



CONFERENCE OF THE PARTIES

REPORT OF THE CONFERENCE OF THE PARTIES  
ON ITS NINTH SESSION, HELD AT MILAN  
FROM 1 TO 12 DECEMBER 2003

Addendum

PART TWO: ACTION TAKEN BY THE CONFERENCE OF THE PARTIES  
AT ITS NINTH SESSION

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### **Decision 17/CP.9**

#### **Arrangements for the first session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol**

*The Conference of the Parties,*

*Taking note of* Articles 13 and 15 of the Kyoto Protocol,

*Recalling* its decision 8/CP.4,

*Having considered* the relevant recommendations of the Subsidiary Body for Implementation at its eighteenth session,

1. *Requests* the Subsidiary Body for Implementation, at its session after the first session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol, to review the arrangements made for convening the first session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol in conjunction with the session of the Conference of the Parties and to make recommendations to the Conference of the Parties on arrangements for future sessions;

2. *Recommends* that the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol, at its first session, adopt the draft decision below.

*8<sup>th</sup> plenary meeting  
12 December 2003*

### **Decision -/CMP.1**

#### **Arrangements for the first session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol**

*The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,*

*Recalling* Articles 13 and 15 of the Kyoto Protocol,

1. *Requests* the Subsidiary Body for Implementation, at its next session, to review the arrangements made for convening the first session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol in conjunction with the session of the Conference of the Parties and to make recommendations to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol on arrangements for future sessions;

2. *Decides* that when applying the draft rules of procedure of the Conference of the Parties being applied,<sup>1</sup> pursuant to Article 13, paragraph 5, of the Kyoto Protocol, it should be understood:

(a) That with respect to draft rules 22–26 the term of office of any replacement officer elected by and from among Parties to the Kyoto Protocol in accordance with Article 13, paragraph 3, and Article 15, paragraph 3, of the Kyoto Protocol would expire at the same time as that of the officer being replaced;

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<sup>1</sup> See FCCC/CP/1996/2.

- (b) That with respect to draft rules 17–21:
  - (i) Credentials from Parties to the Kyoto Protocol would apply for the participation of their representatives in sessions of the Conference of the Parties and of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol;
  - (ii) A single report on credentials would be submitted for approval, following established procedures, by the Bureau of the Conference of the Parties to the Conference of the Parties and to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol;
- (c) That with respect to draft rules 6 and 7:
  - (i) Organizations admitted as observers to previous sessions of the Conference of the Parties would be admitted to the first session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol;
  - (ii) A single process would be used for the admission of observer organizations to sessions of the Conference of the Parties and of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol, with decisions on admission of observer organizations being taken by the Conference of the Parties.

## Decision 18/CP.9

### Guidance to the Executive Board of the clean development mechanism

*The Conference of the Parties,*

*Recalling* its decisions 15/CP.7, 17/CP.7 and its annex, and 21/CP.8,

*Noting* with appreciation the second report of the Executive Board of the clean development mechanism and its addendum,

*Noting* a concern expressed with respect to the interpretation of rules 26 and 27 of the rules of procedure of the Executive Board of the clean development mechanism,

*Encouraging* the Executive Board to continue to report on its activities,

*Being aware* of the need to clarify the wording of paragraph 13 of decision 17/CP.7, which inadvertently excluded the possibility for projects starting between the date of adoption of decision 17/CP.7 and the date of the first registration of a clean development mechanism project activity to be able to earn certified emission reductions for this period,

*Bearing in mind* the need to ensure continuity of work by the Executive Board, in particular with regard to the Chair and Vice-Chair being in office in the period between the election of new members and alternates and the first meeting of the Executive Board in a calendar year,

*Recalling* provisions in decision 2/CP.7 regarding the identification of capacity-building needs,

*Further recalling* that in accordance with paragraph 4 (b) of the modalities and procedures for a clean development mechanism, contained in the annex to decision 17/CP.7, it shall review the regional and subregional distribution of designated operational entities and take appropriate decisions to promote accreditation of such entities from developing country Parties,

*Recognizing* measures already taken by the Board to facilitate the application for accreditation of operational entities located in developing country Parties,

*Recognizing* the need for the Board to work further on the implementation of the provisions in appendix C to the modalities and procedures for a clean development mechanism and to further facilitate the development of baseline and monitoring methodologies on the basis of experience gained,

1. *Decides:*

(a) To commend the Executive Board for the successful operationalization of the prompt start of the clean development mechanism, in particular through the progress made on accreditation and the approval of methodologies, and for its efforts relating to the implementation of rules 26 and 27 of the rules of procedure by providing for dialogue with constituencies and the exchange of information with the public;

(b) To further commend the Executive Board and the secretariat for the continuous provision of up-to-date public information on the operational requirements of the clean development mechanism, such as the accreditation procedures for operational entities, the procedure for proposing new methodologies for baselines and monitoring, the registration of clean development mechanism project activities, and the list of designated national authorities;

(c) That a clean development mechanism project activity starting between the date of adoption of decision 17/CP.7 and the date of the first registration of a clean development mechanism

project activity may, if the project activity is submitted for registration before 31 December 2005, use a crediting period starting before the date of its registration;

(d) To adopt the amendments to rules 4 and 12 of the rules of procedure of the Executive Board contained in annex I to this decision;

(e) To encourage the Executive Board to keep its rules of procedure under review and, if necessary, make recommendations, in accordance with paragraph 5 (b) of the annex to decision 17/CP.7, on any amendments or additions aimed at safeguarding its efficient, cost-effective and transparent functioning;

(f) To remind Parties wishing to participate in clean development mechanism project activities of the need to identify a designated national authority and of the possibility of making pertinent information on this authority publicly available through the UNFCCC CDM web site;

(g) To reiterate the request, contained in paragraph 14 of decision 17/CP.7, to Parties included in Annex I to the Convention to continue with measures to assist Parties not included in Annex I to the Convention, in particular the least developed countries and small island developing States among them, with building capacity in order to facilitate their participation in the clean development mechanism, taking into account relevant decisions by the Conference of the Parties on capacity-building and on the financial mechanism of the Convention;

(h) To request Parties, within the framework of decision 2/CP.7, to promote capacity-building with a specific view to obtaining more applications for accreditation as designated operational entities from entities located in Parties not included in Annex I to the Convention and invite intergovernmental organizations and non-governmental organizations to contribute to this effort;

(i) To encourage the Executive Board, where appropriate, to intensify its work on methodologies and to provide further guidance for the development of methodologies which have a broader applicability;

(j) To adopt the procedures for review as referred to in paragraph 41 of the modalities and procedures for a clean development mechanism, contained in annex II to this decision;

(k) To express its deep appreciation to Parties which have generously contributed in 2002–2003 to funding administrative expenses of the clean development mechanism;

(l) To invite Parties to urgently make contributions to the UNFCCC Trust Fund for Supplementary Activities for funding the administrative expenses for operating the clean development mechanism in the biennium 2004–2005 which allow the Board and secretariat to carry out their mandates in a sustainable manner;

2. *Recommends* that the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol, at its first session, adopt the draft decision below.

*8<sup>th</sup> plenary meeting  
12 December 2003*

**Draft decision -/CMP.1**

**Guidance to the Executive Board of the clean development mechanism**

*The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,*

*Aware of its decisions -/CMP.1 (Mechanisms) and -/CMP.1 (Article 12),*

*Cognizant of decisions 15/CP.7, 17/CP.7 and its annex, and 21/CP.8,*

1. *Decides to confirm and give full effect to any actions taken pursuant to decision 18/CP.9.*

ANNEX I

**Amendments to the rules of procedure of the Executive Board of the clean development mechanism**

1. Replace the text of rule 4, paragraph 2, with the following text: “The term of service of a member, or an alternate member, shall start at the first meeting of the Executive Board in the calendar year following his/her election and shall end immediately before the first meeting of the Executive Board in the calendar year in which the term ends”.
2. Add the following text after rule 12, paragraph 2: “The secretary of the Board shall preside over the opening of the first Executive Board meeting of a calendar year and conduct the election of the new Chair and Vice-Chair.”



## ANNEX II

### **Procedures for review as referred to in paragraph 41 of the modalities and procedures for a clean development mechanism**

#### **A. Background**

1. In accordance with paragraph 5 (o) of the modalities and procedures for a clean development mechanism (CDM modalities and procedures), the Executive Board shall elaborate and recommend to the Conference of the Parties (Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol), for adoption at its next session, procedures for conducting the reviews referred to in paragraphs 41 and 65 of the CDM modalities and procedures including procedures to facilitate consideration of information from Parties, stakeholders and UNFCCC accredited observers.
2. Paragraph 41 of the CDM modalities and procedures stipulates that the registration by the Executive Board shall be deemed final eight weeks after the date of receipt by the Executive Board of the request for registration, unless a Party involved in the project activity or at least three members of the Executive Board request a review of the proposed CDM project activity. The review by the Executive Board shall be made in accordance with the following provisions:
  - (a) It shall be related to issues associated with the validation requirements;
  - (b) It shall be finalized no later than at the second meeting following the request for review, with the decision and the reasons for it being communicated to the project participants and the public.
3. The draft procedures for review proposed below aim at elaborating on the provisions in paragraph 41, in particular by specifying detailed provisions for requesting a review, the scope of review, modalities for communicating with project participants and the designated operational entity (DOE) in question, possible outcomes of a review, and the coverage of costs relating to the review.

#### **B. Request for review**

4. A request for review by a Party involved in the proposed project activity shall be sent by the relevant designated national authority to the Executive Board, through the secretariat, using official means of communication (such as recognized official letterhead and signature or an official dedicated e-mail account). The secretariat shall acknowledge the receipt of a request for review and promptly forward the request to the Executive Board via the listserv.
5. A request for review by a member of the Executive Board shall be made by notifying the Executive Board through the secretariat. The secretariat shall acknowledge the receipt of a request for review and promptly forward the request to the Executive Board via the listserv.
6. In accordance with paragraph 41 of the CDM modalities and procedures, a review shall be related to issues associated with the validation requirements and a request for review shall, therefore, be specific in this regard.
7. A request for review shall:
  - (a) Include the CDM project activity registration review form (F-CDM-RR) contained in the appendix to these procedures;<sup>1</sup>
  - (b) Provide reasons for the request for review and any supporting documentation.

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<sup>1</sup> This form can be downloaded from the section on "References/procedures" on the UNFCCC CDM web site (<http://cdm.unfccc.int/Reference/Procedures>) and/or obtained electronically from the UNFCCC secretariat.

8. A request for review shall be considered to be received by the Executive Board on the date it has been received by the secretariat. A request for review will not be considered by the Executive Board if it is received after 17:00 GMT of the last day of the eight-week period after the receipt of the request for registration.

9. As soon as a Party involved in a proposed CDM project activity or three Executive Board members request a review of a proposed project activity, the following action shall be taken:

(a) The consideration of a review of the proposed project activity shall be included in the proposed agenda of the next Executive Board meeting;

(b) The Executive Board shall notify the project participants and the DOE which validated the proposed project activity that a review has been requested. The project participants and the DOE shall be informed about the date and venue of the next and the subsequent Executive Board meetings at which the request for review shall be considered. Stakeholders interested in the review process shall also be given an opportunity to attend the next or the subsequent Executive Board meeting;

(c) The project participants and the DOE shall each provide a contact person for the review process, including for a conference call, in case the Executive Board wishes to address questions to them during the consideration of a review at its meeting;

(d) The proposed project activity shall be marked as being "under review" on the UNFCCC CDM web site and a notification shall be sent through the UNFCCC CDM News facility.

### **C. Scope and modalities of review**

10. The Executive Board shall consider, at its next meeting, a request for review, and either decide to undertake a review of the proposed project activity or register it as a CDM project activity.

11. If the Executive Board agrees to undertake a review of a proposed project activity, it shall, at the same meeting, decide on:

(a) The scope of the review relating to issues associated with validation requirements, based on the consideration in the request for a review;

(b) The composition of a review team. The review team shall consist of two Board members, who will be responsible for supervising the review, and outside experts, as appropriate.

12. The review team, under the guidance of the Board members responsible for supervising the review, shall provide inputs, prepare requests for clarification and further information to the DOE and project participants, and analyse information received during the review.

### **D. Review process**

13. The decision by the Board on the scope of the review shall be made publicly available as part of the report of its meeting.

14. Project participants and the DOE which validated the proposed project activity shall be notified of the decision by the Executive Board.

15. Requests for clarification and further information may be sent to the DOE and the project participants. Answers shall be submitted to the review team, through the secretariat, within five working days after the receipt of the request for clarification. The secretariat shall acknowledge the receipt of the answers and forward them to the review team.

16. The two Board members supervising the review shall be responsible for compiling inputs and comments and preparing the recommendation to be forwarded to the Executive Board via listserv at least two weeks before the next Executive Board meeting.

**E. Review decision**

17. In accordance with paragraph 41 of the CDM modalities and procedures, the review by the Board shall be finalized no later than at the second meeting following a request for review.

18. Taking into consideration recommendations by the two Board members responsible for the review, the Board shall decide on whether:

- (a) To register the proposed project activity;
- (b) To request the DOE and project participants to make corrections based on the findings from the review before proceeding with registration; or
- (c) To reject the proposed project activity.


19. In accordance with paragraph 41, the Board shall communicate the decision to the project participants, the DOE that validated the proposed project activity and the public.

20. If the review indicates any issues relating to performance of the DOE, the Board shall consider whether or not to trigger a spot-check of the DOE, in accordance with the procedures for accrediting operational entities.

**F. Coverage of costs of the request for review**

21. The Executive Board shall bear the costs for reviewing a proposed project activity. If the Executive Board decides to reject the registration of a proposed project activity and if a DOE is found to be in the situation of malfeasance or incompetence, the DOE shall reimburse the Board for the expenses incurred as a result of the review. This provision is subject to review as experience accrues.

APPENDIX

 <b>CDM project activity registration review form (F-CDM-RR)</b> <i>(By submitting this form, a Party involved (through the designated national authority) or an Executive Board member may request that a review is undertaken)</i>	
<b>Designated national authority/Executive Board member submitting this form</b>	
<b>Title of the proposed CDM project activity submitted for registration</b>	
<p><b>Please indicate, in accordance with paragraphs 37 and 40 of the CDM modalities and procedures, which validation requirement(s) may require review. A list of requirements is provided below. Please provide reasons in support of the request for review, including any supporting documentation.</b></p>	
<p><input type="checkbox"/> <i>The following are requirements derived from paragraph 37 of the CDM modalities and procedures:</i></p> <ul style="list-style-type: none"> <li><input type="checkbox"/> The participation requirements as set out in paragraphs 28 to 30 of the CDM modalities and procedures are satisfied;</li> <li><input type="checkbox"/> Comments by local stakeholders have been invited, a summary of the comments received has been provided, and a report to the designated operational entity (DOE) on how due account was taken of any comments has been received;</li> <li><input type="checkbox"/> Project participants have submitted to the DOE documentation on the analysis of the environmental impacts of the project activity, including transboundary impacts and, if those impacts are considered significant by the project participants or the host Party, have undertaken an environmental impact assessment in accordance with procedures as required by the host Party;</li> <li><input type="checkbox"/> The project activity is expected to result in a reduction in anthropogenic emissions by sources of greenhouse gases that are additional to any that would occur in the absence of the proposed project activity, in accordance with paragraphs 43 to 52 of the CDM modalities and procedures;</li> <li><input type="checkbox"/> The baseline and monitoring methodologies comply with requirements pertaining to methodologies previously approved by the Executive Board;</li> <li><input type="checkbox"/> Provisions for monitoring, verification and reporting are in accordance with decision 17/CP.7, the CDM modalities and procedures and relevant decisions of the COP/MOP;</li> <li><input type="checkbox"/> The project activity conforms to all other requirements for CDM project activities in decision 17/CP.7, the CDM modalities and procedures and relevant decisions by the COP/MOP and the Executive Board.</li> </ul> <p><input type="checkbox"/> <i>The following are requirements derived from paragraph 40 of the CDM modalities and procedures:</i></p> <ul style="list-style-type: none"> <li><input type="checkbox"/> The DOE shall, prior to the submission of the validation report to the Executive Board, have received from the project participants written approval of voluntary participation from the designated national authority of each Party involved, including confirmation by the host Party that the project activity assists it in achieving sustainable development;</li> <li><input type="checkbox"/> In accordance with provisions on confidentiality contained in paragraph 27 (h) of the CDM modalities and procedures, the DOE shall make publicly available the project design document;</li> <li><input type="checkbox"/> The DOE shall receive, within 30 days, comments on the validation requirements from Parties, stakeholders and UNFCCC accredited non-governmental organizations and make them publicly available;</li> <li><input type="checkbox"/> After the deadline for receipt of comments, the DOE shall make a determination as to whether, on the basis of the information provided and taking into account the comments received, the project activity should be validated;</li> <li><input type="checkbox"/> The DOE shall inform project participants of its determination on the validation of the project activity. Notification to the project participants will include confirmation of validation and the date of submission of the validation report to the Executive Board;</li> <li><input type="checkbox"/> The DOE shall submit to the Executive Board, if it determines the proposed project activity to be valid, a request for registration in the form of a validation report including the project design document, the written approval of the host Party and an explanation of how it has taken due account of comments received.</li> </ul>	
<b>Section below to be filled in by UNFCCC secretariat</b>	
Date received at UNFCCC secretariat	

## Decision 19/CP.9

### **Modalities and procedures for afforestation and reforestation project activities under the clean development mechanism in the first commitment period of the Kyoto Protocol**

*The Conference of the Parties,*

*Recalling* its decisions 11/CP.7, 15/CP.7, 17/CP.7 and its annex, 19/CP.7, 20/CP.7, 21/CP.7, 22/CP.7, 23/CP.7, 21/CP.8 and 22/CP.8,

*Cognizant* of its decisions 13/CP.9 and 18/CP.9 and its annex II,

*Affirming* the principles in the preamble to draft decision -/CMP.1 (*Land use, land-use change and forestry*), recommended by decision 11/CP.7,

*Reiterating* that decision 17/CP.7 applies *mutatis mutandis* to afforestation and reforestation project activities under the clean development mechanism,

*Taking into account* the issues of non-permanence, additionality, leakage, uncertainties and socio-economic and environmental impacts, including impacts on biodiversity and natural ecosystems, associated with afforestation and reforestation project activities under the clean development mechanism,

*Cognizant* of relevant provisions of international agreements that may apply to afforestation and reforestation project activities under the clean development mechanism,

*Reiterating* that the treatment of land use, land-use change and forestry project activities under the clean development mechanism in future commitment periods shall be decided as part of the negotiations on the second commitment period,

*Recognizing* that host Parties evaluate, in accordance with their national laws, risks associated with the use of potentially invasive alien species by afforestation and reforestation project activities and that Parties included in Annex I evaluate, in accordance with their national laws, the use of temporary certified emission reductions and/or long-term certified emission reductions generated from afforestation and reforestation project activities that make use of potentially invasive alien species,

*Recognizing* that host Parties evaluate, in accordance with their national laws, potential risks associated with the use of genetically modified organisms by afforestation and reforestation project activities and that Parties included in Annex I evaluate, in accordance with their national laws, the use of temporary certified emission reductions and/or long-term certified emission reductions generated from afforestation and reforestation project activities that make use of genetically modified organisms,

1. *Decides* to adopt the modalities and procedures for afforestation and reforestation project activities under the clean development mechanism contained in the annex to this decision, for the first commitment period of the Kyoto Protocol;

2. *Encourages* project participants to use, as appropriate and to the extent possible, the *Intergovernmental Panel on Climate Change Good Practice Guidance for Land Use, Land-Use Change and Forestry* and any relevant decisions of the Conference of the Parties or the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol with respect to good practice guidance for land use, land-use change and forestry, in the design and implementation of afforestation and reforestation project activities under the clean development mechanism;

3. *Invites* Parties and accredited observers to submit to the secretariat, by 28 February 2004, their views on simplified modalities and procedures for small-scale afforestation and reforestation project activities under the clean development mechanism;

4. *Invites* Parties and accredited observers to submit to the secretariat, by 28 February 2004, their views on how to facilitate the implementation of small-scale afforestation and reforestation project activities under the clean development mechanism;

5. *Requests* the secretariat to prepare a technical paper on simplified modalities and procedures for small-scale afforestation and reforestation project activities under the clean development mechanism, taking into account submissions referred to in paragraph 3 above and relevant work by the Executive Board, to be considered by the Subsidiary Body for Scientific and Technological Advice at its twentieth session;

6. *Requests* the Subsidiary Body for Scientific and Technological Advice:

(a) To recommend a draft decision on simplified modalities and procedures for small-scale afforestation and reforestation project activities under the clean development mechanism, taking into account the submissions referred to in paragraph 3 above and the technical paper referred to in paragraph 5 above, for adoption by the Conference of the Parties at its tenth session;

(b) To recommend a draft decision on measures to facilitate the implementation of small-scale afforestation and reforestation project activities under the clean development mechanism, taking into account submissions referred to in paragraph 4 above, for adoption by the Conference of the Parties at its tenth session;

7. *Further requests* the Subsidiary Body for Scientific and Technological Advice, when elaborating, in accordance with paragraph 4 of decision 22/CP.8, a draft decision to be considered by the Conference of the Parties at its tenth session, and then to be forwarded to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its first session, to ensure that the modalities and procedures specified in the annex to this decision are incorporated into the guidelines pertaining to Articles 7 and 8 of the Kyoto Protocol;

8. *Recommends* that the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol, at its first session, adopts the draft decision below.

*8<sup>th</sup> plenary meeting  
12 December 2003*

#### **Draft decision -/CMP.1**

#### **Modalities and procedures for afforestation and reforestation project activities under the clean development mechanism in the first commitment period of the Kyoto Protocol**

*The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,*

*Aware of its decisions -/CMP.1 (Mechanisms), -/CMP.1 (Article 12), -/CMP.1 (Land use, land-use change and forestry), -/CMP.1 (Good practice guidance for land use, land-use change and forestry in the preparation of national greenhouse gas inventories under the Convention), -/CMP.1 (Modalities for the accounting of assigned amounts under Article 7, paragraph 4, of the Kyoto Protocol), -/CMP.1 (Guidelines for national systems under Article 5, paragraph 1, of the Kyoto Protocol), -/CMP.1 (Good practice guidance and adjustments under Article 5 paragraph 2, of the Kyoto Protocol), -/CMP.1 (Guidance for the preparation of the information required under Article 7 of the Kyoto Protocol), and -/CMP.1 (Guidelines for review under Article 8 of the Kyoto Protocol),*

*Cognizant* of decisions 11/CP.7, 15/CP.7, 17/CP.7, 19/CP.7, 20/CP.7, 21/CP.7, 22/CP.7, 23/CP.7, 21/CP.8, 22/CP.8, 13/CP.9, 18/CP.9 and its annex II, and 19/CP.9,

1. *Decides* to confirm and give full effect to any actions taken pursuant to decision 19/CP.9;
2. *Adopts* the modalities and procedures for afforestation and reforestation project activities under the clean development mechanism contained in the annex to this decision, for the first commitment period of the Kyoto Protocol;
3. *Decides* that the treatment of land use, land-use change and forestry project activities under the clean development mechanism in future commitment periods shall be decided as part of the negotiations on the second commitment period and that any revision of the decision shall not affect afforestation and reforestation project activities under the clean development mechanism registered prior the end of the first commitment period;
4. *Decides* to periodically review the modalities and procedures for afforestation and reforestation project activities under the clean development mechanism, and that the first review shall be carried out no later than one year before the end of the first commitment period, based on recommendations by the Executive Board of the clean development mechanism and by the Subsidiary Body for Implementation, drawing on technical advice from the Subsidiary Body for Scientific and Technological Advice, as needed.

## ANNEX

### **Modalities and procedures for afforestation and reforestation project activities under the clean development mechanism**

#### **A. Definitions**

1. For the purpose of this annex, the definitions in paragraph 1 of the annex to decision 17/CP.7 and the definitions of forest, reforestation and afforestation in paragraph 1 of the annex to draft decision -/CMP.1 (*Land use, land-use change and forestry*), shall apply. In addition:

(a) “Carbon pools” are those carbon pools referred to in paragraph 21 of the annex to draft decision -/CMP.1 (*Land use, land-use change and forestry*) and are: above-ground biomass, below-ground biomass, litter, dead wood and soil organic carbon;

(b) The “project boundary” geographically delineates the afforestation or reforestation project activity under the control of the project participants. The project activity may contain more than one discrete area of land;

(c) “Baseline net greenhouse gas removals by sinks” is the sum of the changes in carbon stocks in the carbon pools within the project boundary that would have occurred in the absence of the afforestation or reforestation project activity under the clean development mechanism (CDM);

(d) “Actual net greenhouse gas removals by sinks” is the sum of the verifiable changes in carbon stocks in the carbon pools within the project boundary, minus the increase in emissions of the greenhouse gases measured in CO<sub>2</sub> equivalents by the sources that are increased as a result of the implementation of the afforestation or reforestation project activity, while avoiding double counting, within the project boundary, attributable to the afforestation or reforestation project activity under the CDM;

(e) “Leakage” is the increase in greenhouse gas emissions by sources which occurs outside the boundary of an afforestation or reforestation project activity under the CDM which is measurable and attributable to the afforestation or reforestation project activity;

(f) “Net anthropogenic greenhouse gas removals by sinks” is the actual net greenhouse gas removals by sinks minus the baseline net greenhouse gas removals by sinks minus leakage;

(g) “Temporary CER” or “tCER” is a CER issued for an afforestation or reforestation project activity under the CDM which, subject to the provisions of section K below, expires at the end of the commitment period following the one during which it was issued;

(h) “Long-term CER” or “lCER” is a CER issued for an afforestation or reforestation project activity under the CDM which, subject to the provisions in section K below, expires at the end of the crediting period of the afforestation or reforestation project activity under the CDM for which it was issued;

(i) “Small-scale afforestation and reforestation project activities under the CDM” are those that are expected to result in net anthropogenic greenhouse gas removals by sinks of less than 8 kilotonnes of CO<sub>2</sub> per year and are developed or implemented by low-income communities and individuals as determined by the host Party. If a small-scale afforestation or reforestation project activity under the CDM results in net anthropogenic greenhouse gas removals by sinks greater than 8 kilotonnes of CO<sub>2</sub> per year, the excess removals will not be eligible for the issuance of tCERs or lCERs.



2. For the purposes of this annex, in the CDM modalities and procedures contained in the annex to decision 17/CP.7, where it reads CER, it should instead read tCER and/or lCER.

**B. Role of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol**

3. All provisions of section B of the CDM modalities and procedures, contained in the annex to decision 17/CP.7, shall apply mutatis mutandis to afforestation and reforestation project activities under the CDM.

**C. Executive Board**

4. All provisions of section C of the CDM modalities and procedures, contained in the annex to decision 17/CP.7, shall apply mutatis mutandis to afforestation and reforestation project activities under the CDM with the exception of provisions under paragraph 5 (e) on recommendations to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (COP/MOP) relating to simplified modalities, procedures and definitions for small-scale project activities.

**D. Accreditation and designation of operational entities**

5. All provisions of section D of the CDM modalities and procedures, contained in the annex to decision 17/CP.7, shall apply mutatis mutandis to afforestation and reforestation project activities under the CDM.

**E. Designated operational entities**

6. All provisions of section E of the CDM modalities and procedures, contained in the annex to decision 17/CP.7, shall apply mutatis mutandis to afforestation and reforestation project activities under the CDM. In the case of afforestation and reforestation project activities under the CDM, a designated operational entity shall verify and certify net anthropogenic greenhouse gas removals by sinks.

**F. Participation requirements**

7. All provisions of section F of the CDM modalities and procedures, contained in the annex to decision 17/CP.7, shall apply mutatis mutandis to afforestation and reforestation project activities under the CDM.

8. A Party not included in Annex I may host an afforestation or reforestation project activity under the CDM if it has selected and reported to the Executive Board through its designated national authority for the CDM:

- (a) A single minimum tree crown cover value between 10 and 30 per cent; and
- (b) A single minimum land area value between 0.05 and 1 hectare; and
- (c) A single minimum tree height value between 2 and 5 metres.

9. The selected values referred to in paragraph 8 (a)–(c) above shall be fixed for all afforestation and reforestation project activities under the CDM registered prior to the end of the first commitment period.

**G. Validation and registration**

10. Validation is the process of independent evaluation of a proposed afforestation or reforestation project activity under the CDM by a designated operational entity (DOE) against the requirements of afforestation and reforestation project activities under the CDM as set out in decision 19/CP.9, the

present annex and relevant decisions of the COP/MOP, on the basis of the project design document, as outlined in appendix B below.

11. Registration is the formal acceptance by the Executive Board of a validated project as an afforestation or reforestation project activity under the CDM. Registration is the prerequisite for the verification, certification and issuance of tCERs or ICERs relating to that project activity.

12. The DOE selected by project participants to validate a proposed afforestation or reforestation project activity under the CDM, being under a contractual arrangement with them, shall review the project design document and any supporting documentation to confirm that the following requirements have been met:

(a) The participation requirements set out in paragraphs 28–30 of the annex to decision 17/CP.7 and paragraphs 8 and 9 above are satisfied;

(b) Comments by local stakeholders have been invited, a summary of the comments received has been provided, and a report to the DOE on how due account was taken of any comments has been received;

(c) Project participants have submitted to the DOE documentation on the analysis of the socio-economic and environmental impacts, including impacts on biodiversity and natural ecosystems, and impacts outside the project boundary of the proposed afforestation or reforestation project activity under the CDM. If any negative impact is considered significant by the project participants or the host Party, project participants have undertaken a socio-economic impact assessment and/or an environmental impact assessment in accordance with the procedures required by the host Party. Project participants shall submit a statement that confirms that they have undertaken such an assessment in accordance with the procedures required by the host Party and include a description of the planned monitoring and remedial measures to address them;

(d) The proposed afforestation or reforestation project activity under the CDM is additional if the actual net greenhouse gas removals by sinks are increased above the sum of the changes in carbon stocks in the carbon pools within the project boundary that would have occurred in the absence of the registered CDM afforestation or reforestation project activity, in accordance with paragraphs 18–24 below;

(e) Management activities, including harvesting cycles, and verifications are chosen such that a systematic coincidence of verification and peaks in carbon stocks is avoided;

(f) Project participants have specified the approach proposed to address non-permanence in accordance with paragraph 38 below;

(g) The baseline and monitoring methodologies selected by project participants comply with requirements pertaining to:

(i) Methodologies previously approved by the Executive Board; or

(ii) Modalities and procedures for establishing a new methodology, as set out in paragraph 13 below;

(h) Provisions for monitoring, verification and reporting are in accordance with decision 19/CP.9, the present annex and relevant decisions of the COP/MOP;

(i) The proposed project activity conforms to all other requirements for afforestation and reforestation project activities under the CDM in decision 19/CP.9, the present annex and relevant decisions by the COP/MOP and the Executive Board.

13. If the DOE determines that the proposed afforestation or reforestation project activity under the CDM intends to use a new baseline or new monitoring methodology, as referred to in paragraph 12 (g) (ii) above, it shall, prior to a submission for registration of this project activity, forward the proposed baseline or monitoring methodology, together with the draft project design document, including a description of the project and identification of the project participants, to the Executive Board for review. The Executive Board shall expeditiously, if possible at its next meeting but not more than four months later, review the proposed new baseline or monitoring methodology in accordance with the modalities and procedures of the present annex. Once the Executive Board has approved the new baseline or monitoring methodology, it shall make it publicly available, together with any relevant guidance and the DOE may proceed with the validation of the proposed afforestation or reforestation project activity under the CDM. In the event that the COP/MOP requests the revision of an approved methodology, no afforestation or reforestation project activity under the CDM may use this methodology. The project participants shall revise the methodology, as appropriate, taking into consideration any guidance received.

14. A revision of a methodology shall be carried out in accordance with the modalities and procedures for establishing new methodologies as set out in paragraph 13 above. Any revision to an approved methodology shall be applicable only to project activities registered subsequent to the date of revision and shall not affect existing registered project activities during their crediting periods.

15. The DOE shall:

(a) Prior to the submission of the validation report to the Executive Board, have received from the project participants written approval of voluntary participation from the designated national authority of each Party involved, including confirmation by the host Party that the proposed afforestation or reforestation project activity under the CDM assists it in achieving sustainable development;

(b) In accordance with provisions on confidentiality contained in paragraph 27 (h) of the annex to decision 17/CP.7, make the project design document publicly available;

(c) Receive, within 45 days, comments on the validation requirements from Parties, stakeholders and UNFCCC accredited non-governmental organizations and make them publicly available;

(d) After the deadline for receipt of comments, make a determination as to whether, on the basis of the information provided and taking into account the comments received, the proposed afforestation or reforestation project activity under the CDM should be validated;

(e) Inform project participants of its determination on the validation of the project activity. The notification to the project participants will include a confirmation of validation and the date of submission of the validation report to the Executive Board, or an explanation of reasons for non-acceptance if the proposed afforestation or reforestation project activity under the CDM, as documented, is judged not to fulfil the requirements for validation;

(f) Submit to the Executive Board, if it determines the proposed afforestation or reforestation project activity under the CDM to be valid, a request for registration in the form of a validation report including the project design document, the written approval of voluntary participation from the designated national authority of each Party involved, as referred to in paragraph 15 (a) above, and an explanation of how it has taken due account of comments received;

(g) Make this validation report publicly available upon transmission to the Executive Board.

16. The registration by the Executive Board shall be deemed final eight weeks after the date of receipt by the Executive Board of the request for registration, unless a Party involved in the proposed

afforestation or reforestation project activity under the CDM, or at least three members of the Executive Board, request a review of the proposed afforestation or reforestation project activity under the CDM. The review by the Executive Board shall be made in accordance with the following provisions:

- (a) It shall be related to issues associated with the validation requirements;
  - (b) It shall be finalized no later than at the second meeting following the request for review, with the decision and the reasons for it being communicated to the project participants and the public.
17. A proposed afforestation or reforestation project activity under the CDM that is not accepted may be reconsidered for validation and subsequent registration after appropriate revisions, provided that this afforestation or reforestation project activity follows the procedures and meets the requirements for validation and registration, including those relating to public comments.
18. An afforestation or reforestation project activity under the CDM is additional if the actual net greenhouse gas removals by sinks are increased above the sum of the changes in carbon stocks in the carbon pools within the project boundary that would have occurred in the absence of the registered CDM afforestation or reforestation project activity.
19. The baseline for a proposed afforestation or reforestation project activity under the CDM is the scenario that reasonably represents the sum of the changes in carbon stocks in the carbon pools within the project boundary that would have occurred in the absence of the proposed project activity. A baseline shall be deemed to reasonably represent the sum of the changes in carbon stocks in the carbon pools within the project boundary that would occur in the absence of the proposed afforestation or reforestation project activity under the CDM if it is derived using a baseline methodology referred to in paragraphs 12 and 13 above.
20. The baseline net greenhouse gas removals by sinks for a proposed afforestation or reforestation project under the CDM shall be established:
- (a) By project participants in accordance with provisions for the use of approved and new baseline methodologies, contained in decision 19/CP.9, the present annex and relevant decisions of the COP/MOP;
  - (b) In a transparent and conservative manner regarding the choice of approaches, assumptions, methodologies, parameters, data sources, key factors and additionality, and taking into account uncertainty;
  - (c) On a project-specific basis;
  - (d) In the case of small-scale afforestation and reforestation project activities under the CDM, in accordance with simplified modalities and procedures developed for such activities;
  - (e) Taking into account relevant national and/or sectoral policies and circumstances, such as historical land uses, practices and economic trends.
21. In calculating the baseline net greenhouse gas removals by sinks and/or actual net greenhouse gas removals by sinks, project participants may choose not to account for one or more carbon pools, and/or emissions of the greenhouse gases measured in CO<sub>2</sub> equivalents, while avoiding double counting. This is subject to the provision of transparent and verifiable information that the choice will not increase the expected net anthropogenic greenhouse gas removals by sinks. Project participants shall otherwise account for all significant changes in carbon pools and/or emissions of the greenhouse gases measured in CO<sub>2</sub> equivalents by the sources that are increased as a result of the implementation of the afforestation or reforestation project activity, while avoiding double counting.

22. In choosing a baseline methodology for an afforestation or reforestation project activity under the CDM, project participants shall select from among the following approaches the one deemed most appropriate for the project activity, taking into account any guidance by the Executive Board, and justify the appropriateness of their choice:

(a) Existing or historical, as applicable, changes in carbon stocks in the carbon pools within the project boundary;

(b) Changes in carbon stocks in the carbon pools within the project boundary from a land use that represents an economically attractive course of action, taking into account barriers to investment;

(c) Changes in carbon stocks in the pools within the project boundary from the most likely land use at the time the project starts.

23. The crediting period shall begin at the start of the afforestation or reforestation project activity under the CDM. The crediting period for a proposed afforestation or reforestation project activity under the CDM shall be either:

(a) A maximum of 20 years which may be renewed at most two times, provided that, for each renewal, a DOE determines and informs the Executive Board that the original project baseline is still valid or has been updated taking account of new data where applicable; or

(b) A maximum of 30 years.

24. An afforestation or reforestation project activity under the CDM shall be designed in such a manner as to minimize leakage.

#### **H. Monitoring**

25. Project participants shall include, as part of the project design document, a monitoring plan that provides for:

(a) The collection and archiving of all relevant data necessary for estimating or measuring the actual net greenhouse gas removals by sinks during the crediting period. The monitoring plan shall specify techniques and methods for sampling and measuring individual carbon pools and greenhouse gas emissions by sources included in the actual net greenhouse gas removals by sinks, that reflect commonly accepted principles and criteria concerning forest inventory;

(b) The collection and archiving of all relevant data necessary for determining the baseline net greenhouse gas removals by sinks during the crediting period. If the project uses control plots for determining the baseline, the monitoring plan shall specify techniques and methods for sampling and measuring individual carbon pools and greenhouse gas emissions by sources;

(c) The identification of all potential sources of, and the collection and archiving of data on, leakage during the crediting period;

(d) The collection and archiving of information relating to the planned monitoring and remedial measures referred to in paragraph 12 (c) above;

(e) Collection of transparent and verifiable information to demonstrate that any choice made in paragraph 21 above does not increase the net anthropogenic greenhouse gas removals by sinks;

(f) Changes in circumstances within the project boundary that affect legal title to the land or rights of access to the carbon pools;

(g) Quality assurance and control procedures for the monitoring process;

(h) Procedures for the periodic calculation of the net anthropogenic greenhouse gas removals by sinks due to the afforestation or reforestation project activity and documentation of all steps involved in those calculations, and for the periodic review of implementation of activities and measures to minimize leakage.

26. A monitoring plan for a proposed afforestation or reforestation project activity under the CDM shall be based on a previously approved monitoring methodology or a new methodology appropriate to the afforestation or reforestation project activity, in accordance with paragraphs 12 and 13 above, that:

(a) Is determined by the DOE as appropriate to the circumstances of the proposed afforestation or reforestation project activity;

(b) Reflects good monitoring practice appropriate to the type of afforestation or reforestation project activity;

(c) Takes into account uncertainties by appropriate choice of monitoring methods, such as number of samples, to achieve reliable estimates of net anthropogenic greenhouse gas removals by sinks;

(d) In the case of small-scale afforestation and reforestation project activities under the CDM, is in accordance with simplified modalities and procedures developed for such activities.

27. Project participants shall implement the monitoring plan contained in the registered project design document.

28. Revisions, if any, to the monitoring plan to improve the accuracy and/or completeness of information shall be justified by project participants and shall be submitted for validation to a DOE.

29. The implementation of the registered monitoring plan and its revisions, as applicable, shall be a condition for verification, certification and the issuance of tCERs or lCERs.

30. The project participants shall provide to the DOE contracted by the project participants to perform the verification, a monitoring report in accordance with the registered monitoring plan set out in paragraph 25 above for the purpose of verification and certification.

#### **I. Verification and certification**

31. Verification is the periodic independent review and ex post determination by the DOE of the net anthropogenic greenhouse gas removals by sinks achieved, since the start of the project, by an afforestation or reforestation project activity under the CDM. Certification is the written assurance by a DOE that an afforestation or reforestation project activity under the CDM achieved the net anthropogenic greenhouse gas removals by sinks since the start of the project, as verified.

32. The initial verification and certification of an afforestation or reforestation project activity under the CDM may be undertaken at a time selected by the project participants. Thereafter, verification and certification shall be carried out every five years until the end of the crediting period.

33. In the case of afforestation or reforestation project activities under the CDM for which lCERs are issued, the CDM registry administrator shall record the date at which each certification report is received. The CDM registry administrator shall notify the Executive Board of cases where a certification report has not been provided within five years of the last certification, as required in paragraph 32 above. Upon receipt of such notification, the Executive Board shall forthwith notify the project participants of the requirement to provide the outstanding certification report. If the overdue certification report is not received within 120 days after receipt of the notification by the project participants, the Executive Board shall proceed in accordance with paragraph 50 below.

34. In accordance with the provisions on confidentiality in paragraph 27 (h) of the annex to decision 17/CP.7, the DOE contracted by the project participants to perform the verification shall make the monitoring report publicly available, and shall:

- (a) Determine whether the project documentation provided is in accordance with the requirements of the registered project design document and relevant provisions of decision 19/CP.9, the present annex and relevant decisions of the COP/MOP;
- (b) Conduct on-site inspections, as appropriate, that may comprise, inter alia, a review of performance records, interviews with project participants and local stakeholders, collection of measurements, observation of established practices and testing of the accuracy of monitoring equipment;
- (c) Determine whether the socio-economic and environmental impacts were monitored in accordance with the monitoring plan;
- (d) Determine whether there have been any changes in circumstances within the project boundary that affect legal title to the land or rights of access to the carbon pools;
- (e) Review the management activities, including harvesting cycles, and the use of sample plots to determine whether the following have been avoided:
  - (i) A systematic coincidence of verification and peaks in carbon stocks; and
  - (ii) A major systematic error in data collection;
- (f) If appropriate, use additional data from other sources;
- (g) Review monitoring results and verify that the monitoring methodologies have been applied correctly and that their documentation is complete and transparent;
- (h) Recommend to the project participants appropriate changes to the monitoring plan;
- (i) Determine the net anthropogenic greenhouse gas removals by sinks, using monitored or other data referred to in paragraph 34 (a), (b), (f) and (g) above, as appropriate, and calculation procedures as contained in the registered project design document;
- (j) Identify and inform the project participants of any concerns relating to the conformity of the actual afforestation or reforestation project activity under the CDM and its operation with the registered project design document. Project participants shall address the concerns and supply relevant additional information;
- (k) Provide a verification report to the project participants, the Parties involved and the Executive Board. The report shall be made publicly available.

35. The DOE shall, based on its verification report, certify in writing that, since its start, the afforestation or reforestation project activity under the CDM has achieved the net anthropogenic greenhouse gas removals by sinks. It shall inform the project participants, Parties involved and the Executive Board of its certification decision in writing immediately upon completion of the certification process, and make the certification report publicly available.

#### **J. Issuance of tCERs and ICERs**

36. The certification report shall constitute:

- (a) Where project participants have chosen the tCER approach to account for non-permanence, a request to the Executive Board for issuance of tCERs equal to the verified amount of net

anthropogenic greenhouse gas removals by sinks achieved by the afforestation or reforestation project activity under the CDM since the start of the project activity;

(b) Where project participants have chosen the ICER approach to account for non-permanence and:

- (i) Net anthropogenic greenhouse gas removals by sinks have increased since the previous certification report, a request to the Executive Board for issuance of ICERs equal to the verified amount of net anthropogenic greenhouse gas removals by sinks achieved by the afforestation or reforestation project activity under the CDM since the previous certification;
- (ii) Net anthropogenic greenhouse gas removals by sinks have decreased since the previous certification report, a notification to the Executive Board of the reversal of net anthropogenic greenhouse gas removals by sinks that has occurred at the afforestation or reforestation project activity under the CDM since the previous certification.

37. The issuance of tCERs or ICERs for afforestation and reforestation project activities under the CDM shall be subject to the provisions of paragraphs 65 and 66 of the CDM modalities and procedures, contained in the annex to decision 17/CP.7.

#### **K. Addressing non-permanence of afforestation and reforestation project activities under the CDM**

38. The project participants shall select one of the following approaches to addressing non-permanence of an afforestation or reforestation project activity under the CDM:

(a) Issuance of tCERs for the net anthropogenic greenhouse gas removals by sinks achieved by the project activity since the project start date in accordance with paragraphs 41–44 below; or

(b) Issuance of ICERs for the net anthropogenic greenhouse gas removals by sinks achieved by the project activity during each verification period, in accordance with paragraphs 45–50 below.

39. The approach chosen to address non-permanence shall remain fixed for the crediting period including any renewals.

40. All provisions of decision 18/CP.7, draft decision -/CMP.1 (*Article 17*) and its annex, decision 19/CP.7, draft decision -/CMP.1 (*Modalities for the accounting of assigned amounts*) and its annex, decision 20/CP.7, draft decision -/CMP.1 (*Article 5.1*) and its annex, decision 22/CP.7, the annex to draft decision -/CMP.1 (*Guidelines for the preparation of the information required under Article 7 of the Kyoto Protocol*) and its annex, decision 23/CP.7 and its annex, draft decision -/CMP.1 (*Guidelines for review under Article 8 of the Kyoto Protocol*) and its annex, and decision 22/CP.8 and its annexes I–III, that pertain to CERs shall also apply to tCERs and ICERs, unless otherwise stated in this annex.

##### 1. Provisions governing tCERs

41. A Party included in Annex I may use tCERs towards meeting its commitment for the commitment period for which they were issued. tCERs may not be carried over to a subsequent commitment period.

42. Each tCER shall expire at the end of the commitment period subsequent to the commitment period for which it was issued. The expiry date shall be included as an additional element in its serial number. An expired tCER may not be further transferred.



43. Each national registry shall include a tCER replacement account for each commitment period in order to cancel AAUs, CERs, ERUs, RMUs and/or tCERs for the purposes of replacing tCERs prior to expiry.

44. A tCER that has been transferred to the retirement account or the tCER replacement account of a Party included in Annex I shall be replaced before its expiry date. To this end, for each such tCER, the concerned Party shall transfer one AAU, CER, ERU, RMU or tCER to the tCER replacement account of the current commitment period.

## 2. Provisions governing ICERs

45. A Party included in Annex I may use ICERs towards meeting its commitment for the commitment period for which they were issued. ICERs may not be carried over to a subsequent commitment period.

46. Each ICER shall expire at the end of the crediting period or, where a renewable crediting period is chosen in accordance with paragraph 23 (a) above, at the end of the last crediting period of the project activity. The expiry date shall be included as an additional element in its serial number. An expired ICER may not be further transferred.

47. Each national registry shall include an ICER replacement account for each commitment period in order to cancel AAUs, CERs, ICERs, ERUs and/or RMUs in accordance with paragraphs 48–50 below for the purposes of:

- (a) Replacing ICERs prior to their expiry date;
- (b) Replacing ICERs where the certification report of the DOE indicates a reversal of net anthropogenic greenhouse gas removals by sinks since the previous certification;
- (c) Replacing ICERs where the certification report has not been provided in accordance with paragraph 33 above.

48. An ICER that has been transferred to the retirement account of a Party included in Annex I shall be replaced before its expiry date. To this end, for each such ICER, the concerned Party shall transfer one AAU, CER, ERU or RMU to the ICER replacement account for the current commitment period.

49. Where the certification report of the DOE indicates a reversal of net anthropogenic greenhouse gas removals by sinks since the previous certification, an equivalent quantity of ICERs shall be replaced. To this end, the Executive Board shall:

(a) Request the transaction log administrator to identify the quantity of ICERs issued for the project activity held in each registry not yet replaced or transferred to the ICER replacement account, distinguishing those held in retirement accounts for the current and previous commitment periods and in holding accounts;

(b) Immediately notify the transaction log that, in accordance with these modalities, the ICERs identified in paragraph 49 (a) above as being in holding accounts are ineligible for transfer to holding or retirement accounts. When a Party has completed replacement of the required ICERs in accordance with paragraph 49 (d) below, the ICERs in the holding accounts of that Party are again eligible for transfer.

(c) Calculate the proportion of ICERs from the project activity to be replaced by dividing the amount specified in the request for replacement by the amount identified in paragraph 49 (a) above;

(d) Notify each Party concerned of the requirement to replace a quantity of ICERs equal to the proportion, as calculated in paragraph 49 (c) above, of the ICERs identified in paragraph 49 (a) above of that Party. To replace an ICER a Party shall transfer one AAU, CER, ERU, RMU or ICER from the same project activity to the ICER replacement account for the current commitment period within 30 days. If the requirement to replace involves a fraction of a unit that fraction of a unit shall be replaced by one AAU, CER, ERU, RMU or ICER from the same project activity.

50. Where the certification report has not been provided in accordance with paragraph 33 above, the ICERs issued for the project activity shall be replaced. To this end, the Executive Board shall:

(a) Request the transaction log administrator to identify the quantity of ICERs issued for the project activity held in each registry not yet replaced or transferred to the ICER replacement account, distinguishing those held in retirement accounts for the current and previous commitment periods and in holding accounts;

(b) Immediately notify the transaction log that, in accordance with these modalities, the ICERs identified in paragraph 50 (a) above as being in holding accounts are ineligible for transfer to holding or retirement accounts;

(c) Notify the Parties concerned of the requirement to replace the ICERs identified in paragraph 50 (a) above. To replace an ICER a Party shall transfer one AAU, CER, ERU, RMU or ICER from the same project activity to the ICER replacement account for the current commitment period within 30 days.

### 3. The transaction log

51. Each Party included in Annex I shall ensure that its net acquisitions of tCERs and ICERs do not exceed the limits established for that Party as set out in paragraph 14 of the annex to decision -/CMP.1 (*Land use, land-use change and forestry*).

52. tCERs and ICERs may not be transferred to cancellation accounts of Annex I Parties referred to in paragraph 21 (c) and (d) of the annex to decision -/CMP.1 (*Modalities for accounting of assigned amounts*) or, where excess CERs were issued, to the cancellation account of the CDM registry referred to in paragraph 3 (c) of appendix D to the annex to decision 17/CP.7.

53. Expired tCERs and ICERs held in holding accounts of registries, or the pending account of the CDM Registry, shall be transferred to a cancellation account.

54. The transaction log shall verify that there is no discrepancy with regard to the requirements of paragraphs 41 to 53 above as part of its automated checks set out in -/CMP.1 (*Modalities for the accounting of assigned amounts*).

55. The transaction log shall, one month prior to the expiry of each tCER or ICER in a retirement or in a replacement account, notify the Party included in Annex I concerned that a replacement of the tCER or ICER has to occur in accordance with paragraphs 44 or 48 above.

56. Where a Party included in Annex I does not replace tCERs or ICERs in accordance with paragraphs 44, 48, 49 and 50 above, the transaction log shall forward a record of non-replacement to the secretariat, for consideration as part of the review process for the relevant Party, under Article 8, to the Executive Board and to the Party concerned. The Executive Board shall make this information publicly available and include it in its reports to the COP/MOP.

### 4. Reporting and review

57. Each Party included in Annex I shall include the following information in its report referred to in

paragraph 2, section I.E, of the annex to decision -CMP.1 (*Guidelines for the preparation of the information required under Article 7 of the Kyoto Protocol*):

- (a) The quantity of expired tCERs in its retirement account and tCER replacement account;
- (b) The quantity of expired ICERs in its retirement account;
- (c) The quantities of AAUs, CERs, ERUs, RMUs and tCERs transferred to the tCER replacement account;
- (d) The quantities of AAUs, CERs, ERUs, RMUs and ICERs transferred to the ICER replacement account.

58. The annual review referred to in paragraph 5, part III of the annex to decision -/CMP.1 (*Guidelines for review under Article 8 of the Kyoto Protocol*) shall include the assessment of whether tCERs and ICERs have been replaced, cancelled, retired or carried over in accordance with this annex.

59. The review upon expiration of the additional period for fulfilling commitments shall include an assessment of whether:

- (a) The quantity of AAUs, CERs, ERUs, RMUs and tCERs transferred into the tCER replacement account for the commitment period is equal to the quantity of tCERs that were retired or transferred to the tCER replacement account for the previous commitment period;
- (b) The quantity of AAUs, CERs, ERUs, RMUs and ICERs transferred into the ICER replacement account for the commitment period is equal to the quantity of ICERs that had to be replaced during that commitment period.

60. In its compilation and accounting database referred to in paragraph 50 of the annex to draft decision -/CMP.1 (*Modalities for accounting of assigned amounts*), the secretariat shall annually record for each Party included in Annex I the following information for the previous calendar year and to date for the commitment period, following completion of the annual review under Article 8, including the application of any corrections, and resolution of any relevant questions of implementation:

- (a) The quantity of tCERs retired, including information on their expiry dates;
- (b) The quantity of tCERs cancelled, including information on their expiry dates;
- (c) The quantity of tCERs that expired in the retirement account or the tCER replacement account for the previous commitment period, including information on their expiry dates;
- (d) The quantity of AAUs, CERs, ERUs, RMUs and tCERs transferred to the tCER replacement account to replace expiring tCERs, including information on the dates of expiry and cancellation;
- (e) The quantity of ICERs retired, including information on their expiry dates;
- (f) The quantity of ICERs cancelled, including information on their expiry dates;
- (g) The quantity of ICERs that expired in the retirement account for previous commitment periods, including information on their expiry dates;
- (h) The quantity of AAUs, CERs, ERUs, RMUs and ICERs transferred to the ICER replacement account to replace ICERs, including information on the dates of expiry and cancellation.

## APPENDIX A

### **Standards for the accreditation of operational entities in relation to afforestation and reforestation project activities under the CDM**

1. Paragraphs 1 and 2 of appendix A of the annex to decision 17/CP.7 on standards for the accreditation of operational entities shall apply, with the following changes:

(a) Paragraph 1 (f) (ii) is replaced with “Issues, in particular environmental and socio-economic, relevant to validation, verification and certification of afforestation and reforestation project activities under the CDM, as appropriate”;

(b) Paragraph 1 (f) (iii) is replaced with “The technical aspects of afforestation and reforestation project activities under the CDM relevant to environmental and socio-economic issues, including expertise in the setting of baseline net greenhouse gas removals by sinks and monitoring of emissions and removals”;

(c) Paragraph 1 (f) (v) is replaced with “Methodologies for accounting of greenhouse gas emissions by sources and removals by sinks”.

## APPENDIX B

### **Project design document for afforestation and reforestation project activities under the CDM**

1. The provisions of this appendix shall be interpreted in accordance with the present annex on modalities and procedures for afforestation and reforestation project activities under the CDM.

2. The purpose of this appendix is to outline the information required in the project design document. A project activity shall be described in detail in a project design document, taking into account the provisions for afforestation and reforestation project activities under the CDM as set out in the present annex, in particular, section G on validation and registration and section H on monitoring. The description shall include the following:

(a) A description of the afforestation or reforestation project activity comprising the project purpose; a technical description of the project activity, including species and varieties selected and how technology and know-how will be transferred, if appropriate; a description of the physical location and boundaries of the project activity; specification of the gases whose emissions will be part of the project activity;

(b) A description of the present environmental conditions of the area including a description of climate, hydrology, soils, ecosystems, and the possible presence of rare or endangered species and their habitats;

(c) A description of legal title to the land, rights of access to the sequestered carbon, current land tenure and land use;

(d) Carbon pools selected, as well as transparent and verifiable information, in accordance with paragraph 21 of the present annex;

(e) A proposed baseline methodology in accordance with the present annex, including:

(i) In the case of the application of an approved methodology:

– A statement of which approved methodology has been selected;

- A description of how the approved methodology will be applied in the context of the proposed project activity.
- (ii) In the case of the application of a new methodology:
- A description of the baseline methodology and justification of choice, including an assessment of strengths and weaknesses of the methodology;
  - A description of key parameters, data sources and assumptions used in the baseline estimate, and assessment of uncertainties;
  - Projections of the baseline net greenhouse gas removals by sinks for a proposed project activity;
  - Potential sources of leakage attributable to the project activity.
- (iii) Other considerations, such as a description of how national and/or sectoral policies and circumstances have been taken into account and an explanation of how the baseline was established in a transparent and conservative manner.
- (f) Measures to be implemented to minimize potential leakage;
- (g) Start date for the project activity, with justification, and the choice of crediting periods during which the project activity is expected to result in net anthropogenic greenhouse gas removals by sinks;
- (h) Statement of which approach for addressing non-permanence was selected in accordance with paragraph 38 of the present annex;
- (i) Description of how the actual net greenhouse gas removals by sinks are increased above the sum of the changes in carbon stocks in the carbon pools within the project boundary that would have occurred in the absence of the registered CDM afforestation or reforestation project activity;
- (j) Environmental impacts of the project activity:
- (i) Documentation on the analysis of the environmental impacts, including impacts on biodiversity and natural ecosystems, and impacts outside the project boundary of the proposed afforestation or reforestation project activity under the CDM. This analysis should include, where applicable, information on, inter alia, hydrology, soils, risk of fires, pests and diseases;
  - (ii) If any negative impact is considered significant by the project participants or the host Party, a statement that project participants have undertaken an environmental impact assessment, in accordance with the procedures required by the host Party, including conclusions and all references to support documentation.
- (k) Socio-economic impacts of the project activity:
- (i) Documentation on the analysis of the socio-economic impacts, including impacts outside the project boundary of the proposed afforestation or reforestation project activity under the CDM. This analysis should include, where applicable, information on, inter alia, local communities, indigenous peoples, land tenure,

local employment, food production, cultural and religious sites, and access to fuelwood and other forest products;

- (ii) If any negative impact is considered significant by the project participants or the host Party, a statement that project participants have undertaken a socio-economic impact assessment, in accordance with the procedures required by the host Party, including conclusions and all references to support documentation.

(l) A description of planned monitoring and remedial measures to address significant impacts referred to in paragraph 2 (j) (ii) and (k) (ii) above;

(m) Information on sources of public funding for the project activity from Annex I Parties which shall provide an affirmation that such funding does not result in a diversion of official development assistance and is separate from and is not counted towards the financial obligations of those Parties;

(n) Stakeholder comments, including a brief description of the process, a summary of the comments received, and a report on how due account was taken of any comments received;

(o) A monitoring plan that meets the requirements of paragraph 25 of the present annex:

- (i) Identification of data needs and data quality with regard to accuracy, comparability, completeness and validity;
- (ii) Methodologies to be used for data collection and monitoring, including quality assurance and quality control provisions for monitoring, collecting, reporting, and assurance that verification does not coincide with peaks in carbon stocks;
- (iii) In the case of a new monitoring methodology, a description of the methodology, including an assessment of strengths and weaknesses of the methodology and whether or not it has been applied successfully elsewhere;
- (iv) Collection of other information as required to comply with paragraph 25 of the present annex.

(p) Calculations, including a discussion of how uncertainties have been addressed:

- (i) A description of formulae used to estimate the baseline net greenhouse gas removals by sinks for the project activity;
- (ii) A description of formulae used to estimate leakage;
- (iii) A description of formulae used to calculate the actual net greenhouse gas removals by sinks;
- (iv) A description of formulae used to calculate the net anthropogenic greenhouse gas removals by sinks;
- (v) References to support the above, if any.

## APPENDIX C

**Terms of reference for establishing guidelines on baselines and monitoring methodologies for afforestation and reforestation project activities under the CDM**

1. All provisions of appendix C to the CDM modalities and procedures, contained in the annex to decision 17/CP.7, shall apply to afforestation and reforestation project activities.

## APPENDIX D

**Additional requirements for the CDM registry to address afforestation and reforestation project activities**

1. The CDM registry established and maintained by the Executive Board shall be used to ensure the accurate accounting of the issuance, holding, transfer, acquisition and cancellation of tCERs and ICERs from afforestation and reforestation project activities under the CDM.
2. All provisions of appendix D to the annex to decision 17/CP.7 that apply to CERs shall also apply to tCERs and ICERs, unless stated otherwise in this appendix.
3. In addition to the registry accounts specified in paragraph 3 of appendix D to the annex of decision 17/CP.7, the CDM registry shall have a cancellation account to which tCERs and ICERs that have expired in a holding account of the CDM registry, and ICERs that have become ineligible in accordance with paragraphs 49 and 50 of the present annex, are transferred.
4. Each tCER and ICER shall have an expiry date, specifying day, month and year, as an additional element in its serial number.
5. The CDM registry administrator will record the date in which each certification report for an afforestation or reforestation project activity under the CDM is received. The CDM registry administrator shall notify the Executive Board of cases where a certification report for an afforestation or reforestation project activity for which ICER are issued, has not been provided within five years of the last certification.
6. All information referred to in paragraphs 9 to 12 of appendix D to the annex to decision 17/CP.7 that applies to tCERs and ICERs shall include, as an additional element, the expiry date of each such tCER and ICER.

## Decision 20/CP.9

### **Technical guidance on methodologies for adjustments under Article 5, paragraph 2, of the Kyoto Protocol**

*The Conference of the Parties,*

*Recalling* its decision 21/CP.7,

*Having considered* the relevant recommendations of the Subsidiary Body for Scientific and Technological Advice,

1. *Recommends* that the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol, at its first session, adopt draft decision -/CMP.1 (*Technical guidance on methodologies for adjustments under Article 5, paragraph 2, of the Kyoto Protocol*) below;

2. *Requests* the secretariat to establish a process to enable expert review teams to gain experience with the methods for adjustments during the inventory review process in the period 2003–2005 using real inventory data of Parties, subject to the consent of the Party concerned.

*8<sup>th</sup> plenary meeting  
12 December 2003*

## Draft decision -/CMP.1

### **Technical guidance on methodologies for adjustments under Article 5, paragraph 2, of the Kyoto Protocol**

*The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,*

*Having considered* decisions 21/CP.7, 23/CP.7 and 20/CP.9,

1. *Adopts* the technical guidance on methodologies for adjustments under Article 5, paragraph 2, of the Kyoto Protocol contained in document FCCC/SBSTA/2003/10/Add.2, pages 11–27 (hereinafter referred to as technical guidance) and decides to incorporate it in the annex of the draft decision -/CMP.1 (*Good practice guidance and adjustments under Article 5, paragraph 2, of the Kyoto Protocol*) attached to decision 21/CP.7;<sup>1</sup>

2. *Requests* that lead reviewers, as defined in paragraphs 36–42 of the guidelines for review under Article 8 of the Kyoto Protocol (decision 23/CP.7), collectively consider and make recommendations on:

(a) Means to improve the consistent application, by expert review teams, of the technical guidance, especially the approaches to ensure conservativeness of adjusted estimates;

(b) The development and regular update of the information in the inventory review resources listed in annex I to the technical guidance;

(c) Means to ensure a common approach in applying the provisions of paragraph 52 of the technical guidance and to limit the flexibility given to the expert review teams in this regard, if considered necessary;

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<sup>1</sup> The texts referred to in this paragraph will be issued as a consolidated text.



(d) Updating, as appropriate, the table of conservativeness factors included in annex III to the technical guidance, including the underlying construction and structure of the uncertainty bands of that table;

3. *Requests* the secretariat to include any recommendations from the collective consideration of the lead reviewers in their annual report, referred to in paragraph 40 of the guidelines under Article 8 of the Kyoto Protocol, to the Subsidiary Body for Scientific and Technological Advice for its consideration;

4. *Requests* the Subsidiary Body for Scientific and Technological Advice, following the consideration of the report referred to in paragraph 3 above, to take any appropriate action pursuant to the recommendations from lead reviewers referred to in paragraph 2 (c) and (d) above;

5. *Requests* the secretariat, following the collective recommendation of lead reviewers, to regularly update the information in the inventory review resources listed in annex I to the technical guidance;

6. *Requests* the secretariat to archive information on adjustments contained in review reports and other relevant information, and make it available and easily accessible for expert review teams;

7. *Decides* that with respect to any adjustments applied retroactively in accordance with paragraph 11 of the technical guidance, only the adjustment applied for the inventory year under review shall be relevant for the eligibility requirement laid out in paragraph 3 (e) of draft decision -/CMP.1 (*Guidelines for the preparation of the information required under Article 7 of the Kyoto Protocol*) attached to decision 22/CP.7.

## Decision 21/CP.9

### **Issues relating to the implementation of Article 8 of the Kyoto Protocol**

*The Conference of the Parties,*

*Recalling* its decision 23/CP.7,

*Having* considered the relevant recommendations of the Subsidiary Body for Scientific and Technological Advice,

1. *Recommends* that the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol at its first session adopt draft decision -/CMP.1 (*Issues relating to the implementation of Article 8 of the Kyoto Protocol*) below;

2. *Requests* the Subsidiary Body for Scientific and Technological Advice to further consider, at its twentieth session, ways to ensure access to confidential data by review experts in those periods of the inventory review in which experts are neither present in the country under review nor at the office of the secretariat, recognizing that decision 20/CP.9 is without prejudice to any additional provision relating to the application of adjustments in the case of confidential information arising from these considerations;

3. *Invites* Parties to consider possible ways to ensure access to confidential information during the periods mentioned in paragraph 2 above, taking into account their domestic legislation, and to submit to the secretariat, by 15 February 2004, their views on this issue;

4. *Requests* the Subsidiary Body for Scientific and Technological Advice also to consider, at its twentieth session, the possible application of the code of practice for the treatment of confidential information to the review of information on assigned amounts pursuant to Article 3, paragraphs 7 and 8, of the Kyoto Protocol, emission reduction units, certified emission reductions, assigned amount units and removal units, and, to facilitate this consideration, invites Parties to include views on this matter in their submissions referred to in paragraph 3 above.

*8<sup>th</sup> plenary meeting  
12 December 2003*

## Draft decision -/CMP.1

### **Issues relating to the implementation of Article 8 of the Kyoto Protocol**

*The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol,*

*Having* considered decisions 23/CP.7, 23/CP.8 and 21/CP.9,

1. *Requests* the secretariat, subject to the availability of resources, to develop and implement the training programme for members of expert review teams participating in the initial reviews under Article 8 of the Kyoto Protocol, in accordance with the provisions in annex I to this decision, including requirements for testing of experts, and to give priority to implementation of a final seminar for the course on application of adjustments;

2. *Encourages* Parties included in Annex II to the Convention that are Parties to the Kyoto Protocol to provide financial support for implementation of the training programme;

3. *Requests* the Subsidiary Body for Scientific and Technological Advice, at its first session in 2006, to assess the results of the training programme and to make recommendations to the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol on the further development and implementation of the training programme for members of expert review teams participating in reviews under Article 8 of the Kyoto Protocol;

4. *Requests* the secretariat to prepare a report on information on the training programme, in particular on examination procedures and the selection of trainees and instructors, to be provided to the Subsidiary Body for Scientific and Technological Advice for the assessment mentioned in paragraph 3 above;

5. *Decides* to apply and give full effect to the code of practice for the treatment of confidential information, as contained in annex II to decision 12/CP.9, for the reviews of inventories under Article 8 of the Kyoto Protocol;

6. *Decides* that all members of expert review teams participating in the reviews under Article 8 of the Kyoto Protocol shall be required to sign an agreement for expert review services, in accordance with paragraph 6 of decision 12/CP.9;

7. *Adopts* the criteria for selection of lead reviewers contained in annex II to this decision;

8. *Requests* the secretariat, in organizing reviews:

(a) To apply the provisions resulting from paragraphs 5, 6 and 7 above;

(b) To ensure that an inventory submission by a Party included in Annex I to the Convention is not reviewed by the same lead reviewers in two successive years.

## ANNEX I

### **Training programme for members of expert review teams for the initial review under the guidelines for review under article 8 of the Kyoto Protocol**

#### **I. PREMISES OF THE TRAINING PROGRAMME**

1. All courses will be available, without instructor, to reviewers all year round. At the request of a Party, the courses will also be made available for others interested in the review process, provided this does not require additional resources.
2. All courses will include an examination. For courses which have a final seminar, the examination will generally take place during the seminar. In exceptional circumstances, other arrangements for examination will be made, provided that the examinations take place under the supervision of the secretariat. For other courses the examination will take place on-line.
3. Experts who do not pass an examination for a course at the first attempt may re-take the examination one additional time, provided that the expert has fulfilled all the tasks assigned to the trainees in the course in a timely manner, and that the re-take does not require the secretariat to incur additional costs.
4. Examination procedures should be standardized, objective and transparent.
5. All courses will be available on-line. The courses will be distributed on CD-ROM to trainees without easy access to the Internet; in such cases, and for courses that are facilitated by an instructor, trainees will communicate with the instructor by e-mail.
6. Final seminars for courses may be offered in conjunction with lead reviewers' meetings to complete the training for lead reviewers.
7. The development and implementation of the courses of this training programme depend on the availability of resources.
8. Experts with relevant expertise will be selected as instructors for courses of the training programme, in such a way that their skills cover the subjects addressed in each course. The secretariat will seek geographic balance among the instructors participating in the training programme.

#### **II. COURSES OF THE TRAINING PROGRAMME**

##### **A. National systems**

**Description:** This course covers guidelines for review of national systems under Article 5.1 and related parts of guidelines under Articles 7 and 8 of the Kyoto Protocol

**Preparation:** 2004 or 2005

**Implementation:** 2005 and 2006

**Target audience:** 50 experienced reviewers and reviewers who have successfully completed the basic course for review of greenhouse gas inventories, and lead reviewers

**Type of course:** E-learning, facilitated by an instructor, with a final seminar, subject to the availability of resources, that could be held jointly for the three courses of this programme

**Examination requirements:** All reviewers who will review national systems or serve as lead reviewers must pass the examination

## **B. Application of adjustments**

**Description:** This course covers decisions by the Conference of the Parties and technical guidance on methodologies for adjustments under Article 5.2 and related parts of guidelines under Articles 7 and 8 of the Kyoto Protocol

**Preparation:** 2004 or 2005

**Implementation:** 2005 and 2006

**Target audience:** 50 experienced inventory reviewers per year, and lead reviewers

**Type of course:** E-learning, facilitated by an instructor, with a final seminar, subject to the availability of resources, that could be held jointly for the three courses of this programme

**Examination requirements:** Any reviewers who may apply adjustments or will serve as lead reviewers must pass the examination

## **C. Modalities for the accounting of assigned amounts under Article 7.4**

**Description:** The exact content of this course will be determined following completion of the work on technical standards for data exchange between registry systems, as required by decision 24/CP.8

**Preparation:** 2004 or 2005

**Implementation:** 2005 and 2006

**Target audience:** Reviewers of national registries and assigned amount information, and lead reviewers

**Type of course:** E-learning, facilitated by an instructor, with a final seminar, subject to the availability of resources, that could be held jointly for the three courses of this programme

**Examination requirements:** Any reviewers who will review information relating to accounting of assigned amounts or serve as lead reviewers must pass the examination

*Note:* More information on general characteristics of the training programme can be found in document FCCC/SBSTA/2003/3

## ANNEX II

### Criteria for Selection of Lead Reviewers

1. Experts selected as lead reviewers:
  - (a) Shall have extensive experience in the preparation of greenhouse gas inventories (of emissions by sources and removals by sinks) and/or the management of national institutional arrangements for greenhouse gas inventory preparation;
  - (b) Shall have previously participated in at least two different review activities, including one in-country review;<sup>1</sup>
  - (c) Shall have a strong general understanding of the overall process for the development and compilation of the entire inventory and, preferably, strong technical expertise in at least one of the Intergovernmental Panel on Climate Change (IPCC) sectors;
  - (d) Shall have proficiency in the use of the guidelines developed under the Convention and the Kyoto Protocol and procedures for reporting and review of inventories and assigned amount information, specifically:
    - (i) The guidelines for review under Article 8 of the Kyoto Protocol and the UNFCCC guidelines for the technical review of greenhouse gas inventories under the Convention;
    - (ii) The guidelines for the preparation of the information required under Article 7 of the Kyoto Protocol and the UNFCCC reporting guidelines on annual inventories;
    - (iii) The modalities for the accounting of assigned amounts under Article 7, paragraph 4, including the requirements for national registries, and the technical standards for data exchange between registry systems under the Kyoto Protocol;
  - (e) Shall have knowledge of methodologies and technical guidance relating to the preparation and review of inventories, specifically:
    - (i) The Revised 1996 IPCC Guidelines for National Greenhouse Gas Inventories, the IPCC Good Practice Guidance and Uncertainty Management in National Greenhouse Gas Inventories, and any other good practice guidance adopted by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (COP/MOP);
    - (ii) The technical guidance on methodologies for adjustments under Article 5, paragraph 2, of the Kyoto Protocol;
    - (iii) Other relevant technical guidance adopted by the COP/MOP;
  - (f) Shall have sufficient fluency in English to communicate with other members of the team and Party representatives;
  - (g) Shall have successfully completed any specific training and required examination as requested by the COP/MOP, and laid out in annex I to decision -/CMP.1 (*Issues relating to the implementation of Article 8 of the Kyoto Protocol*);

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<sup>1</sup> Such review activities could have been conducted under the Convention or the Kyoto Protocol.

(h) Shall have completed any specific training as requested by the Conference of the Parties and laid out in annex I to decision 12/CP.9, i.e. handling of confidential information and improving communication and facilitating consensus in expert review teams.

2. Other desirable criteria for lead reviewers include:

(a) Experience in a management role;

(b) Knowledge of any other technical guidance and related review activities under the Convention and the Kyoto Protocol adopted by the COP and/or the COP/MOP.

**Decision 22/CP.9**

**Forest management activities under Article 3, paragraph 4, of the Kyoto Protocol: Croatia**

*The Conference of the Parties,*

*Recalling* its decision 11/CP.7, in particular paragraphs 10 and 11 of the annex to draft decision -/CMP.1 (*Land use, land-use change and forestry*) attached thereto,

*Having considered* submissions by Croatia<sup>1</sup> with regard to the value for this Party in the appendix to the above-mentioned annex to draft decision -/CMP.1 (*Land use, land-use change and forestry*),

*Decides* that, for the first commitment period, additions to and subtractions from the assigned amount of Croatia, resulting from forest management under Article 3, paragraph 4, of the Kyoto Protocol, after the application of paragraph 10 of the annex to decision -/CMP.1 (*Land use, land-use change and forestry*), and resulting from forest management project activities under Article 6, shall not exceed 0.265 megatonnes of carbon per year, times five.

*8<sup>th</sup> plenary meeting  
12 December 2003*

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<sup>1</sup> FCCC/CP/2001/MISC.6/Add.2 and FCCC/SBI/2003/MISC.6.



## II. RESOLUTIONS ADOPTED BY THE CONFERENCE OF THE PARTIES

### Resolution 1/CP.9

#### **Expression of gratitude to the Government of the Italian Republic and people of the city of Milan**

*The Conference of the Parties,*

*Having met* in Milan from 1 to 12 December 2003 at the invitation of the Government of the Italian Republic,

1. *Expresses its profound gratitude* to the Government of the Italian Republic for having made it possible for the ninth session of the Conference of the Parties to be held in Milan;
2. *Requests* the Government of the Italian Republic to convey to the city and the people of Milan the gratitude of the Conference of the Parties for the hospitality and warm welcome extended to the participants.

*9<sup>th</sup> plenary meeting  
12 December 2003*

### **III. OTHER ACTIONS TAKEN BY THE CONFERENCE OF THE PARTIES**

#### **Calendar of meetings of Convention bodies, 2004–2008**

The Conference of the Parties at its ninth session adopted the dates for the 2008 sessional periods. The calendar of meetings of Convention bodies for 2004–2008 is reproduced below for ease of reference.

- First sessional period in 2004: from 14 to 25 June
- Second sessional period in 2004: from 29 November to 10 December
- First sessional period in 2005: from 16 to 27 May
- Second sessional period in 2005: from 7 to 18 November
- First sessional period in 2006: from 15 to 26 May
- Second sessional period in 2006: from 6 to 17 November
- First sessional period in 2007: from 7 to 18 May
- Second sessional period in 2007: from 5 to 16 November
- First sessional period in 2008: from 2 to 13 June
- Second sessional period in 2008: from 1 to 12 December

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