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| **FINAL ASSESSMENT REPORT**  **(Version 04.0)** | | | | | | | |
| **Entity name and  address of site(s) assessed** |  | | | | | | |
| **UNFCCC ref no.:** |  | | | | | | |
| **Type of Assessment (Tick option(s) as appropriate)** | Onsite Assessment ( Central site;  Non-central site):  Initial  Surveillance  Reaccreditation  Spot-check  Extension of sectoral scopes  Verification of corrective actions  Specific assessment requested by CDM-AP/CDM-EB  Performance Assessment  Validation  Verification  *Please specify name of the project and UNFCCC project number:* | | | | | | |
| **Version number of accreditation standard** |  | | | | | | |
| **Sectoral scope(s) assessed** | 1. Energy industries (renewable - / non-renewable sources)  2. Energy distribution  3. Energy demand  4. Manufacturing industries  5. Chemical industry  6. Construction  7. Transport  8. Mining/Mineral production  9. Metal production  10. Fugitive emissions from fuels (solid, oil and gas)  11. Fugitive emissions from production and consumption of halocarbons and sulphur hexafluoride  12. Solvents use  13. Waste handling and disposal  14. Afforestation and reforestation  15. Agriculture | | | | | | |
| **CDM-AT Team Leader** |  | | | | CDM-AT Team Members involved in the assessment activity |  | |
| **Start date of the assessment** |  | | | | On-site assessment dates *(if applicable)* |  | |
| **Status of assessment for preparing final report** | Final clearance  Proposed corrective actions not accepted  Implemented corrective actions not accepted  Post CDM-AP decision  Any other status, please specify: | | | | | | |
| **SUMMARY**  *For Onsite Assessment :*  *CDM-AT to provide brief summary of the assessment process indicating the dates of desk review (if applicable) and the assessment of the strengths and weaknesses of the AE/DOE according to the sections of the accreditation standard and/or to any specific topic requested by the Assessment Workplan.*  *Section III: Legal issues*  *Section IV: Human resources and competence*  *Section V: Liability and finance*  *Section VI: Process requirements*  *Section VII: Information Management*  *Section VIII: AE’s/DOE’s Organization structure*  *Section IX: Quality management system*  *Section X: Handling complaints disputes and appeals*  *Section XI: Pending judicial processes*  *Section XII: Safeguarding impartiality*  *Section XIII: Confidentiality management*  *Any other comments:*  *For Performance assessment:*  *CDM-AT to provide brief summary of the assessment process indicating the dates of desk review and site visit (as applicable) and the assessment of the strengths and weaknesses of the AE/DOE according to the sections of the accreditation standard and/or to any specific topic requested by the Assessment Workplan.*  *Section IV: Competence of the team and team members*  *Section VI: (a) Process requirements (The level of compliance of the DOE to their procedures and M&P requirements.)*  *(b) Did the team of DOE made adequate in-depth analysis (Describe with examples.)*  *(c) Did the team of DOE arrived at the right conclusions (Describe with examples.)*  *(d) Was the internal review process adequate and was able to bring out all the shortcoming of the draft validation/verification reports.*  *Section VII: Information Management (Were the required documents made public in accordance with the requirements and procedures of the DOE.)*  *Section XII: Safeguarding impartiality (List the checks made by the DOE related to its impartial functioning and were these checks adequate.)*  *Section IX: Quality management system (Did the DOE perform the validation and verification in accordance with their procedures?)*  *Section XIII: Confidentiality management (Describe how the confidentiality requirements were managed by the DOE.)*  *Any other comments:* | | | | | | | |
| **List of documents prepared in relation to this assessment (e.g. work plan, desk review report, on-site assessment report, non-conformity forms)**: | | | | | | | |
| **Signature by CDM-AT Team Leader** | | | **Date:** | | | | |
| **COMMENTS BY AE/DOE** | | | | | | | |
| **Signature of Representative of AE/DOE** | | | | **Date :** | | |
| **Description on how comments by entity have been addressed, refer to non-conformity forms as applicable:** | | | | | | | |
| **Name of CDM-AT Team Leader:** | |  | | | | | |
| **CONCLUSIONS REGARDING ACCREDITATION ASSESSMENT FOR CONSIDERATION BY CDM-AP**  **For initial accreditation and reaccreditation & extension of sectoral scopes**  List of sectoral scope that are applied for accreditation or accredited : *e.g 1 to 3, 5, 7 to 9, 13, 14 & 15*  **For initial accreditation, surveillance (including multisite) and reaccreditation**  All NCs raised have been closed. The management system of AE/DOE complies to the accreditation requirements.  .…*X*. NCs out of …*X..* NCs raised are still open.  **For performance assessment (Validation/verification)**  All NCs raised have been closed. The validation/verification process complies with the accreditation requirements. Outcome of the performance assessment is positive.  All NCs raised have been closed. Significant deficiency in the validation/verification process observed as reflected in NC nos. ……………….. Outcome of the performance assessment is negative.  ..,*X*,,NCs out of …*X*.. NCs raised are still open.  **Additional comments :**  *This area to be used for other comments that may further substantiate the above conclusion. This area also to be used for special assessment as spot-check or for proposed/implemented corrective actions not accepted second time.* | | | | | | | |
| **CONCLUSIONS REGARDING ACCREDITATION FOR CONSIDERATION BY THE CDM-AP**  **for other assessments (Case specific)**  *(To be used only for special assessments or when the case is being presented to CDM-AP at the stage of proposed corrective actions not accepted in the second attempt).* | | | | | | | |
| **Signature by CDM-AT Team Leader** | | | **Date:** | | | | |

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Document information

| Version | Date | Description |
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| 04.0 | 25 February 2013 | The section “Conclusion regarding………..CDM-AP” has been elaborated with prefilled statements. Version no. of accreditation standard added. |
| 03.1 | 20 April 2012 | Editorial changes to include new logo and other improvements. |
| 03 | 20 January 2011 | Type of assessments and status of assessments inserted based on the Accreditation Procedure (EB 56, Annex 02, version 10.1) and editorial review. |
| 02 | 15 July 2009 | The new columns inserted to include scope(s) assessed, summary of on-site assessment/performance assessment, administration of assessment, and comments by AE/DOE. |
| 01 | 2 August 2002 | EB 05. Initial document. |
| Decision Class: Regulatory Document Type: Form Business Function: Accreditation  Keywords: Accreditation assessment | | |