# CLEAN DEVELOPMENT MECHANISM

# **CDM METHODOLOGY** BOOKLET

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**United Nations** Framework Convention on Climate Change



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#### FOREWORD



The international community achieved a resounding success with the new, universal climate change agreement adopted at COP21 in Paris in December 2015. The Paris Agreement marks a historic turning point in our common journey towards a secure and sustainable world. The Paris Agreement will shape international climate policy for the next decades. It holds great challenges, but also exciting, transformational opportunities driven by ambitious national action and increased international cooperation.

The Paris Agreement is a catalyst for policies and action for low-carbon development, climate finance, technology transfer, capacity building and market-driven approaches. For market-based approaches, different types of contributions and units are available for transfer. Compatibility, comparability and fungibility among these units ensures there is no double counting and safeguards environmental integrity. Internationally recognized standards to quantify emission reductions is key for environmental integrity.

Environmental integrity is crucial for the Clean Development Mechanism, or CDM, and methodologies form the foundation for integrity. Methodologies help establish a project's emissions baseline, or anticipated emissions if the project does not move forward. They also help monitor, quantify and accurately estimate emissions once a project is built. Eligible certified emission reduction units are determined by the difference between the baseline and actual emissions. Methodologies are essential to quantify real and accurate emission reductions. Standardized baselines allow methodologies also to cover sector-wide emissions.

While the necessity of methodologies is easy to understand, how they are constructed is quite complex. To make standards applicable to projects from diverse sectors, technoeconomic situations and geographical regions, they must be diverse in composition and application. This publication is designed to guide users through the complex world of CDM methodologies.

This booklet clearly summarizes mitigation methodologies available under the CDM. This can help market actors choose the right method to estimate their emission reductions. It is my firm belief and that of the team that developed this work, that this will contribute to more CDM projects where there is larger impact on sustainable development. This holds great potential to improve the livelihoods of people, reduce poverty, promote better health, directly benefit women and children and enhance the regional distribution of projects, which is a key desire of Parties to the Kyoto Protocol, the CDM Executive Board and this secretariat.

CDM has played a critical role in promoting climate action on the ground in more than one hundred developing countries and remains one of the most successful running international market mechanisms. It is clear from the Paris Agreement that the CDM will continue to be an important tool in meeting the climate change challenge, and this report helps accomplish that vision.

James Grabert, Director Sustainable Development Mechanisms (SDM) United Nations Framework Convention on Climate Change

CDM Methodology Booklet

Chapter I

# INTRODUCTION

# 1.1. METHODOLOGIES AND THE BOOKLET

#### BASELINE AND MONITORING METHODOLOGIES

The Clean Development Mechanism (CDM) requires the application of a baseline and monitoring methodology in order to determine the amount of Certified Emission Reductions (CERs) generated by a mitigation CDM project activity in a host country. Methodologies are classified into five categories:

- Methodologies for large-scale CDM project activities;
- Methodologies for small-scale CDM project activities;
- Methodologies for large-scale afforestation and reforestation (A/R) CDM project activities;
- Methodologies for small-scale A/R CDM project activities;
- Methodologies for carbon capture and storage (CCS) project activities.<sup>1</sup>

Methodologies often refer to methodological tools, which address specific aspects of the project activity, e.g. to calculate Greenhouse Gas (GHG) emissions from specific sources.

#### PURPOSE OF THE BOOKLET

This booklet provides concise summaries of CDM methodologies and description of methodological tools, approved by the CDM Executive Board (Board). It is arranged to assist CDM project developers in identifying methodologies that are suitable for their CDM project activities.<sup>2</sup> The general purpose of the booklet is to help in achieving the objective of the Board to raise awareness of CDM methodologies.

#### USE OF THE BOOKLET

The booklet is intended for use by varied audiences interested in the CDM and in particular potential CDM project developers who already have an idea of the mitigation project activities they intend to implement. It facilitates the initial selection of potentially applicable methodologies. However, it cannot provide detailed guidance on specific elements of each methodology nor replace the approved methodologies. Therefore, the project developers should refer to the original methodologies available on UNFCCC CDM methodologies website. This edition of the Booklet reflects the effective status of methodologies and methodological tools as of December 2020 (up to EB 108). However, as methodologies and methodological tools may change, users of the booklet are encouraged to consult EB meeting reports subsequent to EB 108 to find out whether any changes have occurred.

#### CONTENT OF THE BOOKLET

Each methodology is presented through a one-page summary sheet, which provides the following information:

- Typical project(s) to which the methodology is applicable;
- Type(s) of GHG emission mitigation action;
- Important conditions for application of the methodology;
- Key parameters that need to be determined or monitored;
- Visual description of baseline and project scenarios.

A short textual description of each methodological tool is also contained in the booklet.

#### HOW TO FIND A SUITABLE METHODOLOGY

1. CATEGORIZATION BY MITIGATION ACTIVITY TYPE

This way of looking up methodologies is according to the relevant sectoral scopes and type of mitigation activities such as renewable energy, low carbon electricity generation, energy efficiency measures, fuel and feedstock switch, GHG destruction, GHG emission avoidance, displacement of a more-GHG-intensive output and GHG removal by sinks. Project developers knowing the type of mitigation activity to be implemented in their project activities can thus easily identify potentially suitable methodologies.

2. CATEGORIZATION BY APPLIED TECHNOLOGY TYPE/MEASURE

This second way of looking up methodologies focuses on the technology applied in the project activity. The categorization by technology type enables project developers to identify a set of comparable methodologies applicable to the technology that is going to be implemented in their project activities.

<sup>1</sup> There are no approved methodologies for CCS project activities.

 $<sup>^2</sup>$  For the purpose of this booklet, CDM project activities also refer to CDM programme of activities.

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#### AFTER FINDING POTENTIALLY SUITABLE METHODOLOGIES

After identifying potentially applicable methodologies through the summary sheet, users should access the full text of the methodologies available on the UNFCCC CDM methodologies website. It is also advisable to look at information about existing CDM project activities that have already applied the methodologies, which is also available through this website.

If there is no approved methodology applicable, then one can propose a new methodology or request a revision of an approved methodology or methodological tool. In general, the new methodology option should be pursued if a project activity requires methodological approaches substantially different from an approved methodology. The revision option is suitable if an approved methodology is not applicable to a project activity, but the project activity is broadly similar to the one to which the approved methodology is applicable. For cases where an approved methodology is applicable to a project activity but minor changes in the methodology application are required due to the project-specific circumstances, requesting a deviation of an approved methodology could be considered.

If an approved methodology is unclear or ambiguous in its methodological procedures, a request for clarification may be submitted.

#### CDM PROJECT CYCLE

Once project participants have selected an applicable approved methodology, they apply it to their project activity and prepare a Project Design Document (PDD); this is the first step in the CDM project cycle. The methodology provides provisions for the core elements of a PDD:

- the demonstration of additionality;
- the establishment of the baseline scenario and the estimation of emission reductions or net removals; and
- the monitoring plan.

The main steps of the CDM project cycle and their actors are the following:

- Project design (Project Participants);
- National approval (Designated National Authority);
- Validation (Designated Operational Entity);
- Registration (CDM Executive Board);
- Monitoring (Project Participant);
- Verification (Designated Operational Entity);
- Issuance (CDM Executive Board).

#### **USEFUL LINKS**

UNFCCC CDM website <https://cdm.unfccc.int/>

CDM methodologies, submission of proposed new methodologies and requests for clarification and revision <https://cdm.unfccc.int/methodologies/index.html>

CDM project cycle <http://cdm.unfccc.int/Projects/diagram.html>

CDM project activities <https://cdm.unfccc.int/Projects/index.html>

CDM programmes of activities (PoA) <https://cdm.unfccc.int/ProgrammeOfActivities/index.html>

CDM sectoral scopes <https://cdm.unfccc.int/DOE/scopes.html>

#### CDM standardized Baselines

<http://cdm.unfccc.int/methodologies/standard\_base/ index.html>

UNEP Risø CDM pipeline analysis and database <a href="http://cdmpipeline.org/">http://cdmpipeline.org/</a>

#### Finding applicable methodologies — two categorization approaches

There are two ways the booklet categorizes methodologies. The first approach – the methodology categorization table – is based on the sectoral scopes defined by the UNFCCC (see <<u>https://cdm.unfccc.int/DOE/scopes.html</u>>). This table allocates the methodology to generic mitigation activity types. This approach is useful for project developers who have not yet made a technology choice or CDM stakeholders who are interested in a type of mitigation activity. It structures methodologies according to technology and the history of methodology development that has led to several "families" of methodologies all relating to a specific technology. It is appropriate for project developers who have already decided on a particular technology for their project.

# 1.2. CATEGORIZATION BY MITIGAION ACTIVITY TYPE (METHODOLOGY CATEGORIZATION TABLE)

In addition to the methodology sectoral scopes<sup>3</sup>, methodologies in this table are also categorized by the type of mitigation activity, these being renewable energy, low carbon electricity generation, energy efficiency measures, fuel switch, GHG destruction, GHG emission avoidance and GHG removal by sinks.

Sectoral scopes 1 to 3 (energy sectors – generation, supply and consumption) are first distinguished according to:

- Electricity generation and supply;
- Energy for industries;
- Energy (fuel) for transport;
- Energy for households and buildings.

And then categorized in terms of type of mitigation activity:

- Displacement of a more-GHG-intensive output:
   i. Renewable energy;
  - ii. Low carbon electricity.
- Energy efficiency;
- Fuel and feedstock switch.

Sectoral scopes 4 to 15 (other sectors) are categorized according to these mitigation activities:

- Displacement of a more-GHG-intensive output;
- Renewable energy;
- Energy efficiency;

- GHG destruction;
- GHG emission avoidance;
- Fuel switch;
- GHG removal by sinks.

#### DESCRIPTION OF TYPES OF MITIGATION ACTIVITIES

#### DISPLACEMENT OF A MORE-GHG-INTENSIVE OUTPUT

This category refers to project activities where the consumption of a more-GHG-intensive output is displaced with the output of the project. The category is separately defined because of the importance of not just implementing the project activity, but also ensuring that the more-GHG-intensive output is displaced by the output of the project activity.

All renewable energy generation and low carbon energy generation project activities are part of this category. Many other methodologies are also allocated to this category depending upon how the emission reductions are calculated in the corresponding methodologies.

#### Examples:

- Power generation from waste energy recovery and supply to a recipient who was receiving more-GHGintensive power;
- Power generation using renewable or low carbon energy sources and export of power to a grid with combined margin emission factor of more than zero and/or to a recipient using fossil fuel based power in the absence of project activity.

<sup>&</sup>lt;sup>3</sup> The Methodology categorization table allocates the methodology to the sectoral scope(s) that have been formally defined for it, which are primarily used as the basis of DOE accreditation. However, if there are additional sectoral scopes that are also applicable to the methodology, then the methodology is also shown in these sectors in the table. This is to make it potentially easier to look up the methodology.

This category includes the use of various renewable energy sources.

#### Examples:

- Hydro power plant;
- Wind power plant;
- Solar cooker;
- Biomass-fired boiler.

#### LOW CARBON ELECTRICITY

This encompasses mainly Greenfield electricity generation based on less carbon intensive fuel such as natural gas. As no power plant exists at the project location before implementation of the project, the mitigation activity is not fuel switch. At the same time the applied technology might not be best available technology, differentiating it from energy efficiency measures. A typical low carbon electricity project is the construction of a greenfield natural-gas-fired power plant. Also projects that reduce emissions due to grid extension or connection are included under this category where applicable.

#### ENERGY EFFICIENCY

The category energy efficiency includes all measures aiming to enhance the energy efficiency of a certain system. Due to the project activity, a specific output or service requires less energy consumption. Waste energy recovery is also included in this category.

#### Examples:

- Conversion of a single cycle to a combined cycle gas-fired power plant;
- Installation of a more efficient steam turbine;
- Use of highly efficient refrigerators or compact fluorescent lamps;
- Recovery of waste heat from flue gases;
- Recovery and use of waste gas in a production process.

#### FUEL OR FEEDSTOCK SWITCH

In general, fuel switch measures in this category will replace carbon-intensive fossil fuel with a less-carbonintensive fossil fuel, whereas a switch from fossil fuel to renewable biomass is categorized as "renewable energy". In case of a feedstock switch, no differentiation between fossil and renewable sources is applied.

# Examples:

- Switch from coal to natural gas;
- Feedstock switch from fossil sources of CO<sub>2</sub> to renewable sources of CO<sub>2</sub>;
- Use of different raw material to avoid GHG emissions;
- Use of a different refrigerant to avoid GHG emissions;
- Blending of cement in order to reduce demand for energy intensive clinker production.

#### GHG DESTRUCTION

The category GHG destruction covers activities that aim at the destruction of GHG. In many cases, the project includes capture or recovery of the GHG. The destruction is achieved by combustion or catalytic conversion of GHGs.

### Examples:

- Combustion of methane (e.g. biogas or landfill gas);
- Catalytic N<sub>2</sub>O destruction.

#### GHG EMISSION AVOIDANCE

This category includes various activities where the release of GHG emissions to the atmosphere is reduced or avoided.

#### Examples:

- Avoidance of anaerobic decay of biomass;
- Reduction of fertiliser use.

#### GHG REMOVAL BY SINKS

All A/R activities are allocated to this category. Through photosynthesis in plants,  $CO_2$  from the atmosphere is removed and stored in form of biomass.

- Methodologies for large-scale CDM project activities
- Methodologies for small-scale CDM project activities
- Methodologies for small and large-scale afforestation and reforestation (A/R) CDM project activities
- AM0000 Methodologies that have a particular potential to directly improve the lives of women and children

#### Table VI-1. Methodology Categorization in the Energy Sector

Sectoral scope	Туре	Electricity generation and supply	Energy for industries	Energy (fuel) for transport	Energy for households and buildings
1 Energy industries	Banawahla	4440007	4440007	4440090	
	Reliewuble	AM0007	AM0026	AIVI0089	
	energy	AM0019		ACMUU17	AIVI0069
non renewable sources)		AM0028	AM0055		AM0072
		AM0100	AM0005		AM0073
		AM0103	AM0079		ΔCM0022
		ACM0002	ACM0006		ACM0022
		ACM0006	ACM0020		AMS-LA.
		ACM0018	ACM0022		AMS-I.B.
		ACM0020	ACM0024		AMS-I.C.
		ACM0022	AMS-I.C.		AMS-I.E.
Displacement of a		AMS-I.A.	AMS-I.F.		AMS-I.F.
more-GHG-intensive		AMS-I.C.	AMS-I.G.		AMS-I.G.
output		AMS-I.D.	AMS-I.H.		AMS-I.H.
		AMS-I.F.			AMS-I.I.
		AMS-I.G.			AMS-I.J.
		AMS-I.H.			AMS-I.K.
		AMS-I.M.			AMS-I.L.
	Low carbon	AM0045	AM0099		
	electricity	AM0074	ACM0025		
		AM0099	ACM0026		
		AM0104			
		AM0108			
		ACM0025			
L		ACM0026			
	Energy	AM0048	AM0048		AM0058
	efficiency	AM0049	AM0049		AM0048
		AM0061	AM0055		AM0084
		AM0062	AM0056		AM0107
		AM0076	AM0076		
		AM0084	AM0084		
		AM0107	AM0095		
		ACM0006	AM0098		
		ACM0007	AM0107		
		ACM0012	ACM0006		
		ACM0013	ACM0012		
		ACM0018	ACM0018		
		AMS-II.B.	ACM0023		
		AMS-II.H.			
		AMS-III.AL.			

# Table VI-1. Methodology Categorization in the Energy Sector (continued)

Sectoral scope	Туре	Electricity generation and supply	Energy for industries	Energy (fuel) for transport	Energy for households and buildings
1 Energy industries (renewable-/ non renewable sources) (continued)	Fuel/feedstock switch	AM0049 ACM0006 ACM0011 ACM0018 AMS-I.M. AMS-III.AG.	AM0049 AM0056 AM0069 AM0081 ACM0006 ACM0009		AM0081
<b>2</b> Energy distribution	Renewable energy Energy efficiency	AMS-III.AM. AMS-III.AW. AMS-III.BB. AMS-III.BL. AM0067 AM0097 AM0118 AMS-II.A. AMS-II.A. AMS-II.BB.	AMS-III.AM. AM0069 AM0075		AMS-III.AW.
	Fuel/feedstock switch	AMS-III.BL. AMS-III.BB. AMS-III.BL.	AM0077		
3 Energy demand	Renewable energy Energy efficiency	AMS-III.AL.	AM0017 AM0018 AM0020 AM0044 AM0060 AM0068 AM0068 AM0088 AM0105 AMS-II. AMS-II. AMS-II. AMS-II. AMS-II. AMS-II. AMS-II. AMS-II. AMS-II. AMS-II.		AMS-III.AE. AMS-III.AE. AM0020 AM0020 AM0044 AM0046 AM0046 AM0060 AM0086 AM0091 AM0113 AM0113 AM0117 AM0120 AMS-II.C. AMS-II.C. AMS-II.C. AMS-II.C. AMS-II.C. AMS-II.C. AMS-II.L. AMS-II.L. AMS-II.L. AMS-II.N. AMS-II.N. AMS-II.N. AMS-II.R. AMS-II.R. AMS-II.R. AMS-II.R.
	Fuel/feedstock switch	AMS-III.B.	AM0121 ACM0003 ACM0005 AMS-II.F. AMS-III.B.		AMS-III.X. AMS-II.F. AMS-III.B.

# Table VI-2. Methodology Categorization other Sectors

Sectoral scope	Renewable energy	Energy Efficiency	GHG destruction	GHG emission avoidance	Fuel/Feedstock Switch	GHG removal by sinks	Displacement of a more-GHG- intensive output
<b>4</b> Manufacturing industries	AM0007	AM0049	AM0078	AM0057	AM0049		AM0070
• Manalactaning maastnes	AM0036	AM0055	AM0096	AM0065	AM0043		AM0095
	ACM0003	AM0070	AM0111	AM0003	AM0032		AM0114
		AM0106		AM0032	ACM0003		AM0115
		AM0100		ACM0005	ACM0005		ACM0012
	AMS-III BG	AM0114		ACM0021	ACM0009		
		AM0115		AMS-III.I.	ACM0015		
		ACM0012			AMS-III.N.		
		AMS-ILD.			AMS-III.7.		
		AMS-II.H.			AMS-III.AD.		
		AMS-II.I.			AMS-III.AM.		
		AMS-III.P.			AMS-III.AS.		
		AMS-III.Q.					
		AMS-III.V.					
		AMS-III.Z.					
		AMS-III.AS.					
		AMS-III.BD.					
		AMS-III.BG.					
5 Chemical industries	ACM0017	AM0055	ACM0019	AM0053	AM0027		AM0053
	AM0053	AM0114	AM0021	AMS-III.M.	AM0037		AM0055
	AM0075	AMS-III.AC.	AM0028	AMS-III.AI.	AM0050		AM0069
	AM0089	AMS-III.AJ.	AM0098		AM0063		AM0081
					AM0069		AM0098
					AMS-III.J.		AM0114
					AMS-III.O.		AM0115
6 Construction					AMS-III.BH.		AMS-III.BH.
7 Transport	AMS-I.M.	AM0031			AMS-III.S.		AMS-III.BP.
	AMS-III.T.	AM0090			AMS-III.AY.		
	AMS-III.AK.	AM0101					
	AMS-III.AQ.	AM0110					
		AM0116					
		ACM0016					
		AMS-III.C.					
		AMS-III.S.					
		AMS-III.U.					
		AMS-III.AA.					
		AMS-III.AP.					
		AMS-III.AT.					
		AMS-III.BC.					
		AMS-III.BM.					
		AMS-III.BN.					
		AMS-III.BO.					
8 Mining/mineral production	ACM0003		ACM0008		AM0121		
			AM0064		ACM0005		
			AMS-III.W.		ACM0015		

# Table VI-2. Methodology Categorization other Sectors (continued)

Sectoral scope	Renewable energy	Energy Efficiency	GHG destruction	GHG emission avoidance	Fuel/Feedstock Switch	GHG removal by sinks	Displacement of a more-GHG- intensive output
<b>9</b> Metal production	AM0082	AM0038		AM0030	AM0082		
		AM0059		AM0059			
		AM0066		AM0065			
		AM0068					
		AM0109					
		AMS-III.V.					
<b>10</b> Fugitive emissions from			AM0064	AM0023	AM0009	AM0074	AM0009
fuel (solid, oil and gas)			ACM0008	AM0043	AM0037		AM0077
			AMS-III.W.	AMS-III.BI.	AM0077		
<b>11</b> Fugitive emissions from			AM0001	AM0035	AM0071		
production and consumption			AM0078	AM0065	AM0092		
of halocarbons and $SF_6$			AM0096	AM0079	AMS-III.AB.		
			AM0111	AM0092			
			AMS-III.X.	AM0119			
				AMS-III.X.			
12 Solvent use							
13 Waste handling	ACM0022	AMS-III.AJ.	AM0073	AM0057			
and disposal	AM0112	AMS-III.BA.	ACM0001	AM0080			
	AM2-III.BJ.		ACM0010	AM0083			
			ACM0014	AM0093			
			AMS-III.G.	AMUIIZ			
			AMS-III.H.				
			AMS-III.AX.	AIVIS-III.E.			
				AMS-III.RO.			
<b>14</b> Afforestation and				AND-III.DE.		AR-AM0014	
reforestation						AR-ACM0003	
						AR-AMS0003	
						AR-AMS0007	
<b>15</b> Agriculture			AM0073	AMS-III.A.	AMS-III.R.		
			ACM0010	AMS-III.AU.			
			AMS-III.D.	AMS-III.BE.			
			AMS-III.R.	AMS-III.BF.			
				AMS-III.BK.			

# 1.3. CATEGORIZATION BY APPLIED TECHNOLOGY TYPE/MEASURE (METHODOLOGY FAMILY TREES)

There have been distinct development phases of methodologies over time, leading to "families" when one methodology catalyzed the development of other methodologies.<sup>4</sup> The figures below show the families of methodologies in form of family trees. They are designed as follows: Each methodology is denoted by a box showing its unique identification number. Methodologies that can be found in the same family tree deal with comparable technologies or measures.

Methodologies for large-scale CDM project activities

Methodologies for small-scale CDM project activities

Methodologies for small and large-scale afforestation and reforestation (A/R) CDM project activities

AM0000 Methodologies that have a particular potential to directly improve the lives of women and children

#### Figure VII-1. Methodologies for renewable electricity



The concept of methodology families and family trees was initially adopted in the following guidebook: Understanding CDM Methodologies: A guidebook to CDM Rules and Procedures, written by Axel Michaelowa, Frédéric Gagnon-Lebrun, Daisuke Hayashi, Luis Salgado Flores, Philippe Créte and Mathias Krey, commissioned by the UK Department for Environment Food and Rural Affairs (© Crown Copyright 2007).

#### Figure VII-2. Methodologies for renewable energy (thermal or mechanical energy)



#### Figure VII-3. Methodologies for efficient or less-carbon-intensive fossil-fuel-fired power plants



# Figure VII-4. Methodologies for fuel switch



#### Figure VII-5.Methodologies for biofuel

Plant oil	AMS-I.G.	AMS-III.T.		
Biodiesel	AM0089	ACM0017	AMS-I.H.	AMS-III.AK.
Bio-CNG	AMS-III.AQ.			

# Figure VII-6. Methodologies for industrial energy efficiency



## Figure VII-7. Methodologies for household & building energy efficiency



#### Figure VII-8. Methodologies for gas flaring and gas leak reduction

Oil production	AM0009	AM0037	AM0077	
Compressors and distribution systems	AM0023			
Pipe replacement	AM0043			

# Figure VII-9. Methodologies for feedstock switch



#### Figure VII-10. Methodologies for industrial gases



#### Figure VII-11. Methodologies for waste management and wastewater



### Figure VII-12. Methodologies for transport



## Figure VII-13. Other methodologies



# 1.4. PROGRAMMES OF ACTIVITIES

#### THE CONCEPT

In the CDM, a Programme of Activities (PoA) is defined as a voluntary coordinated action by a private or public entity that coordinates and implements any policy/measure or stated goal, which leads to emission reductions or net removals that are additional to any that would occur in the absence of the PoA, via an unlimited number of Component Project Activities (CPAs).

A CPA is a single measure, or a set of interrelated measures under a PoA, to reduce emissions or result in net removals, applied within a designated area.

A PoA is therefore like an "umbrella program", which is registered by the Board. Individual CPAs that comply with the eligibility criteria specified in the PoA Design Document (PoA-DD) of the registered PoA can be included under this "umbrella" and actually generate emission reductions or net removals to benefit from carbon revenues.

#### BENEFITS

Compared to regular CDM project activities, PoAs have many benefits, particularly for less developed countries or regions. The process for the inclusion of individual CPAs under a registered PoA is considerably simplified and results in lower costs as compared to registration of regular project activities.

The main benefits of PoAs are:

- Transaction costs, investment risks and uncertainties for individual CPA participants are reduced;
- PoAs are managed by a designated Coordinating and Managing Entity (CME). The CME is responsible for most of the CDM process. Therefore, direct engagement of individual project developers in the CDM process is not required;
- Access to the CDM is extended to smaller project activities which would not be viable as regular project activities;
- Emission reductions can be continuously scaled up after PoA registration, since an unlimited number of CPAs can be added at a later stage;
- Many technologies with high co-benefits, e.g. household technologies, are supported by PoAs;

- Specific regional policy goals can be effectively supported by accessing carbon finance through PoAs;
- Monitoring/Verification of parameter values may be undertaken on a collective basis by utilizing a sampling approach;
- No registration fee is due for each CPA included after registration. Registration fees are based on the expected average emission reductions or net removals of the "actual case" CPAs submitted at the PoA registration.

#### PoA IN THE CDM PIPELINE

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At the time of preparation of this edition of the Booklet, there were some sectors that have a higher proportion of PoAs in the CDM pipeline than regular project activities: energy efficiency demand side (sectoral scope 3), waste (sectoral scope 13) and solar energy (sectoral scope 1). Furthermore, out of the registered PoAs, it was observed that some methodologies were commonly used, such as:

- ACM0002 Grid-connected electricity generation
   from renewable sources
- AMS-I.C. Thermal energy production with or without electricity
- AMS-I.D. Grid connected renewable electricity generation
- AMS-II.G. Energy efficiency measures in thermal applications of non-renewable biomass
- AMS-II.J. Demand-side activities for efficient lighting technologies
- AMS-III.R. Methane recovery in agricultural activities at household/small farm

# 1.5. STANDARDIZED BASELINES

#### THE CONCEPT

A standardized baseline is a baseline established for a Party or a group of Parties to facilitate the calculation of emission reduction and removals and/or the determination of additionality for CDM project activities.

The following elements may be standardized by an approved standardized baseline:

(a) Additionality; and/or

(b) Baseline (baseline scenario and/or baseline emissions).

#### APPROVED STANDARDIZED BASELINES

A standardized baseline can be a positive list containing names of emission reduction activities that, if implemented in a given country or region, would be considered automatically additional under certain conditions. It can also be a baseline emission factor to be used for the purpose of estimation of baseline emissions (e.g. grid emission factor).

#### BENEFITS

The objective of standardized baselines is to scale up the abatement of GHG emissions while ensuring environmental integrity by potentially:

- Reducing transaction costs;
- Enhancing transparency, objectivity and predictability;
- Facilitating access to the CDM, particularly with regard to underrepresented project types and regions;
- Simplifying measuring, reporting and verification.

Reference	Sector	Full View and History
ASB0008-2020	Rice cultivation	Methane Emissions from Rice Cultivation in the Republic of the Philippines
ASB0011-2018	Waste	Landfill gas capture and flaring in the Dominican Republic
ASB0034	Power	Grid emission factor for West African Power Pool
ASB0038-2018	Power	Grid emission factor for the electricity system of the Republic of Armenia for 2016
ASB0039-2018	Power	Grid emission factor for Mongolia's national electricity grid
ASB0040-2018	Power	Grid emission factor for the Southern African power pool
ASB0041-2018	Charcoal production	Fuel switch, technology switch and methane destruction in the charcoal sector of Rwanda
ASB0042-2019	Power	Honduran Grid Emission Factor
ASB0043-2019	Power	Jamaica Grid Emission Factor
ASB0044-2019	Cookstoves	Improved Institutional Cookstoves in Ethiopia
ASB0045-2019	Power	Grid emission factor of Guyana
ASB0046-2019	Power	Mauritius Grid Emission Factor
ASB0047-2020	Power	Grid emission factor for the Dominican Republic
ASB0048-2020	Building	Specific CO <sub>2</sub> emissions in Residential Buildings in Republic of Korea
ASB0049-2020	Cookstoves	Fraction of non-renewable biomass in Myanmar
ASB0050-2020	Power	Grid Emission Factor for the Republic of Kenya
AR-ASB0001	Forestry	Afforestation and reforestation project activities in Namibia

# 1.6. METHODOLOGIES ADDRESSING SUPPRESSED DEMAND

#### THE CONCEPT

Under the CDM, suppressed demand is defined as a "Scenario where future anthropogenic emissions by sources are projected to rise above current levels, due to the specific circumstances of the host Party".

The concept of suppressed demand is included in some CDM methodologies to consider situations where key services such as lighting and heating, water supply, waste disposal and transportation are only available in quantities that are insufficient to meet basic human needs before the implementation of a CDM project activity. This can be due to low income and lack of technologies/infrastructures or resources for its implementation. The minimum service level required to fulfil generally accepted basic human needs is expected to be reached in the future as host countries develop their economies, hence incomes increase, resources improve and technologies/infrastructures are implemented.

For example, before the start of a CDM project activity, households may be devoid of access to an electricity grid and have only a few kerosene lamps in place that are operated for short time periods, or just use candles. Or they may not have access to clean drinking water and therefore boil a small quantity of water manually. The concept of suppressed demand is included in CDM methodologies for the baseline calculation specifying a minimum service level. For example, the daily amount of drinking water availability recommended by the World Health Organization is used as baseline water provision volume for the methodology AM0086 for water purification. In other methodologies such as AMS-I.A. and AMS-I.L., suppressed demand is taken into account by applying default emission factors for high emission technologies (e.g. kerosene lamps) assumed to be used due to the suppressed demand situation. In the methodology ACM0022, a default emission factor for a shallow landfill can be used in the absence of an organized waste collection and disposal system. If suppressed demand were not included, baseline emissions would be so small that project activities would become unattractive under the CDM due to the small number of CERs generated.

Methodologies addressing the issue of suppressed demand are labelled with a specific icon "Suppressed demand", put on the top right of the summary sheet.

#### BENEFIT

The consideration of suppressed demand allows host countries to improve life conditions by implementing CDM project activities.

Another benefit is the reduction of transaction costs for CDM project developers. Detailed data gathering to establish parameter values for baseline emission calculations may not be necessary as CDM methodologies that address the issue of suppressed demand usually include default values that are representative for the specific service level, such as the amount of kerosene used for lighting.

#### METHODOLOGIES ADDRESSING SUPPRESSED DEMAND

AM0086	Installation of zero energy water purifier for safe drinking water application
AM0091	Energy efficiency technologies and fuel switching in new and existing buildings
ACM0022	Alternative waste treatment processes
AMS-I.A.	Electricity generation by the user
AMS-I.B.	Mechanical energy for the user with or without electrical energy
AMS-I.L.	Electrification of rural communities using renewable energy
AMS-II.R.	Energy efficiency space heating measures for residential buildings
AMS-III.F.	Avoidance of methane emissions through composting
AMS-III.AR.	Substituting fossil fuel based lighting with LED/CFL lighting systems
AMS-III.AV.	Low greenhouse gas emitting safe drinking water production systems
AMS-III.BB.	Electrification of communities through grid extension or construction of new mini-grids
AMS-III.BL.	Integrated methodology for electrification of communities

# 1.7. METHODOLOGIES HAVING BENEFITS FOR WOMEN AND CHILDREN

The dual goals of the CDM are to promote sustainable development and reduce GHG emissions or enhance GHG removals. The outcomes of a CDM project activity should therefore directly or indirectly improve the living conditions of all people.

What has been highlighted in the booklet is that some methodologies have a particular potential to directly improve the lives of women and children effected by the project activity. These methodologies are labelled with a specific icon "Women and children", put on the top right of the summary sheet.

The criteria used to label these methodologies as having particular benefits for women and children are the potential to:

- increase access to affordable household fittings and appliances (e.g. light globes, refrigerators);
- optimize tasks typically undertaken by women or children (e.g. fuel wood gathering, cooking, water collection);
- improve the living environment of women and children (e.g. better air quality, heating, lighting); or
- utilize community-based participatory approaches, that give women and children an opportunity to learn about the projects and contribute to decision making processes.

In the case of A/R CDM project activities, this icon is also indicated for project activities that generate new local employment opportunities because these positions are often filled by women.

It is important to note that a methodology that has not been labelled with this icon will not impact adversely on women and children.

The following publication, "CDM and Women", accessible on the CDM website, further highlights some women-friendly methodologies and aims to encourage project developers to consider the CDM when planning projects to help empower and improve women's lives.

# 1.8. METHODOLOGIES FOR URBAN SECTORS

# 1.8.1. CDM METHODOLOGIES APPLICABLE TO CITY-BASED MITIGATION PROGRAMMES

- 1. In urban centres, there are many opportunities for reducing greenhouse gas (GHG) emissions. City-based mitigation programmes may target various sectors, including buildings, transport, energy supply and demand, water supply and treatment, and waste management, and may contain a range of measures in each sector aimed at reducing GHG emissions.
- 2. Many of these interventions could result in GHG emission reductions that are additional and eligible under the CDM. However, these measures may be dispersed and the resulting emission reduction from each individual measure relatively low. On the other

hand, if these measures are implemented together at a community or city level, they could potentially generate significant emission reductions when the individual reductions are summed together.

- 3. Mitigation initiatives may also be implemented in a phased manner, in which case they may be better suited to be the structure of a PoA because that would allow a stage-wise implementation of the projects and an expansion of the mitigation measures during the PoA period (i.e. 28 years).
- 4. The CDM framework offers a wide range of methodologies and tools to estimate the emission reduction effect of these projects. A city-wide mitigation programme developed under the CDM may apply these methodologies and take into account any cross effects that may occur as a result of their application.
- The tables below provide a non-exhaustive list of the methodologies applicable to each sector: Urban Transport (table 1); Household & Building Energy Generation and Energy Efficiency (table 2); and Waste Management and Wastewater (table 3).

Measure	CDM methodology
Bicycles, tricycles, e-bikes or e-tricycles	AMS-III.BM. Lightweight two and three wheeled personal transportation
Bus systems	AM0031 Bus rapid transit projects
Mass rapid transit systems	ACM0016 Mass Rapid Transit Projects AMS-III.U. Cable Cars for Mass Rapid Transit System (MRTS)
Energy efficiency	AMS-III.C. Emission reductions by electric and hybrid vehicles AMS-III.AA. Transportation Energy Efficiency Activities using Retrofit Technologies AMS-III.AP. Transport energy efficiency activities using post – fit Idling Stop device AMS-III.BC. Emission reductions through improved efficiency of vehicle fleets
Fuel switch	AMS-III.S. Introduction of low-emission vehicles/technologies to commercial vehicle fleets AMS-III.T. Plant oil production and use for transport applications AMS-III.AK. Biodiesel production and use for transport applications AMS-III.AQ. Introduction of Bio-CNG in transportation applications AMS-III.AY. Introduction of LNG buses to existing and new bus routes
Transportation of cargo	AM0090 Modal shift in transportation of cargo from road transportation to water or rail transportation
Transportation of liquid fuels	AM0110 Modal shift in transportation of liquid fuels
Technology for improved driving	AMS-III.AT. Transportation energy efficiency activities installing digital tachograph systems to commercial freight transport fleets AMS-III.BC. Emission reductions through improved efficiency of vehicle fleets

#### TABLE 1. LIST OF CDM METHODOLOGIES RELEVANT TO URBAN TRANSPORT

#### TABLE 2. LIST OF CDM METHODOLOGIES RELEVANT TO URBAN HOUSEHOLD & BUILDING ENERGY GENERATION AND ENERGY EFFICIENCY

Measure	CDM metho	odology
Renewable electricity (captive power)	AMS-I.F.	Renewable electricity generation for captive use and mini-grid
Thermal energy for cooking	AMS-I.E. AMS-I.I. AMS-I.K. AMS-II.G.	Switch from non-renewable biomass for thermal applications by the user Biogas/biomass thermal applications for households/small users Solar cookers for households Energy efficiency measures in thermal applications of non-renewable biomass
Solar water heating	AMS-I.J.	Solar water heating systems (SWH)
Energy efficiency in water delivery	AM0020 AMS-II.C. AMS-II.S.	Baseline methodology for water pumping efficiency improvements Demand-side energy efficiency activities for specific technologies Energy efficiency in motor systems
Water purifier	AM0086 AMS-III.AV.	Distribution of zero energy water purification systems for safe drinking water Low greenhouse gas emitting safe drinking water production systems
Water saving	AMS-II.M.	Demand-side energy efficiency activities for installation of low-flow hot water savings devices

TABLE 2. (CONT.)		
Refrigerators/chillers	AM0060 AMS-II.C. AMS-II.O. AMS-III.X. AM0120	Power saving through replacement by energy efficient chillers Demand-side energy efficiency activities for specific technologies Dissemination of energy efficient household appliances Energy Efficiency and HFC-134a Recovery in Residential Refrigerators Energy-efficient refrigerators and air-conditioners
Lighting	AM0046 AM0113 AMS-II.C. I AMS-II.J. AMS-II.N. I AMS-III.AR.	Distribution of efficient light bulbs to households Distribution of compact fluorescent lamps (CFL) and light-emitting diode (LED) lamps to households Demand-side energy efficiency activities for specific technologies Demand-side activities for efficient lighting technologies Demand-side energy efficiency activities for installation of energy efficient lighting and/ or controls in buildings Substituting fossil fuel-based lighting with LED/CFL lighting systems
Street lighting	AMS-II.L.	Demand-side activities for efficient outdoor and street lighting technologies
Whole building	AM0091 AMS-II.E. AMS-II.K. AMS-II.Q. AMS-II.R. AMS-II.R.	Energy efficiency technologies and fuel switching in new and existing buildings Energy efficiency and fuel switching measures for buildings Installation of co-generation or tri-generation systems supplying energy to commercial building Energy efficiency and/or energy supply projects in commercial buildings Energy efficiency space heating measures for residential buildings Energy efficiency and renewable energy measures in new residential buildings
District heating/cooling	AM0044 AM0058 AM0072 AM0117 AMS-II.B.	Energy efficiency improvement projects - boiler rehabilitation or replacement in industrial and district heating sectors Introduction of a district heating system Fossil Fuel Displacement by Geothermal Resources for Space Heating Introduction of a new district cooling system Supply side energy efficiency improvements – generation
Others/various technologies	AMS-II.C.	Demand-side energy efficiency activities for specific technologies

# TABLE 3. LIST OF METHODOLOGIES RELEVANT TO URBAN WASTE MANAGEMENT AND WASTEWATER

Measure	CDM metho	odology
Alternative waste –composting	ACM0022 AMS-III.F. AMS-III.AF.	Alternative waste treatment processes Avoidance of methane emissions through composting Avoidance of methane emissions through excavating and composting of partially decayed municipal solid waste (MSW)
Alternative waste treatment – other technologies	ACM0022 AM0112 AMS-III.E. AMS-III.L. AMS-III.Y. AMS-III.BJ.	Alternative waste treatment processes Less carbon intensive power generation through continuous reductive distillation of waste Avoidance of methane production from decay of biomass through controlled combustion, gasification or mechanical/thermal treatment Avoidance of methane production from biomass decay through controlled pyrolysis Methane avoidance through separation of solids from wastewater or manure treatment systems Destruction of hazardous waste using plasma technology including energy recovery
Alternative waste treatment – aerobic	AM0083 AM0093 AMS-III.AX.	Avoidance of landfill gas emissions by in-situ aeration of landfills Avoidance of landfill gas emissions by passive aeration of landfills Methane oxidation layer (MOL) for solid waste disposal sites
Landfill gas recovery	ACM0001 AMS-III.G.	Flaring or use of landfill gas Landfill methane recovery
Lagoons and biodigester – biogas	ACM0014 AMS-III.H. AMS-III.AO.	Treatment of wastewater Methane recovery in wastewater treatment Methane recovery through controlled anaerobic digestion
Manure treatment	AM0073 ACM0010 AMS-III.D. AMS-III.R.	GHG emission reductions through multi-site manure collection and treatment in a central plant GHG emission reductions from manure management systems Methane recovery in animal manure management systems Methane recovery in agricultural activities at household/small farm level
Aerobic wastewater	AM0080	Mitigation of greenhouse gases emissions with treatment of wastewater in aerobic
treatment	AMS-III.I.	wastewater treatment plants Avoidance of methane production in wastewater treatment through replacement of anaerobic systems by aerobic systems
Utilization of biogenic methane	ACM0024 AM0053 AM0069 AM0075 AMS-III.0.	Natural gas substitution by biogenic methane produced from the anaerobic digestion of organic waste Biogenic methane injection to a natural gas distribution grid Biogenic methane use as feedstock and fuel for town gas production Methodology for collection, processing and supply of biogas to end-users for production of heat Hydrogen production using methane extracted from biogas
Recycling	AMS-III-AJ. AMS-III-BA	Recovery and recycling of materials from solid wastes Recovery and recycling of materials from E-waste

### 1.8.2. STANDARDIZATION OF PARAMETERS

6. In order to determine the parameter values required to estimate baseline, project and leakage emissions, the application of the methodologies identified in Section 1.8.1. may require data collection and surveys to be undertaken, which can be complex and time consuming. In order to simplify this process, a standardized baseline process has been set up, whereby a host country Designated National Authority (DNA) may submit proposals for standardized baselines. A wide range of parameters in these methodologies could be standardized by taking a region/country-specific approach for a sector. This could facilitate the cost-effectiveness and scalability of CDM PoAs in the urban sector.

7. The table below includes examples of parameters that could potentially be standardized, in accordance with the "Procedure for the development, revision, clarification and update of standardized baselines".

#### TABLE 4. EXAMPLES OF PARAMETERS THAT MAY BE STANDARDIZED

Sector/Measure	CDM metho	odology / tool	Parameters	Possible data sources for standardization of parameters
Electricity generation	TOOL07	Tool to calculate the emission factor for an electricity system	CO <sub>2</sub> emission factor of the electricity system	Official report/statistics
Energy-efficient refrigerators and air- conditioners	TOOL29	Determination of standardized baselines for energy-efficient refrigerators and air- conditioners	Baseline energy consumption	See requirements in TOOL29
Energy efficiency measures in buildings	TOOL31	Determination of standardized baselines for energy efficiency measures in residential, commercial and institutional buildings	CO <sub>2</sub> emissions per m <sup>2</sup> for different building categories	Surveys
Energy-efficient Lighting	AMS-II.C. AMS-II.J.	Demand-side energy efficiency activities for specific technologies Demand-side activities for efficient lighting technologies	Utilization hours	Surveys, peer-reviewed literature, official reports/statistics, etc.
Solid Waste AMS ACN	AMS-III.G. ACM0001	MS-III.G. Landfill methane recovery Flaring or use of landfill gas ODL04 Emissions from solid waste disposal sites	Waste composition	Test results, peer-reviewed literature, official reports/statistics, etc.
	100104		Legal requirements to destroy methane as part of regular operation of landfills	Local regulations/legislation
Cooking	AMS-I.E. AMS-II.G.	Switch from non-renewable biomass for thermal applications by the user Energy efficiency measures in thermal applications of non- renewable biomass	Baseline woody biomass consumption	Surveys, peer-reviewed literature, official reports/statistics, etc.
Non-renewable biomass	TOOL30	Calculation of the fraction of non-renewable biomass	Fraction of non-renewable biomass	See requirements in TOOL30
Transport	ACM0016 AM0031 TOOL18	Mass Rapid Transit Projects Bus rapid transit projects Baseline emissions for modal shift measures in urban passenger transport	Specific CO <sub>2</sub> emissions per passenger-kilometer transported in the baseline	Surveys, official reports/statistics, etc.
	AMS-III.AY.	Introduction of LNG buses to existing and new bus routes	Specific fuel consumption of baseline buses	Official report/statistics
	AMS-III.BM	Lightweight two and three wheeled personal transportation	CO <sub>2</sub> emission factor per passenger-kilometer corresponding to public transportation-mix in the city	Peer-reviewed literature, official reports/ statistics

# 1.9. INTRODUCTION TO METHODOLOGY SUMMARY SHEETS

The methodology summary sheets are distinguished as being for large-scale and small-scale CDM project activities, as well as large-scale and small-scale A/R CDM project activities. Each methodology summary sheet has the sections as follows:

#### TYPICAL PROJECT(S) APPLICABLE TO THE METHODOLOGY

Project activities for which the methodology is applicable are described. Practical examples are mentioned for better understanding of the purpose of the specific methodology.

#### TYPE(S) OF GHG EMISSION MITIGATION ACTION

This refers to the type of mitigation activity presented in the methodology categorization table (section 1.2. above). The type of mitigation action, such as fuel switch or energy efficiency, is briefly described.

#### IMPORTANT CONDITIONS UNDER WHICH THE METHODOLOGY IS APPLICABLE

Methodologies are only applicable under particular conditions and the most relevant conditions are listed in this section. However, not all conditions can be listed and it is important to consult the full text of each methodology.

#### IMPORTANT PARAMETERS THAT NEED TO BE DETERMINED OR MONITORED

In order to calculate emission reductions or net removals of a project activity, certain parameters have to be determined at the beginning when the project activity is validated and various parameters have to be monitored during the operation of the project activity. Therefore this section is divided into parameters "at validation" and parameters "monitored". In addition, some methodologies require checking of specific conditions or parameters to prove that applicability conditions are met.

#### VISUAL DESCRIPTION OF BASELINE AND PROJECT SCENARIOS

An important feature of the booklet is the use of diagrams made of icons to illustrate the baseline and project scenarios. These diagrams enable readers to quickly grasp the scope of the methodology.

The baseline scenario represents the situation that would occur in the absence of the project activity. The project scenario refers to the situation that is achieved by the implementation of the project activity. Complex scenarios cannot be displayed by a simplified diagram. Therefore, the simplified diagrams focus on the main activity that results in emission reductions or net removals. The diagrams do not replace the necessity to consult the full methodology text.

A list of icons used in the booklet is given in chapter II. Some exemplifications of diagrams are presented below.

**United Nations** Framework Convention on Climate Change

## EXEMPLIFICATION OF DIAGRAMS

Energy Production	Full intensity in the baseline scenario is depicted with bold colour.
Energy Production	Reduced, decreased intensity in the project activity is depicted with pale colour.
Energy Production	Avoidance and replacement is depicted with crossed icons.
Fossil fuel	A carbon-intensive fossil fuel is used in the baseline scenario.
Fossil fuel	Instead of the carbon-intensive fossil fuel, a less-carbon-intensive fossil fuel is used due to the project activity.
Technology	A less-efficient technology is used in the baseline scenario.
Upgrade Technology	A more-efficient technology is used due to the project activity.
бне	Activities in the baseline scenario result in GHG emissions.
GHG	Less GHG emissions are occurring due to the project activity.

## EXEMPLIFICATION OF DIAGRAMS



CDM Methodology Booklet

Chapter II

# ICONS, ABBREVIATIONS AND GLOSSARY

The second

SEE EE

# 2.1. ICONS USED IN THIS BOOKLET



Afforestation/reforestation areas Small afforestation/reforestation areas.



**Agricultural activity** Production of crops or livestock.



Agricultural land Land with crops on solid ground. Also plantations not meeting definition of forest.



Air



Airplane Any kind of airplane-based transport.



**Animal grazing** Grazing livestock in pasture land or any other land.



**Bicycle** Bicycles, e-bikes and Tricycles



# Biomass

Unless stated otherwise, renewable biomass is implied. Types of biomass include residues, plant oil, wood.



### Buildings Any kind of building.



# **Burning** Uncontrolled burning of biomass, flaring or venting of waste gas.



#### **Bus** Any kind of bus-based transport.



# Bus route

Any route where buses drive, from the origin to the final stop.



#### **Car** Any kind of car-based transport.

Catalysis



Catalysis of substances (i.e. GHGs) in order to convert them into substances with less or no GWP.



# Cement

Products such as clinker, cement, lime or bricks.



**Charcoal production** Charcoal production activity.



**Commercial Consumer** Commercial consumer, e.g. industrial or institutional consumer.



# Consumer

Residential or commercial consumer.



# Contaminated land

May indicate chemically polluted land (e.g. mine spoils) or naturally hostile land (e.g. naturally occurring salinity or alkalinity). The specific type is shown in the icon caption.



# Controlled burning

Any kind of combustion or decomposition in a controlled manner to dispose combustible substances. Also combustion to produce feedstock such as  $CO_2$  or heat.



# Cooling

Data centre



# Disposal

Any kind of disposal. E.g. landfilling.

Framework Convention on Climate Change



Drinking w	ater
------------	------



# Electricity

# Electricity distribution grid

This icon is used to depict an electricity distribution system and is used when generated electricity is/ has to be supplied to the electricity grid or if the project activity occurs directly within the electricity distribution system.

This icon is used to depict all (fossil-fuel-fired) power



Electricity grid

plants connected and providing electricity to the grid (e.g. national or regional grid).



# Energy

Any kind of energy. This icon is used, if different types of energy are depicted. E.g. electricity, heat, steam or mechanical energy.

e
Energy

### Energy distribution system

Any kind of energy distribution system. E.g. electricity grid or heat distribution system.



### **Energy generation**

Any kind of plant, facility or equipment used to generate energy. This icon represents any co- or tri-generation system as well as systems to provide mechanical energy. The icon is also used, if either electricity or heat are produced.



#### Exploitation

Any kind of exploitation activity such as mining activities, oil and gas production.



### Fixation of CO<sub>2</sub> in Biomass

Fixation of atmospheric CO<sub>2</sub> from the atmosphere in biomass through the process of photosynthesis



### Fossil fuel

Any kind of fossil fuel used for combustion. Can be gaseous, liquid or solid. E.g. natural gas, fuel oil, coal.



**Fuelwood collection** 

Collecting fuelwood without full-tree harvest.

Any kind of combustible gas. E.g. natural gas,









Gas

# Gas distribution system

methane, biogas, landfill gas.

Any kind of gas distribution system. E.g. natural gas pipeline system.



# Grassland

Grass on ground without cracks.

# Greenhouse gas emissions

Emissions of greenhouse gases, i.e.:

Carbon dioxide (CO<sub>2</sub>) Methane (CH<sub>4</sub>)

# Nitrous oxide (N<sub>2</sub>O) Hydrofluorocarbons (HFCs) Perfluorocarbons (PFCs) Sulphur hexafluoride ( $SF_6$ ). Where applicable, the specific GHG is presented in the icon caption.



# Harvesting

Heat

Harvesting activity.



Any kind of thermal energy. E.g. steam, hot air, hot water.



# Heat distribution system Any kind of heat distribution system.

E.g. steam system, district heating system.

# Heat generation



Any kind of plant, facility or equipment used to generate heat. This includes fossil-fuel-fired boilers to generate steam, incinerators, but also small applications such as radiators, cookers and ovens.



Hybrid mini-grid

Framework Convention on Climate Chanae



Input or output material

Any kind of material. Can be gaseous, liquid or solid. E.g. raw materials, substances used for production, products such as plastics. This icon is also used if a GHG such as CO<sub>2</sub> is used as feedstock.



# Land application

The material (e.g. sludge) is applied to land.



# Less-carbon-intensive fossil fuel Any kind of less-carbon-intensive fossil fuel used for combustion. E.g. natural gas.



# Lighting

Any kind of lighting equipment such as incandescent light bulbs, compact florescent lamps.



Livestock Any kind of livestock.



Losses Any kind of losses from leaks in pipe systems and other distribution systems.



Manure Manure from livestock.



Mechanical energy



# Milk production



Mini grid



Motorcycle Any kind of motorcycle-based transport.



# Oil Oil of fossil origin. E.g. crude oil.



# Planting or seeding

Afforestation/reforestation activity by planting, seeding or other measures.



# Power plant

Any kind of plant, facility or equipment used to produce electricity. This includes fossil-fuel-fired power plants, renewable power plants such as hydro power plants, but also (small) photovoltaic systems.



# Production

The output of the production can be specified in the icon caption. E.g. aluminium, iron, cement, refrigerators.



Refrigerant Refrigerant that contains HFC.



**Refrigerators and chillers** Any kind of refrigerator or chiller.



# Release

Any kind of release of substances or energy without using the substance or the energy content of the substances.



Renewables



**Residential Consumer** Residential consumer, e.g. households.



Sand dunes or barren land Sand dunes or barren land without vegetation.



Seeds Any type of seeds.



# Settlement land

Land within settlements (parks, lawns, etc.) or along infrastructure (roads, powerlines, railways, waterways, etc.).



**Ship** Any kind of transport based on ships or barges.



Shrub and/or single tree vegetation Non-forest woody vegetation: shrubs and single trees on "solid" ground (without cracks).



# Suppressed demand

Methodologies that address the issue of suppressed demand.



# Technology

Any kind of technology, equipment, appliance.



#### **Train** Any kind of train-based transport.



# Transformer



# Transmission line



### Treatment

Any kind of treatment of waste or materials, e.g. production of RDF from municipal waste.



# Treatment

Any kind of treatment of wastewater or manure, e.g. lagoons, pits, aerobic treatment systems.



# Truck

Any kind of truck-based transport.

# Upgrade



Any type of upgrade. Can be retrofitting of existing equipment or installation of more-advanced technology to displace existing less-advanced equipment. E.g. replacement of incandescent light bulbs by compact fluorescent lamps. Also applicable to upgrade agricultural activity processes.



# Waste

Any kind of waste. Can be gaseous, liquid or solid. The specific substance can be specified in the icon caption.



### Water

Any kind of water. E.g. drinking water, waste water.



### Wetland

Lands with wet to moist soil, e.g. swamp or peatland.



# Women and children

Project activities using these methodologies have a particular potential to directly improve the lives of women and children.

# 2.2. ABBREVIATIONS USED IN THIS BOOKLET

%	Per cent
°C	Degree Celsius
A/R	Afforestation/ Reforestation
ABS	Acrylonitrile Butadiene Styrene
ACM	Approved Consolidated Methodology
AL	Aluminium
AM	Approved Methodologu
AMC	Alternative Raw Materials That Do Not Contain
	Carbonates
AMS	Approved Methodology for Small-scale CDM project
	activities
AOG	Ammonia-Plant Off Gas
AOR	Ammonia Oxidation Reactor
APU	Auxiliaru Power Unit
RC	Blended Cement
BEMS	Building Energy Management Systems
Board	CDM Executive Board (also referred to as EB)
BRT	Bus Ranid Transit
RSG	Baseline Sample Group
	Hovafluoroothano
	Octafluoropropago
	Octafluorocuclobutano
	Calcium Carbonata
	Triangeration (Combined Cooling Leasting and Davies
LCHP	approximation (combined cooling, Heating and Power
	Clean Development Mashaniam
	Cieda Development Mechanism
$(LF_3LF_2L(0))$	Perfluoro-2-methyl-3-pentanone
CF(CF <sub>3</sub> ) <sub>2</sub>	
	letrafluoromethane
CFC	Chlorofluorocarbons
CFL	Compact Fluorescent Lamps
$CH_2F_2$	Difluoromethane
CH <sub>3</sub> F	Fluoromethane
CH <sub>4</sub>	Methane
CHF <sub>3</sub>	Fluoroform
СНР	Cogeneration (Combined Heat and Power generation)
Cl <sub>2</sub>	Chlorine Gas
CM	Combined Margin
CNG	Compressed Natural Gas
CO <sub>2</sub>	Carbon Dioxide
COD	Chemical Oxygen Demand
COG	Coke Oven Gas
СОР	Coefficient of Performance
CPA	CDM Project Activity
CRD	Continuous Reductive Distillation
	I

CSP	Concentrating Solar Power
CVD	Chemical Vapour Deposition
CWPB	Centre Worked Pre-Baked
DC	Direct Current
DME	Dimethul ether
DMI	Dru Matter Intake
DOF	Designated Operational Entity
DOM	Dead Organic Matter
DPM	Dunamic Power Management
	Direct Reduced Iron
	Deviatored Wastewater
	Fraction of Non-Renewable Biomass
GE	Gross Energy
GHG	Greennouse Gas
GIEE	Gas Insulated Electrical Equipment
GIS	Geographic Information System
GPF	Gas Processing Facilities
GWh	Gigawatthours
GWP	Global Warming Potential
H <sub>2</sub>	Hydrogen
HCI	Hydrogen Chloride
HDD	Heating Degree Days
HDPE	High Density Polyethylene
HDRI	Hot Direct Reduced Iron
HDS	Hydrodesulphurization Process
HFC	Hydrofluorocarbon
HIPS	High Impact Polystyrene
HPO (process)	Hydroylamin-Phosphat-Oxim (process)
HRSG	Heat Recovery Steam Generator
HSR	High Speed Rail
HSS	Horizontal Stud Soederberg
HVAC	Heating, Ventilation and Air Conditioning
HVDC	High Voltage Direct Current
IAI	International Aluminium Institute
ICL	Incandescent Lamps
IEC	International Electronic Commission
IG	Intermediate Gas
IPCC	Intergovernmental Panel on Climate Change
ISCC	Integrated Solar Combined Cucle
ISO	International Organization for Standardization
ITS	Intelligent Transportation Sustems
ka	Kiloaramme
km	Kilometre
 kV	Kilovolt
kWh	Kilowatt Hour
L+	Kiloton
	Low Density Folgethylene
LFG	Landhil gas

LNG	Liquefied Natural Gas
LHV	Lower Heating Value
LPG	Liquefied Petroleum Gas
LSC	l arae-scale
m	Metre
m²	Square metre
m <sup>3</sup>	Cubic metre
Maco	Magnosium Carbonato
mm	Millimotro
MOI	Methano Oxidation Lauor
MDC	Methane Rich Gas
	Macc Papid Transit Sustam
	Musicipal Colid Wasta
	Nitraus Ocida
N <sub>2</sub> U	
NCV	Net Calorific Value
NUE	Nitrogen Use Efficient
ODP	Ozone Depleting Potential
۲D	Project Devices
PDD	Project Design Document
PET	Polyethylene Terephthalate
PFC	Perfluorocarbon
PFPB	Point Feeder Pre-Baked
pkm	Passenger-Kilometer
PoA	Programme of Activities
PoA-DD	Programme of Activities Design Documen
PP	Polypropylene
PSG	Project Sample Group
P-U	Power-Voltage (characteristic curve)
PUF	Polyurethane Foam
PV	Photovoltaic
RDF	Refuse-Derived Fuel
RHF	Rotary Hearth Furnace
SB	Stabilized Biomass
SDW	Safe Drinkina Water
SEc	Sulphur Hexafluoride
SiMn	Silicomanaanese
SME	Small and Medium Enterprises
SMMFs	Small Medium and Micro Enterprises
SO.	Sulphur Dioxide
502 SOC	Soil Organic Carbon
22L	Stram Turking Coursets
	Steam Iurbine Generator
SWUS	Solid Waste Disposal Site
SWH	Solar Water Heating
SWPB	Side Worked Pre-Baked
TG	Tailgas
ТОС	Total Organic Carbon
TPA	Total Project Area
VAM	Ventilation Air Methane
VSS	Vertical Stud Soederberg
W	Watt
	1

# 2.3. GLOSSARY

Explanations on general terminologies used in this booklet are listed below. More definitions are given in the Glossary of CDM terms. For terminologies specific to a certain methodology, please refer to the definition section of the respective methodology available at <<u>https://cdm.unfccc.</u> int/methodologies/index.html>.

Above-ground biomass⁵	All living biomass above the soil including stem, stump, branches, bark, seeds, and foliage as well as herbaceous vegetation.
Additional/Additionality	<b>For a CDM project activity</b> (non-A/R) or <b>CPA</b> (non-A/R), the effect of the CDM project activity or CPA to reduce anthropogenic GHG emissions below the level that would have occurred in the absence of the CDM project activity or CPA; or
	<b>For an A/R or SSC A/R CDM project activity</b> or <b>CPA</b> (A/R), the effect of the A/R or SSC A/R CDM project activity or CPA (A/R) to increase actual net GHG removals by sinks above the sum of the changes in carbon stocks in the carbon pools within the project boundary that would have occurred in the absence of the A/R or SSC A/R CDM project activity or CPA (A/R).
	Whether or not a CDM project activity or CPA is additional is determined in accordance with the CDM rules and requirements.
Afforestation	The direct human-induced conversion of land that has not been forested for a period of at least 50 years to forested land through planting, seeding and/or the human-induced promotion of natural seed sources.
Agroforestry	Growing of both trees and agricultural / horticultural crops on the same piece of land.
Allometric biomass equations	Regression equations calculating biomass based on measured parameters of a tree (or shrub), for example, quantifying the relationship between above-ground tree biomass and the diameter at breast height and tree height of a specific tree species.
Baseline scenario	<ul> <li>For a CDM project activity (non-A/R) or CPA (non-A/R), the scenario for a CDM project activity or CPA that reasonably represents the anthropogenic emissions by sources of GHG that would occur in the absence of the proposed CDM project activity or CPA.</li> <li>For an A/R or SSC A/R CDM project activity or CPA (A/R), the scenario for an A/R or SSC A/R CDM project activity or CPA (A/R) that reasonably represents the sum of the changes in carbon stocks in the carbon pools within the project boundary that would occur in the absence of the A/R or SSC A/R CDM project activity or CPA (A/R).</li> </ul>
Below-ground biomass <sup>5</sup>	All living biomass of roots. Fine roots of less than (suggested) 2 mm diameter are oft en excluded because these oft en cannot be distinguished empirically from soil organic matter or litter.
Biomass expansion factor	Ratio of total stand biomass to stand (merchantable) volume (e.g. as derived from forest yield tables).
Biomass	<ul> <li>Non-fossilized and biodegradable organic material originating from plants, animals and micro-organisms, including:</li> <li>(a) Biomass residue;</li> <li>(b) The non-fossilized and biodegradable organic fractions of industrial and municipal wastes; and</li> <li>(c) The gases and liquids recovered from the decomposition of non-fossilized and biodegradable organic material.</li> </ul>
Biomass, non-renewable	Biomass not fulfilling the conditions of renewable biomass is considered as non-renewable.

Biomass, <sup>6</sup> renewable	Biomass which meets one of the following conditions:
	(a) The biomass originates from land areas that are forests where:
	(i) The land area remains a forest;
	(ii) Sustainable management practices are undertaken on these land areas to ensure, in particular, that
	the level of carbon stocks on these land areas does not systematically decrease over time (carbon
	stocks may temporarily decrease due to harvesting); and
	(iii) Any national or regional forestry and nature conservation regulations are complied with;
	(b) The biomass is woody biomass and originates from croplands and/or grasslands where:
	(i) The land area remains cropland and/or grasslands or is reverted to forest; and
	(ii) Sustainable management practices are undertaken on these land areas to ensure in particular that
	the level of carbonstocks on these land areas does not systematically decrease over time (carbon
	stocks may temporarily decrease due to harvesting); and
	(iii) Any national or regional forestry, agriculture and nature conservation regulations are complied with:
	(c) The biomass is non-woody biomass and originates from croplands and/or grasslands where:
	(i) The land area remains cropland and/or arasslands or is reverted to forest: and
	(ii) Sustainable management practices are undertaken on these land areas to ensure in particular that
	the level of carbon stocks on these land areas does not sustematically decrease over time (carbon
	stocks may temporarily decrease due to harvestina); and
	(iii) Any national or regional forestry, agriculture and nature conservation regulations are complied with
	(d) The biomass is a biomass residue and the use of that biomass residue in the CDM project activity
	(A/R) does not involve a decrease of carbon pools in particular dead wood litter or soil organic
	carbon on the land areas from which the biomass residues originate
	(e) The biomass is the non-fossil fraction of an industrial or municipal waste
Biomass residues	Non-fossilized and biodegradable organic material originating from plants, animals and micro-organisms
	which is a bu-product, residue or waste stream from gariculture forestru and related industries
Cantive generation	Electricity approach, residue of work stream non agriculture, foreing and reaced industries.
captive generation	electricity and The consumer(s) are either located directly at the site of the power plant or are connected
	through dedicated electricity distribution line(s) with the power plant but not via the electricity arid
Carbon sequestration	Carbon sequestration is defined as a biological chemical or physical process of removing carbon from the
curbon sequestration	atmosphere and denositing it in a reservoir
Cogeneration	Simultaneous production of electricity and useful thermal energy in one process
	All non-living woody biomass not contained in the litter either standing, lying on the ground, or in the
	soil Dead wood includes wood luing on the surface dead roots, and stumps larger than or equal to 10
	cm in diameter or any other diameter used by the country
Emission factor	Measure of the average amount of GHG emitted to the atmosphere bu a specific process fuel equipment
	or source
Energy efficiency	Energy efficiency is defined as the improvement in the service provided per unit power for example
Energy enclosed	project activities which increase unit output of traction work electricity heat light (or fuel) per
	MW input are energy efficiency project activities
Feedstock	Gaseous liquid or solid raw material used in manufacturing
Forest	A minimum area of land of $0.05 - 1.0$ bectare with tree crown cover (or equivalent stocking level) of more
loicst	than $10 - 30$ per cent with trees with the potential to reach a minimum height of $2 - 5$ metres at maturity.
	in situ and may include
	(a) Fither closed forest formations where trees of various storeus and undergrowth cover a high
	proportion of the around or open forest.
	(b) Young natural stands and all plantations which have use to reach a crown density of 10–30 per cent
	or tree height of 2-5 metres.
	(c) Areas normally forming part of the forest area which are temporarily unstacked as a result of hyman
	(c) Accus formaling forming part of the forest area which are emporting ansistence as a result of harman
	The definition of forest becomes applicable to a Party when
	(a) For an Appendix Barty the Barty colorts a single minimum tree scours severative between 10 and 20 and
	(a) For an Annex Francy, the rancy selects a single minimum tree trown cover value between 10 and 30 per
	tent, a single minimum rand area value between 0.05 and 1 nectore and a single minimum tree height
	(b) For a non-Annow L Party the Party colorts a single minimum transmission accision 16/LMP.1;
	(U) FOL A HOLE-ATHEX FRANKLY, THE PARTY SELECTS A SINGLE MINIMUM TREE CROWN COVER VALUE between 10 and
	30 per cent, a single minimum land area value between 0.05 and 1 hectare and a single minimum tree
	neight value between 2 and 5 metres, as provided under paragraph 8 of the Annex to decision 5/LMP.1.

Fossil fuel	Fuels formed by natural resources such as anaerobic decomposition of buried dead organisms
	(e.g. coal, oil, and natural gas).
Greenfield facility	The construction of a new facility at a location where previously no facility exists, for example, construction of new power plant at a site where previously no power generation activity exists.
Greenhouse aas (GHG)	A greenhouse gas listed in Annex A to the Kuoto Protocol, unless otherwise specified in a particular
j j (,	methodoloau.
Grid	The spatial extent of the power plants that are physically connected through transmission and distribution
	lines to the project activity (e.g. the renewable power plant location or the consumers where electricity is
	being saved) and that can be dispatched without significant transmission constraints.
Harvesting	Cutting and removal of trees from forests for timber or other uses. In sustainable forestry, harvesting is
-	followed by planting or natural regeneration of the forest.
Industrial gases	Greenhouse gases originating from chemical production processes that are not naturally occurring.
	In addition, N <sub>2</sub> O from chemical production processes is included in this group of greenhouse gases.
Land use, land-use change	A GHG inventory sector that covers emissions and removals of GHG resulting from direct
and forestry	human-induced land use, land-use change and forestry activities.
Leakage	For a CDM project activity (non-A/R) or PoA (non-A/R), the net change of anthropogenic emissions by
	sources of GHG which occurs outside the project boundary, and which is measurable and attributable to
	the CDM project activity or PoA, as applicable.
	For an A/R or SSC A/R CDM project activity or PoA (A/R) the increase in GHG emissions by sources or
	decrease in carbon stock in carbon pools which occurs outside the boundary of an A/R or SSC A/R CDM
	project activity or PoA (A/R), as applicable, which is measurable and attributable to the A/R or SSC A/R
	CDM project activity or PoA (A/R), as applicable.
Litter <sup>5</sup>	Includes all non-living biomass with a diameter less than a minimum diameter chosen by the country
	(for example 10 cm), lying dead, in various states of decomposition above the mineral or organic soil. This
	includes the litter, fumic, and humic layers. Live fine roots (of less than the suggested diameter limit for
	below-ground biomass) are included in litter where they cannot be distinguished from it empirically.
Low-carbon electricity	Electricity that is generated using a less-GHG-intensive fuel than in the baseline (for example, electricity
	generated using natural gas in the project is low carbon electricity, when coal is used in the baseline for
	electricity generation).
Merit order	A way of ranking existing power plants in ascending order of their short-run marginal costs of electricity
	generation, so that those with the lowest marginal costs are the first ones to be brought on line to meet
	demand and the plants with the highest marginal costs are the last to be brought on line.
Project boundary	For a CDM project activity (non-A/R) or CPA (non-A/R), the significant anthropogenic GHG emissions by
	sources under the control of the project participant that are reasonably attributable to the CDM project
	activity or CPA, as determined in accordance with the CDM rules and requirements.
	For an A/R or SSC A/R CDM project activity or CPA (A/R), geographically delineates the A/R or SSC A/R
	CDM project activity or CPA (A/R) under the control of the project participant as determined in accordance
	with the CDM rules and requirements.
Reforestation	The direct human-induced conversion of non-forested land to forested land through planting, seeding
	and/or the human-induced promotion of natural seed sources, on land that was forested but has been
	converted to non-forested land.
Renewable energy	Energy that comes from solar, wind, rain, tides, geothermal heat and biological sources which are
	renewable (naturally replenished) in nature.
Sectoral scope	The category of GHG source sectors or groups of activities that apply to CDM project activities or PoAs. It is
	based on the sectors and source categories set out in Annex A to the Kyoto Protocol. A CDM project activity
	or PoA may fall within more than one sectoral scope.
Soil organic carbon⁵	Organic carbon in mineral and organic soils (including peat) to a specified depth chosen by the country
	and applied consistently through the time series. Live fine roots (of less than the suggested diameter limit
	for below-ground biomass) are included with soil organic matter where they cannot be distinguished
	from it empirically.

Standardized baseline	A baseline developed for a Party or a group of Parties, on a sub-national, national or group-of-countries
	basis rather than on a project basis, to facilitate the calculation of GHG emission reductions and removals
	by sinks and/or the determination of additionality for CDM project activities or PoAs, while providing
	assistance for assuring environmental integrity.
Suppressed demand	A scenario where future anthropogenic emissions by sources are projected to rise above current levels,
	due to the specific circumstances of the host Party.
Trigeneration	Simultaneous generation of electrical energy and thermal energy in the form of cooling and heating in
	one process.
Waste energy	Energy contained in a residual stream from industrial processes in the form of heat, chemical energy or
	pressure, for which it can be demonstrated that it would have been wasted in the absence of the project
	activity. Examples of waste energy include the energy contained in gases flared or released into the
	atmosphere, the heat or pressure from a residual stream not recovered (i.e. wasted).
Wetland	Area of land whose soil is saturated with moisture either permanently or seasonally.

<sup>5</sup> According to Intergovernmental Panel on Climate Change Good Practice Guidance for Land Use, Land-Use Change and Forestry, table 3.2.1 on page 3.15

 $^{\, 6}\,$  In accordance with the A/R modalities and procedures.