name
the station or location where you first boarded a bus and where you will make the final stop?

For the interviewer: Please advise the passenger that the original departing and final point is required.
This may include bus transboarding such as first using a feeder line and then a main line. It is thus the
origin and final destination of the passengers trip and not of the ride on this specific bus-line.

Origin (departing point): ..........................................................
Destination (final point): ..........................................................

If the passenger responds with the answer 4 then ask:

4A. Do you or your family own a motorcycle or do you have access to a motorcycle?  
□ NO □ YES

If the passenger responds with NO this specific questionnaire is deemed as non-consistent and
removed from the final counting

4B. What is the starting point of your trip (origin) and which is the final (destination) point? Please name
the station or location where you first boarded a bus and where you will make the final stop?

For the interviewer: Please advise the passenger that the original departing and final point is required.
This may include bus transbording such as first using a feeder line and then a main line. It is thus the
origin and final destination of the passengers trip and not of the ride on this specific bus-line.

Origin (departing point): ..........................................................
Destination (final point): ..........................................................
The project proponent must include the questionnaire as annex to the PDD. The questionnaire is to be reviewed by the DOE. The DOE assesses if the questionnaire is in accordance with the principles (core elements of survey) specified above.

### History of the document

<table>
<thead>
<tr>
<th>Version</th>
<th>Date</th>
<th>Nature of revision(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>04.0.0</td>
<td>EB XX, Annex #</td>
<td>- Introduces an innovative approach to additionality demonstration; - Limits the crediting period to 10 years; - Reduces monitoring requirements set in the monitoring survey from annual monitoring to monitoring in the years 1 and 4; - Reduces monitoring requirements for leakage. For leakage from changes in load factor of buses and taxes, the frequency of monitoring is reduced from every 3 years to the years 1 and 4. For leakage from reduced congestion, the requirement to estimate it ex ante is replaced with the requirement of (1) not to conduct monitoring, in case the implementation of the project activity does not lead to a reduction of road space; and (2) to monitor in the year 1 and 4, in case the implementation of the project activity leads to a reduction on road space; - Removes an applicability condition requiring to prove that the local regulations do not constrain the establishment or expansion of a BRT system; - Removes an applicability condition requiring that the BRT system partially or fully replaces a traditional public transport system in a given city and stating that the methodology cannot be used for BRT systems in areas where currently no public transport is available; - Removes the option to determine baseline emissions using sectoral data (Path B); - Removes the requirement to conduct the policy effects on emission reductions; - Removes the requirement to conduct the sensitivity analysis; - Improves the requirements on measurement of specific fuel consumption in the baseline and project to use the lower and upper 95% confidence levels of the sample measurement, respectively; - Removes the requirement to account for CH4 and N2O emissions from gasoline and diesel, requiring to account for these emissions for gaseous fuels only; - Introduces the Tool to calculate project and leakage emissions from fossil fuel consumption; - Introduces a reference to the Standard for sampling and surveys for CDM project activities and programme of activities; - Improves the format of the methodology to be in line with the current template for CDM large scale methodologies; - Improves the language, readability and clarity.</td>
</tr>
<tr>
<td>03.1.0</td>
<td>EB 58, Annex 2 26 November 2010</td>
<td>The methodology was revised to include project activities that use more gaseous fuels in the project activity than in the baseline scenario</td>
</tr>
<tr>
<td>03</td>
<td>EB 50, Annex 5 16 October 2009</td>
<td>The methodology was revised in response to AM_REV_0160. The revision expanded the applicability of the methodology to situations in which electricity is used in the transport systems included in the project boundary; and removed, from the applicability conditions, the restriction imposed in the use of biofuels, whose use was limited to a 3% blend with fossil fuels in the previous versions of the methodology.</td>
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<td>Decision Class: Regulatory</td>
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The methodology was revised in response to AM_REV_0142. The revision expanded the applicability of the methodology to include situations in which the baseline public transport system and other public transport options include rail-based systems.

Editorial revision to introduce the parameter TRC which was missing in Equation 22.

Initial adoption.