

CLEAN DEVELOPMENT MECHANISM

CDM METHODOLOGY BOOKLET

Eighth edition Information updated as of EB 91 November 2016





United Nations

Framework Convention on Climate Change

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Available online: https://cdm.unfccc.int/methodologies/

The production of this booklet benefited from the suggestions of Secretariat staff and thoughtful comments from several experts on the content that would be most helpful to people wishing to find and understand methodologies and methodological tools of interest to them. In order to enhance its utility and respond to the needs of stakeholders the Secretariat welcomes comments and suggestions, which can be emailed to: CDM-info@unfccc.int.

This booklet will also be updated regularly in order to reflect changes in approved methodologies and methodological tools. The latest version of the booklet is available on the UNFCCC website. It is also possible to contact the Secretariat and request USB sticks of the booklet.

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Page 255 Yi Zhang-Energy Systems International CDM project 0894: Xinjiang Dabancheng Sanchang First Phase Wind Farm Project Page 259 Dwarakaa CDM project 2941: Biomass based power project at T-Kallupatti village, Madurai District, Tamil Nadu, India

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FOREWORD



The international community achieved a resounding success with the new, universal climate change agreement adopted at COP21 in Paris in December 2015. The Paris Agreement marks a historic turning point in our common journey towards a secure and sustainable world. The Paris Agreement will shape international climate policy for the next decades. It holds great challenges, but also exciting, transformational opportunities driven by ambitious national action and increased international cooperation.

The Paris Agreement is a catalyst for policies and action for low-carbon development, climate finance, technology transfer, capacity building and market-driven approaches. For market-based approaches, different types of contributions and units are available for transfer. Compatibility, comparability and fungibility among these units ensures there is no double counting and safeguards environmental integrity. Internationally recognized standards to quantify emission reductions is key for environmental integrity.

Environmental integrity is crucial for the Clean Development Mechanism, or CDM, and methodologies form the foundation for integrity. Methodologies help establish a project's emissions baseline, or anticipated emissions if the project does not move forward. They also help monitor, quantify and accurately estimate emissions once a project is built. Eligible certified emission reduction units are determined by the difference between the baseline and actual emissions. Methodologies are essential to quantify real and accurate emission reductions. Standardized baselines allow methodologies also to cover sector-wide emissions.

While the necessity of methodologies is easy to understand, how they are constructed is quite complex. To make standards applicable to projects from diverse sectors, technoeconomic situations and geographical regions, they must be diverse in composition and application. This publication is designed to guide users through the complex world of CDM methodologies.

This booklet clearly summarizes mitigation methodologies available under the CDM. This can help market actors choose the right method to estimate their emission reductions. It is my firm belief and that of the team that developed this work, that this will contribute to more CDM projects where there is larger impact on sustainable development. This holds great potential to improve the livelihoods of people, reduce poverty, promote better health, directly benefit women and children and enhance the regional distribution of projects, which is a key desire of Parties to the Kyoto Protocol, the CDM Executive Board and this secretariat.

CDM has played a critical role in promoting climate action on the ground in more than one hundred developing countries and remains one of the most successful running international market mechanisms. It is clear from the Paris Agreement that the CDM will continue to be an important tool in meeting the climate change challenge, and this report helps accomplish that vision.

James Grabert, Director

Sustainable Development Mechanisms (SDM)

United Nations Framework Convention on Climate Change



1.1. METHODOLOGIES AND THE BOOKLET

BASELINE AND MONITORING METHODOLOGIES

The Clean Development Mechanism (CDM) requires the application of a baseline and monitoring methodology in order to determine the amount of Certified Emission Reductions (CERs) generated by a mitigation CDM project activity in a host country. Methodologies are classified into five categories:

- Methodologies for large-scale CDM project activities;
- Methodologies for small-scale CDM project activities;
- Methodologies for large-scale afforestation and reforestation (A/R) CDM project activities;
- Methodologies for small-scale A/R CDM project activities;
- Methodologies for carbon capture and storage (CCS) project activities.¹

Methodologies often refer to methodological tools, which address specific aspects of the project activity, e.g. to calculate Greenhouse Gas (GHG) emissions from specific sources.

PURPOSE OF THE BOOKLET

This booklet provides concise summaries of CDM methodologies and description of methodological tools, approved by the CDM Executive Board (Board). It is arranged to assist CDM project developers in identifying methodologies that are suitable for their CDM project activities.² The general purpose of the booklet is to help in achieving the objective of the Board to raise awareness of CDM methodologies.

USE OF THE BOOKLET

The booklet is intended for use by varied audiences interested in the CDM and in particular potential CDM project developers who already have an idea of the mitigation project activities they intend to implement. It facilitates the initial selection of potentially applicable methodologies. However, it cannot provide detailed guidance on specific elements of each methodology nor replace the approved methodologies. Therefore, the project developers should refer to the original methodologies available on UNFCCC CDM methodologies website.

This edition of the Booklet reflects the effective status of methodologies and methodological tools as of November 2016 (up to EB 91). However, as methodologies and methodological tools may change, users of the booklet are encouraged to consult EB meeting reports subsequent to EB 91 to find out whether any changes have occurred.

CONTENT OF THE BOOKLET

Each methodology is presented through a one-page summary sheet, which provides the following information:

- Typical project(s) to which the methodology is applicable;
- Type(s) of GHG emission mitigation action;
- Important conditions for application of the methodology;
- Key parameters that need to be determined or monitored;
- Visual description of baseline and project scenarios.

A short textual description of each methodological tool is also contained in the booklet.

HOW TO FIND A SUITABLE METHODOLOGY

1. CATEGORIZATION BY MITIGATION ACTIVITY TYPE

This way of looking up methodologies is according to the relevant sectoral scopes and type of mitigation activities such as renewable energy, low carbon electricity generation, energy efficiency measures, fuel and feedstock switch, GHG destruction, GHG emission avoidance, displacement of a more-GHG-intensive output and GHG removal by sinks. Project developers knowing the type of mitigation activity to be implemented in their project activities can thus easily identify potentially suitable methodologies.

2. CATEGORIZATION BY APPLIED TECHNOLOGY TYPE/MEASURE

This second way of looking up methodologies focuses on the technology applied in the project activity. The categorization by technology type enables project developers to identify a set of comparable methodologies applicable to the technology that is going to be implemented in their project activities.

 $^{^{\}rm 1}$ There are no approved methodologies for CCS project activities

 $^{^2\,}$ For the purpose of this booklet, CDM project activities also refer to CDM programme of activities.

Methodologies and the Booklet

Framework Convention on Climate Chanae

AFTER FINDING POTENTIALLY SUITABLE METHODOLOGIES

After identifying potentially applicable methodologies through the summary sheet, users should access the full text of the methodologies available on the UNFCCC CDM methodologies website. It is also advisable to look at information about existing CDM project activities that have already applied the methodologies, which is also available through this website.

If there is no approved methodology applicable, then one can propose a new methodology or request a revision of an approved methodology or methodological tool. In general, the new methodology option should be pursued if a project activity requires methodological approaches substantially different from an approved methodology. The revision option is suitable if an approved methodology is not applicable to a project activity, but the project activity is broadly similar to the one to which the approved methodology is applicable. For cases where an approved methodology is applicable to a project activity but minor changes in the methodology application are required due to the project-specific circumstances, requesting a deviation of an approved methodology could be considered.

If an approved methodology is unclear or ambiguous in its methodological procedures, a request for clarification may be submitted.

CDM PROJECT CYCLE

Once project participants have selected an applicable approved methodology, they apply it to their project activity and prepare a Project Design Document (PDD); this is the first step in the CDM project cycle. The methodology provides provisions for the core elements of a PDD:

- the demonstration of additionality;
- the establishment of the baseline scenario and the estimation of emission reductions or net removals; and
- the monitoring plan.

The main steps of the CDM project cycle and their actors are the following:

- Project design (Project Participants);
- National approval (Designated National Authority);
- Validation (Designated Operational Entity);
- Registration (CDM Executive Board);
- Monitoring (Project Participant);
- Verification (Designated Operational Entity);
- Issuance (CDM Executive Board).

USEFUL LINKS

UNFCCC CDM website

<https://cdm.unfccc.int/>

CDM methodologies, submission of proposed new methodologies and requests for clarification and revision https://cdm.unfccc.int/methodologies/index.html

CDM project cycle

http://cdm.unfccc.int/Projects/diagram.html

CDM project activities

https://cdm.unfccc.int/Projects/index.html

CDM programmes of activities (PoA)

https://cdm.unfccc.int/ProgrammeOfActivities/index.html

CDM sectoral scopes

https://cdm.unfccc.int/DOE/scopes.html

CDM standardized Baselines

http://cdm.unfccc.int/methodologies/standard_base/ index.html>

UNEP Risø CDM pipeline analysis and database http://cdmpipeline.org/>

Finding applicable methodologies — two categorization approaches

There are two ways the booklet categorizes methodologies. The first approach — the methodology categorization table — is based on the sectoral scopes defined by the UNFCCC (see https://cdm.unfccc.int/DOE/scopes.html). This table allocates the methodology to generic mitigation activity types. This approach is useful for project developers who have not yet made a technology choice or CDM stakeholders who are interested in a type of mitigation activity.

It structures methodologies according to technology and the history of methodology development that has led to several "families" of methodologies all relating to a specific technology. It is appropriate for project developers who have already decided on a particular technology for their project.

1.2. CATEGORIZATION BY MITIGAION ACTIVITY TYPE (METHODOLOGY CATEGORIZATION TABLE)

In addition to the methodology sectoral scopes³, methodologies in this table are also categorized by the type of mitigation activity, these being renewable energy, low carbon electricity generation, energy efficiency measures, fuel switch, GHG destruction, GHG emission avoidance and GHG removal by sinks.

Sectoral scopes 1 to 3 (energy sectors – generation, supply and consumption) are first distinguished according to:

- Electricity generation and supply;
- Energy for industries;
- Energy (fuel) for transport;
- Energy for households and buildings.

And then categorized in terms of type of mitigation activity:

- Displacement of a more-GHG-intensive output:
 - i. Renewable energy;
 - ii. Low carbon electricity.
- Energy efficiency;
- Fuel and feedstock switch.

Sectoral scopes 4 to 15 (other sectors) are categorized according to these mitigation activities:

- Displacement of a more-GHG-intensive output;
- Renewable energy;
- Energy efficiency;

- GHG destruction;
- GHG emission avoidance;
- Fuel switch;
- GHG removal by sinks.

DESCRIPTION OF TYPES OF MITIGATION ACTIVITIES

DISPLACEMENT OF A MORE-GHG-INTENSIVE OUTPUT

This category refers to project activities where the consumption of a more-GHG-intensive output is displaced with the output of the project. The category is separately defined because of the importance of not just implementing the project activity, but also ensuring that the more-GHG-intensive output is displaced by the output of the project activity.

All renewable energy generation and low carbon energy generation project activities are part of this category. Many other methodologies are also allocated to this category depending upon how the emission reductions are calculated in the corresponding methodologies.

Examples:

- Power generation from waste energy recovery and supply to a recipient who was receiving more-GHGintensive power;
- Power generation using renewable or low carbon energy sources and export of power to a grid with combined margin emission factor of more than zero and/or to a recipient using fossil fuel based power in the absence of project activity.

³ The Methodology categorization table allocates the methodology to the sectoral scope(s) that have been formally defined for it, which are primarily used as the basis of DOE accreditation. However, if there are additional sectoral scopes that are also applicable to the methodology, then the methodology is also shown in these sectors in the table. This is to make it potentially easier to look up the methodology.

Categorization by Mitigation Activity Type (Methodology Categorization Table)

RENEWABLE ENERGY

This category includes the use of various renewable energy sources.

Examples:

- Hydro power plant;
- Wind power plant;
- Solar cooker;
- Biomass-fired boiler.

LOW CARBON ELECTRICITY

This encompasses mainly greenfield electricity generation based on less carbon intensive fuel such as natural gas. As no power plant exists at the project location before implementation of the project, the mitigation activity is not fuel switch. At the same time the applied technology might not be best available technology, differentiating it from energy efficiency measures. A typical low carbon electricity project is the construction of a greenfield natural-gas-fired power plant. Also projects that reduce emissions due to grid extension or connection are included under this category where applicable.

ENERGY EFFICIENCY

The category energy efficiency includes all measures aiming to enhance the energy efficiency of a certain system. Due to the project activity, a specific output or service requires less energy consumption. Waste energy recovery is also included in this category.

Examples:

- Conversion of a single cycle to a combined cycle gas-fired power plant;
- Installation of a more efficient steam turbine;
- Use of highly efficient refrigerators or compact fluorescent lamps;
- Recovery of waste heat from flue gases;
- Recovery and use of waste gas in a production process.

FUEL OR FEEDSTOCK SWITCH

In general, fuel switch measures in this category will replace carbon-intensive fossil fuel with a less-carbon-intensive fossil fuel, whereas a switch from fossil fuel to renewable biomass is categorized as "renewable energy". In case of a feedstock switch, no differentiation between fossil and renewable sources is applied.

Examples:

- Switch from coal to natural gas;
- Feedstock switch from fossil sources of CO₂ to renewable sources of CO₂;
- Use of different raw material to avoid GHG emissions;
- Use of a different refrigerant to avoid GHG emissions;
- Blending of cement in order to reduce demand for energy intensive clinker production.

GHG DESTRUCTION

The category GHG destruction covers activities that aim at the destruction of GHG. In many cases, the project includes capture or recovery of the GHG. The destruction is achieved by combustion or catalytic conversion of GHGs.

Examples:

- Combustion of methane (e.g. biogas or landfill gas);
- Catalytic N₂O destruction.

GHG EMISSION AVOIDANCE

This category includes various activities where the release of GHG emissions to the atmosphere is reduced or avoided.

Examples:

- Avoidance of anaerobic decay of biomass;
- Reduction of fertiliser use.

GHG REMOVAL BY SINKS

All A/R activities are allocated to this category. Through photosynthesis in plants, CO₂ from the atmosphere is removed and stored in form of biomass.

Categorization by Mitigation Activity Type (Methodology Categorization Table)

Methodologies for large-scale CDM project activitiesMethodologies for small-scale CDM project activities

Methodologies for small and large-scale afforestation and reforestation (A/R) CDM project activities

AM0000 Methodologies that have a particular potential to directly improve the lives of women and children

Table VI-1. Methodology Categorization in the Energy Sector

| Sectoral scope | Туре | Electricity generation and supply | Energy for industries | Energy (fuel) for transport | Energy for households and buildings |
|----------------------------|----------------------|---|-----------------------|--------------------------------|---|
| 1 Energy industries | Renewable | AM0007 | AM0007 | AM0089 | AM0053 |
| (renewable-/ | energy | AM0019 | AM0036 | ACM0017 | AM0069 |
| non renewable sources) | | AM0026 | AM0053 | | AM0072 |
| | | AM0042 | AM0069 | | AM0075 |
| | | AM0052 | AM0075 | | AM0094 |
| | | AM0100 | AM0089 | | ACM0022 |
| | | AM0103 | ACM0006 | | ACM0024 |
| | | ACM0002 | ACM0020 | | AMS-I.A. |
| | | ACM0006 | ACM0022 | | AMS-I.B. |
| | | ACM0018 | ACM0024 | | AMS-I.C. |
| | | ACM0020 | AMS-I.C. | | AMS-I.E. |
| Displacement of a | | ACM0022 | AMS-I.F. | | AMS-I.F. |
| more-GHG-intensive | | AMS-I.A. | AMS-I.G. | | AMS-I.G. |
| output | | AMS-I.C. | AMS-I.H. | | AMS-I.H. |
| | | AMS-I.D. | | | AMS-I.I. |
| | | AMS-I.F. | | | AMS-I.J. |
| | | AMS-I.G. | | | AMS-I.K. |
| | | AMS-I.H. | | | AMS-I.L. |
| | | AMS-I.M. | | | |
| | Low carbon | AM0045 | AM0099 | | |
| | electricity | AM0074 | ACM0025 | | |
| | | AM0099 | ACM0026 | | |
| | | AM0104 | | | |
| | | AM0108 | | | |
| | | ACM0025 | | | |
| | Enorgy | ACM0026 AM0048 | AM0048 | | AAA0050 |
| | Energy efficiency | AM0049 | AM0049 | | AM0058 AM0048 |
| | emclency | AM0043 | AM0055 | | AM0048 |
| | | AM0061 | AM0056 | | AM0107 |
| | | AM0076 | AM0076 | | AMOIO |
| | | AM0084 | AM0076 | | |
| | | AM0107 | AM0095 | | |
| | | ACM0006 | AM0098 | | |
| | | ACM0007 | AM0107 | | |
| | | ACM0012 | ACM0006 | | |
| | | ACM0013 | ACM0012 | | |
| | | ACM0018 | ACM0018 | | |
| | | AMS-II.B. | ACM0023 | | |
| | | AMS-II.H. | | | |
| | | AMS-III.AL. | | | |

Categorization by Mitigation Activity Type (Methodology Categorization Table)

 Table VI-1.
 Methodology Categorization in the Energy Sector (continued)

| Sectoral scope | Туре | Electricity generation and supply | Energy for industries | Energy (fuel) for transport | Energy for households and buildings |
|----------------------------|----------------|---|-----------------------|--------------------------------|---|
| 1 Energy industries | Fuel/feedstock | AM0049 | AM0049 | | AM0081 |
| (renewable-/ | switch | ACM0006 | AM0056 | | |
| non renewable sources) | | ACM0011 | AM0069 | | |
| (continued) | | ACM0018 | AM0081 | | |
| | | AMS-I.M. | ACM0006 | | |
| | | AMS-III.AG. | ACM0009 | | |
| | | AMS-III.AH. | ACM0018 | | |
| | | AMS-III.AM. | AMS-III.AM. | | |
| 2 Energy distribution | Renewable | AMS-III.AW. | AM0069 | | AMS-III.AW. |
| | energy | AMS-III.BB. | AM0075 | | |
| | | AMS-III.BL. | | | |
| | Energy | AM0067 | | | |
| | efficiency | AM0097 | | | |
| | | AMS-II.A. | | | |
| | | AMS-III.BB. | | | |
| | | AMS-III.BL. | | | |
| | Fuel/feedstock | AMS-III.BB. | AM0077 | | |
| | switch | AMS-III.BL. | | | |
| 3 Energy demand | Renewable | | | | AMS-III.AE. |
| | energy | | | | AMS-III.AR. |
| | Energy | AMS-III.AL. | AM0017 | | AM0020 |
| | efficiency | | AM0018 | | AM0044 |
| | | | AM0020 | | AM0046 |
| | | | AM0044 | | AM0060 |
| | | | AM0060 | | AM0086 |
| | | | AM0068 | | AM0091 |
| | | | AM0088 | | AM0113 |
| | | | AM0105 | | AMS-II.C. |
| | | | AMS-I.I. | | AMS-II.E. |
| | | | AMS-II.C. | | AMS-II.F. |
| | | | AMS-II.F. | | AMS-II.G. |
| | | | AMS-II.G. | | AMS-II.J. |
| | | | AMS-II.L. | | AMS-II.K. |
| | | | AMS-II.N. | | AMS-II.L. |
| | | | AMS-II.P. | | AMS-II.N. |
| | | | AMS-II.S. | | AMS-II.M. |
| | | | | | AMS-II.O. |
| | | | | | AMS-II.Q. |
| | | | | | AMS-II.R. |
| | | | | | AMS-III.AE. |
| | | | | | AMS-III.AR. |
| | | | | | AMS-III.AV. |
| | | | | | AMS-III.X. |
| | Fuel/feedstock | AMS-III.B. | ACM0003 | | AMS-II.F. |
| | switch | | ACM0005 | | AMS-III.B. |
| | | | AMS-II.F. | | |
| | | | AMS-III.B. | | |

Table VI-2. Methodology Categorization other Sectors

| Sectoral scope | Renewable energy | Energy Efficiency | GHG destruction | GHG emission avoidance | Fuel/Feedstock Switch | GHG removal by sinks | Displacement of a more-GHG- intensive output |
|-----------------------------------|---------------------|----------------------|--------------------|---------------------------|--------------------------|-------------------------|--|
| 4 Manufacturing industries | AM0007 | AM0049 | AM0078 | ACM0005 | AM0049 | | AM0070 |
| | AM0036 | AM0055 | AM0096 | ACM0021 | AM0092 | | AM0095 |
| | ACM0003 | AM0070 | AM0111 | AM0057 | ACM0003 | | AM0114 |
| | AMS-III.Z. | AM0106 | AMS-III.K. | AM0065 | ACM0005 | | AM0115 |
| | AMS-III.AS. | AM0109 | | AM0092 | ACM0009 | | ACM0012 |
| | AMS-III.BG. | AM0114 | | AMS-III.L. | ACM0015 | | |
| | | AM0115 | | | AMS-III.N. | | |
| | | ACM0012 | | | AMS-III.Z. | | |
| | | AMS-II.D. | | | AMS-III.AD. | | |
| | | AMS-II.H. | | | AMS-III.AM. | | |
| | | AMS-II.I. | | | AMS-III.AS. | | |
| | | AMS-III.P. | | | | | |
| | | AMS-III.Q. | | | | | |
| | | AMS-III.V. | | | | | |
| | | AMS-III.Z. | | | | | |
| | | AMS-III.AS. | | | | | |
| | | AMS-III.BD. | | | | | |
| | | AMS-III.BG. | | | | | |
| 5 Chemical industries | ACM0017 | AM0055 | ACM0019 | AM0053 | AM0027 | | AM0053 |
| | AM0053 | AM0114 | AM0021 | AMS-III.M. | AM0037 | | AM0055 |
| | AM0075 | AMS-III.AC. | AM0028 | AMS-III.AI. | AM0050 | | AM0069 |
| | AM0089 | AMS-III.AJ. | AM0098 | | AM0063 | | AM0081 |
| | | | | | AM0069 | | AM0098 |
| | | | | | AMS-III.J. | | AM0114 |
| | | | | | AMS-III.O. | | AM0115 |
| 6 Construction | | | | | AMS-III.BH. | | AMS-III.BH. |
| 7 Transport | AMS-I.M. | AM0031 | | | AMS-III.S. | | |
| | AMS-III.T. | AM0090 | | | AMS-III.AY. | | |
| | AMS-III.AK. | AM0101 | | | | | |
| | AMS-III.AQ. | AM0110 | | | | | |
| | | AM0116 | | | | | |
| | | ACM0016 | | | | | |
| | | AMS-III.C. | | | | | |
| | | AMS-III.S. | | | | | |
| | | AMS-III.U. | | | | | |
| | | AMS-III.AA. | | | | | |
| | | AMS-III.AP. | | | | | |
| | | AMS-III.AT. | | | | | |
| | | AMS-III.BC. | | | | | |
| 8 Mining/mineral production | ACM0003 | | ACM0008 | | ACM0005 | | |
| | | | AM0064 | | ACM0015 | | |
| | | | AMS-III.W. | | | | |
| 9 Metal production | AM0082 | AM0038 | | AM0030 | AM0082 | | |
| | | AM0059 | | AM0059 | | | |
| | | AM0066 | | AM0065 | | | |
| | | AM0068 | | | | | |
| | | AM0109 | | | | | |
| | | AMS-III.V. | 1 | | | | 1 |

Categorization by Mitigation Activity Type (Methodology Categorization Table)

 Table VI-2.
 Methodology Categorization other Sectors (continued)

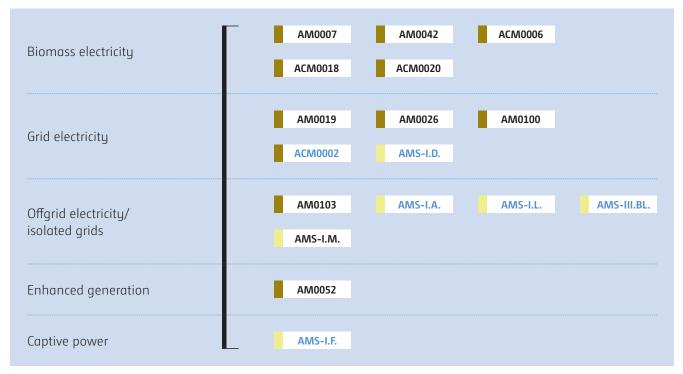
| Sectoral scope | Renewable energy | Energy Efficiency | GHG destruction | GHG emission avoidance | Fuel/Feedstock Switch | GHG removal by sinks | Displacement of a more-GHG- intensive output |
|------------------------------------|---------------------|----------------------|--------------------|---------------------------|--------------------------|-------------------------|--|
| 10 Fugitive emissions from | | | AM0064 | AM0023 | AM0009 | AM0074 | AM0009 |
| fuel (solid, oil and gas) | | | ACM0008 | AM0023 | AM0037 | AIVI0074 | AM0077 |
| raci (30ila, oli alla gus) | | | AMS-III.W. | AMS-III.BI. | AM0037 | | Aivioo77 |
| 11 Fugitive emissions from | | | AM0001 | AM0035 | AM0071 | | |
| production and consumption | | | AM0078 | AM0065 | AM0092 | | |
| of halocarbons and SF ₆ | | | AM0096 | AM0079 | AMS-III.AB. | | |
| 0 | | | AM0111 | AM0092 | | | |
| | | | AMS-III.X. | AMS-III.X. | | | |
| 12 Solvent use | | | | | | | |
| 13 Waste handling | ACM0022 | AMS-III.AJ. | AM0073 | AM0057 | | | |
| and disposal | AM0112 | AMS-III.BA. | ACM0001 | AM0080 | | | |
| | AMS-III.BJ. | | ACM0010 | AM0083 | | | |
| | | | ACM0014 | AM0093 | | | |
| | | | AMS-III.G. | AM0112 | | | |
| | | | AMS-III.H. | ACM0022 | | | |
| | | | AMS-III.AX. | AMS-III.E. | | | |
| | | | | AMS-III.F. | | | |
| | | | | AMS-III.I. | | | |
| | | | | AMS-III.Y. | | | |
| | | | | AMS-III.AF. | | | |
| | | | | AMS-III.AO. | | | |
| | | | | AMS-III.BE. | | | |
| 14 Afforestation and | | | | | | AR-AM0014 | |
| reforestation | | | | | | AR-ACM0003 | |
| | | | | | | AR-AMS0003 | |
| | | | | | | AR-AMS0007 | |
| 15 Agriculture | | | AM0073 | AMS-III.A. | AMS-III.R. | | |
| | | | ACM0010 | AMS-III.AU. | | | |
| | | | AMS-III.D. | AMS-III.BE. | | | |
| | | | AMS-III.R. | AMS-III.BF. | | | |
| | | | | AMS-III.BK. | | | |

1.3. CATEGORIZATION BY APPLIED TECHNOLOGY TYPE/MEASURE (METHODOLOGY FAMILY TREES)

There have been distinct development phases of methodologies over time, leading to "families" when one methodology catalyzed the development of other methodologies. The figures below show the families of methodologies in form of family trees. They are designed as follows: Each methodology is denoted by a box showing its unique identification number. Methodologies that can be found in the same family tree deal with comparable technologies or measures.

Methodologies for large-scale CDM project activities
 Methodologies for small-scale CDM project activities
 Methodologies for small and large-scale afforestation and reforestation (A/R) CDM project activities
 AM0000
 Methodologies that have a particular potential to directly improve the lives of women and children

Figure VII-1. Methodologies for renewable electricity



⁴ The concept of methodology families and family trees was initially adopted in the following guidebook: Understanding CDM Methodologies: A guidebook to CDM Rules and Procedures, written by Axel Michaelowa, Frédéric Gagnon-Lebrun, Daisuke Hayashi, Luis Salgado Flores, Philippe Crête and Mathias Krey, commissioned by the UK Department for Environment Food and Rural Affairs (© Crown Copyright 2007).

Figure VII-2. Methodologies for renewable energy (thermal or mechanical energy)

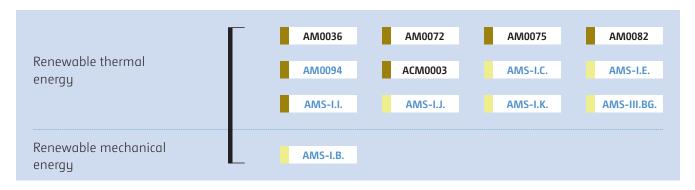
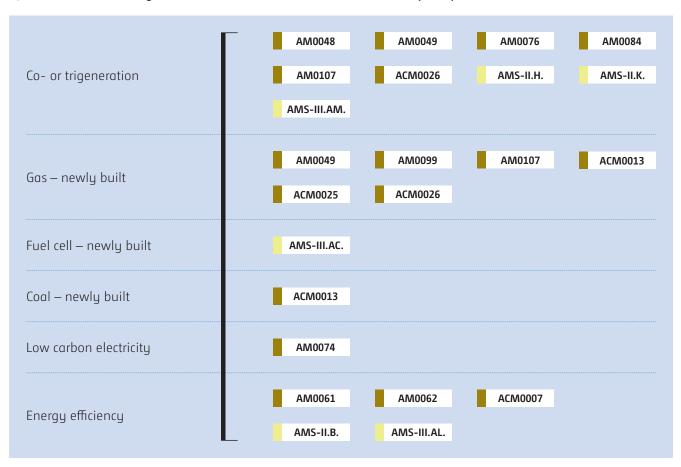


Figure VII-3. Methodologies for efficient or less-carbon-intensive fossil-fuel-fired power plants



Categorization by Applied Technology Type/Measure (Methodology Family Trees)

Figure VII-4. Methodologies for fuel switch

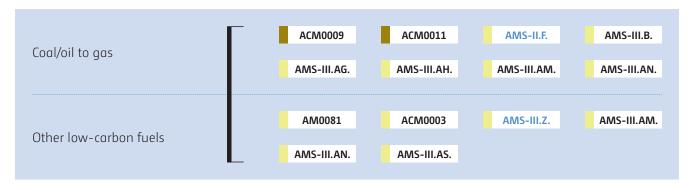


Figure VII-5. Methodologies for biofuel

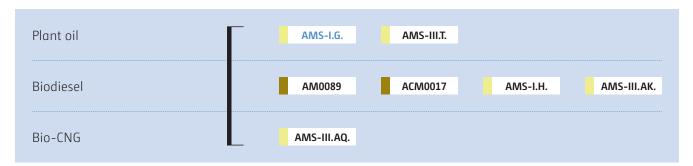


Figure VII-6. Methodologies for industrial energy efficiency

| Steam systems | AM0017 | AM0018 | | |
|--|-------------|------------|-------------|------------|
| Water pumping | AM0020 | AMS-II.C. | AMS-II.P. | AMS-II.S. |
| | AM0055 | AM0058 | AM0066 | AM0095 |
| Waste gas/energy recovery | AM0098 | AM0115 | ACM0012 | AMS-II.I. |
| | AMS-III.P. | AMS-III.Q. | AMS-III.BI. | |
| Metal | AM0038 | AM0059 | AM0066 | AM0068 |
| Metal | AM0109 | AMS-III.V. | AMS-III.BD. | |
| Boilers | AM0044 | AM0056 | ACM0023 | AMS-II.D. |
| Chillers | AM0060 | | | |
| Kilns | AM0066 | AM0068 | AM0106 | AMS-III.Z. |
| District heating | AM0058 | | | |
| Lighting | AMS-II.L. | | | |
| A | AMS-II.F. | AMS-II.P. | AMS-II.S. | AMS-III.A. |
| Agriculture | AMS-III.BE. | | | |
| Efficient motor or motor appliances (pump, fans, compressor) | AMS-II.S. | | | |
| Other/various technologies | AM0088 | AM0105 | AM0114 | AM0115 |
| other, various technologies | AMS-II.C. | AMS-II.D. | | |

Figure VII-7. Methodologies for household & building energy efficiency



Figure VII-8. Methodologies for gas flaring and gas leak reduction

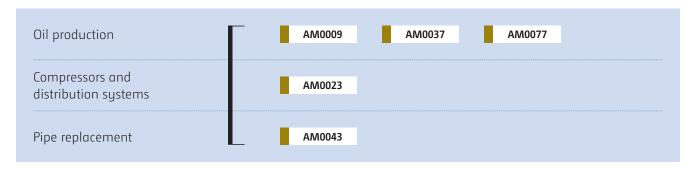


Figure VII-9. Methodologies for feedstock switch

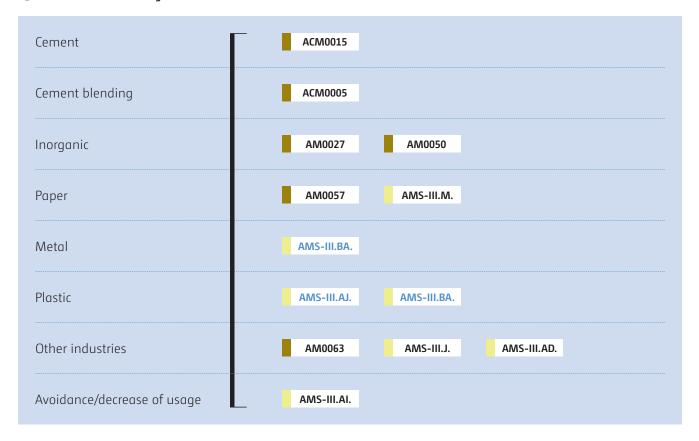
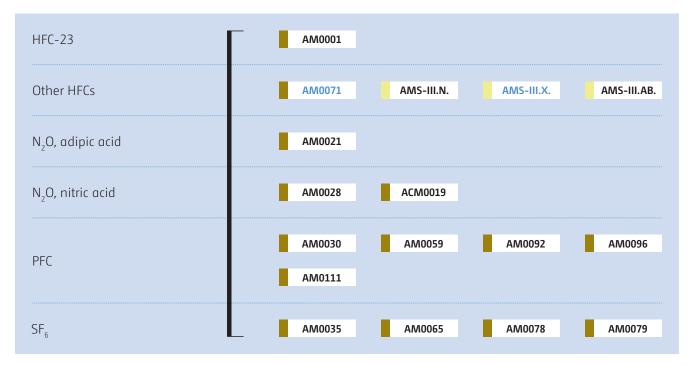


Figure VII-10. Methodologies for industrial gases



(Methodology Family Trees)

Figure VII-11. Methodologies for waste management and wastewater

| Alternative treatment – composting | ACM0022 | AMS-III.F. | AMS-III.AF. | |
|--|---------------------|--------------------|-------------|------------|
| Alternative treatment – other technologies | ACM0022 AMS-III.R. | AM0112 AMS-III.Y. | AMS-III.E. | AMS-III.L. |
| Alternative treatment — aerobic | AM0083 | AM0093 | AMS-III.AX. | |
| Landfill gas | ACM0001 | AMS-III.G. | | |
| Lagoons and biodigester — biogas | ACM0014 | AMS-III.H. | AMS-III.AO. | |
| Manure and comparable animal waste | AM0073 | ACM0010 | AMS-III.D. | |
| Aerobic wastewater treatment | AM0080 | AMS-III.I. | | |
| Biogenic methane | ACM0024 AMS-III.0. | AM0053 | AM0069 | AM0075 |

(Methodology Family Trees)

Figure VII-12. Methodologies for transport



Categorization by Applied Technology Type/Measure (Methodology Family Trees)

Figure VII-13. Other methodologies

| Methane from mining activities | AM0064 | ACM0008 | AMS-III.W. | |
|---|---------------------|---------------------|-------------|-------------|
| Charcoal production | ACM0021 | AMS-III.K. | AMS-III.BG. | |
| Electricity grid connection | AM0045 AMS-III.BB. | AM0104 AMS-III.BL. | AM0108 | AMS-III.AW. |
| Efficient transmission and distribution | AM0067 | AM0097 | AMS-II.A. | |
| Afforestation and reforestation | AR-AM0014 | AR-ACM0003 | AR-AMS0003 | AR-AMS0007 |
| Agriculture | AMS-III.AU. | AMS-III.BF. | AMS-III.BK. | |
| Construction | AMS-III.BH. | | | |

1.4. PROGRAMMES OF ACTIVITIES

THE CONCEPT

In the CDM, a Programme of Activities (PoA) is defined as a voluntary coordinated action by a private or public entity that coordinates and implements any policy/measure or stated goal, which leads to emission reductions or net removals that are additional to any that would occur in the absence of the PoA, via an unlimited number of Component Project Activities (CPAs).

A CPA is a single measure, or a set of interrelated measures under a PoA, to reduce emissions or result in net removals, applied within a designated area.

A PoA is therefore like an "umbrella program", which is registered by the Board. Individual CPAs that comply with the eligibility criteria specified in the PoA Design Document (PoA-DD) of the registered PoA can be included under this "umbrella" and actually generate emission reductions or net removals to benefit from carbon revenues.

BENEFITS

Compared to regular CDM project activities, PoAs have many benefits, particularly for less developed countries or regions. The process for the inclusion of individual CPAs under a registered PoA is considerably simplified and results in lower costs as compared to registration of regular project activities.

The main benefits of PoAs are:

- Transaction costs, investment risks and uncertainties for individual CPA participants are reduced;
- PoAs are managed by a designated Coordinating and Managing Entity (CME). The CME is responsible for most of the CDM process. Therefore, direct engagement of individual project developers in the CDM process is not required;
- Access to the CDM is extended to smaller project activities which would not be viable as regular project activities;
- Emission reductions can be continuously scaled up after PoA registration, since an unlimited number of CPAs can be added at a later stage;
- Many technologies with high co-benefits, e.g. household technologies, are supported by PoAs;

- Specific regional policy goals can be effectively supported by accessing carbon finance through PoAs;
- Monitoring/Verification of parameter values may be undertaken on a collective basis by utilizing a sampling approach;
- No registration fee is due for each CPA included after registration. Registration fees are based on the expected average emission reductions or net removals of the "actual case" CPAs submitted at the PoA registration.

PoA IN THE CDM PIPELINE

At the time of preparation of this edition of the Booklet, there were some sectors that have a higher proportion of PoAs in the CDM pipeline than regular project activities: energy efficiency demand side (sectoral scope 3), waste (sectoral scope 13) and solar energy (sectoral scope 1). Furthermore, out of the registered PoAs, it was observed that some methodologies were commonly used, such as:

- ACM0002 Grid-connected electricity generation from renewable sources
- AMS-I.C. Thermal energy production with or without electricity
- AMS-I.D. Grid connected renewable electricity generation
- AMS-II.G. Energy efficiency measures in thermal applications of non-renewable biomass
- AMS-II.J. Demand-side activities for efficient lighting technologies
- AMS-III.R. Methane recovery in agricultural activities at household/small farm

1.5. STANDARDIZED BASELINES

A standardized baseline can be a positive list containing names of emission reduction activities that, if implemented in a given country or region, would be considered automatically additional under certain conditions. It can also be a baseline emission factor to be used for the purpose of estimation of baseline emissions (e.g. grid emission factor).

THE CONCEPT

A standardized baseline is a baseline established for a Party or a group of Parties to facilitate the calculation of emission reduction and removals and/or the determination of additionality for CDM project activities.

The following elements may be standardized by an approved standardized baseline:

- (a) Additionality; and/or
- (b) Baseline (baseline scenario and/or baseline emissions).

BENEFITS

The objective of standardized baselines is to scale up the abatement of GHG emissions while ensuring environmental integrity by potentially:

- Reducing transaction costs;
- Enhancing transparency, objectivity and predictability;
- Facilitating access to the CDM, particularly with regard to underrepresented project types and regions;
- Simplifying measuring, reporting and verification.

APPROVED STANDARDIZED BASELINES

| ASB0001 | Grid emission factor for the Southern African power pool |
|------------|--|
| ASB0002 | Fuel switch, technology switch and methane destruction in the charcoal sector of Uganda |
| ASB0003 | Grid emission factor for the Republic of Uzbekistan |
| ASB0004 | Technology switch in the rice mill sector of Cambodia |
| ASB0005 | Grid emission factor for the Belize national power grid |
| ASB0006 | Grid emission factor for the national power grid of Uganda |
| ASB0007 | Grid emission factor for the electricity system of the Republic of Armenia |
| ASB0008 | Standardized Baseline for Methane Emissions from Rice Cultivation in the Republic of the Philippines |
| ASB0009 | Standardized baseline: Emission factors for central grid and regional mini-grids of The Gambia |
| ASB0010 | Landfill gas capture and flaring in Sao Tome and Principe |
| ASB0011 | Landfill gas capture and flaring in the Dominican Republic |
| ASB0012 | Landfill gas capture and flaring in Antigua and Barbuda |
| ASB0013 | Landfill gas capture and flaring in Belize |
| ASB0014 | Landfill gas capture and flaring in Grenada |
| ASB0015 | Grid emission factor for the Dominican Republic |
| ASB0016 | Institutional Cook Stoves in Uganda |
| ASB0017 | Rwanda grid emission factor |
| ASB0018 | Baseline woody biomass consumption for household cookstoves in Burundi |
| ASB0019 | Grid emission factor of Mauritius |
| ASB0020 | Grid Emission Factor of São Tomé and Príncipe |
| ASB0021 | Cape Verde standardized Baseline for the Power Sector |
| ASB0022 | Landfill gas capture and destruction in Cameroon |
| ASB0022 | Landfill gas capture and destruction in the Republic of Sudan |
| ASB0023 | Grid emission factor for the Republic of Sudan |
| ASB0025 | Cook stoves in Senegal |
| AR-ASB0001 | Afforestation and reforestation project activities in Namibia |
| | Anorestation and reforestation project activities in Nathibia |

1.6. METHODOLOGIES ADDRESSING SUPPRESSED DEMAND

THE CONCEPT

Under the CDM, suppressed demand is defined as a "Scenario where future anthropogenic emissions by sources are projected to rise above current levels, due to the specific circumstances of the host Party".

The concept of suppressed demand is included in some CDM methodologies to consider situations where key services such as lighting and heating, water supply, waste disposal and transportation are only available in quantities that are insufficient to meet basic human needs before the implementation of a CDM project activity. This can be due to low income and lack of technologies/infrastructures or resources for its implementation. The minimum service level required to fulfil generally accepted basic human needs is expected to be reached in the future as host countries develop their economies, hence incomes increase, resources improve and technologies/infrastructures are implemented.

For example, before the start of a CDM project activity, households may be devoid of access to an electricity grid and have only a few kerosene lamps in place that are operated for short time periods, or just use candles. Or they may not have access to clean drinking water and therefore boil a small quantity of water manually.

The concept of suppressed demand is included in CDM methodologies for the baseline calculation specifying a minimum service level. For example, the daily amount of drinking water availability recommended by the World Health Organization is used as baseline water provision volume for the methodology AM0086 for water purification. In other methodologies such as AMS-I.A. and AMS-I.L., suppressed demand is taken into account by applying default emission factors for high emission technologies (e.g. kerosene lamps) assumed to be used due to the suppressed demand situation. In the methodology ACM0022, a default emission factor for a shallow landfill can be used in the absence of an organized waste collection and disposal system. If suppressed demand were not included, baseline emissions would be so small that project activities would become unattractive under the CDM due to the small number of CERs generated.

Methodologies addressing the issue of suppressed demand are labelled with a specific icon "Suppressed demand", put on the top right of the summary sheet.

BENEFIT

The consideration of suppressed demand allows host countries to improve life conditions by implementing CDM project activities.

Another benefit is the reduction of transaction costs for CDM project developers. Detailed data gathering to establish parameter values for baseline emission calculations may not be necessary as CDM methodologies that address the issue of suppressed demand usually include default values that are representative for the specific service level, such as the amount of kerosene used for lighting.

METHODOLOGIES ADDRESSING SUPPRESSED DEMAND

| AM0086 | Installation of zero energy water purifier for safe drinking water application |
|-------------|---|
| AM0091 | Energy efficiency technologies and fuel switching in new and existing buildings |
| ACM0022 | Alternative waste treatment processes |
| AMS-I.A. | Electricity generation by the user |
| AMS-I.B. | Mechanical energy for the user with or without electrical energy |
| AMS-I.L. | Electrification of rural communities using renewable energy |
| AMS-II.R. | Energy efficiency space heating measures for residential buildings |
| AMS-III.F. | Avoidance of methane emissions through composting |
| AMS-III.AR. | Substituting fossil fuel based lighting with LED/CFL lighting systems |
| AMS-III.AV. | Low greenhouse gas emitting safe drinking water production systems |
| AMS-III.BB. | Electrification of communities through grid extension or construction of new mini-grids |
| AMS-III.BL. | Integrated methodology for electrification of communities |
| | |

1.7. METHODOLOGIES HAVING BENEFITS FOR WOMEN AND CHILDREN

The dual goals of the CDM are to promote sustainable development and reduce GHG emissions or enhance GHG removals. The outcomes of a CDM project activity should therefore directly or indirectly improve the living conditions of all people.

What has been highlighted in the booklet is that some methodologies have a particular potential to directly improve the lives of women and children effected by the project activity. These methodologies are labelled with a specific icon "Women and children", put on the top right of the summary sheet.

The criteria used to label these methodologies as having particular benefits for women and children are the potential to:

- increase access to affordable household fittings and appliances (e.g. light globes, refrigerators);
- optimize tasks typically undertaken by women or children (e.g. fuel wood gathering, cooking, water collection);
- improve the living environment of women and children (e.g. better air quality, heating, lighting); or
- utilize community-based participatory approaches, that give women and children an opportunity to learn about the projects and contribute to decision making processes.

In the case of A/R CDM project activities, this icon is also indicated for project activities that generate new local employment opportunities because these positions are often filled by women.

It is important to note that a methodology that has not been labelled with this icon will not impact adversely on women and children.

The following publication, "CDM and Women", accessible on the CDM website, further highlights some women-friendly methodologies and aims to encourage project developers to consider the CDM when planning projects to help empower and improve women's lives.

1.8. INTRODUCTION TO METHODOLOGY SUMMARY SHEETS

The methodology summary sheets are distinguished as being for large-scale and small-scale CDM project activities, as well as large-scale and small-scale A/R CDM project activities. Each methodology summary sheet has the sections as follows:

TYPICAL PROJECT(S) APPLICABLE TO THE METHODOLOGY

Project activities for which the methodology is applicable are described. Practical examples are mentioned for better understanding of the purpose of the specific methodology.

TYPE(S) OF GHG EMISSION MITIGATION ACTION

This refers to the type of mitigation activity presented in the methodology categorization table (section 1.2. above). The type of mitigation action, such as fuel switch or energy efficiency, is briefly described.

IMPORTANT CONDITIONS UNDER WHICH THE METHODOLOGY IS APPLICABLE

Methodologies are only applicable under particular conditions and the most relevant conditions are listed in this section. However, not all conditions can be listed and it is important to consult the full text of each methodology.

IMPORTANT PARAMETERS THAT NEED TO BE DETERMINED OR MONITORED

In order to calculate emission reductions or net removals of a project activity, certain parameters have to be determined at the beginning when the project activity is validated and various parameters have to be monitored during the operation of the project activity. Therefore this section is divided into parameters "at validation" and parameters "monitored". In addition, some methodologies require checking of specific conditions or parameters to prove that applicability conditions are met.

VISUAL DESCRIPTION OF BASELINE AND PROJECT SCENARIOS

An important feature of the booklet is the use of diagrams made of icons to illustrate the baseline and project scenarios. These diagrams enable readers to quickly grasp the scope of the methodology.

The baseline scenario represents the situation that would occur in the absence of the project activity. The project scenario refers to the situation that is achieved by the implementation of the project activity. Complex scenarios cannot be displayed by a simplified diagram. Therefore, the simplified diagrams focus on the main activity that results in emission reductions or net removals. The diagrams do not replace the necessity to consult the full methodology text.

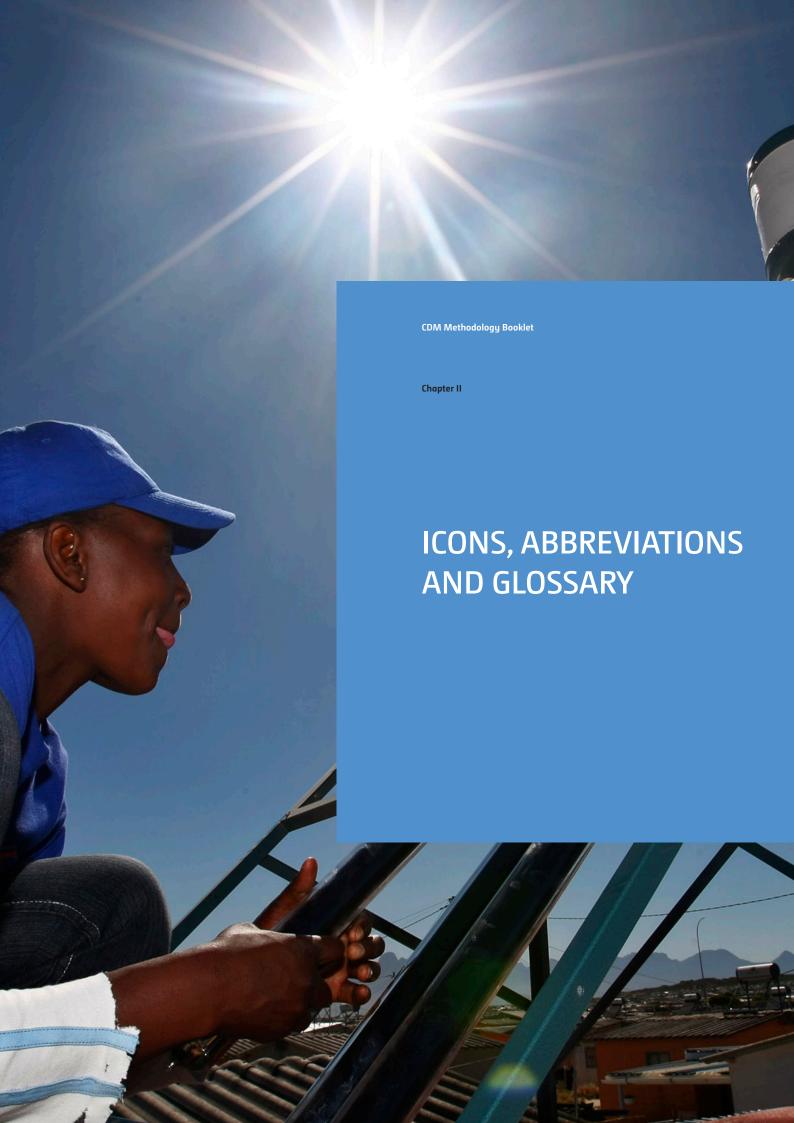
A list of icons used in the booklet is given in chapter II. Some exemplifications of diagrams are presented below.

EXEMPLIFICATION OF DIAGRAMS

| Energy Production GHG | Full intensity in the baseline scenario is depicted with bold colour. |
|-------------------------|---|
| Energy Production GHG | Reduced, decreased intensity in the project activity is depicted with pale colour. |
| Energy Production GHG | Avoidance and replacement is depicted with crossed icons. |
| Fossil fuel | A carbon-intensive fossil fuel is used in the baseline scenario. |
| Fossil fuel Fossil fuel | Instead of the carbon-intensive fossil fuel, a less-carbon-intensive fossil fuel is used due to the project activity. |
| Technology | A less-efficient technology is used in the baseline scenario. |
| Upgrade Technology | A more-efficient technology is used due to the project activity. |
| GHG | Activities in the baseline scenario result in GHG emissions. |
| <u> </u> | Less GHG emissions are occurring due to the project activity. |
| <u> бн</u> б | |

EXEMPLIFICATION OF DIAGRAMS





2.1. ICONS USED IN THIS BOOKLET



Afforestation/reforestation areas

Small afforestation/reforestation areas.



Agricultural activity

Production of crops or livestock.



Agricultural land

Land with crops on solid ground. Also plantations not meeting definition of forest.



Air



Airplane

Any kind of airplane-based transport.



Animal grazing

Grazing livestock in pasture land or any other land.



Biomass

Unless stated otherwise, renewable biomass is implied. Types of biomass include residues, plant oil, wood



Buildings

Any kind of building.



Burning

Uncontrolled burning of biomass, flaring or venting of waste gas.



Bus

Any kind of bus-based transport.



Car

Any kind of car-based transport.



Catalysis

Catalysis of substances (i.e. GHGs) in order to convert them into substances with less or no GWP.



Cement

Products such as clinker, cement, lime or bricks.



Charcoal production

Charcoal production activity.



Commercial Consumer

Commercial consumer, e.g. industrial or institutional consumer.



Consumer

Residential or commercial consumer.



Contaminated land

May indicate chemically polluted land (e.g. mine spoils) or naturally hostile land (e.g. naturally occurring salinity or alkalinity). The specific type is shown in the icon caption.



Controlled burning

Any kind of combustion or decomposition in a controlled manner to dispose combustible substances. Also combustion to produce feedstock such as CO_2 or heat.



Cooling



Data centre



Disposal

Any kind of disposal. E.g. landfilling.



Drinking water

Icons used in this booklet

Framework Convention on Climate Change



Electricity



Electricity distribution grid

This icon is used to depict an electricity distribution system and is used when generated electricity is/ has to be supplied to the electricity grid or if the project activity occurs directly within the electricity distribution system.



Electricity grid

This icon is used to depict all (fossil-fuel-fired) power plants connected and providing electricity to the grid (e.g. national or regional grid).



Energy

Any kind of energy. This icon is used, if different types of energy are depicted. E.g. electricity, heat, steam or mechanical energy.



Energy distribution system

Any kind of energy distribution system. E.g. electricity grid or heat distribution system.



Energy generation

Any kind of plant, facility or equipment used to generate energy. This icon represents any co- or tri-generation system as well as systems to provide mechanical energy. The icon is also used, if either electricity or heat are produced.



Exploitation

Any kind of exploitation activity such as mining activities, oil and gas production.



Fixation of CO₂ in Biomass

Fixation of atmospheric CO₂ from the atmosphere in biomass through the process of photosynthesis



Fossil fuel

Any kind of fossil fuel used for combustion. Can be gaseous, liquid or solid. E.g. natural gas, fuel oil,



Fuelwood collection

Collecting fuelwood without full-tree harvest.



Gas

Any kind of combustible gas. E.g. natural gas, methane, biogas, landfill gas.



Gas distribution system

Any kind of gas distribution system. E.g. natural gas pipeline system.



Grassland

Grass on ground without cracks.



Greenhouse gas emissions

Emissions of greenhouse gases, i.e.:

Carbon dioxide (CO₂)

Methane (CH₄)

Nitrous oxide (N2O)

Hydrofluorocarbons (HFCs)

Perfluorocarbons (PFCs)

Sulphur hexafluoride (SF₆).

Where applicable, the specific GHG is presented in the icon caption.



Harvesting

Harvesting activity.



Heat

Any kind of thermal energy. E.g. steam, hot air, hot water.



Heat distribution system

Any kind of heat distribution system. E.g. steam system, district heating system.



Heat generation

Any kind of plant, facility or equipment used to generate heat. This includes fossil-fuel-fired boilers to generate steam, incinerators, but also small applications such as radiators, cookers and ovens.



Hybrid mini-grid



Input or output material

Any kind of material. Can be gaseous, liquid or solid. E.g. raw materials, substances used for production, products such as plastics. This icon is also used if a GHG such as CO_2 is used as feedstock.

Icons used in this booklet



Land application

The material (e.g. sludge) is applied to land.



Less-carbon-intensive fossil fuel

Any kind of less-carbon-intensive fossil fuel used for combustion. E.g. natural gas.



Lighting

Any kind of lighting equipment such as incandescent light bulbs, compact florescent lamps.



Livestock

Any kind of livestock.



Losses

Any kind of losses from leaks in pipe systems and other distribution systems.



Manure

Manure from livestock.



Mechanical energy



Milk production



Mini grid



Motorcycle

Any kind of motorcycle-based transport.



Oil

Oil of fossil origin. E.g. crude oil.



Planting or seeding

Afforestation/reforestation activity by planting, seeding or other measures.



Power plant

Any kind of plant, facility or equipment used to produce electricity. This includes fossil-fuel-fired power plants, renewable power plants such as hydro power plants, but also (small) photovoltaic systems.



Production

The output of the production can be specified in the icon caption. E.g. aluminium, iron, cement, refrigerators.



Refrigerant

Refrigerant that contains HFC.



Refrigerators and chillers

Any kind of refrigerator or chiller.



Release

Any kind of release of substances or energy without using the substance or the energy content of the substances.



Renewables



Residential Consumer

Residential consumer, e.g. households.



Sand dunes or barren land

Sand dunes or barren land without vegetation.



Seeds

Any type of seeds.



Settlement land

Land within settlements (parks, lawns, etc.) or along infrastructure (roads, powerlines, railways, waterways, etc.).



Ship

Any kind of transport based on ships or barges.

Icons used in this booklet

Framework Convention on Climate Change





Shrub and/or single tree vegetation

Non-forest woody vegetation: shrubs and single trees on "solid" ground (without cracks).



Suppressed demand

Methodologies that address the issue of suppressed demand.



Technology

Any kind of technology, equipment, appliance.



Train

Any kind of train-based transport.



Treatment

Any kind of treatment of waste or materials, e.g. production of RDF from municipal waste.



Treatment

Any kind of treatment of wastewater or manure, e.g. lagoons, pits, aerobic treatment systems.



Truck

Any kind of truck-based transport.



Upgrade

Any type of upgrade. Can be retrofitting of existing equipment or installation of more-advanced technology to displace existing less-advanced equipment. E.g. replacement of incandescent light bulbs by compact fluorescent lamps. Also applicable to upgrade agricultural activity processes.



Waste

Any kind of waste. Can be gaseous, liquid or solid. The specific substance can be specified in the icon caption.



Water

Any kind of water. E.g. drinking water, waste water.



Wetland

Lands with wet to moist soil, e.g. swamp or peatland.



Women and children

Project activities using these methodologies have a particular potential to directly improve the lives of women and children.

2.2. ABBREVIATIONS USED IN THIS BOOKLET

| % | Percent |
|-----------------------------------|--|
| °C | Degree Celsius |
| A/R | Afforestation/ Reforestation |
| ACM | Approved Consolidated Methodology |
| AL | Aluminium |
| AM | Approved Methodology |
| AMS | Approved Methodology for Small-scale CDM |
| 71115 | project activities |
| AOR | Ammonia Oxidation Reactor |
| Board | CDM Executive Board (also referred to as EB) |
| BRT | Bus Rapid Transit |
| BSG | Baseline Sample Group |
| | Calcium Carbonate |
| CACO ₃ | Trigeneration (Combined Cooling, Heating and |
| CCIII | Power generation) |
| CDD | Cooling Degree Days |
| | Clean Development Mechanism |
| CDM | |
| CDR | Carbon Dioxide Recovery |
| CER | Certified Emission Reduction |
| $(CF_3CF_2C(0))$ | Perfluoro-2-methyl-3-pentanone |
| CF(CF ₃) ₂ | |
| CFC | Chlorofluorocarbons |
| CFL | Compact Fluorescent Lamps |
| CH ₄ | Methane |
| CHP | Cogeneration (Combined Heat and Power |
| | generation) |
| CM | Combined Margin |
| CNG | Compressed Natural Gas |
| CO ₂ | Carbon Dioxide |
| COD | Chemical Oxygen Demand |
| COG | Coke Oven Gas |
| COP | Coefficient of Performance |
| CWPB | Centre Worked Pre-Baked |
| DC | Direct Cool |
| DME | Dimethyl ether |
| DMI | Dry Matter Intake |
| DOE | Designated Operational Entity |
| DOM | Dead Organic Matter |
| DRI | Direct Reduced Iron |
| DSS | Decision Support System |
| DWW | Dewatered Wastewater |
| FF | Frost Free |
| GHG | Greenhouse Gas |
| GIEE | Gas Insulated Electrical Equipment |
| GIS | Geographic Information System |
| GWh | Gigawatthours |
| GWP | Global Warming Potential |
| HDD | Heating Degree Days |
| HDPE | High Density Polyethylene |
| | |

| HFC | Hydrofluorocarbon |
|-------------------|--|
| HPO (process) | Hydroylamin-Phosphat-Oxim (process) |
| HRSG | Heat Recovery Steam Generator |
| HSR | High Speed Rail |
| HSS | Horizontal Stud Soederberg |
| IAI | International Aluminium Institute |
| ICL | |
| IEC | Incandescent Lamps |
| | International Electronic Commission Intermediate Gas |
| IG | |
| IPCC | Intergovernmental Panel on Climate Change |
| ISO | International Organization for Standardization |
| kg | Kilogramme |
| km | Kilometre |
| kV | Kilovolt |
| kt | Kiloton |
| LCD | Liquid Crystal Display |
| LDPE | Low Density Polyethylene |
| LFG | Landfill gas |
| LNG | Liquefied Natural Gas |
| LPG | Liquefied Petroleum Gas |
| LSC | Large-scale |
| m | Metre |
| m² | Square metre |
| m³ | Cubic metre |
| MgCO ₃ | Magnesium Carbonate |
| MRG | Methane Rich Gas |
| MRTS | Mass Rapid Transit System |
| MSW | Municipal Solid Waste |
| MW | Megawatt |
| N_2O | Nitrous Oxide |
| ODP | Ozone Depleting Potential |
| PDD | Project Design Document |
| PFC | Perfluorocarbon |
| PFPB | Point Feeder Pre-Baked |
| PoA | Programme of Activities |
| PoA-DD | Programme of Activities Design Document |
| PSG | Project Sample Group |
| P-U | Power-Voltage (characteristic curve) |
| PUF | Polyurethane Foam |
| PV | Photovoltaic |
| RDF | Refuse-Derived Fuel |
| RHF | Rotary Hearth Furnace |
| SB | Stabilized Biomass |
| SF ₆ | Sulphur Hexafluoride |
| SiMn | Silicomanganese |
| SO ₂ | Sulphur Dioxide |
| SOC | Soil Organic Carbon |
| SSC | Small-scale |
| SWDS | Solid Waste Disposal Site |
| SWPB | Side Worked Pre-Baked |
| TG | Tailgas |
| VAM | Ventilation Air Methane |
| VSS | Vertical Stud Soederberg |
| W | Watt |
| | |

2.3. GLOSSARY

Explanations on general terminologies used in this booklet are listed below. More definitions are given in the Glossary of CDM terms. For terminologies specific to a certain methodology, please refer to the definition section of the respective methodology available at https://cdm.unfccc.int/methodologies/index.html.

| Above-ground biomass ⁵ | All living biomass above the soil including stem, stump, branches, bark, seeds, and foliage as well as |
|-----------------------------------|---|
| | herbaceous vegetation. |
| Additional/Additionality | For a CDM project activity (non-A/R) or CPA (non-A/R), the effect of the CDM project activity or CPA to |
| | reduce anthropogenic GHG emissions below the level that would have occurred in the absence of the |
| | CDM project activity or CPA; or |
| | For an A/R or SSC A/R CDM project activity or CPA (A/R), the effect of the A/R or SSC A/R CDM project |
| | activity or CPA (A/R) to increase actual net GHG removals by sinks above the sum of the changes in |
| | carbon stocks in the carbon pools within the project boundary that would have occurred in the absence of |
| | the A/R or SSC A/R CDM project activity or CPA (A/R). |
| | Whether or not a CDM project activity or CPA is additional is determined in accordance with the CDM rules and requirements. |
| Afforestation | The direct human-induced conversion of land that has not been forested for a period of at least 50 years to |
| | forested land through planting, seeding and/or the human-induced promotion of natural seed sources. |
| Agroforestry | Growing of both trees and agricultural / horticultural crops on the same piece of land. |
| Allometric biomass equations | Regression equations calculating biomass based on measured parameters of a tree (or shrub), for |
| · | example, quantifying the relationship between above-ground tree biomass and the diameter at breast |
| | height and tree height of a specific tree species. |
| Baseline scenario | For a CDM project activity (non-A/R) or CPA (non-A/R), the scenario for a CDM project activity or CPA that |
| | reasonably represents the anthropogenic emissions by sources of GHG that would occur in the absence of |
| | the proposed CDM project activity or CPA. |
| | For an A/R or SSC A/R CDM project activity or CPA (A/R), the scenario for an A/R or SSC A/R CDM project |
| | activity or CPA (A/R) that reasonably represents the sum of the changes in carbon stocks in the carbon |
| | pools within the project boundary that would occur in the absence of the A/R or SSC A/R CDM project |
| | activity or CPA (A/R). |
| Below-ground biomass ⁵ | All living biomass of roots. Fine roots of less than (suggested) 2 mm diameter are oft en excluded because |
| | these oft en cannot be distinguished empirically from soil organic matter or litter. |
| Biomass expansion factor | Ratio of total stand biomass to stand (merchantable) volume (e.g. as derived from forest yield tables). |
| Biomass | Non-fossilized and biodegradable organic material originating from plants, animals and |
| | micro-organisms, including: |
| | (a) Biomass residue; |
| | (b) The non-fossilized and biodegradable organic fractions of industrial and municipal wastes; and |
| | (c) The gases and liquids recovered from the decomposition of non-fossilized and biodegradable organic |
| | material. |
| Biomass, non-renewable | Biomass not fulfilling the conditions of renewable biomass is considered as non-renewable. |

| Biomass, ⁶ renewable | Biomass which meets one of the following conditions: |
|---------------------------------|---|
| | (a) The biomass originates from land areas that are forests where: |
| | (i) The land area remains a forest; |
| | (ii) Sustainable management practices are undertaken on these land areas to ensure, in particular, that |
| | the level of carbon stocks on these land areas does not systematically decrease over time (carbon |
| | stocks may temporarily decrease due to harvesting); and |
| | (iii) Any national or regional forestry and nature conservation regulations are complied with; |
| | (b) The biomass is woody biomass and originates from croplands and/or grasslands where: |
| | (i) The land area remains cropland and/or grasslands or is reverted to forest; and |
| | (ii) Sustainable management practices are undertaken on these land areas to ensure in particular that |
| | the level of carbonstocks on these land areas does not systematically decrease over time (carbon |
| | stocks may temporarily decrease due to harvesting); and |
| | (iii) Any national or regional forestry, agriculture and nature conservation regulations are complied with; |
| | (c) The biomass is non-woody biomass and originates from croplands and/or grasslands where: |
| | (i) The land area remains cropland and/or grasslands or is reverted to forest; and |
| | (ii) Sustainable management practices are undertaken on these land areas to ensure in particular that |
| | the level of carbon stocks on these land areas does not systematically decrease over time (carbon |
| | stocks may temporarily decrease due to harvesting); and |
| | (iii) Any national or regional forestry, agriculture and nature conservation regulations are complied with; |
| | (d) The biomass is a biomass residue and the use of that biomass residue in the CDM project activity |
| | (A/R) does not involve a decrease of carbon pools, in particular dead wood, litter or soil organic |
| | carbon, on the land areas from which the biomass residues originate; |
| | (e) The biomass is the non-fossil fraction of an industrial or municipal waste. |
| Biomass, residues | Non-fossilized and biodegradable organic material originating from plants, animals and micro-organisms |
| | which is a by-product, residue or waste stream from agriculture, forestry and related industries. |
| Captive generation | Electricity generation in a power plant that supplies electricity only to consumer(s) and not to the |
| | electricity grid. The consumer(s) are either located directly at the site of the power plant or are connected |
| | through dedicated electricity distribution line(s) with the power plant but not via the electricity grid. |
| Carbon sequestration | Carbon sequestration is defined as a biological, chemical or physical process of removing carbon from the |
| · | atmosphere and depositing it in a reservoir. |
| Cogeneration | Simultaneous production of electricity and useful thermal energy in one process. |
| Deadwood ⁵ | All non-living woody biomass not contained in the litter, either standing, lying on the ground, or in the |
| | soil. Dead wood includes wood lying on the surface, dead roots, and stumps larger than or equal to 10 |
| | cm in diameter or any other diameter used by the country. |
| Emission factor | Measure of the average amount of GHG emitted to the atmosphere by a specific process, fuel, equipment, |
| | or source. |
| Energy efficiency | Energy efficiency is defined as the improvement in the service provided per unit power, for example, |
| | project activities which increase unit output of traction, work, electricity, heat, light (or fuel) per |
| | MW input are energy efficiency project activities. |
| Feedstock | Gaseous, liquid or solid raw material used in manufacturing. |
| Forest | A minimum area of land of 0.05 –1.0 hectare with tree crown cover (or equivalent stocking level) of more |
| | than $10-30$ per cent with trees with the potential to reach a minimum height of $2-5$ metres at maturity |
| | in situ and may include: |
| | (a) Either closed forest formations where trees of various storeys and undergrowth cover a high |
| | proportion of the ground or open forest; |
| | (b) Young natural stands and all plantations which have yet to reach a crown density of 10–30 per cent |
| | or tree height of 2–5 metres; |
| | (c) Areas normally forming part of the forest area which are temporarily unstocked as a result of human |
| | intervention such as harvesting or natural causes but which are expected to revert to forest. |
| | The definition of forest becomes applicable to a Party when: |
| | (a) For an Annex I Party, the Party selects a single minimum tree crown cover value between 10 and 30 per |
| | cent, a single minimum land area value between 0.05 and 1 hectare and a single minimum tree height |
| | value between 2 and 5 metres, as provided under paragraph 16 of the Annex to decision 16/CMP.1; |
| | (b) For a non-Annex I Party, the Party selects a single minimum tree crown cover value between 10 and |
| | 30 per cent, a single minimum land area value between 0.05 and 1 hectare and a single minimum tree |
| | height value between 2 and 5 metres, as provided under paragraph 8 of the Annex to decision 5/CMP.1. |
| | 30 per cent, a single minimum land area value between 0.05 and 1 hectare and a single minimum tree |

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| Fossil fuel | Fuels formed by natural resources such as anaerobic decomposition of buried dead organisms |
|---------------------------|---|
| | (e.g. coal, oil, and natural gas). |
| Greenfield facility | The construction of a new facility at a location where previously no facility exists, for example, |
| | construction of new power plant at a site where previously no power generation activity exists. |
| Greenhouse gas (GHG) | A greenhouse gas listed in Annex A to the Kyoto Protocol, unless otherwise specified in a particular |
| | methodology. |
| Grid | The spatial extent of the power plants that are physically connected through transmission and distribution |
| | lines to the project activity (e.g. the renewable power plant location or the consumers where electricity is |
| | being saved) and that can be dispatched without significant transmission constraints. |
| Harvesting | Cutting and removal of trees from forests for timber or other uses. In sustainable forestry, harvesting is |
| | followed by planting or natural regeneration of the forest. |
| Industrial gases | Greenhouse gases originating from chemical production processes that are not naturally occurring. |
| | In addition, N ₂ O from chemical production processes is included in this group of greenhouse gases. |
| Land use, land-use change | A GHG inventory sector that covers emissions and removals of GHG resulting from direct |
| and forestry | human-induced land use, land-use change and forestry activities. |
| Leakage | For a CDM project activity (non-A/R) or PoA (non-A/R), the net change of anthropogenic emissions by |
| | sources of GHG which occurs outside the project boundary, and which is measurable and attributable to |
| | the CDM project activity or PoA, as applicable. |
| | |
| | For an A/R or SSC A/R CDM project activity or PoA (A/R), the increase in GHG emissions by sources or |
| | decrease in carbon stock in carbon pools which occurs outside the boundary of an A/R or SSC A/R CDM |
| | project activity or PoA (A/R), as applicable, which is measurable and attributable to the A/R or SSC A/R |
| | CDM project activity or PoA (A/R), as applicable. |
| Litter ⁵ | Includes all non-living biomass with a diameter less than a minimum diameter chosen by the country |
| | (for example 10 cm), lying dead, in various states of decomposition above the mineral or organic soil. This |
| | includes the litter, fumic, and humic layers. Live fine roots (of less than the suggested diameter limit for |
| | below-ground biomass) are included in litter where they cannot be distinguished from it empirically. |
| Low-carbon electricity | Electricity that is generated using a less-GHG-intensive fuel than in the baseline (for example, electricity |
| | generated using natural gas in the project is low carbon electricity, when coal is used in the baseline for |
| | electricity generation). |
| Merit order | A way of ranking existing power plants in ascending order of their short-run marginal costs of electricity |
| | generation, so that those with the lowest marginal costs are the first ones to be brought on line to meet |
| | demand and the plants with the highest marginal costs are the last to be brought on line. |
| Project boundary | For a CDM project activity (non-A/R) or CPA (non-A/R), the significant anthropogenic GHG emissions by |
| | sources under the control of the project participant that are reasonably attributable to the CDM project |
| | activity or CPA, as determined in accordance with the CDM rules and requirements. |
| | |
| | For an A/R or SSC A/R CDM project activity or CPA (A/R), geographically delineates the A/R or SSC A/R |
| | CDM project activity or CPA (A/R) under the control of the project participant as determined in accordance |
| | with the CDM rules and requirements. |
| Reforestation | The direct human-induced conversion of non-forested land to forested land through planting, seeding |
| | and/or the human-induced promotion of natural seed sources, on land that was forested but has been |
| Danassahla | converted to non-forested land. |
| Renewable energy | Energy that comes from solar, wind, rain, tides, geothermal heat and biological sources which are |
| Contavel aggre- | renewable (naturally replenished) in nature. |
| Sectoral scope | The category of GHG source sectors or groups of activities that apply to CDM project activities or PoAs. It is |
| | based on the sectors and source categories set out in Annex A to the Kyoto Protocol. A CDM project activity |
| Cail again al 5 | or PoA may fall within more than one sectoral scope. |
| Soil organic carbon⁵ | Organic carbon in mineral and organic soils (including peat) to a specified depth chosen by the country |
| | and applied consistently through the time series. Live fine roots (of less than the suggested diameter limit |
| | for below-ground biomass) are included with soil organic matter where they cannot be distinguished |

from it empirically.

Climate Change

| Standardized baseline | A baseline developed for a Party or a group of Parties, on a sub-national, national or group-of-countries basis rather than on a project basis, to facilitate the calculation of GHG emission reductions and removals by sinks and/or the determination of additionality for CDM project activities or PoAs, while providing assistance for assuring environmental integrity. |
|-----------------------|---|
| Suppressed demand | A scenario where future anthropogenic emissions by sources are projected to rise above current levels, due to the specific circumstances of the host Party. |
| Trigeneration | Simultaneous generation of electrical energy and thermal energy in the form of cooling and heating in one process. |
| Waste energy | Energy contained in a residual stream from industrial processes in the form of heat, chemical energy or pressure, for which it can be demonstrated that it would have been wasted in the absence of the project activity. Examples of waste energy include the energy contained in gases flared or released into the atmosphere, the heat or pressure from a residual stream not recovered (i.e. wasted). |
| Wetland | Area of land whose soil is saturated with moisture either permanently or seasonally. |

 $^{^5}$ According to Intergovernmental Panel on Climate Change Good Practice Guidance for Land Use, Land-Use Change and Forestry, table 3.2.1 on page 3.15

 $^{^{6}\,\,}$ In accordance with the A/R modalities and procedures.