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| # | Para No./ Annex / Figure / Table | Line Number | Type of input ge = general te = technical ed = editorial | Comment (including justification for change) | Proposed change (including proposed text) | Secretariat observations (to be completed by UNFCCC secretariat) |
| 1 | 18 | 3 | te | Technical review should not be limited only to ensuring compliance with applicable requirements but also to ensure that key technical features of the project are sound and feasible (in other words also ensure a quality check to the work of the technical expert). This is especially important in complex technical areas. | Technical review: An assessment, conducted by one or more technical reviewers, of the validation or verification report of a validation or verification team in order to ensure that the validation or verification has been conducted in accordance with all applicable requirements and is technically sound. | |
| 2 | 38 | 2 | te | With respect to training and meeting competence requirement it is important to give an sense of an ongoing process/maintenance and not something that could be done once in a life time only. This is in line with the general intention of the Standard. | An AE/DOE shall establish, implement and maintain a documented procedure for the recruitment/deployment and training of personnel so as to ensure they constantly meet competence requirements in this Standard. | |
| 3 | 39 | 1 | te | Because paragraph above to this one (para 38) refers also to training it is also important to highlight the need of record keeping of training once DOE must be able to provide evidences of meeting the requirement on paragraph 38. | The AE/DOE shall maintain relevant records related to recruitment and training. | |
| 4 | 40 | 4 | te | Just engaging is not to be robust and does not give an idea of the deployment of the item. DOE should also train external individual to ensure consistency with their internal procedures, tools and management systems. This modification with bring paragraph 40 to be more in line with paragraph 42. | An AE/DOE may use external individuals (validators, verifiers, technical experts, team leaders and technical reviewers) on a contractual basis to supplement its internal resources, as provided for in paragraph 34 (c) above. In such cases, the AE/DOE shall establish, implement and maintain a documented procedure for engaging and training external individuals. | |

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| 5 | 79 | 1 | ed/te | To be consistent with the new text that is being added, every time reference is made to the need of a procedure it should be made as” <i>shall establish, document, implement and maintain</i> ”. This is noted to be missing in most of the “old/original” paragraphs where a documented procedure is needed. More important than keeping a standard language is the fact that <i>establishing, documenting, implementing and maintaining</i> are key actions in any management system. | An AE/DOE shall establish, document, implement and maintain documented procedure for | |
| 6 | 74 | 1 | ed/te | Same as above | An AE/DOE shall establish, document, implement and maintain.... | |
| 7 | 83 | 1 | ed/te | Same as above | An AE/DOE shall establish, document, implement and maintain.... | |
| 8 | 85 | 1 | ed/te | Same as above | An AE/DOE shall establish, document, implement and maintain.... | |
| 9 | 86 | 1 | ed/te | Same as above | An AE/DOE shall establish, document, implement and maintain.... | |
| 10 | 91 | 1 | ed/te | Same as above | An AE/DOE shall establish, document, implement and maintain.... | |
| 11 | 93 | 1 | ed/te | Same as above | An AE/DOE shall establish, document, implement and maintain.... | |
| 12 | 96 | 1 | ed/te | Same as above | An AE/DOE shall establish, document, implement and maintain.... | |
| 13 | 97 | 1 | ed/te | Same as above | An AE/DOE shall establish, document, implement and maintain.... | |
| 14 | 98 | 1 | ed/te | Same as above | An AE/DOE shall establish, document, implement and maintain.... | |
| 15 | 105 | 1 | ed/te | Same as above | An AE/DOE shall establish, document, implement and maintain.... | |
| 16 | 111 | 1 | ed/te | Same as above | An AE/DOE shall establish, document, implement and maintain.... | |
| 17 | 117 | 1 | ed/te | Same as above | An AE/DOE shall establish, document, implement and maintain.... | |

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| 18 | 118 | 1 | ed/te | Same as above | An AE/DOE shall establish, document, implement and maintain.... | |
| 19 | 119 | 1 | ed/te | Same as above | An AE/DOE shall establish, document, implement and maintain.... | |
| 20 | 122 | 1 | ed/te | Same as above | An AE/DOE shall establish, document, implement and maintain.... | |
| 21 | 124 | 1 | ed/te | Same as above | An AE/DOE shall establish, document, implement and maintain.... | |
| 22 | 126 | 1 | ed/te | Same as above | An AE/DOE shall establish, document, implement and maintain.... | |
| 23 | 132 | 1 | ed/te | Same as above | An AE/DOE shall establish, document, implement and maintain.... | |
| 24 | 136 | 1 | ed/te | Same as above | An AE/DOE shall establish, document, implement and maintain.... | |
| 25 | 157 | 1 | ed/te | Same as above | An AE/DOE shall establish, document, implement and maintain.... | |
| 26 | 163 | 1 | ed/te | Same as above | An AE/DOE shall establish, document, implement and maintain.... | |
| 27 | 170 | 1 | ed/te | Same as above | An AE/DOE shall establish, document, implement and maintain.... | |
| 28 | 88 | 2 | te | <p>Conflict of interest can also be related to links with the PP not just with the specific project and should be taken in account when understanding conflict of interest issues.</p> <p>In line with para 148 - The AE/DOE shall ensure that it and its personnel have no relationship that creates threats to its impartiality and para 165 (f)</p> | The DOE shall have confirmed that the personnel selected as team leader and/or team member(s) have no conflict of interest with respect to the CDM project activity or the PP as described in chapter XII below. | |
| 29 | 90 | 2 | te | Same as above | Each team member should inform the DOE, prior to accepting the assignment, about any known existing, former or envisaged link to the project activity or the PP . | |

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| 30 | 121 | 11 | te | It should be made clear that internal audit should also include other sites once they play a role in the validation and/or verification/certification functions. | The internal audit should: (a).... (f) Include other sites to the extend validation and/or verification/certification functions are allocated to these. | |
| 31 | 123 | 3 | te | Because the paragraph also refers to correction and preventive actions I understand it is important to also highlight here the responsibility and authority for the implementation of corrective and preventive actions that is a key issue to make them really happen (despite the fact it is mentioned in the paragraph below I is so important that it deserves to be repeated). | The documented procedure to identify and manage the non-conformities shall ensure the following: (a) Designating the responsibilities and authorities for management follow-up and for the implementation of the proposed corrective and preventive actions; | |
| 32 | 123 | 5 | te | Actions are appropriate considering the time frame of implementation and it is important to highlight this aspect. | The documented procedure to identify and manage the non-conformities shall ensure the following: (a) (c) Appropriate actions within a reasonable time frame to ensure compliance with the CDM ... | |
| 33 | 125 | 14 | te | It is important to also highlight here the responsibility and authority for the implementation of corrective and preventive actions that is a key issue to make them really happen | The documented procedure shall address the following: (a).... (g) Designating the responsibilities and authorities or the implementation of the proposed corrective actions; | |

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| 34 | 130 | 13 | te | Because other site are included it is important that specific attention is given to them in the management review as they can be a fragile element in the management system do to distance. | The review should consider: (a) ... (k) Performance of other sites that have been allocated validation and/or verification/certification functions (l) (k) Other relevant issues such as changes in the volume and scope of work, resources, competences and personnel training, etc. | |
| 35 | 132 | 2 | te | The Paragraph should give the whole extension of the requirement in line with item h of paragraph 135 | An AE/DOE shall establish a documented procedure to receive, evaluate, manage, take necessary corrective action, and make decisions on complaints and communicate decision/action taken , and the documented procedure shall be made available to the UNFCCC CDM secretariat and the public. | |
| 36 | 137 | 7 | ed | Typo? | Disputes handling procedure should include the following: (a) ... (d) Ensuring that appropriate correction and corrective and preventive action are taken; | |
| 37 | 139 | 14 | ed | Typo? | Appeals process shall include: (a) ... (f) Ensuring that, if the investigation points towards a non-conformance, then appropriate correction and corrective and preventive action are taken to eliminate the gaps in the system, especially if investigation points towards any gaps in the system; | |

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| 38 | 153 | 3 | te | Maintenance of systems and procedures is a key aspect in any management system. | The AE/DOE shall have a committee that safeguards the AE/DOE’s impartiality in its validation and/or verification/certification functions and ensures that the policy on safeguarding impartiality and related procedures and other systems are effectively implemented and maintained (Impartiality Committee). | |
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