



FINAL ASSESSMENT REPORT (Version 04.0)

Entity name and address of site(s) assessed			
UNFCCC ref no.:			
Type of Assessment (Tick option(s) as appropriate)	<input type="checkbox"/> Onsite Assessment (<input type="checkbox"/> Central site; <input type="checkbox"/> Non-central site): <input type="checkbox"/> Initial <input type="checkbox"/> Surveillance <input type="checkbox"/> Reaccreditation <input type="checkbox"/> Spot-check <input type="checkbox"/> Extension of sectoral scopes <input type="checkbox"/> Verification of corrective actions <input type="checkbox"/> Specific assessment requested by CDM-AP/CDM-EB <input type="checkbox"/> Performance Assessment <input type="checkbox"/> Validation <input type="checkbox"/> Verification <p style="text-align: right;"><i>Please specify name of the project and UNFCCC project number:</i></p>		
Version number of accreditation standard			
Sectoral scope(s) assessed	<input type="checkbox"/> 1. Energy industries (renewable - / non-renewable sources) <input type="checkbox"/> 2. Energy distribution <input type="checkbox"/> 3. Energy demand <input type="checkbox"/> 4. Manufacturing industries <input type="checkbox"/> 5. Chemical industry <input type="checkbox"/> 6. Construction <input type="checkbox"/> 7. Transport <input type="checkbox"/> 8. Mining/Mineral production <input type="checkbox"/> 9. Metal production <input type="checkbox"/> 10. Fugitive emissions from fuels (solid, oil and gas) <input type="checkbox"/> 11. Fugitive emissions from production and consumption of halocarbons and sulphur hexafluoride <input type="checkbox"/> 12. Solvents use <input type="checkbox"/> 13. Waste handling and disposal <input type="checkbox"/> 14. Afforestation and reforestation <input type="checkbox"/> 15. Agriculture		
CDM-AT Team Leader		CDM-AT Team Members involved in the assessment activity	

Start date of the assessment		On-site assessment dates (if applicable)	
Status of assessment for preparing final report	<input type="checkbox"/> Final clearance <input type="checkbox"/> Proposed corrective actions not accepted <input type="checkbox"/> Implemented corrective actions not accepted <input type="checkbox"/> Post CDM-AP decision <input type="checkbox"/> Any other status, please specify:		

SUMMARY

For Onsite Assessment :

CDM-AT to provide brief summary of the assessment process indicating the dates of desk review (if applicable) and the assessment of the strengths and weaknesses of the AE/DOE according to the sections of the accreditation standard and/or to any specific topic requested by the Assessment Workplan.

Section III: Legal issues

Section IV: Human resources and competence

Section V: Liability and finance

Section VI: Process requirements

Section VII: Information Management

Section VIII: AE's/DOE's Organization structure

Section IX: Quality management system

Section X: Handling complaints disputes and appeals

Section XI: Pending judicial processes

Section XII: Safeguarding impartiality

Section XIII: Confidentiality management

Any other comments:

For Performance assessment:

CDM-AT to provide brief summary of the assessment process indicating the dates of desk review and site visit (as applicable) and the assessment of the strengths and weaknesses of the AE/DOE according to the sections of the accreditation standard and/or to any specific topic requested by the Assessment Workplan.

Section IV: Competence of the team and team members

Section VI: (a) Process requirements (The level of compliance of the DOE to their procedures and M&P requirements.)

(b) Did the team of DOE made adequate in-depth analysis (Describe with examples.)

(c) Did the team of DOE arrived at the right conclusions (Describe with examples.)

(d) Was the internal review process adequate and was able to bring out all the shortcoming of the draft validation/verification reports.

Section VII: Information Management (Were the required documents made public in accordance with the requirements and procedures of the DOE.)

Section XII: Safeguarding impartiality (List the checks made by the DOE related to its impartial functioning and were these checks adequate.)

Section IX: Quality management system (Did the DOE perform the validation and verification in accordance with their procedures?)

Section XIII: Confidentiality management (Describe how the confidentiality requirements were managed by the DOE.)

Any other comments:

List of documents prepared in relation to this assessment (e.g. work plan, desk review report, on-site assessment report, non-conformity forms):

Signature by CDM-AT Team Leader	Date:
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COMMENTS BY AE/DOE

Signature of Representative of AE/DOE	Date :
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Description on how comments by entity have been addressed, refer to non-conformity forms as applicable:

Name of CDM-AT Team Leader:	
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CONCLUSIONS REGARDING ACCREDITATION ASSESSMENT FOR CONSIDERATION BY CDM-AP

For initial accreditation and reaccreditation & extension of sectoral scopes

List of sectoral scope that are applied for accreditation or accredited : *e.g 1 to 3, 5, 7 to 9, 13, 14 & 15*

For initial accreditation, surveillance (including multisite) and reaccreditation

All NCs raised have been closed. The management system of AE/DOE complies to the accreditation requirements.

....X. NCs out of ...X.. NCs raised are still open.

For performance assessment (Validation/verification)

All NCs raised have been closed. The validation/verification process complies with the accreditation requirements. Outcome of the performance assessment is positive.

All NCs raised have been closed. Significant deficiency in the validation/verification process

observed as reflected in NC nos. Outcome of the performance assessment is negative.

..,X,,NCs out of ...X.. NCs raised are still open.

Additional comments :

This area to be used for other comments that may further substantiate the above conclusion. This area also to be used for special assessment as spot-check or for proposed/implemented corrective actions not accepted second time.

CONCLUSIONS REGARDING ACCREDITATION FOR CONSIDERATION BY THE CDM-AP
for other assessments (Case specific)

(To be used only for special assessments or when the case is being presented to CDM-AP at the stage of proposed corrective actions not accepted in the second attempt).

Signature by CDM-AT Team Leader

Date:

Document information

<i>Version</i>	<i>Date</i>	<i>Description</i>
04.0	25 February 2013	The section “Conclusion regarding.....CDM-AP” has been elaborated with prefilled statements. Version no. of accreditation standard added.
03.1	20 April 2012	Editorial changes to include new logo and other improvements.
03	20 January 2011	Type of assessments and status of assessments inserted based on the Accreditation Procedure (EB 56, Annex 02, version 10.1) and editorial review.
02	15 July 2009	The new columns inserted to include scope(s) assessed, summary of on-site assessment/performance assessment, administration of assessment, and comments by AE/DOE.
01	2 August 2002	EB 05. Initial document.

Decision Class: Regulatory
Document Type: Form
Business Function: Accreditation
Keywords: Accreditation assessment
