# CDM: FORM FOR SUBMISSION OF A “LETTER TO THE BOARD” (Version 01.2)

This form should be used only by project participants and other stakeholders for submitting a “Letter to the Board” in accordance with the latest version of the Modalities and procedures for direct communication with stakeholders.

<table>
<thead>
<tr>
<th>Name of the stakeholder submitting this form (individual/organization):</th>
<th>Samrat Sengupta (Individual)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Address and contact details of the individual submitting this form:</td>
<td>Address: F 76, Ground Floor, Suncity, Sector 54, Gurgaon, India - 122011 Telephone number: +91-9818025744 E-mail address: <a href="mailto:samratsengupta@hotmail.com">samratsengupta@hotmail.com</a></td>
</tr>
<tr>
<td>Title/Subject (give a short title or specify the subject of your submission)</td>
<td>Request for clarification on issuance of registered CDM project</td>
</tr>
<tr>
<td>Please mention whether the submitter of the form is:</td>
<td>☒ Other stakeholder, please specify Consultant</td>
</tr>
<tr>
<td>Specify whether you want the letter to be treated as confidential?</td>
<td>☒ To be publicly available (UNFCCC CDM website)</td>
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Please choose any of the type(s) below to describe the purpose of this submission.

- ☒ Type I: Request for clarification
  - ☒ Standards. Please specify reference
  - ☒ Procedures. Please specify reference (Clean development mechanism project cycle)
  - ☒ Guidance. Please specify reference
  - ☒ Forms. Please specify reference
  - ☒ Others. Please specify reference

- ☒ Type II: Request for Introduction of new rules

- ☐ Type III: Provision of information and suggestions on policy issues

Please describe in detail the issue on which you request a response from the Board, including the exact reference source and version (if applicable).

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1. DNAs and DOEs shall use the respective DNA/DOE forms for communication with the Board.
2. As per the applicable modalities and procedures, the Board may make its response publicly available.
Please refer to the para 183, page 32 of Clean development mechanism project cycle procedure, version 5.0; where it is stated that “At two (2) years subsequent to the registration of a CDM project activity or PoA, the project participants or the coordinating/managing entity shall provide, through a dedicated interface on the UNFCCC CDM website, an update of the status of its implementation of the project activity or PoA, unless a DOE contracted by the project participants or the coordinating/managing entity to perform a verification has made a monitoring report for the project activity or PoA publicly available in accordance with paragraph 178 above. The project participants or the coordinating/managing entity shall include one of the following statuses in the update:

(a) The project activity or PoA is under implementation, but has not reached the stage of monitoring of GHG emission reductions or removal enhancements. In this case the project participants or the coordinating/managing entity shall also provide an update of the status at 180-day intervals thereafter;

(b) The project activity or PoA has not yet been implemented, but is still planned to be implemented. In this case the project participants or the coordinating/managing entity shall also provide an update of the status at 180-day intervals thereafter;

(c) The project activity or PoA has been implemented, but the project participants or the coordinating/managing entity have not yet decided to proceed with the request for issuance stage;

(d) The implementation of the project activity or PoA has been cancelled;

(e) Any other reason for not having submitted a monitoring report for the project activity or PoA.”

This clause in the PCP is not clear whether it is applicable to the projects where implementation was started or only the conceptual projects, at the time of registration. This letter is therefore, intented for additional clarification on this clause. Is this requirement (clause 182 of PCP) also applicable to those projects where:

a) Implementation has begun at the time of registration.

b) The project was operational at the time of registration.

Further, there was no guidance how this requirement will be verified by the DOE for a) and b) above.

Our understanding this clause is applicable to those cases where it is not clear that it has been implemented. Therefore, it is not applicable for these cases where project was operational at the time of registration. Anyways, for operational project where verification has not been started, the obvious answer from the above list will be c) “

The project activity or PoA has been implemented, but the project participants or the coordinating/managing entity have not yet decided to proceed with the request for issuance stage.” For cases where implementation has begun but not operational at the time of validation completion, the PP is required to inform the UNFCCC secretariat. Kindly confirm if my understanding is correct.

My second question is about the implications to the project if it was missed to inform the secretariat within two years. We could not find any document which mentions any implication to the project in such cases. We therefore think that in such cases there is no implication to the project. It is only that the PP needs to inform it to the UNFCCC as soon as possible. Kindly confirm if my understanding is correct.

Please provide any specific suggestions or further information which would address the issue raised in the previous section, including the exact reference source and version (if applicable).
If necessary, list attached files containing relevant information (if any) •

Section below to be filled in by UNFCCC secretariat

<table>
<thead>
<tr>
<th>Date when the form was received at UNFCCC secretariat</th>
<th>21 November 2013</th>
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<tbody>
<tr>
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<td>2013-328-S; INQ-01344-M9Q7</td>
</tr>
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History of document

<table>
<thead>
<tr>
<th>Version</th>
<th>Date</th>
<th>Nature of revision</th>
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<tbody>
<tr>
<td>01.2</td>
<td>08 February 2012</td>
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<td>01.1</td>
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<td>Editorial revision.</td>
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