



1 **Draft revision to the approved baseline and monitoring methodology AM0031**

2

3 **“Baseline Methodology for Bus Rapid Transit Projects”**

4 **I. SOURCE, DEFINITIONS AND APPLICABILITY**

5 **Sources**

6 This baseline methodology is based on the proposals from the following proposed methodology:

- 7 • NM0105-rev “Baseline Methodology for Bus Rapid Transit Projects,” whose baseline
8 methodology was developed by Gruetter consulting.

9 This methodology also refers to the latest approved version of the following tool(s):

- 10 • ~~“Tool for the demonstration and assessment of additionality”;~~
11 • “Tool to calculate project, baseline and/or leakage emissions from electricity consumption”;
12 • “Tool to calculate project or leakage CO₂ emissions from fossil fuel consumption.”

13 For more information regarding the proposed new methodologies and the tools as well as their
14 consideration by the Executive Board please refer to <<http://cdm.unfccc.int/goto/MPappmeth>>.

15 **Selected approach from paragraph 48 of the CDM modalities and procedures**

16 Existing actual or historical emissions, as applicable.

17 **Definitions**

18 For the purpose of this methodology, the following definitions apply:

19 **Mass Rapid Transit Systems (MRTS or MRT systems)** are collective urban or suburban passenger
20 services operating at high levels of performance, especially with regard to travel times and passenger
21 carrying capacity. They can be based on elevated, surface level or underground roads or rail systems.
22 MRTS can be rail-based systems such as subways/metros, Light Rail Transit (LRTs) systems, including
23 trams, or suburban heavy duty rail systems or road-based bus systems. For the purpose of this
24 methodology road-based MRTS are bus systems using bus-lanes (see below the definition of a bus lane),
25 which can also be called Bus Rapid Transit (BRT) systems.

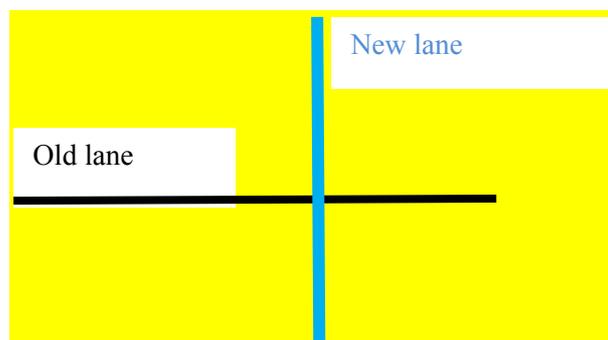
26 **Bus rapid transit (BRT) system** is a collective urban or sub-urban passenger transit service system that
27 is bus-based, uses bus lanes for trunk routes, and operates at high levels of performance, especially with
28 regard to travel times and passenger carrying capacity.

29 **Bus lane** (or trunk route) refers to a segregated lane, where only buses are allowed to operate. Private
30 vehicles are not allowed to use the bus lane. Exceptions, such as emergency vehicles can apply. Bus lanes
31 need not necessarily be physically separated from other traffic lanes. If no physical separation is realized
32 then it must be ensured that enforcement takes place to prevent the usage of the bus lane by other
33 vehicles. It is not a requirement that 100% of the route is a bus-only lane as buses might share part of the
34 lanes with other modes of transport e.g. at traffic crossings, bridges, tunnels, in narrow parts or on roads
35 with limited traffic e.g. in suburban parts of the city. However to qualify for this methodology more than
36 half of the included bus route must be a bus-only lane.

37 **Extensions of bus lanes** refers to situations where the same bus operates on the previously existing lane
38 and the extended lane, i.e. passengers do not need to change from one bus to another bus to use the
39 extended bus lane. The entire bus lane is thus composed of an existing or “old lane” and a “lane
40 extension” (latter is the project activity).



41
42 **New bus lanes** are bus lanes on which buses are operated that are different than buses operated on the
43 previously existing lanes. New bus lanes might share certain stations with an existing lane but passengers
44 will have to switch buses, if their trip involves stations on the “existing” and the “new” lane.



45
46 **Feeder routes** refer to bus routes which have intersections with trunk routes and which “feed” passengers
47 on the trunk routes. Feeder routes are those with less passenger demand and which operate under mixed
48 traffic conditions.

49 **City** is a permanent settlement defined by its administrative boundaries and includes surrounding suburbs.

50 **Rebound Effect** is the term used to describe the effect that the BRT has on changing ‘consumer
51 behaviour’ leading to additional trips. The rebound effect describes the effect that consumption (i.e. in
52 this case the number and length of trips) may increase if prices decline or the quality of the service
53 improves. If the BRT project reduces traffic congestion or improves the quality of transportation and
54 reduces travel time, therefore reducing opportunity costs, it tends to increase the number and/or length of
55 trips undertaken.

56 **Applicability**

57 The methodology is applicable to project activities that reduce emissions through the construction and
58 operation of a **Bus Rapid Transit (BRT)** system for urban road based transport. The methodology is also
59 applicable for extensions or expansions of existing BRT systems (adding new routes and lines).

60 The following applicability conditions apply:

- 61 ● The project has a clear plan to reduce existing public transport capacities either through
62 scrapping, permit restrictions, economic instruments or other means and replacing them by a BRT
63 system;
- 64 ● Local regulations do not constrain the establishment or expansion of a BRT system;



- 65 • Any fuels, including (liquified) gaseous fuels or biofuel blends, as well as electricity, can be used
66 in the baseline or project case. The following conditions¹ apply:
- 67 ○ In the case of biofuels, project buses must use the same biofuel blend (same percentage
68 of biofuel) as commonly used by conventional comparable² urban buses in the country,
69 i.e. the methodology is not applicable if project buses use higher or lower blends of
70 biofuels than those used by conventional buses. In addition, the project busses shall not
71 use a significantly higher biofuel blend than cars and taxis.³
- 72 • The project activity BRT system is road-based. The baseline public transport system and other
73 public transport options are road- or rail-based (the methodology excludes air and water-based
74 systems from analysis). However, the methodology is not applicable if the project activity BRT
75 system replaces an urban rail-based Mass Rapid Transit System (MRTS), i.e. if the MRTS stops
76 operating after project implementation due to the project activity;
- 77 • ~~The BRT system partially or fully replaces a traditional public transport system in a given city.
78 The methodology cannot be used for BRT systems in areas where currently no public transport is
79 available;~~

80 The methodology is applicable if the analysis of possible baseline scenario alternatives leads to the result
81 that a continuation of the use of the current public modes of transport system is the baseline scenario that
82 reasonably represents the anthropogenic emissions by sources of greenhouse gases (GHG) that would
83 occur in the absence of the proposed project activity (i.e. the baseline scenario).

84 ~~This baseline methodology shall be used in conjunction with the approved monitoring methodology
85 AM0031 (Monitoring methodology for Bus Rapid Transit project).~~

86 **Summary description**

87 ~~Bus Rapid Transit (BRT) is a bus-based mass transit system that delivers fast, comfortable, and cost-
88 effective urban mobility. A BRT system can reduce greenhouse gas emissions via:~~

- 89 • ~~Improved fuel use efficiency through new and larger buses;~~
- 90 • ~~Mode switching due to the availability of a more efficient and attractive public transport system;~~
- 91 • ~~Load increase by having a centrally managed organisation dispatching vehicles;~~
- 92 • ~~Potentially a fuel switch to low carbon fuels.~~

93 ~~BRT systems replace conventional public transport systems. The new bus system transports passengers
94 who, in absence of the project, would have used the conventional public transport system or other modes
95 of transport such as passenger cars. A reduction or retirement of some of the conventional buses through
96 scrapping, reduction of permits⁴ or market-based instruments⁵ is thus an integral part of this methodology.~~

¹ No provisions to calculate upstream emissions from the production of biofuels are provided in order to keep the methodology simple. Therefore, in order to ensure that the calculated emission reductions are conservative, this applicability condition aims to limit the use of the methodology to cases where the upstream emissions under the project activity are likely to be equal or lower than in the baseline scenario. Note that other methodologies involving fuel switch situations usually require the consideration of upstream emissions.

² Comparable means of the same fuel type e.g. project buses using diesel are compared with conventional buses using diesel etc. The comparison is made for each year of monitoring based on official fuels sold. The term commonly used refers to the majority of units.

³ Project proponents wishing to consider project busses with a higher biofuel blend may propose a revision of this methodology based on future EB guidance on biofuels use.

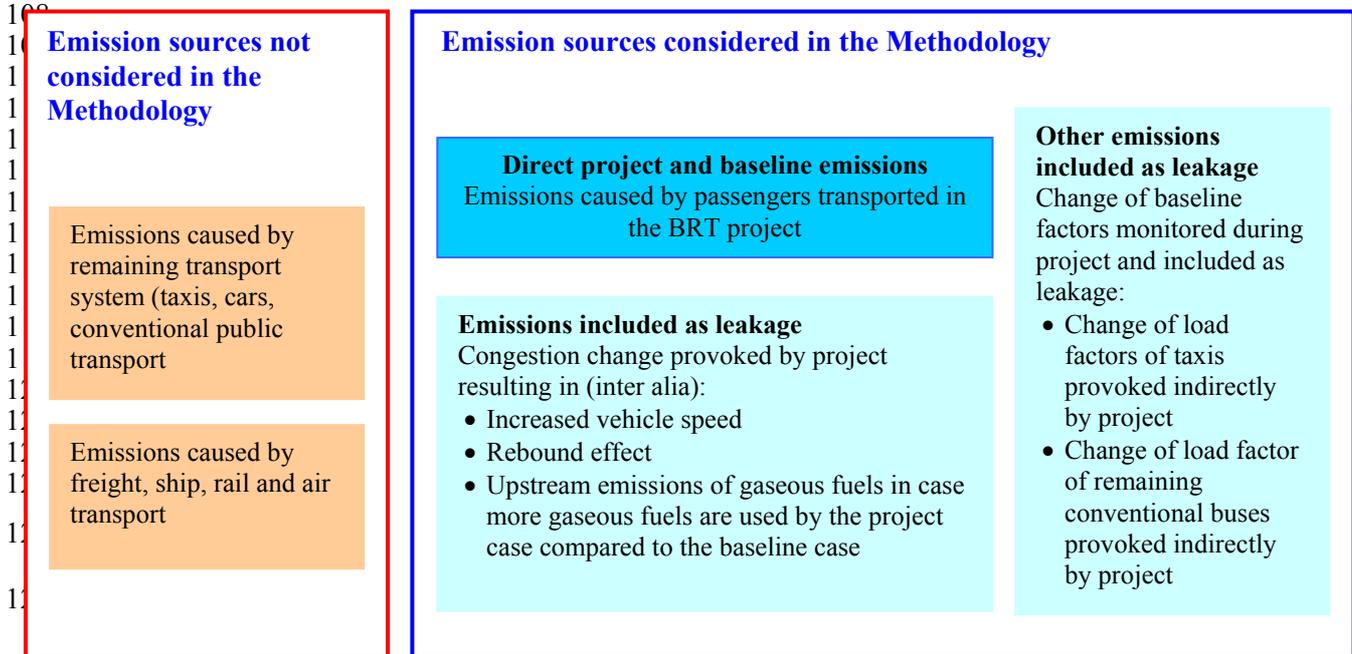
⁴ Permits to operate certain routes given by the corresponding authority.

97 **II. BASELINE METHODOLOGY PROCEDURE**

98 **Project Boundary**

99 The project boundary is defined by the passenger trips completed on the BRT project that is part of the
100 public and private road-based passenger transport sector of the city in which the project is realized. The
101 physical delineation is determined by the outreach of the new BRT or public or private urban passenger
102 transport project.

103 In case of using electricity from an interconnected grid or captive power plant for the propulsion of the
104 transport systems included in the project boundary, the project boundary also includes the power plants
105 connected physically to the electricity system that supply power to those transport systems. Please refer to
106 the “Tool to calculate baseline, project and/or leakage emissions from electricity consumption”.



126

127

Figure 1: Project Boundary

⁵ Incentives or disincentives; A market based strategy is also to simply let the rule of supply and demand work i.e., the reduced demand for conventional non-BRT bus transport will automatically lead to a reduced supply through less passengers i.e., less income and thus a drop in the profit rate for operating buses.

128

Table 1: Emissions sources included in or excluded from the project boundary

	Source	Gas	Included?	Justification / Explanation
Baseline	Mobile source emissions of different modes of road transport for passengers which use BRT system (buses, passenger cars, motorcycles, taxis)	CO ₂	Yes	Main-Major emission source
		CH ₄	Yes	Included only if gaseous fuels are used and excluded for liquid fuels. CH ₄ emissions are a minor emission source of the total CO ₂ e emissions in diesel/gasoline vehicles. Neglecting these emissions in baseline as well as project emissions is conservative as fuel consumption and thus also CH ₄ emissions are reduced through the project
		N ₂ O	Yes No	N ₂ O emissions are a minor source of the total CO ₂ e emissions in diesel/gasoline vehicles. Neglecting these emissions in baseline as well as project emissions is conservative as fuel consumption and thus also N ₂ O emissions are reduced through the project
Project Activity	BRT bus emissions (feeder and trunk routes)	CO ₂	Yes	Main-Major emission source
		CH ₄	Yes	Included only if gaseous fuels are used. See explanation above
		N ₂ O	Yes No	See explanation above

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Identification of the Baseline Scenario

Step 1: Identify all options available that meet the same requirement as the proposed project activity

Alternatives assessed include, but not limited to:

- A continuation of the current public transport system;
- The project proposal (BRT system) not implemented as a CDM project activity;
- Rail or water-based systems;
- Comprehensive re-organization of the transport system.

Step 2: Analyze all options identified in Step 1 using the latest version of the “Tool for the demonstration and assessment of additionality”

Step 3: If Step 2 results in more than one possible alternative baseline scenario, the most likely baseline scenario is the scenario with the lowest baseline emissions

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142 This methodology is only applicable if the identified baseline scenario is continuation of the current
143 public transport system up to the end of the crediting period. Baseline emissions are those corresponding
144 to existing actual or historical emissions by sources in the baseline scenario and are calculated *ex post*.
145 The parameter “emissions per passenger per trip” (or per passenger per km) is taken to measure the
146 efficiency of the current system in respect to GHG emissions.

147 **Additionality**

148 The additionality of the project is determined using the latest approved version of the “Tool for the
149 demonstration and assessment of additionality”.

150 The following steps are used without repeating the details described in the above mentioned tool:

151 **Step 1:** Identification of alternatives to the project activity consistent with current laws and regulations.
152 Alternatives included are at minimum a continuation of the current public transport system and the BRT
153 system proposed as project. All alternatives, which are potentially viable, are included in the further steps
154 and may represent the baseline scenario.

155 **Step 2:** In cases where the BRT project is fully privately financed (including roads, infrastructure etc) or
156 where the public financed component is fully repaid on commercial terms through tariffs charged to
157 system users the financial analysis as described in the tool under Step 2 can be used.

158 If the BRT project is financed partially through public funding, the cost-benefit calculations of the public
159 sector should include external costs and benefits such as the macroeconomic impact of reduced
160 congestion or reduced health costs due to reduced air pollution. The relative comparison shall be made to
161 other transport investment opportunities.

162 **Step 3:** Barrier analysis including typical barriers in public transport projects:

- 163 • Financial or investment barriers due to resource constraints of public bodies while having many
164 potential investment opportunities aside from transport such as investment in health, education,
165 social welfare etc;
- 166 • Prevailing practice barriers if such projects are first in its kind in the region or country;
- 167 • Resistance to change from the existing transport operators and resistance to change from an
168 informal to a formal transport system. Transport operators in many countries are a powerful body
169 and fear reduced profits;
- 170 • Political resistance or political risk to implement continuously such projects. Urban public
171 transport projects are in general realized in phases. Public authorities however change office and
172 often projects are abandoned after one phase as the political benefit of additional phases is limited
173 and new administrations tend to prefer new projects to reap the related publicity benefits;
- 174 • Technological or organizational barriers e.g., if buses with new technologies (e.g., CNG) are
175 introduced or latter require special fuel (e.g., low sulphur diesel) or the new transport system
176 requires sophisticated management not available currently.

177 Depending on the project either Step 3 (barrier analysis) or a combination of Step 2 and 3 is undertaken.
178 Where the BRT project is fully privately financed (including roads, infrastructure etc) or where the
179 publicly financed component is fully repaid on commercial terms through tariffs charged to system users,
180 the project proponent should use both investment analysis and barrier analysis. If the infrastructure is
181 fully publicly financed or not being repaid on commercial terms, project proponents may use a barrier
182 analysis only.



183 In many BRT systems only operational costs excluding infrastructure costs are taken as a basis when
184 calculating the tariffs while the infrastructure is paid through other means (e.g. general government
185 revenues or special fuel taxes). The PDD should indicate the sources of financing for the investment, and
186 whether or not these are repaid on commercial terms.

187 *Step 4: Common practice analysis assessing the number of similar projects that exist in comparable*
188 *project contexts without the CDM.*

189
190 *Step 5: Impact of CDM registration*
191 *The Meth panel would like to invite for comments on the new approach to identify the baseline*
192 *scenario and demonstrate additionality and the appropriateness of the benchmarks used in this new*
193 *approach. The panel would also welcome data and analysis with regard to the values used in the*
194 *approach.*

195 Identification of the baseline Scenario

196
197 Project proponents shall demonstrate, through the analysis of alternatives, that the baseline scenario is the
198 continuation of the use of current modes of transport and that the existing transport system is sufficient to
199 meet the transportation demand that will be met by the project system. In this analysis, project proponents
200 shall identify all options available that meet the same transportation demand as the project system.

201 *Step 1: Identify all options available that meet the same requirement as the proposed project activity*

202 Alternatives assessed include, but not limited to:

- 203 • A continuation of the current public transport system;
- 204 • The project proposal (BRT system) not implemented as a CDM project activity;
- 205 • Rail or water-based systems;
- 206 • Comprehensive re-organization of the transport system.

207 Additionality demonstration

208 BRT projects implemented in least developed countries (LDC) are deemed to be automatically additional.

209 For other countries, project participants shall demonstrate additionality through the application of the
210 following steps, which are also illustrated in **Error! Reference source not found.**

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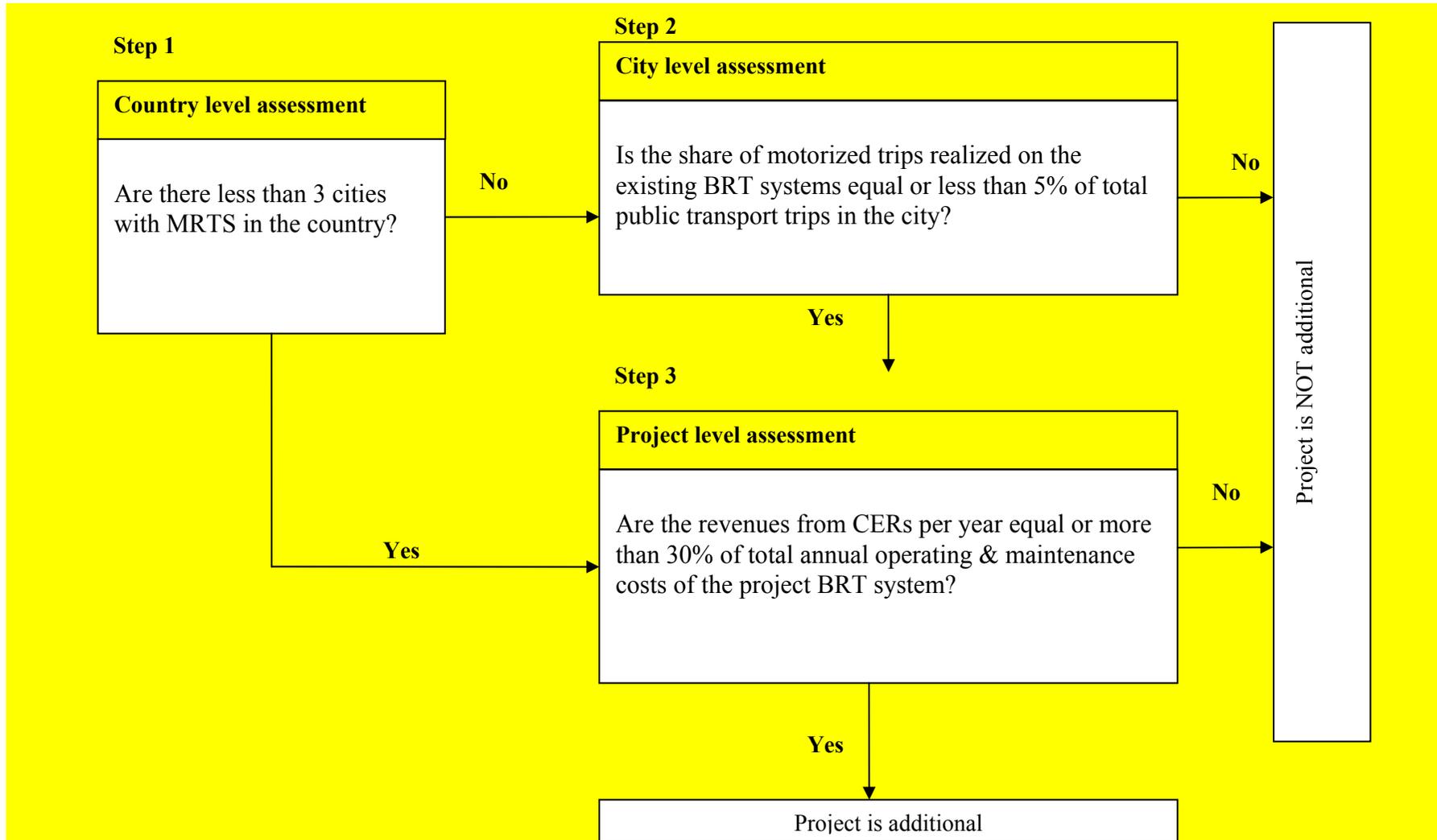


Figure 1: Additionality demonstration



213 **Step 1: Assessment of common practice at country level**

214 This step aims to determine whether the proposed CDM project activity is common practice in the host
215 country where the project is proposed to be implemented. For this purpose, project participants shall
216 assess whether there are less than 3 cities with MRT systems that started commercial operation in the
217 host country of the proposed CDM project activity prior to the start of the CDM project activity.

218 Identify all cities with MRTS that have started commercial operation in the host country prior to the
219 start of the CDM project activity. Project participants shall include a brief description of each system
220 in the CDM-PDD.

221 Identify which MRT systems were developed as CDM project activities in the host country (registered
222 project activities and project activities which have been published on the UNFCCC website for global
223 stakeholder consultation as part of the validation process) and exclude the first three such MRT
224 systems from the assessment of common practice in this step.

225

226 ***If the number of cities with MRTS (excluding the first three systems developed as CDM project***
227 ***activities) is equal to or exceeds 3 cities, then projects participants should proceed to Step 2,***
228 ***otherwise project participants should proceed to Step 3 of the additionality demonstration test (see***
229 ***Error! Reference source not found.).***

230 **Step 2: Assessment of common practice at city level**

231 This step aims to determine whether the proposed project activity is common practice in the city where
232 the CDM project activity is proposed to be implemented. For this purpose, project participants shall
233 assess whether the share of trips realized on the existing BRT system(s) in the city is equal or less than
234 5% of total public transport trips in the city.

235 Provide a breakdown of the total public transport trips realized in the city by the shares of trips
236 realized on different public transport categories, distinguishing between the following public transport
237 categories:

- 238 • Metro;
- 239 • Sub-urban rail;
- 240 • Light transit rail including trams;
- 241 • Conventional bus system;
- 242 • BRTs.

243 Project participants shall describe in the CDM-PDD a list of the existing public transport systems in
244 the city that have started commercial operation prior to the start of the CDM project activity and
245 identify to which of the public transport categories they belong. Project participants shall include a
246 brief description of each system and also determine and document in the CDM-PDD the shares of
247 motorized trips realized on each public transport category, expressed in percentages of the total
248 motorized trips realized on all public transport systems in the city.

249 Identify which BRT systems were developed as CDM project activities in the host city (registered
250 project activities and project activities which have been published on the UNFCCC website for global
251 stakeholder consultation as part of the validation process) and exclude the first three such BRT
252 systems from the assessment of common practice in this step.

253 ***If the share of trips realized on the existing BRT system (excluding the first three systems developed***
254 ***as CDM project activities) exceeds 5% of total public transport trips in the city, then the proposed***



255 **CDM project activity is not additional. If the share of trips is equal or below 5% or if no BRT**
 256 **systems have been implemented in the city prior to the start of the project activity, then project**
 257 **participants should proceed to Step 3.**

258 **Step 3: Financial assessment at project level**

259 The aim of this step is to determine whether the revenues from CERs per year constitute a significant
 260 proportion of the total operating and maintenance costs of the project BRT. For this purpose, the
 261 project participants shall assess whether the revenues from CERs per year are equal to or more than
 262 30% of the total operating and maintenance costs of the project BRT.

263 Project participants shall provide an assessment of the *ex-ante* estimated revenues from CERs per year
 264 expected to be generated by the proposed project activity. For this assessment, the price of CERs
 265 should be taken as the average secondary CER price for the full year prior to the start of the proposed
 266 project activity. In case the project participants signed a contract with a CER buyer, the CER price
 267 from this contract can be used for calculations.

268 Project participants shall document and describe transparently the operational and maintenance cost
 269 components that are taken into account and provide an estimate of the total expected operating and
 270 maintenance costs of the proposed project activity per year, justifying relevant assumptions.

271 An indicative list of operational and maintenance cost categories that project proponents should
 272 include in the analysis are presented in Table below for BRTs. Depending on the specific
 273 circumstances of the proposed project activity, operational and maintenance cost components of a
 274 particular BRT system may differ from those listed in Table , which is provided as an example.

275 **Table 2: Operational and maintenance cost components of BRTs**

Item	Unit of accounting for cost calculation
Fixed operating costs	
Driver salaries	Employees/vehicle
Salaries of mechanics	Employees/vehicle
Salaries of administrative personnel and supervisors	Employees/vehicle
Other administrative expenses	% of variable costs + maintenance + personnel
Fleet insurance	% of value of vehicle/year
Variable operating costs	
Fuel	Liters/ 100 km m ³ of natural gas/100 km
Tires	
• New tires	Units/ 100,000 km
• Retreading	Units/ 100,000 km
Lubricants	
• Motor	Liters /10,000 km
• Transmission	Liters /10,000 km
• Differential	Liters /10,000 km
• Grease	Kilograms/10,000 km
Maintenance	% value of vehicle/year

276 Source: GTZ 2005. Mass transit options.

277



278 ***If the revenues from CERs are equal to or more than 30% of the total operating and maintenance***
279 ***costs of the BRT proposed as a CDM project activity, then the proposed CDM project activity is***
280 ***additional. Otherwise, the proposed CDM project activity is not deemed additional.***
281

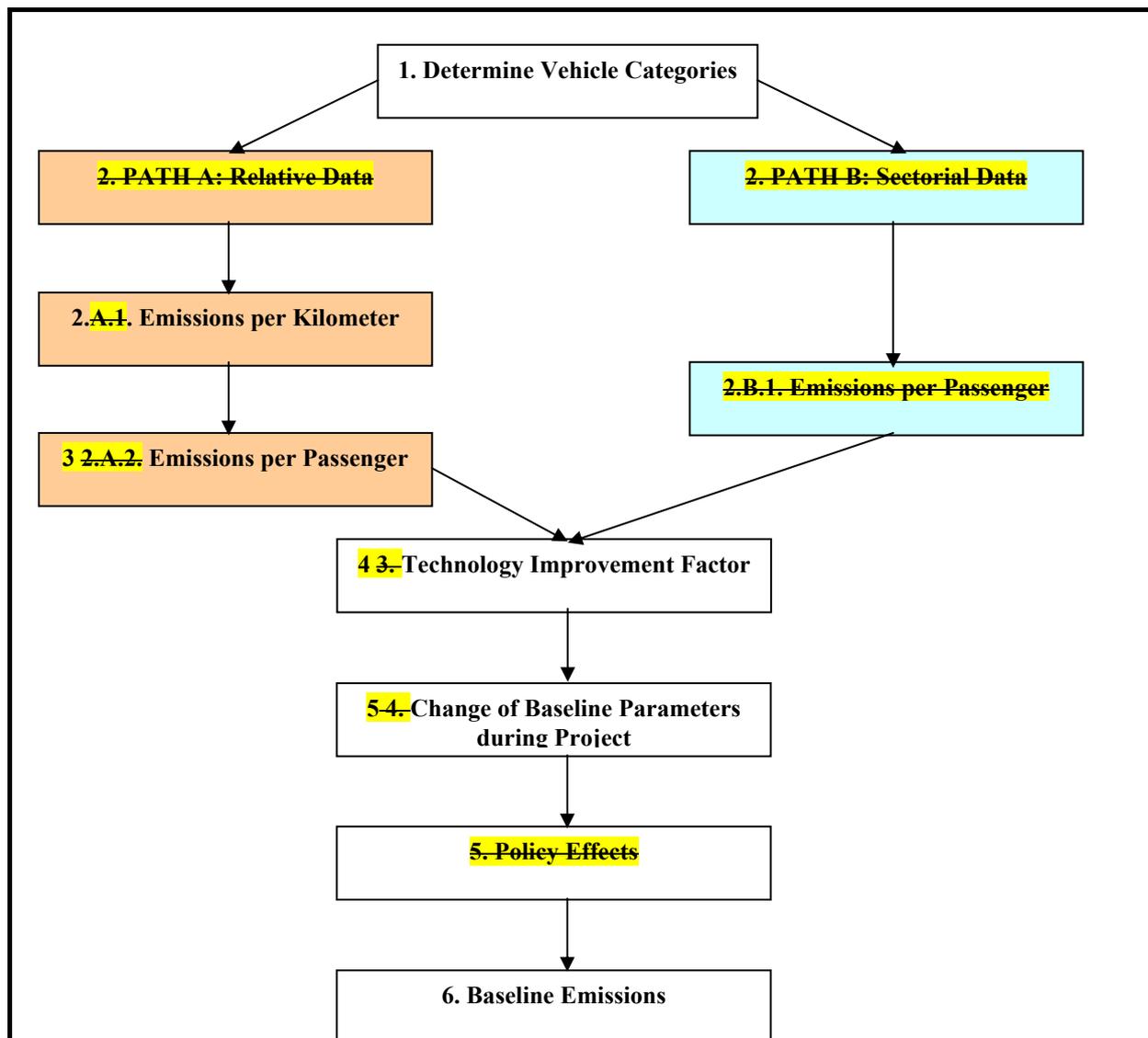
282 When validating the application of this additionality demonstration test, Designated Operation Entities
283 (DOEs) shall carefully assess and verify the reliability and credibility of all data, rationales,
284 assumptions, justifications and documentation provided by project participants to support the
285 demonstration of additionality. The elements and data checked during this assessment and the
286 conclusions shall be documented transparently in the validation report.

287 **Baseline emissions**

288 Baseline emissions are estimated using two main steps:

- 289 (1) Determination of emissions per passenger transported per vehicle category: This is calculated
290 *ex ante*, including the usage of a fixed technology change factor. The baseline emission factor
291 is adapted to potential changes in trip distance and type of fuel used by passenger cars if the
292 surveys indicate that changes in trip distance or fuel type used would lead to lower baseline
293 emission factors;
- 294 (2) Baseline emissions: are estimated *ex post* based on the passengers transported by the project
295 and their modal split. Core baseline parameters used for calculating the baseline emission
296 factors are reviewed through an annual survey, with changes only being applied if the baseline
297 emissions factors would be lower than the original factor. The system operator records
298 passenger numbers.

299 Note: If the project does not generate credits for the modal **switch shift**, it need not determine
300 emissions per passenger using passenger cars, taxis or motorcycles. The annual modal survey will also
301 not include these categories or questions related directly to these categories (change of trip distance of
302 passenger cars or fuel type of passenger cars). The survey will, however, include the categories of
303 public transport, non-motorised transport (NMT), and induced traffic (i.e. categories with emission
304 factors lower than the project, to ensure that emission reductions are not overstated).



339 **Figure 2: Determination of Baseline Emissions**

340 Two methodological alternatives or paths can be used to determine the baseline emission per
341 passenger transported:

342 (A) As a function of emissions per kilometre and passengers per kilometre;

343 (B) As a function of sectoral fuel consumptions per vehicle category and passengers transported.

344 A mixed approach can also be used i.e., approach A for certain vehicle categories and approach B for
345 others. The criteria for selecting of the approach are data availability and data quality.

346 Note: — Alternative B should only be chosen if the project proponent can ascertain that full
347 consumption data as well as total passenger transported data are consistent (spatial, and vintage) and
348 complete. This shall be verified by the DOE at validation.



349 **Baseline emissions are determined through a sequence of the following steps:**

350 **1. Determine Vehicle Categories**

351 Identify relevant vehicle categories, which include:

- 352 • Buses, differentiating large, medium and small buses, if appropriate;
- 353 • Passenger cars;
- 354 • Taxis;
- 355 • Motorcycles.

356 Criteria for identifying the categories are as follows:

- 357 • At a minimum, public transport, non-motorised transport and induced traffic have to be
358 included;
- 359 • Conditions to include categories ~~are that there are~~ with reliable data on fuel consumption and
360 load factors;
- 361 • Only include categories that are relevant for the BRT project. If the project will only generate
362 credits from public transport without modal ~~switch~~ shift, then passenger cars, taxis and
363 motorcycles need not be included;
- 364 • Differentiate relevant fuel types for each category. Diesel, gasoline and gas (CNG or LPG) are
365 listed separately if a minimum of 10% of vehicles of the respective category use such a fuel,
366 while the threshold for zero-emission⁶ fuels is minimum 1%. The 10% threshold is justified, as
367 GHG emission differentials between diesel, gasoline and gaseous fuels are less than 20%;
- 368 • In case of a system extension, the currently operating system is not included as a vehicle
369 category.

370 **2.A. Calculate Emissions Per Passenger Based on Relative Data**

371 **2.A.1. Determine Emissions per Kilometre for Vehicle Categories**

372 CO_{2e} emissions per kilometre are calculated, fixed *ex ante* for the project period, based on the
373 consumption of each fuel type, the CO_{2e} emissions per litre of fuel and the fraction of vehicles using
374 the specific fuel type.

- 375 • CO₂ emissions are ~~developed~~ estimated on the basis of the carbon content of the fuel;
- 376 • CH₄ and N₂O emission factors: CH₄ emissions are a function of the fuel and engine type, and
377 any post-combustion controls. N₂O emissions are technology based for each fuel type, vehicle
378 category, installed control technologies and local data such as average driving speeds,
379 temperatures, and altitude. The emission factors are transformed into CO_{2eq} using GWP
380 factors approved by the Conference of the Parties to the UNFCCC. CH₄ and N₂O emissions
381 from gaseous fuels shall be accounted for. They can be ignored for liquid fuels, such as diesel
382 and gasoline, as CH₄ and N₂O emissions constitute a minor emission source for liquid fuels.

⁶ Zero-emission in the context of operating emissions and not well-to-wheel or life-cycle emissions; this includes hydrogen.



383 Two methods are possible to determine the relevant CH₄ and N₂O emission factors:

- 384 (1) Local measured emission factors based on a reliable data source to be detailed in the PDD;
- 385 (2) The pre-determined default value per vehicle category is used (described later in this
- 386 section). The default value per vehicle category is the technology with the lowest sum of
- 387 CO_{2eq} emissions of N₂O and CH₄. This ensures a conservative approach.

388 Alternative 1 is preferred. However, using the default value is a conservative approach. Using fixed

389 and average values is also justified as CH₄ as well as N₂O emissions in vehicles account, on average,

390 for less than 1-2% of total CO_{2e} emissions.

391 The default parameters per vehicle category for CH₄ and N₂O are presented in the Appendix in gCO_{2e}

392 per litre of fuel consumed.

393 If electricity is used by vehicles the emissions are calculated based on the latest approved version of

394 the “Tool to calculate project, baseline and or leakage emissions from electricity consumption”.

395 In case biofuel blends are used the biofuel share is calculated with a CO_{2eq} emission factor equal to

396 zero.

397 This equation calculates emissions per km for vehicles of different vehicle categories.

$$398 \quad EF_{KM,i} = \sum_x \left[SEC_{x,i} \times (EF_{CO_2,x} + EF_{CH_4,x} + EF_{N_2O,x}) \times \left(\frac{N_{x,i}}{N_i} \right) \right] \quad (1)$$

399

400 Where:

$EF_{KM,i}$	=	Transport emissions factor per distance of vehicle category i (gCO _{2e} per kilometer driven)
$SEC_{x,i}$	=	Specific energy consumption of fuel type x in vehicle category i (litre per kilometer)
$EF_{CO_2,x}$	=	CO ₂ emission factor for fuel type x (gCO ₂ per litre)
$EF_{CH_4,x}$	=	CH ₄ emission factor for fuel type x (gCO _{2e} per litre, based on GWP)
$EF_{N_2O,x}$	=	N ₂ O emission factor for fuel type x (gCO _{2e} per litre, based on GWP)
N_i	=	Total number of vehicles in category i
$N_{x,i}$	=	Number of vehicles in vehicle category i using fuel type x

401 If fewer less than 10% of vehicles in a specific vehicle category are gasoline, diesel, CNG or LPG

402 powered then this respective fuel can be omitted for simplicity purposes. In alternative vehicles the

403 threshold value is less than 1%.

404 **Two methodological alternatives are proposed for the fuel consumption data (in order of**

405 **preference):**

- 406 • Alternative 1: Measurement of fuel consumption data using a representative sample for the
- 407 respective category and fuel type. To ensure a conservative approach the top 20% of the
- 408 sample is not included in calculations lower 95% confidence level of the sample measurement
- 409 shall be taken;
- 410 • Alternative 2: Use of fixed values based on the national or international literature. The
- 411 literature data can either be based on measurements of similar vehicles in comparable
- 412 surroundings (e.g., from comparable cities of other countries) or may include identifying the
- 413 vehicle age and technology of average vehicles circulating in the project region and then

414 matching this with the most appropriate IPCC default values. The most important proxy to
415 identify vehicle technologies is the average age of vehicles used in the area of influence of the
416 project. To determine if either US or European default factors apply either local vehicle
417 manufacturer information can be used (in the case of having a substantial domestic vehicle
418 motor industry) or a source of origin of vehicle imports.

419 A technical improvement factor is thereafter introduced. The technology improvement factor results in
420 dynamic emission factors for the different units. See Step 3.

421 **3.2.A.2. Calculate Emissions per Passenger per vehicle Category**

422 This step calculates emission factors showing the emissions per passenger per average trip for each
423 vehicle category.

424 This equation is used to determine the emissions per passenger transported for passenger cars, taxis or
425 motorcycles. All data used is determined *ex ante* project. A change in the occupancy rate of taxis is
426 registered as leakage of the project.

$$427 \quad EF_{P,i} = \frac{EF_{KM,i} \times TD_i}{OC_i} \quad (2)$$

428 Where:

- $EF_{P,i}$ = Transport emissions factor per passenger before project start, where $i = C$
(passenger cars), M (motorcycles) or T (taxis) (grams per passenger)
- $EF_{KM,i}$ = Transport emissions factor per distance of category i (gCO₂e per kilometer driven)
- OC_i = Average vehicle occupancy rate of vehicle category i ⁷ (passengers)
- TD_i = Average trip distance for vehicle category i (kilometers)

$$429 \quad EF_{P,Z} = \frac{EF_{KM,Z,S} \times DD_{Z,S} + EF_{KM,Z,M} \times DD_{Z,M} + EF_{KM,Z,L} \times DD_{Z,L}}{P_Z} \quad (3)$$

430 Where:

- $EF_{P,Z}$ = Transport emissions factor in buses for before project start (grams per passenger)
- $EF_{KM,Z,S}$ = Emissions from small buses (gCO₂e per kilometer)
- $DD_{Z,S}$ = Total distance driven by small buses (kilometer)
- $EF_{KM,Z,M}$ = Emissions from medium buses (gCO₂e per kilometer)
- $DD_{Z,M}$ = Total distance driven by medium buses (kilometer)
- $EF_{KM,Z,L}$ = Emissions from large buses (gCO₂e per kilometer)
- $DD_{Z,L}$ = Total distance driven by large buses (kilometer)
- P_Z = Passengers transported by buses in the baseline

431 The time period for the number of passengers and the distance they travel must be equal (e.g., one year
432 or one month). All data used is determined *ex ante* project. A change in the occupancy rate of buses is
433 registered as leakage of the project.

⁷ In the case of taxis the driver is not counted and only passengers are included in the occupancy rate.

434 **2.B. Calculate Emission Factor Based on Sector Data**

435 This approach is based on sector fuel consumption data and differentiates fuel consumption per fuel
436 type for all different vehicle categories such as identified in the first step.

437 Following conditions apply to using this alternative:

- 438 • A study on sector fuel consumption separating the vehicle categories is available with a
439 confidence interval of minimum 95% (i.e., maximum error margin of 5%);
- 440 • The geographic region of the project can be separated well;
- 441 • Data for fuel consumption must have the same year/time period and the same geographic
442 boundaries as data of passengers transported;
- 443 • Data must be cross-checked with total fuel consumption of the region.

444 Calculates the emission factor per passenger for different vehicle categories.

$$445 \quad EF_{P,i} = \frac{\sum [TC_{x,i} \times (EF_{CO_2,x} + EF_{CH_4,x} + EF_{N_2O,x})]}{P_i} \quad (4)$$

446 Where:

$EF_{P,i}$	=	Transport emissions factor in vehicle category <i>i</i> before project start (grams per passenger)
$TC_{x,i}$	=	Total consumption of fuel type <i>x</i> by vehicle category <i>i</i> (litres)
$EF_{CO_2,x}$	=	CO ₂ emission factor for fuel type <i>x</i> (gCO ₂ per litre)
$EF_{CH_4,x}$	=	CH ₄ emission factor for fuel type <i>x</i> (gCO ₂ e per litre, based on GWP)
$EF_{N_2O,x}$	=	N ₂ O emission factor for fuel type <i>x</i> (gCO ₂ e per litre, based on GWP)
P_i	=	Passengers transported by category <i>i</i> in the baseline

447 **3. Technological Change**

448 Under business as usual conditions emission factors per vehicle category per fuel type may change due
449 to:

- 450 • Vehicles are replaced with more efficient ones;
- 451 • Vehicles in stock tend to increase emissions based on wear and tear.

452 For simplicity purposes, a constant average improvement rate per annum is established per vehicle
453 category. The improvement rate is applied to each calendar year. The *Y* year 0 is the year for which
454 specific or sector fuel consumption data was collected or determined. Emissions per vehicle category
455 are multiplied with the corresponding technology improvement factor. The default technology
456 improvement factors per vehicle category are included in the appendix A.

457 4. Change of Baseline Parameters during the Pproject crediting period

458 The change of baseline parameters is only necessary if the project includes a modal-switch shift
459 (change from passenger cars, motorcycles or taxis to BRT). In this case, some parameters used for
460 calculating the baseline emission factors could change over time:

- 461 • The load factor or the number of passengers per vehicle. The load factor is potentially
462 influenced indirectly by the project. This factor is included in the monitoring of leakage of the
463 project and thus not included in the baseline calculations;
- 464 • The distance driven by passengers using the BRT system might change or not be equivalent to
465 the average distance driven used to calculate the baseline emission parameter. This factor is
466 monitored through the annually conducted survey ~~conducted annually~~ of passengers using the
467 project system (see corresponding monitoring methodology);
- 468 • Type of fuel used by passenger cars. This factor is only relevant for people who have switched
469 from cars to public transport. The annual passenger survey monitors the fuel used by
470 passengers switching from passenger cars to the BRT system and adjusts the corresponding
471 baseline emission factor for passenger cars.

472 The methodology only takes into account those changes in passenger emission factors into account if
473 these are reduced that lead to a reduction in baseline emissions.

474 Details of the survey used for data on to be conducted to monitor the changes of in trip distances as
475 well as for and the changes of in the fuel types used by passenger cars are included in the monitoring
476 methodology section.

477 The baseline emissions per passenger trip for taxis, passenger cars and motorcycles are adjusted
478 annually with a correction factor for changing trip distances.

$$479 \quad CD_{i,y} = \frac{TD_{i,y}}{TD_i} \quad (4)$$

480 Where:

- $CD_{i,y}$ = Correction factor for changing trip distance in category i for the year y , where i
= T (taxis), C (passenger cars) or M (motorcycles)
- TD_i = Average trip distance in kilometers in category i before the project start
- $TD_{i,y}$ = Average trip distance in kilometers in category i in year y

481 Note: The adjustment is only made if $TD_{i,y} < TD_i$ to ensure a conservative approach.⁸

482 4.1. Change of Fuel Used by Passenger Cars

483 For passengers that, in absence of the project, would have used a passenger car, the type of fuel used
484 by their cars is determined via a survey (see Monitoring Methodology). Equation (1) is used to re-
485 calculate the new emission factors for passenger cars. The same threshold values for fuel types apply
486 as described in Step 1 (determination of vehicle categories).

⁸ Larger distances would increase baseline emissions per passenger trip. The project emissions of resulted from larger trip distances are however fully recorded as project emissions are based on total fuel consumed.



487 The applicability condition for applying this change in fuel type used for passenger cars is:
488 $EF_{KM,C,y} < EF_{KM,C}$. In other words, the baseline emission factor is only changed, if the new emission
489 factor is lower than the original emission factor.

490 Note: This question, and the corresponding adjustment in the emissions factor estimation, is only
491 included in the survey, if modal **switch-shift** from passenger cars and the associated emission
492 reductions are included in the project.

493 **5. Policy Effects**

494 ~~Only policies with a measurable impact on GHG emissions shall be considered. Project participants~~
495 ~~need to assess if policies might have effects on various parameters. To remain conservative the full~~
496 ~~impact monitored is attributed to the policy.⁹ All relevant policies and their impact are included in the~~
497 ~~baseline from the date of their planned implementation.¹⁰ However, broad development strategies and~~
498 ~~concepts are not considered if they do not have a legally binding character including as minimum an~~
499 ~~implementation date, enforcement procedures and clear activities.~~

500 ~~The project proponent shall analyse all policies following these steps:~~

- 501 ~~(1) Identification of policies with a potential impact on GHG emissions of the current transport~~
502 ~~system;~~
- 503 ~~(2) Has the policy been legally adopted with a clear implementation date? If no implementation~~
504 ~~date is given then the policy is not further considered. If the date is fixed and within the time~~
505 ~~frame of the project proposed then the policy is included in the analysis;~~
- 506 ~~(3) Assess the potential impact of the policy on any of the baseline parameters listed above;~~
- 507 ~~(4) Introduce a correction factor if required. The correction factor must be determined to achieve a~~
508 ~~conservative result.~~

509 ~~A general equation for introducing policy aspects cannot be stated at the level of a methodology as this~~
510 ~~element is project specific.~~

511 ~~Policies and their implementation data are assessed *ex ante*. Monitoring shall be carried out on a~~
512 ~~regular basis for policies affecting parameters of the baseline. This involves:~~

- 513 ~~(1) Assessing new and enforced policies, which could significantly affect the modal split of~~
514 ~~passengers in the project area. This is defined here as policies which expect to change the~~
515 ~~modal split by 5% or more towards public transport. If several policies, which change the~~
516 ~~modal split, are enforced during the project's crediting period then the cumulative effect of~~
517 ~~these policies must be superior to 5 percentage points. This threshold value only applies to~~
518 ~~policies affecting the modal split. The expected modal split change is based on calculation or~~
519 ~~targets realized by the policy proponents (i.e., the ministry or governmental authority in~~
520 ~~charge of the policy). If such a policy has been enforced in year x , a year where no survey has~~
521 ~~been carried out, the modal split of the most recent year prior to that no survey is realized, and~~
522 ~~the modal split of the year $x-1$ is applied to all passengers using the system;~~

⁹ E.g., a new policy to reduce private vehicles will potentially have an impact on the modal split. The full change of the modal split will be accounted as a result of the policy even though this could also be influenced by other factors e.g. improved supply of public transport.

¹⁰ Policies, which potentially have an impact, include mainly fuel policies (e.g., compulsory usage of bio-fuel blends), fiscal policies (e.g., differential fuel taxes according to carbon contents), and transport policies (e.g., promotion of Non-Motorized Transport or car restriction policies).



523 ~~(2) Assessing new and enforced policies that change the fuel usage of vehicles (either fuel type or~~
524 ~~regulations concerning maximum fuel usage). This potentially changes the emission factor~~
525 ~~per distance driven of vehicles;~~

526 ~~(3) Assessing any other policy which results in a measurable and verifiable manner in a change of~~
527 ~~a parameter used for calculating baseline emissions such as a compulsory technology change~~
528 ~~by establishing and enforcing maximum vehicle ages.~~

529 Determination of Baseline Emissions

530 The baseline emissions for all passengers transported are calculated. This is differentiated according to
531 the mode of transport, which the person would have used in absence of the project. Passengers
532 transported are determined through the project (activity level of the project). The system operator shall
533 report the total amount of passengers transported by the project.

$$534 \quad BE_y = \sum_i (EF_{P,i,y} \times P_{i,y}) \quad (5)$$

535 Where:

BE_y = Baseline emissions in year y (tCO₂e)

$EF_{P,i,y}$ = Transport emissions factor per passenger in vehicle category i in year y (grams per passenger)

$P_{i,y}$ = Passengers transported by the project (BRT) in year y that without the project activity would have used category i , where $i = Z$ (buses, public transport), T (taxis), C (passenger cars), rail-based urban mass transit (R) or M (motorcycles)¹¹ (millions of passengers)

$$536 \quad EF_{P,i,y} = EF_{P,i} \times IR_{i,t} \times CD_{i,y} \quad (6)$$

537 Where:

$EF_{P,i,y}$ = Transport emissions factor per passenger in vehicle category i in year y (grams per passenger)

$EF_{P,i}$ = Transport emissions factor per passenger before project start (grams per passenger)

$CD_{i,y}$ = Correction factor for changing trip distance in category i for the year y , where $i = T$ (taxis), C (passenger cars) or M (motorcycles)

$IR_{i,t}$ = Technology improvement factor at year t for vehicle category i

t = **Age Vintage in years** of fuel consumption data (**in years**) used for calculating the emission factor in year y ¹²

538 See applicability condition for $CD_{i,y}$ (Equation 5: The adjustment is only made if $TD_{i,y} < TD_i$). For
539 passenger cars, $EF_{KM,C,y}$ is annually adjusted as described **under heading in Section 4.1** above,
540 considering the applicability condition of reduced emissions per kilometer.

541 Emissions from passengers **which who** in absence of the project would have used rail-based mass
542 transit systems (R) are counted as $EF_{P,R,y} = 0$ grams per passenger.

$$543 \quad P_{i,y} = P_y \times S_{i,y} \quad (7)$$

¹¹ NMT and **induced transport (IT)** are not included as emissions are 0 for this category in the baseline.

¹² E.g., “t=7” for the year 2007 if the fuel data is from the year 2000.



544 Where:

$P_{i,y}$ = Passengers transported by the project which in absence of the latter would have used transport type i , where $i = Z$ (buses, public transport), T (taxis), C (passenger cars), M (motorcycles), NMT (non-motorized transport), R (rail-based urban mass transit) and IT (induced transport, i.e., would not have traveled in absence of project) (millions)

P_y = Total passengers transported by the project monitored in year y (millions)

$S_{i,y}$ = Share of passengers transported by the project which in absence of latter would have used transport type i , where $i = Z$ (buses, public transport), T (taxis), C (passenger cars), M (motorcycles), NMT (non-motorized transport), R (rail-based urban mass transit) and IT (induced transport, i.e., would not have traveled in absence of project) (%)

545 If the project does not include an estimate of credits for modal shift then the survey only includes the
546 categories of public transport, NMT, rail-based urban mass transit and induced traffic. Details of the
547 survey are found in the appendix B.

548 Induced travel is included in leakage calculations (induced travel in passenger cars) as well as in the
549 baseline (induced travel in public transport).

550 **Sensitivity Analysis**

551 A sensitivity analysis is carried out for data and parameters, which are used to calculate baseline as
552 well as project emissions (at minimum where uncertainty level of data is considered moderate or high).
553 The PDD shall identify data with this level of uncertainty. The sensitivity analysis shall also identify
554 potential critical parameters and to further discuss these in the PDD.

555
556 The sensitivity analysis made shall be based on calculating the change of the data parameter that
557 would be required to reduce emission reductions by 5%. This value gives an indication of the
558 magnitude of change of the data parameter required to significantly change calculated emission
559 reductions. A sensitivity analysis shall be undertaken at a minimum for the load factor and for the
560 modal distribution.

561 Steps to carry out the sensitivity analysis include:

562 (1) Identify all data with moderate or high uncertainty levels;

563 (2) Carry out a sensitivity analysis on these parameters calculating the level of change of the
564 parameter required to reduce emission reductions by 5% below that originally estimated;

565 (3) Assess the result in light of possible data uncertainty:

566 • The parameter change required is considered as highly improbable. The PDD needs to
567 deliver the arguments why this is considered improbable;

568 • The parameter change is considered as plausible. In this case the maximum plausible
569 change must be incorporated in the parameter to assure for a conservative calculation of
570 emission reductions e.g. if fuel consumption values for the baseline could also be 20%
571 lower and would change the emission reductions by more than 5% then the PDD must use
572 a parameter for fuel consumption which is 20% lower than the original data indicates.

573 **Project emissions**

574 The project emissions are only from the new **project** transport system. All emissions from trips
575 undertaken in the new system need to be included (i.e., both on trunk routes and feeder lines).

576 Total emissions can be calculated in one of **the** two ways, depending on data availability. If records
577 exist, the data quality of both alternatives is equal. Reliable data are, e.g., based on electronic
578 measurement of fuel consumption or data monitored by the bus company managing the units. For both
579 alternatives, specific fuel consumption data (i.e., consumption per distance driven) needs to be
580 crosschecked in the QA system. Cross-checks include a comparison over time within the same
581 company, as well as a comparison with, e.g., other companies operating **in the** BRT systems using the
582 same type of buses.

583 **Alternative A: Use of Fuel Consumption Data**

584 This alternative is based on the total fuel consumed. **For BRTs using liquid fossil fuels, the project**
585 **emissions from fossil fuel consumption shall be estimated using the latest version of the “Tool to**
586 **calculate project or leakage CO₂ emissions from fossil fuel consumption.” The following guidance is**
587 **provided for applying the tool:**

- 588 • The parameter $PE_{FC,i,y}$ in the tool corresponds to the project emissions from the project
589 transport system that uses fossil fuels in year y ; and
- 590 • Element process j corresponds to the combustion of fuel type x in the project vehicles.

591 **For BRTs using gaseous fossil fuels, the project emissions from fossil fuel consumption shall be**
592 **estimated according to the following equation:**

$$593 \quad PE_y = \sum_x [TC_{PJ,x,y} \times (EF_{CO_2,x} + EF_{CH_4,x} + EF_{N_2O,x})] \quad (8)$$

594 Where:

PE_y	=	Project emissions in year y (tCO ₂ e)
$TC_{PJ,x,y}$	=	Total consumption of fuel type x in year y by the project (million litres)
$EF_{CO_2,x}$	=	CO ₂ emission factor for fuel type x (gCO ₂ per litre)
$EF_{CH_4,x}$	=	CH ₄ emission factor for fuel type x (gCO ₂ e per litre, based on GWP)
$EF_{N_2O,x}$	=	N ₂ O emission factor for fuel type x (gCO ₂ e per litre, based on GWP)

595 For BRTs using electricity, the emissions from electricity consumption are based on the latest
596 approved version “Tool to calculate baseline, project and/or leakage emissions from electricity
597 consumption”¹³.

598 **Alternative B: Use of Specific Fuel Consumption and Distance Data**

599 This alternative uses as a basis fuel efficiency data (i.e. consumption per kilometre driven).

$$600 \quad EF_{KM,j,y} = \sum_x [SEC_{j,x,y} \times (EF_{CO_2,x} + EF_{CH_4,x} + EF_{N_2O,x})] \quad (9)$$



601 Where:

- $EF_{KM,j,y}$ = Transport emissions factor per distance for project bus category j in year y (gCO₂e per kilometer)
- $SEC_{j,x,y}$ = Specific energy consumption of fuel type x in project bus category j in year y (litre per kilometer)
- $EF_{CO_2,x}$ = CO₂ emission factor for fuel type x (gCO₂ per litre)
- $EF_{CH_4,x}$ = CH₄ emission factor for gaseous fuel type x (gCO₂e per litre, based on GWP)
- $EF_{N_2O,x}$ = N₂O emission factor for gaseous fuel type x (gCO₂e per litre, based on GWP)

602 Fuel-efficiency data is derived from annual data reported by the bus companies operating the units
 603 either of all units or of a representative sample of comparable units (comparable technology, vintage
 604 and size). To ensure a conservative approach, all data with specific fuel consumption values which are
 605 more than 20% lower than the average specific fuel consumption of comparable units are omitted from
 606 calculations. The specific fuel consumption of comparable vehicles, if based on sample measurement,
 607 should be taken as the upper 95% confidence level of the sample measurement conducted. This
 608 ensures a conservative approach, as providing that project emissions are potentially not overstated.

609 If the CDM project includes only parts of a larger activity, the fuel used for the CDM project is
 610 separated from the total fuel used. The separation is done (in order of preference) by the following
 611 means:

- 612 • By operators: This method is used if certain operators are assigned to certain parts of the
 613 project;
- 614 • By distance driven: The fuel share for each part of the project is based on the share of
 615 kilometers per project part;
- 616 • By passengers: The fuel share for each part of the project is based on the share of passengers
 617 per part of the project (based on the entry points of passengers).

618 Total project emissions are calculated from the following equation.

$$619 \quad PE_y = \left[(EF_{KM,TB,y} \times DD_{TB,y}) + (EF_{KM,FB,y} \times DD_{FB,y}) \right] \quad (10)$$

620 Where:

- PE_y = Project emissions in year y (tCO₂e)
- $EF_{KM,TB,y}$ = Transport emissions factor per distance for trunk buses in year y (gCO₂e per kilometer)
- $DD_{TB,y}$ = Total distance driven by trunk buses in year y (million kilometers)
- $EF_{KM,FB,y}$ = Transport emissions factor per distance for feeder buses in year y (gCO₂e per kilometer)
- $DD_{FB,y}$ = Total distance driven by feeder buses in year y (million kilometers)

621 Leakage

622 The following leakage sources are addressed:

- 623 (1) Change of in load factor of the baseline transport system due to the project, i.e., the project
 624 potentially influences the occupancy rate of the remaining vehicles. This is monitored on a
 625 regular basis during project execution in the year 1 and 4 of the crediting period;



626 (2) Reduced congestion in remaining roads, provoking higher average vehicle speed, plus a
 627 rebound effect. The total impact of congestion is ~~calculated ex ante~~ monitored in the year 1
 628 and 4 of the crediting period, in case the implementation of the project activity leads to a
 629 reduction of road space (e.g., the project utilises an existing road by separating one of its lanes
 630 to be exclusively used by the project BRT), and not monitored, in case the implementation of
 631 the project activity does not lead to a reduction of road space (e.g., the project provides a new
 632 road infrastructure);

633 (3) In case of more gaseous fuel are used in the project than in the baseline case, the upstream
 634 emissions of gaseous fuels should be included. No leakage emissions should be included if in
 635 the baseline more or an equal amount of gaseous fuel are used than in the project as this would
 636 lead to negative leakage (conservative approach).

637 For the sake of a conservative approach, leakage is only considered if the total annual effect is to
 638 reduce estimated emission reductions.

639 1. Change of in Load Factor

640 The project could have a negative impact on the load factor of taxis or the remaining conventional bus
 641 fleet. Load factor changes in the baseline public transport system of taxis and buses are thus monitored
 642 in the year 1 and 4 of the crediting period. Leakage is only included if the load factor changes by more
 643 than 10 percentage points, as certain variations in the load factor caused by external circumstances are
 644 normal. The methodology also considers load factor changes in taxis if they are included as vehicle
 645 category by the project, thus claiming credits from a modal shift from taxis to the BRT system. In the
 646 case of lower load factors, it is assumed that this change has occurred immediately after the last
 647 measurement, and the leakage calculation for this year includes the sum of load-factor leakage of all
 648 years since the last monitoring. This ensures a conservative approach. To avoid the risk of having to
 649 include *ex post* leakage from former years, the project proponent can monitor the load factor annually.

$$650 \quad ROC_{i,y} = \frac{OC_{i,y}}{CV_{i,y}} \quad (11)$$

651 Where:

$ROC_{i,y}$ = Average occupancy rate relative to capacity in category i in year y , where
 $i = Z$ (buses) or T (taxis)

$OC_{i,y}$ = Average occupancy of vehicle in category i in year y (persons)

$CV_{i,y}$ = Average capacity of vehicle i in year y (persons)

652 In the case of public transport, the occupancy rate is measured in relation to the bus capacity, as bus
 653 sizes may change over time or before/after project. $ROC_{i,y}$ shall be monitored directly through visual
 654 surveys.

655 This equation determines leakage emissions from change of load factors in buses.

$$656 \quad LE_{LF,Z,y} = EF_{KM,Z} \times VD_Z \times N_{Z,y} \times \left(1 - \frac{ROC_{Z,y}}{ROC_{Z,0}} \right) \quad (13)$$

$$657 \quad LE_{LF,Z,y} = EF_{KM,Z} \times VD_Z \times N_{Z,y} \times \left(1 - \frac{ROC_{Z,y}}{ROC_{Z,0}} \right) \times 10^{-6} \quad (12)$$



658 Where:

- $LE_{LF,Z,y}$ = Leakage emissions from change of load factor in buses in year y (tCO₂e)
 $EF_{KM,Z}$ = Baseline transport emissions factor per distance for buses (gCO₂e per kilometer)
 VD_Z = Annual distance driven per vehicle for buses before the project start, determined *ex ante* (kilometres)
 $N_{Z,y}$ = Number of buses in the conventional transport system operating in year y
 $ROC_{Z,y}$ = Average occupancy rate relative to capacity of conventional buses in year y , based on the most recent study of occupancy rates
 $ROC_{Z,0}$ = Average occupancy rate relative to capacity of buses before start of project

659

$$VD_Z = \frac{\sum_{k=S,M,L} DD_{Z,k}}{\sum_{k=S,M,L} N_{Z,k}} \quad (14)$$

$$VD_Z = \frac{\sum_{k=S,Md,L} DD_{Z,k}}{\sum_{k=S,Md,L} N_{Z,k}} \quad (13)$$

662 Where:

- VD_Z = Distance driven per bus before the project start (kilometers)
 $DD_{Z,k}$ = Total distance driven by buses of size k (kilometers)
 $N_{Z,k}$ = Number of buses in the conventional transport system of size k , where S , Md and L stands for small, medium and large buses, respectively

663 Note: If $ROC_{Z,0} - ROC_{Z,y} \leq 0.1$ then $LE_{LF,Z,y} = 0$, i.e., if the occupancy rate of buses is not reduced
 664 by more than 0.1 then the project has had no negative effect (leakage).

665 This equation determines leakage emissions from a change of in load factors in of taxis.

$$LE_{LF,T,y} = EF_{KM,T} \times VD_T \times N_{T,y} \times \left(1 - \frac{OC_{T,y}}{OC_{T,0}} \right) \quad (14)$$

667 Where:

- $LE_{LF,T,y}$ = Leakage emissions from change of load factor in taxis in year y (tCO₂e)
 $EF_{KM,T}$ = Transport emissions factor per distance of taxi baseline (gCO₂e per kilometer)
 VD_T = Distance driven per taxi on average before the project starts (kilometres)
 $N_{T,y}$ = Number of taxis operating in year y
 $OC_{T,y}$ = Average occupancy rate of taxi for the year y (passengers only: Driver not counted)
 $OC_{T,0}$ = Average occupancy rate of taxi before project start (passengers only: Driver not counted)



668 Note: If $OC_{T,0} - OC_{T,y} \leq 0.1$ then $LE_{LF,T,y} = 0$, i.e. if the occupancy rate of taxis is not reduced by
669 more than 0.1 then the project has had no negative effect (leakage).

670 The measurement of the occupancy rate is based on representative surveys, which register all taxis
671 passing the survey points. Taxis without passengers are counted as “0” occupancy rate. Only
672 circulating taxis are counted.

673 2. Impact of Reduced Congestion on Remaining Roads

674 An implementation of a BRT project may have differing overall impacts on congestion. On the one
675 hand, a project BRT system may be implemented on an existing road by dedicating one of the lanes of
676 the road to be exclusively used by the project BRT (with an exception of emergency vehicles). This
677 will result in a reduced road space available to the vehicles operating on that road prior to the project
678 activity, which, in turn, may increase the congestion on that reduced road space and, therefore, lead to
679 higher emissions. On the other hand, an implementation of the project BRT may provide a new road
680 infrastructure. In this case, the project BRT will likely attract passengers from conventional modes of
681 transport and reduce the number of vehicles on the affected roads and, therefore reduce congestion. A
682 ~~BRT project reduces buses on the road and thus potentially reduces congestion. In this case, R~~reduced
683 congestion ~~has~~ may have the following impacts relevant for GHG emissions:

- 684 • “Rebound effect” leading to additional trips and thus higher emissions;
- 685 • Higher average speeds and less stop-and-go traffic leading to lower emissions.

686 Therefore, ~~if a project leads to increased congestion, then all equations presented can be used~~
687 ~~equally. The effects will simply be reversed, i.e., the lower average speed and increased stop-and-go~~
688 ~~traffic will lead to increased emissions while the rebound effect will lead to less induced traffic than~~
689 ~~under BAU.~~ a reduced road space available to the existing modes of transport by dedicating a portion
690 of an existing road to BRT lanes, then the congestion impact shall be monitored in the years 1 and 4 of
691 the crediting period following the procedure described below. If the project does not lead to a reduced
692 road space and provides a new road infrastructure for the project BRT system, then the congestion
693 impact shall not be monitored and this type of leakage shall not be accounted for in emission reduction
694 calculations assuming its overall impact to be equal to 0 (no leakage).

695 Steps to Address Congestion Impact

696 Two elements need to be considered:

- 697 • Trunk roads can potentially reduce the space of remaining roads. The proportion of reduced
698 road space available to passenger cars has to be calculated;
- 699 • Conventional buses are retired thus freeing road space. The proportion of retired buses and the
700 proportion of public transport in road space have to be determined.

701 The additional impact of new and longer trips shall be assessed via the direct application of a “capacity
702 elasticity”, i.e., percentage additional cars resulting from a percentage change in road capacity.

703 *Step 1: Calculate additional road-space available*

704 This equation determines the additional road space available in year y if good quality data is available.

$$705 \quad ARS_y = \sum_{w=1\dots y} \frac{BSCR_w}{N_z} \times SRS - \frac{RSB - RSP}{RSB} \quad (15)$$



- 706 Where:
- ARS_y = Additional road space available in year y (in percentage)
- $BSCR_w$ = Bus units scrapped by project in year w , where $w = 1$ to y (NB: if buses are not scrapped the estimated amount of retired buses is taken)
- N_z = Number of buses in use in the baseline (units)
- SRS = Share of road space used by public transport in the baseline (in percentage)
- RSB = Total road space available in the baseline (lane-kilometers)
- RSP = Total available road space in the project (= RSB minus kilometre of lanes that where reduced due to dedicated bus lanes) (lane-kilometers)

707 If $ARS_y < 0$, then we have a reduced road space in that year, and thus increased emissions due to
708 reduced vehicle speed, but reduced emissions due to a negative “rebound effect”.

709 This equation is required to determine SRS if no recent and good quality study is available which has
710 calculated this parameter.

$$711 \quad SRS = \frac{DD_z}{DD_z + DD_T + DD_C} \quad (16)$$

- 712 Where:
- SRS = Share of road space used by public transport in the baseline (in percentage)
- DD_z = Total distance driven by public transport buses baseline (kilometers)
- DD_T = Total distance driven in kilometers by taxis baseline (kilometers)
- DD_C = Total distance driven in by passenger cars baseline (kilometers)

713 For all distance variables the same vintage of data, the same spatial scope and the same time-span
714 (e.g., one month or one year) is required.

715 **Step 2: Assess the rebound impact of the additional road space**

716 This equation calculates leakage emissions from additional/longer trips (“rebound effect”).

$$717 \quad LE_{TRIPS,y} = ITR \times ARS_y \times TR_C \times TD_C \times EF_{KM,C} \times D_y \quad (17)$$

- 718 Where:
- $LE_{TRIPS,y}$ = Leakage emissions from additional and/or longer trips in year y (tCO₂e)
- ITR = Elasticity factor for additional and/or longer trips: the factor is fixed at 0.1
- ARS_y = Additional road space available (percentage)
- TR_C = Number of daily trips realized by passenger cars baseline (number)
- TD_C = Average trip distance for passenger cars (kilometers)
- $EF_{KM,C}$ = Transport emissions factor per distance of passenger cars before the project start (gCO₂e per kilometer) (see Equation 2)
- D_y = Number of days buses operate in year y (days)



719 The impact is calculated as immediately although the short-term reaction of induced traffic is
720 significantly lower than the long-term (3 years+) reaction.

721 **Step 3: Assess the impact of changing vehicle speed from passenger cars**

$$722 \quad LE_{SP,y} = TR_C \times TD_C \times [EF_{KM,VP,C} - EF_{KM,VB,C}] \times DW_y$$

$$723 \quad LE_{SP,y} = TR_C \times TD_C \times [EF_{KM,VP,C,y} - EF_{KM,VB,C}] \times DW_y \quad (18)$$

724 Where:

- $LE_{SP,y}$ = Leakage emissions from change in vehicle speed in year y (tCO₂e)
 TR_C = Number of daily trips realized by passenger cars baseline (number)
 TD_C = Average trip distance driven by passenger cars (kilometers)
 $EF_{KM,VP,C}$ = Transport emissions factor per distance for passenger cars at project speed in year in y (gCO₂ per km)
 $EF_{KM,VB,C}$ = Transport emissions factor per distance for passenger cars at baseline speed (gCO₂ per km)
 DW_y = Number of days per year in year y

725 The new vehicle speed is calculated based on the number of retired vehicles or additional available
726 road space. The project proponent can either use a speed dependency factor developed with an
727 officially recognized methodology for the project region (with the corresponding documentation to
728 ensure a good quality; if latter is available this would be the first preference) or use as default relation
729 the speed dependency factor Passenger Cars (gCO₂ per km) developed by CORINAR. If the project
730 has no data on speed changes or current speed, then it is assumed that the speed impact is equal to 0.

731 CORINAR speed emission factor equation:

$$732 \quad EF_{KM,m,C} = 135.44 - 2.314 \times V + 0.0144 \times V^2$$

$$733 \quad EF_{KM,VB,C} = (135.44 - 2.314 \times V_B + 0.0144 \times V_B^2) \times NCV_x \times EF_{CO2,x} \quad (19)$$

$$734 \quad EF_{KM,VP,C,y} = (135.44 - 2.314 \times V_{P,y} + 0.0144 \times V_{P,y}^2) \times NCV_x \times EF_{CO2,x} \quad (20)$$

735 Where:

- $EF_{KM,m,C}$ = Transport emissions factor per distance for passenger cars traveling at speed m (gCO₂ per km)
 $EF_{KM,VB,C}$ = Transport emissions factor per distance for passenger cars traveling at baseline speed V_B prior to the start of the project activity (gCO₂ per km)
 $EF_{KM,VP,C,y}$ = Transport emissions factor per distance for passenger cars traveling at project speed V_P in year y (gCO₂ per km)
 V = Vehicle speed (km/h); calculated both for the project speed (VP) and baseline speed (VB)



V_B	=	Baseline speed of passenger cars prior to the start of the project activity (km/h)
$V_{P,y}$	=	Project speed of passenger cars in year y (km/h)
NCV_x	=	Net calorific value of fuel type x
$EF_{CO_2,x}$	=	CO ₂ emission factor of fuel type x

736 **Step 4: Sum of Congestion Impacts and Determination of Leakage Factor**

737 The sum of the rebound and the speed impact is included as leakage. The congestion impact is **only**
738 **calculated ex-ante** monitored in years 1 and 4 of the crediting period in case the project BRT leads to a
739 **reduction of road space, as stated in the requirement above.**

$$740 \quad LE_{CONG,y} = LE_{TRIPS,y} + LE_{SP,y} \quad (21)$$

741 Where:

$LE_{CONG,y}$	=	Leakage emissions from reduced congestion in year y (tCO ₂ e)
$LE_{TRIPS,y}$	=	Leakage emissions from additional and/or longer trips in year y (tCO ₂ e)
$LE_{SP,y}$	=	Leakage emissions from change in vehicle speed in year y (tCO ₂ e)

742 **3. Upstream Emissions of Gaseous Fuels**

743 Upstream leakage of gaseous fuels is only included if project vehicles consume more gaseous fuels
744 than baseline vehicles. In this case and to simplify calculations the upstream leakage included is based
745 only on project gaseous fuels used. The following leakage emission sources shall be considered:

- 746 • Fugitive CH₄ emissions associated with fuel extraction, processing, liquefaction,
747 transportation, re-gasification and distribution of natural gas used in the project plant and
748 fossil fuels used in the grid in the absence of the project activity;
- 749 • In the case LNG is used in the project plant: CO₂ emissions from fuel combustion/electricity
750 consumption associated with the liquefaction, transportation, re-gasification and compression
751 into a natural gas transmission or distribution system.

752 Thus, leakage emissions are calculated as follows:

$$753 \quad LE_{UP,y} = LE_{CH_4,y} + LE_{LNG,CO_2,y} \quad (22)$$

754 Where:

$LE_{UP,y}$	=	Leakage upstream emissions of gaseous fuels during the year y in t CO ₂ e
$LE_{CH_4,y}$	=	Leakage emissions due to fugitive upstream CH ₄ emissions in the year y in t CO ₂ e
$LE_{LNG,CO_2,y}$	=	Leakage emissions due to fossil fuel combustion/electricity consumption associated with the liquefaction, transportation, re-gasification and compression of LNG into a natural gas transmission or distribution system during the year y in t CO ₂ e

755 Emissions due to fugitive upstream CH₄ emissions

$$756 \quad LE_{CH_4,y} = TC_{PJ,NG,y} \times NCV_{NG,y} \times EF_{NG,upstream,CH_4} \times GWP_{CH_4} \quad (23)$$

757 Where:

$L_{CH_4,y}$	=	Leakage emissions due to upstream fugitive CH ₄ emissions in the year y in tCO ₂ e
$TC_{PJ,NG,y}$	=	Quantity of natural gas used by project units in the year y in m ³
$NCV_{NG,y}$	=	Net calorific value of the natural gas used by the project during the year y in GJ/m ³
$EF_{NG,upstream,CH_4}$	=	Emission factor for upstream fugitive methane emissions from production, transportation and distribution of natural gas in tCH ₄ /GJ
GWP_{CH_4}	=	Global warming potential of methane valid for the relevant commitment period

758 Where reliable and accurate national data on fugitive CH₄ emissions associated with the production,
759 transportation and distribution of NG is available, project participants should use this data. Where such
760 data is not available, project participants may use the default values provided by IPCC (latest version).
761 The NCV is based on local, regional or national data or on IPCC default values.

762 CO₂ emissions from LNG

763 Where applicable, CO₂ emissions from fuel combustion / electricity consumption associated with the
764 liquefaction, transportation, re-gasification and compression of LNG into a natural gas transmission or
765 distribution system ($LE_{LNG,CO_2,y}$) should be estimated by multiplying the quantity of natural gas
766 combusted in the project system with an appropriate emission factor, as follows:

$$767 \quad LE_{LNG,CO_2,y} = TC_{PJ,NG,y} \cdot EF_{CO_2,upstream,LNG} \quad (24)$$

768 Where:

$LE_{LNG,CO_2,y}$	=	Leakage emissions due to fossil fuel combustion/electricity consumption associated with the liquefaction, transportation, re-gasification and compression of LNG into a natural gas transmission or distribution system during the year y in t CO ₂ e
$TC_{PJ,NG,y}$	=	Quantity of natural gas used by project units during the year y in TJ
$EF_{CO_2,upstream,LNG}$	=	Emission factor for upstream CO ₂ emissions due to fossil fuel combustion/electricity consumption associated with the liquefaction, transportation, re-gasification and compression of LNG into a natural gas transmission or distribution system in t CO ₂ /TJ

769 Where reliable and accurate national data on fugitive CH₄ emissions associated with the production,
770 transportation and distribution of LNG is available, project participants should use this data. Where
771 such data is not available, project participants may use the default values provided by IPCC (latest
772 version).

773 **Total Leakage**

$$774 \quad LE_y = LE_{UP,y} + LE_{LF,Z,y} + LE_{LF,T,y} + LE_{CONG,y} \quad (25)$$

775 Where:

LE_y	=	Emissions leakage in year y (tCO ₂ e)
$LE_{UP,y}$	=	Leakage upstream emissions of gaseous fuels during the year y (tCO ₂ e)



- $LE_{LF,Z,y}$ = Leakage emissions from change of load factor in buses in year y (tCO₂e)
 $LE_{LF,T,y}$ = Leakage emissions from change of load factor in taxis in year y (tCO₂e)
 $LE_{CONG,y}$ = Leakage emissions from reduced congestion in year y (tCO₂e)

776 If $LE_y < 0$, then leakage is not included;

777 If $LE_y > 0$, then leakage is included.

778

779 The impact of induced traffic (additional trips) provoked through the new transport system is
 780 addressed directly in the project emissions and is not part of the leakage. This is addressed by
 781 including as project emissions the trips of passengers, ~~which who~~, in absence of the BRT project,
 782 would not have realized the trip.

783 Emission reductions

$$784 \quad ER_y = BE_y - PE_y - LE_y \quad (26)$$

785 Where:

- ER_y = Emission reductions in year y (tCO₂e)
 BE_y = Baseline emissions in year y (tCO₂e)
 PE_y = Project emissions in year y (tCO₂e)
 LE_y = Leakage emissions in year y (tCO₂e)

786 ~~Changes required for methodology implementation in 2nd and 3rd crediting periods~~

787 ~~The revision at the end of the first crediting period in preparation for the next crediting period shall~~
 788 ~~include an assessment of:~~

- 789 ~~• The applicability conditions for the approved methodology shall still be valid at the time of~~
 790 ~~the revision;~~
- 791 ~~• Project participants shall evaluate the institutional and legal conditions, particularly related~~
 792 ~~with environmental and fuel regulations governing the project, to determine whether original~~
 793 ~~baseline conditions still apply.~~

794 Crediting period

795 ~~The implementation of the methodology is limited to a 10 year crediting period.~~

796 **Data and Parameters not monitored**

797 In addition to the parameters listed in the tables below, the procedures contained in the tools referred
798 to in this methodology also apply.

Data / Parameter:	SEC_{x,i}
Data Unit	litres/km, kWh/km, kg/km, m ³ /km
Description	Specific fuel efficiency
Source of Data	Specific studies conducted by the project proponent, IPCC or international literature
Measurement Procedure	The result should be checked for consistency against manufacturer data and default IPCC values (alternative for baseline estimation; see baseline methodology)
Comments	For vehicle categories. Based either on local measurements or international data from comparable regions or IPCC values adapted to local circumstances. In case of bio-fuel blends being used, the biofuel share must be transparently recorded and emissions are only calculated on the fossil share of the blend.

799

Data / Parameter:	DD_{Z,S}, DD_{Z,M}, DD_{Z,L}, DD_T
Data Unit	km
Description	Total distance driven by all vehicles in category
Source of Data	Official statistics
Measurement Procedure	In general various official sources are available (vehicle registration data, transportation statistics). For QA it is important to have the same data source for items N _{i,x} , SEC _{x,i} and P _i if calculations are related
Comments	Statistics is based, in general, on samples. Required for all sub-categories of baseline buses and taxis and potentially other categories. To ensure consistency, it is important to have the same data source for distance driven and passengers for public transport. Data can be either with or without the informal sector as long as above mentioned parameters are from the same data source. In general, data including only the formal sector is of a better data quality and should thus be taken.

800

Data / Parameter:	P_i
Data Unit	Passengers
Description	Passengers transported in the baseline by vehicle category <i>i</i>
Source of Data	Official statistics. Vintage maximum 3 years
Measurement Procedure	In general various official sources are available (vehicle registration data, transportation statistics). The same data source should be taken as for DD _{Z,S} , DD _{Z,M} , DD _{Z,L} , DD _T to ensure data consistency
Comments	This is for the calculation of the emission factor for the baseline and is not for calculating the total baseline emissions. The latter are calculated based on the passengers transported by the project. It is important to have the same data source for distance driven (DD _{Z,S} , DD _{Z,M} , DD _{Z,L} , DD _T) and passengers (P _i) to ensure consistency. Data can be either with or without the informal sector as long as above-mentioned parameters are from the same data source. In general data including only the formal sector is of better data quality and should thus be taken

801



802

Data / Parameter:	P_v
Data Unit	Passengers
Description	Passengers transported by the project
Source of Data	Municipal transit authorities or specific studies done by the project proponent or a third party. Data vintage maximum 3 years
Measurement Procedure	Statistics is based on electronic or mechanic measurements and is cross-checked against financial receipts from the sale of tickets
Comments	Statistics of transit management unit show the number of passengers transported by the project in total. This is based on electronic or mechanical measurement of all passengers using the system. Used to calculate ex-post the baseline emissions and to fulfil the applicability conditions

803

Data / Parameter:	SRS
Data Unit	%
Description	Share of road space used by public transport baseline
Source of Data	Official statistics or studies conducted by the project proponent or a third party
Measurement Procedure	Based on calculations made for urban infrastructure and transport scenarios or on the calculation method provided using data on the distance driven by various vehicle categories
Comments	Used for urban transport and infrastructure models; see baseline equations for the calculation of SRS if the data is not available from reports. The share of road space used by public transport is a figure often calculated in transport studies. If no reliable data is available as proxy the relative distance driven per different vehicles can also be taken. SRS would then be the distance driven by the public transport (baseline) divided by the total distance of all vehicles driven (baseline). This would be a conservative factor as buses are larger than private cars and thus occupy a larger share of road space per kilometre driven

804

Data / Parameter:	RSP, RSB
Data Unit	km
Description	Road space baseline and project
Source of Data	Official statistics or studies conducted by the project proponent or a third party
Measurement Procedure	Based on calculation (RSP) and infrastructure statistics
Comments	Road space baseline based on official information. Reduced road space based on construction plans (reduced road space is lanes which were eliminated due to dedicated bus lanes). Road space project = road space baseline – eliminated lanes

805

Data / Parameter:	TR_C
Data Unit	Unit
Description	Number of daily trips undertaken by passenger cars
Source of Data	Official statistics or studies conducted by the project proponent or a third party
Measurement Procedure	Based on calculations made for urban infrastructure and transport scenarios; based on sample countings in general
Comments	Based on surveys. Used for urban transport and infrastructure models

806



807

Data / Parameter:	V_{PJ}, V_{BL}
Data Unit	km/h
Description	Average speed passenger car in baseline and project
Source of Data	Based on transport models
Measurement Procedure	Traffic models use such data and have verified them. The data accuracy is not very important as data is only used to estimate roughly leakage based on change of vehicle speed and induced traffic. Both elements in it have a moderate accuracy
Comments	The average speed of passenger cars before project start and the expected speed after decongestion is calculated

808

Data / Parameter:	$NCV_{NG,y}$
Data Unit	GJ/m ³
Description	Net calorific value of the natural gas used by the project during the year y
Source of Data	Local, regional, national data or IPCC
Measurement Procedure	annually
Comments	In case of IPCC default values, the upper limit of the uncertainty at a 95% confidence interval should be taken

809

Data / Parameter:	$EF_{CO2,upstream,CH4}$
Data Unit	tCH ₄ /GJ
Description	Emission factor for upstream fugitive methane emissions from production, transportation and distribution of natural gas
Source of Data	National data or IPCC
Measurement Procedure	
Comments	

810

Data / Parameter:	$EF_{CO2,upstream,LNG}$
Data Unit	tCO ₂ /TJ
Description	Emission factor for upstream CO ₂ emissions due to fossil fuel combustion/electricity consumption associated with the liquefaction, transportation, re-gasification and compression of LNG into a natural gas transmission or distribution system
Source of Data	National data or IPCC
Measurement Procedure	
Comments	



811 III. MONITORING METHODOLOGY

812 Monitoring procedures

813 BRT systems have as core environmental aspect that the resource efficiency of transporting passengers
814 in a city shall be improved i.e. fuel consumption and emissions per passenger trip shall be reduced
815 compared to the situation without **the** project. The methodology directly addresses the objective of
816 increased resource efficiency and is thus based upon emissions per transported passenger.

817 The monitoring methodology for the baseline has *ex ante* determined emission factors per passenger
818 transported for all modes of transport. These factors are fixed, but not constant. For passengers using
819 the project, **which who** in absence would have used taxis, passenger cars or motorcycles, the change in
820 distance travelled and in the fuel-mix is monitored based on a questionnaire. To ensure a conservative
821 approach the baseline emission factors are only changed if the monitoring results show that the new
822 factors would be lower than the ones originally used.

823 The total baseline emissions are derived by applying to these emission factors the activity level
824 (passengers per mode transported) of the project. Data sources are either from recent statistics or
825 measurements made or are based on fixed default values taken from the international literature,
826 primarily IPCC. Preference is for local data. Default values are **the** last options in case of non-
827 availability of more precise data. The project proponents can choose to either invest resources to carry
828 out measurements or opt for the simpler and less expensive alternative of using default values with the
829 **drawback trade-off** of claiming less emission reductions as the default values of the baseline
830 methodology are very conservative. All **the** data used to calculate the baseline emission factors are
831 **monitored collected** *ex ante*. For calculating the total baseline emissions, the number of passengers
832 using the project and the traffic mode they would have used in absence of the new transport system
833 needs to be monitored (public transport, taxis, passenger cars, motorcycles, Non-Motorized Transport
834 or induced traffic). Baseline emissions can thus only be calculated *ex post*.

835 The monitoring methodology for the project is based on measuring the total fuel consumption and thus
836 emissions of the new transport system. From a methodological viewpoint, data is derived from
837 measurements. Data reliability is very high due to having exact measurements and established control
838 procedures for the data required. Default values for fuel consumption cannot be used for project
839 emissions.

840 ~~The monitoring methodology for leakage depends basically on elements calculated *ex ante* based on
841 pre-established factors and, to a minor degree, on measurements during project execution
842 implementation.~~

843 ~~Congestion leakage is calculated *ex ante* for the project period and not monitored. Data is derived
844 basically from planning sources, fixed parameters derived from the international literature and from
845 periodic surveys.~~

846 QA and QC is assured by having a monitoring manual containing *inter alia* how to proceed with key
847 measurements and survey, how to screen data for quality and potential errors and by training the staff
848 in charge of monitoring. ~~Also for~~ the periodic survey of passengers and ~~for~~ the surveys monitoring
849 the load factor, the core outline ~~is shall be~~ included in this methodology and the PDD ~~shall~~ contains a
850 detailed design of both instruments.



851

Table B1: Main Points of Monitoring Methodology

Element	Monitoring Methodology
Core data for determining baseline emissions: <ul style="list-style-type: none"> ➤ Alternative A based on relative data (fuel consumption and distance driven per vehicle category and fuel type); ➤ Alternative B: sectoral fuel consumption; ➤ Technology improvement factor; ➤ Passengers per transport mode using new the project transport system after the project start (relative distribution and absolute numbers). 	<ul style="list-style-type: none"> ➤ Alternative A: fuel consumption based on measurement of a representative sample, international literature, IPCC values related to local circumstances and distance driven based on official statistics; ➤ Alternative B: Based on representative surveys; ➤ Default value based on international literature; ➤ Monitored annually in the year 1 and 4 of the crediting period by the project proponent based on surveys plus registration of total passengers transported by the system.
Core data for determining project emissions: <ul style="list-style-type: none"> ➤ Fuel consumption of the project system; or ➤ Fuel efficiency and distance driven by project units. 	<ul style="list-style-type: none"> ➤ Measured annually by the project proponent based on company accounts and measurements; or ➤ Distance driven measured annually by GPS; fuel efficiency based on measurement.
Core data for determining leakage: <ul style="list-style-type: none"> ➤ Change of in load factor; ➤ Congestion impact (rebound effect and change in vehicle speed). 	<ul style="list-style-type: none"> ➤ Measured regularly by the project proponent based on representative samples; ➤ Based on transport models, local statistics and default values from international literature sources; value is calculated ex ante Congestion impact shall be monitored in the years 1 and 4 of the crediting period in case the implementation of the project BRT reduces road space.

852 Describe and specify in the CDM-PDD all monitoring procedures, including the type of measurement
 853 instrumentation used, the responsibilities for monitoring and QA/QC procedures that will be applied.
 854 Where the methodology provides different options (e.g., use of default values or on-site
 855 measurements), specify which option will be used. All meters and instruments should be calibrated
 856 regularly as per industry practices.

857 ~~All data collected as part of monitoring should be archived electronically and be kept at least for 2~~
 858 ~~years after the end of the last crediting period. 100% of the data should be monitored if not indicated~~
 859 ~~differently in the comments in the tables below.~~

860 Data and parameters - Project Emissions

861 ~~Alternative A: Use of Fuel Consumption Data~~

862 ~~This alternative is based on the total fuel consumed by the project activity, and uses Equation (9).~~

863 ~~The emission factor electricity is calculated in accordance with the latest approved version “Tool to~~
 864 ~~calculate baseline, project and/or leakage emissions from electricity consumption.”~~

865 ~~Alternative B: Use of Specific Fuel Consumption and Distance Data~~

866 ~~This alternative uses as a basis fuel efficiency data (i.e. consumption per kilometre driven), and uses~~
 867 ~~Equation (10).~~

868 Fuel-efficiency data is derived from annual data reported by the bus companies operating the units
 869 either of all units or of a representative sample of comparable units (comparable technology, vintage



870 and size). To ensure a conservative approach, all data with specific fuel consumption values which are
871 ~~more than 20% lower than the average specific fuel consumption~~ below the 95% confidence level of
872 ~~the sample measurement~~ of comparable units are ~~omitted~~ excluded from calculations. This ~~ensures~~ is a
873 conservative approach, ~~as ensuring that~~ project emissions are ~~potentially not~~ overstated.

874 If the CDM project includes only parts of a larger activity, the fuel used for the CDM project is
875 separated from the total fuel used. The separation is done (in order of preference) by the following
876 means:

- 877 • By operators: This method is used if certain operators are assigned to certain parts of the
878 project;
- 879 • By distance driven: the fuel share for each part of the project is based on the share of
880 kilometers per project part;
- 881 • By passengers: the fuel share for each part of the project is based on the share of passengers
882 per part of the project (based on the entry points of passengers).

883



ID number	Data variable	Source of data	Data unit	Measured (m), calculated (c) or estimated (e)	Recording frequency	Proportion of data to be monitored	How will the data be archived? (electronic/ paper)	Comment
1: TC _{p,j,x,t}	Total fuel consumption	Proprietary	Litre kWh kg m ³	M	Annual	100%	Electronic	Required if alternative A is chosen for as described in baseline methodology (for total project or only for trunk lanes); Based in general on company records. In case of bio-fuel blends being used the biofuel share must be transparently recorded and emissions are only calculated on the fossil share; It must be shown that conventional comparable urban buses use the same biofuel blend as project buses In case of usage of electricity based on kWh
2: SEC _{j,x,y}	Fuel efficiency	Proprietary	l/km kWh/km kg m ³ /km	M	Annual	100% or sample	Electronic	Required if alternative B is chosen as described in baseline methodology for total or part of the project; required for trunk and for feeder buses separately. In case of bio-fuel blends being used the biofuel share must be transparently recorded and emissions are only calculated on the fossil share; It must be shown that conventional comparable urban buses use the same biofuel blend as project buses In case of usage of electricity based on kWh
3: DD _{FB,y} DD _{FB,y}	Distance	Proprietary	million km	M	Annual	100%	Electronic	Required for alternative B baseline (see above); required for trunk and for feeder buses separately; based in general on GPS (at minimum for trunk buses) and/or reports checked by the operator of the BRT system as payments are based <i>inter alia</i> on distance driven



885 Data and parameters - Baseline Emissions

886 *Details of Data on Fuel Consumption Baseline (ID-5)*

887 *Two methodological alternatives are proposed for the fuel consumption data (in order of preference):*

- 888 • **Alternative 1:** Measurement of fuel consumption data using a representative sample for the
889 respective category and fuel type. Factors such as the specific urban driving conditions (drive-
890 cycle, average speed etc), vehicle maintenance and geographical conditions (altitude, road
891 gradients, etc.) are thus included. The sample must be large enough to be representative.¹⁴ To
892 ensure a conservative approach the top 20% of the sample is not included in calculations the
893 lower 95% confidence level of the sample measurement to be taken. This ensures a conservative
894 approach. Such surveys are potentially conducted by international organizations or by local
895 transit or environmental authorities. As such surveys are, however, costly they are only available
896 in few cities;
- 897 • **Alternative 2:** Use of fixed values based on the national or international literature. The literature
898 data can either be based on measurements of similar vehicles in comparable surroundings (e.g.,
899 from comparable cities of other countries) or may include identifying the vehicle age and
900 technology of average vehicles circulating in the project region and then matching this with the
901 most appropriate IPCC values. The most important proxy to identify vehicle technologies is the
902 average age of vehicles used in the area of influence of the project. To determine if either US or
903 European default factors apply either local vehicle manufacturer information can be used (in the
904 case of having a substantial domestic vehicle motor industry) or source of origin of vehicle
905 imports.

906 Note that a technical improvement factor is also considered (see equation in Annex to the Baseline
907 methodology).

908 *Details of Survey to Identify Mode of Transport (ID-12 and 9)*

909 The survey is used to distribute the electronically or mechanically registered total number of passengers
910 to different transport modes that they would have used in absence of the project. The basic goal of this
911 survey is to identify the mode of transport used in absence of the project. Additionally the survey is also
912 used to track any changes in distance driven of by passengers (which in absence would have used
913 passenger cars, motorcycles or taxis) as well as the fuel type used in passenger cars for passengers using
914 the project system which who an absence of the latter would have used passenger cars. The precise survey
915 methodology to be used will vary with each individual project.

916 The PDD must contain an elaborated version of such a survey. Also a sensitivity analysis shall be made
917 in the PDD to assess the sensitivity of emission reductions to changes in the recorded shares of passengers
918 towards different modes of transport, change of distance driven per mode of transport and change of fuel
919 type used by passenger cars.

920
921 The survey is conducted annually during project duration based on a representative survey of all
922 passengers. The categories of transport modes include public transport (buses and, if applicable, rail-
923 based urban MRTS), taxis, passenger cars, motorcycles, non-motorized transport and induced traffic (i.e.,
924 passenger would not have realized the trip in absence of the project). The relative distribution is measured
925 and the absolute numbers are calculated based on total passengers transported. Additionally, per specific
926 transport mode the users are asked for their trip origin and destination to calculate distance driven. Users

¹⁴ Variances of fuel consumption will result due to different routes, load factors, engine and vehicle types, driver, driving conditions, ambient conditions etc.



927 of the project system that would have used passenger cars in absence of the BRT system are additionally
928 asked what fuel type their passenger car uses.

929 The following survey principles shall be followed:

- 930 • The survey must be realized with maximum 5% error margin and a 95% confidence interval. This
931 confidence interval corresponds to the guidelines issued by the EB in its 22nd meeting Annex 2
932 (EB 22 report Annex 2, D, page 3): “Methodologies employing sampling to derive parameters in
933 estimating emissions reductions shall quantify these parameter uncertainties at the 95%
934 confidence level”; Standard for sampling and surveys for CDM project activities and programme
935 of activities.
- 936 • The sampling size is determined by the 95% confidence interval and the 5% maximum error
937 margin;
- 938 • Sampling must be statistically robust and relevant i.e. the survey has a random distribution and is
939 representative of the persons using the BRT system;
- 940 • The methodology to select persons for interviews is based on a systematic random sampling
941 based on the flow of passengers per station per day per hour (i.e., the number of persons to be
942 interviewed randomly per bus station and per hour per day is based on the total flow of
943 passengers per station-day-hour to have a representative sample);
- 944 • Only persons over age 12 are interviewed;
- 945 • Minimum bi-monthly and preferably monthly surveys are to be realized to avoid any problems
946 due to varying usage dependent on month of use (e.g., vacations);
- 947 • The survey shall be executed by an external organization with specialized knowledge on survey
948 and survey techniques;
- 949 • Training of the people conducting the questionnaire survey must be made by the organization
950 performing the latter to ensure good quality. The training must be based on standard
951 questionnaire techniques and quality assurance;
- 952 • Before starting the official monitoring a test-run using the same questionnaire should be realized.
953 This to ensure that the questions and multiple-choice answers are correctly understood by the
954 passengers;
- 955 • The PDD must contain the design details of the survey. Relevant for the PDD is that the design
956 can guarantee a representative survey with the targeted confidence interval. The same question
957 should be used throughout the crediting period to ensure consistency;
- 958 • The survey must allow for a clear separation of modes of transport which the passenger would
959 have used in absence of the project;
- 960 • The survey should include control questions to assure a conservative approach;
- 961 • A sensitivity analysis of the share of passengers that would have taken a given transport mode in
962 absence of the project needs to be carried out showing the percentage change in the modal split
963 required to change emission reductions by 5%;
- 964 • A sensitivity analysis is realized to calculate the impact of lower than baseline trip distances and
965 of changing fuel types in passenger cars;
- 966 • The relative modal distribution is maintained constant for the year after a policy affecting
967 potentially the modal distribution has been enforced. The emission reductions due to the policy



968 change are thus fully accounted for in the baseline in a conservative manner (100% is attributed
969 to the policy change);

- 970 • BRT projects are in general implemented gradually. The questions asked by surveys can thus
971 compare a still existing public transport system with the project situation;
- 972 • If a passenger is not sure how he would have made a trip he is assigned to induced transport.
973 This ensures a conservative approach.

974 The default questionnaire to be used is included in Appendix A below. This questionnaire should be used
975 by all projects except if valid arguments exist to change the questionnaire and to adapt it to local
976 circumstances. The questionnaire must be realized in the local language.

977 Equation (1) is used to calculate transport emissions factor per distance of vehicle category.

978 If fewer less than 10% of vehicles in a specific vehicle category are gasoline, diesel, CNG or LPG
979 powered, then this respective fuel can be omitted for simplicity purposes. In For alternative vehicles the
980 threshold value is less than 1%.

981 *Two methodological alternatives are proposed for the fuel consumption data (in order of preference)*

- 982 • Alternative 1: Measurement of fuel consumption data using a representative sample for the
983 respective category and fuel type. To ensure a conservative approach the top 20% lower 95%
984 confidence level of the sample is not included in calculations;
- 985 • Alternative 2: Use of fixed values based on the national or international literature. The literature
986 data can either be based on measurements of similar vehicles in comparable surroundings (e.g.,
987 from comparable cities of other countries) or may include identifying the vehicle age and
988 technology of average vehicles circulating in the project region and then matching this with the
989 most appropriate IPCC default values. The most important proxy to identify vehicle technologies
990 is the average age of vehicles used in the area of influence of the project. To determine if either
991 US or European default factors apply either local vehicle manufacturer information can be used
992 (in the case of having a substantial domestic vehicle motor industry) or source of origin of vehicle
993 imports.

994 A technical improvement factor is thereafter introduced. The technology improvement factor results in
995 dynamic emission factors for the different units. See Step 3.

996 *Calculate Emissions per Passenger per vehicle Category*

997 This step calculates emission factors showing the emissions per passenger per average trip for each
998 vehicle category and uses Equations (2) (for buses) and (3) (for passenger cars, taxis and motorcycles).

999 The time period for passengers and distance must be equal (e.g., one year or one month). All data used is
1000 determined *ex ante* project. A change in the occupancy rate of buses is registered as leakage of the
1001 project.

1002 *Calculate Emission Factor Based on Sector Data*

1003 This approach is based on sector fuel consumption data and differentiates fuel consumption per fuel type
1004 for all different vehicle categories such as identified in the first step.

1005 **Following conditions apply to using this alternative:**

- 1006 • A study on sector fuel consumption separating the vehicle categories is available with a
1007 confidence interval of minimum 95% (i.e., error margin maximum 5%);



- 1008 ~~• The geographic region of the project can be separated well;~~
- 1009 ~~• Data for fuel consumption must have the same year/time period and the same geographic~~
- 1010 ~~boundaries as data of passengers transported;~~
- 1011 ~~• Data must be crosschecked with total fuel consumption of the region.~~
- 1012 Emissions per passenger are calculated by taking the sector consumption and the passengers transported
- 1013 per vehicle category, and uses Equation (4). Fuel consumption data is transformed to CO₂e emissions.
- 1014 This is calculated for all relevant vehicle categories. If alternative fuels such as gas (CNG or LNG) are
- 1015 used they are included in the calculations using the appropriate default values for CO₂, CH₄ and N₂O.
- 1016 *Change of Baseline Parameters during Project crediting period*
- 1017 The baseline emissions per passenger trip for taxis, passenger cars and motorcycles are adjusted annually
- 1018 with a correction factor to changing trip distances, and uses Equation (5).
- 1019 Note: The adjustment is only made if $TD_{i,y} < TD_i$ to ensure a conservative approach.¹⁵
- 1020 The baseline emissions for all passengers transported are calculated. This is differentiated according to
- 1021 the mode of transport, which the person would have used in absence of the project. Passengers
- 1022 transported are determined through the project (activity level of the project). The total amount of
- 1023 passengers transported by the project shall be reported by the system operator.
- 1024 **Total baseline emissions.** These are calculated using Equations (6), (7), (8).

¹⁵ Larger distances would increase baseline emissions per passenger trip. The project emissions of larger trip distances are however fully recorded as project emissions are based on total fuel consumed.



ID number	Data variable	Source of data	Data unit	Measured (m); calculated (c); estimated (e)	Recording frequency	Proportion of data to be monitored	How will the data be archived? (electronic/paper)	Comment
4: N _{ix}	Number of vehicles	Official statistics and proprietary	Vehicles	m	Before project start and annually (in the case of modal shift for passenger cars)	100% and annually based on a survey of passengers using the new system	Electronic	Per vehicle category the amount of vehicles per relevant fuel type (gasoline, diesel, LNG, CNG or electric vehicles) needs to be identified. Only categories are included where modal shift is expected (next to public transport) — see NMB. Annual recording of fuel type used from passengers using the new system which in absence of the project would have used a passenger car (only required if a modal shift of passenger cars is included in the project)
5: SEC _{xi}	Fuel efficiency	Proprietary; IPCC or international literature	litres/km kWh/km kg/km m ³ /km	m	Before project start	Sample	Electronic	Per vehicle category required; Based either on local measurements or international data from comparable regions or IPCC values adapted to local circumstances. In case of bio-fuel blends being used the biofuel share must be transparently recorded and emissions are only calculated on the fossil share; In case of usage of electricity based on kWh
6: DD _{Z,S} DD _{Z,M} DD _{Z,L} DD _T	Total distance driven by all vehicles in category	Official statistics	km	m	Before project start and partially annually	Sample	Electronic	Statistics are based in general on samples. Required for all sub-categories of buses baseline and for taxis and potentially other categories. Important is to have the same data source for distance driven and passengers for public transport to ensure consistency. Data can be either with or without the informal sector as long as above mentioned parameters are from the same data source. In general data including only the formal sector is of better data quality and should thus be taken



ID number	Data variable	Source of data	Data unit	Measured (m); calculated (c); estimated (e)	Recording frequency	Proportion of data to be monitored	How will the data be archived? (electronic/paper)	Comment
7. P_i	Passengers transported baseline by vehicle category i	Official statistics	Passengers	m	Before project start	100%	Electronic	This is for calculation the emission factor for the baseline and is not for calculating the total baseline emissions. Latter are calculated based on the passengers transported by the project. It is important to have the same data source for distance driven (ID-6) and passengers (ID-7) to ensure consistency. Data can be either with or without the informal sector as long as above-mentioned parameters are from the same data source. In general data including only the formal sector is of better data quality and should thus be taken
8. OC_i $OC_{i,y}$	Average occupancy rate baseline of vehicle category i	Official statistics or proprietary	Passengers	m	Before project start and for buses and taxis minimum year 3, 6 and 10	Sample	Electronic	Required for all categories of vehicles baseline if passenger-km is calculated based on occupancy rate and trip distance and for leakage taxis and buses. For buses, monitoring required at a minimum in years 3, 6 and 10 as part of leakage. For taxis also if this vehicle category is included in the project. Need to have explanation of how this survey is done
9. TD_i $TD_{i,y}$	Average trip distance baseline for vehicle category i	Official statistics or proprietary	Km	m	Before project start and annually (in the case of modal shift for passenger cars)	Sample and sample survey	Electronic	Required for all categories of vehicles baseline if passenger-km is calculated based on occupancy rate and trip distance. Average trip distances of passengers using the new system are recorded through surveys based on the mode of transport they would have used in absence of the project (for users which would have used passenger cars, taxis or motorcycle; only required if modal shift effects are demanded by the project)



ID number	Data variable	Source of data	Data unit	Measured (m); calculated (c); estimated (e)	Recording frequency	Proportion of data to be monitored	How will the data be archived? (electronic/paper)	Comment
10. $TC_{x,i}$	Total fuel consumption per vehicle category	Official statistics or proprietary	Litres	m	Before project start	Sample	Electronic	Required if calculations are based on sectoral fuel consumption data
11. P_y	Passengers transported by project	Proprietary	Passengers	m	Annually	100%	Electronic	Statistics of transit management unit show the number of passengers transported by the project in total. This is based on electronic or mechanical measurement of all passengers using the system. Used to calculate ex-post the baseline emissions and to fulfil the applicability conditions
11bis. S_i	Share of passengers that would have taken transport mode i	Proprietary	%	m				The project monitors what transport mode passengers would have used in absence of the project. See paragraph below for details on the survey. The survey is also required if no modal shift is included in the project. In this case the modes of transport are only public transport, NMT, rail based urban transit and induced traffic
12. $P_{i,y}$	Passengers transported by project who would have used transport mode i	Proprietary	Passengers	e	Bi-monthly	Sample survey	Electronic	



ID number	Data variable	Source of data	Data unit	Measured (m); calculated (c); estimated (e)	Recording frequency	Proportion of data to be monitored	How will the data be archived? (electronic/paper)	Comment
13. Policies	Policies that affect baseline	Proprietary	None	E	Before project start and annually	100%	Electronic	Transport policies, which affect the baseline emissions, are identified and their impact on any of the baseline factors is estimated. This is done ex-ante to project start. Annually the project assesses if a new policy has been implemented which changes in a measurable manner a baseline parameter. Project participants need to assess if policies might have effects on various parameters.

1025
1026



1027 **Data and parameters - Leakage**

1028 ***Details of Load Factor Study***

1029 **The frequency of the road load study is:**

1030 ~~• If 100% of the project is implemented at the start: Year 2 to monitor short term response of~~
1031 ~~remaining bus fleet to project and years 5 and 10 to monitor medium term response. Data of year~~
1032 ~~2 is used for years 3-5 and data of year 5 for rest of crediting period. To monitor the occupancy~~
1033 ~~rate of the remaining buses every year is not considered as necessary, as changes are expected~~
1034 ~~either in the first years (short term response) or then in the medium term. In between only~~
1035 ~~incremental annual changes are expected which would not justify the considerable expenses for~~
1036 ~~realizing such surveys;~~

1037 ~~• With gradual project implementation monitoring years may vary. It is proposed to monitor at a~~
1038 ~~minimum every 3 years e.g. year 3, 6 and 10.~~

1039 **Changes in load factor of the remaining conventional buses and taxis shall be monitored in the years 1**
1040 **and 4 of the crediting period.** If the load factor reduces less than 10 percentage points no leakage is
1041 included. If the load factor reduces by more than 10 percentage points relative to the measurement before
1042 project start (benchmark) then leakage is calculated and included. In this case the amount of leakage is
1043 the cumulative sum of all years since the last load factor survey was realized assuming that the reduction
1044 of the load factor occurred immediately since the last survey.

1045 ***Guideline for the establishment of load factor studies for buses***

1046 Load factor surveys shall be based on “Visual Occupation Studies”. The procedures to establish visual
1047 occupation are as follows:

- 1048 (1) Vehicle categories are defined according to the characteristics of the fleet and types of services
1049 (e.g., with or without standing passengers);
- 1050 (2) Occupation categories are defined (usually 5 or 6), for instance <50% occupied, 50-100% seats
1051 occupied, 100% seats occupied, <50% space for standing passengers occupied, 50-100% of
1052 standing space occupied, overload (>100% of legally permitted space occupied);
- 1053 (3) The number of passengers corresponding to each vehicle category and type of service is defined.
1054 A pilot study could be completed to calibrate the levels of occupation with actual in vehicle
1055 counts;
- 1056 (4) Formats for field study are prepared;
- 1057 (5) Field data collectors are trained;
- 1058 (6) Locations, days and times for field study are defined. Points are strategically located to cover all
1059 the routes with the minimum of points. Suggested days are Tuesday to Thursday, avoiding days
1060 immediately after or before a holiday. A typical seasons (school or university vacations) should
1061 be avoided. The recommended time period for the study is 6AM-9PM. More important is,
1062 however, that the same days and time periods are chosen for the baseline as well as for the
1063 monitoring studies to ensure data comparability;
- 1064 (7) Field data is collected. Coverage of the occupation counts should be higher than 95% of the
1065 number of buses that cross the checkpoint. 100% coverage is desired. To control this outcome, a
1066 separate vehicle count is advised. Data can be adjusted with the actual count;
- 1067 (8) Data is digitized and its quality is controlled. In case of mistakes in data collection, counts should
1068 be repeated;



1069 (9) The total number of vehicles, number of available spaces (vehicle capacity) and the total number
1070 of passengers is reported. Occupation is the number of passengers divided by the vehicle
1071 capacity.

1072 The average load factor is equal to the average load factor of each route multiplied by the total number of
1073 passengers in the route, divided by the total passengers in the network.

1074 ***Guideline for the establishment of load factor studies for taxis***

1075 This study is only conducted if modal shift is claimed from former taxi passengers. The actual number of
1076 passengers excluding the driver of taxis is counted in a given point within a given time period. The
1077 counting is based on visual occupation counting the number of passengers occupying the taxi.

1078 Procedures to establish visual occupation:

- 1079 (1) Locations, days and times for field study are defined. Suggested days are Monday to Friday,
1080 avoiding days immediately after or before a holiday. Atypical seasons (school or university
1081 vacations) should be avoided. The recommended time period for the study is 6AM-9PM. More
1082 important is, however, that the same days and time periods are chosen for the baseline as well as
1083 for the monitoring studies to ensure data comparability;
- 1084 (2) Field data is collected. Coverage of the occupation counts should be higher than 95% of the
1085 number of taxis that cross the checkpoint. 100% coverage is desired. To control this outcome a
1086 separate vehicle count is advised. Data can be adjusted with the actual count;
- 1087 (3) Data is digitized and its quality is controlled. In case of mistakes in data collection counts should
1088 be repeated;
- 1089 (4) Occupation is the number of passengers using the taxi. The driver is not counted. Taxis without
1090 passengers are counted as 0 occupation;
- 1091 (5) The total number of taxis and the total number of passengers is reported. The average occupation
1092 rate of taxis is the total number of passengers divided by the total number of taxis in which counts
1093 were performed;
- 1094 (6) The study is realized in different locations of the city during minimum 5 days;
- 1095 (7) The same methodology is used for the load study performed prior to the project as during the
1096 monitoring. Locations of monitoring can however change as traffic flows in cities change over
1097 time. Other parameters of the study (duration, sample size, counting method etc) however should
1098 remain constant to ensure consistency and comparability of studies.

1099 **Data and Parameters Monitored**

1100 All data collected as part of monitoring should be archived electronically and be kept at least for two
 1101 years after the end of the last crediting period. 100% of the data should be monitored if not indicated
 1102 otherwise in the tables below. All measurements should be conducted with calibrated measurement
 1103 equipment according to relevant industry standards.

1104 In addition to the parameters listed in the tables below, the procedures contained in the tools referred to in
 1105 this methodology also apply.
 1106

Data / Parameter:	TC_{PJ,x,i}
Data Unit	Litre, kWh, kg, m ³
Description	Total fuel consumption
Source of Data	Based on company records.
Measurement Procedure	
Monitoring frequency	Annual
QA/QC procedures	Data of measurements can be cross-checked against specific fuel consumption data. Variations in the specific fuel consumption from the average factor need to be controlled. Variations are possible due to different bus models used, variations resulting from routes and frequency, load factor variances and driver variances.
Comments	In case of bio-fuel blends being used, the biofuel share must be transparently recorded and emissions are only calculated for the fossil fuel share of the blend. It must be shown that conventional comparable urban buses use the same biofuel blend as project buses.

1107

Data / Parameter:	TD_i, TD_{i,y}
Data Unit	Km
Description	Average trip distance baseline for vehicle category <i>i</i>
Source of Data	Official statistics or specific studies conducted by the project proponent. Vintage maximum 3 years.
Measurement Procedure	
Monitoring frequency	
QA/QC procedures	Data is based on origin-trip survey used to design the project including the QA procedures involved in such studies. The same data source should be taken as for OC _i and OC _{i,y} to ensure data consistency. The annual survey is based on a questionnaire, which is representative. Data from the annual survey is however only used if this results in lower baseline emissions (i.e. lower trip distances are monitored than the original baseline data)
Comments	Required for all categories of baseline vehicles if passenger-km is calculated based on occupancy rate and trip distance. Average trip distances for passengers using the project system are recorded through surveys based on the mode of transport they would have used in absence of the project (for users which would have used passenger cars, taxis or motorcycle; only required if modal shift effects are accounted for in emissions reductions attributed to the project)

1108

Data / Parameter:	S_i
Data Unit	%



Description	Share of passengers that would have taken transport mode i in absence of the project activity
Source of Data	Survey conducted by an external survey company
Measurement Procedure	Based on survey
Monitoring frequency	
QA/QC procedures	See Annex for the survey design. Statistics is based on electronic or mechanic measurements and is cross-checked against financial receipts from the sale of tickets
Comments	The project monitors via a survey which transport mode passengers would have used in absence of the project. The survey is also required if no modal shift is included in the project. In this case the modes of transport are only public transport, NMT, rail based urban transit and induced traffic.

1109

Data / Parameter:	$P_{i,y}$
Data Unit	
Description	Passengers transported by project who would have used transport mode i in absence of the project activity
Source of Data	Survey conducted by an external survey company
Measurement Procedure	Based on survey
Monitoring frequency	Bi-monthly
QA/QC procedures	See Annex for the survey design Important is that the same methodology is used to estimate transport modes over the whole crediting period. For QA a precise and transparent data collection protocol is established detailing methodology and operational issues (including frequency, location, time, duration of measurement). The sample size is determined to ensure a 90% confidence interval using statistical techniques for random surveys. The PDD must contain a survey format as well as the survey methodology to be used. A sensitivity analysis of this parameter must be realized
Comments	

1110

Data / Parameter:	OC_i
Data Unit	passengers
Description	Occupancy of baseline vehicle category i
Source of Data	Official statistics or survey conducted by an external survey company
Measurement Procedure	Based on survey
Monitoring frequency	Before the project start and for buses and taxis and in the year 1 and 4
QA/QC procedures	See Annex for the survey design. The same data source should be taken as for TD_i and $TD_{i,y}$ to ensure data consistency.



1111

Comments	Required for all categories of vehicles baseline if passenger-km is calculated based on occupancy rate and trip distance and for leakage taxis and buses. For buses, monitoring required in the year 1 and 4 of the crediting period as part of leakage. The same requirement is for taxis if this vehicle category is included in the project. Need to have explanation of how this survey is done
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1112

Data / Parameter:	ROC_{i,y}, OC_{i,y}
Data Unit	
Description	Occupancy rate of vehicle category <i>i</i> relative to its capacity; occupancy of vehicle category <i>i</i> in year <i>y</i>
Source of Data	Survey conducted by an external survey company
Measurement Procedure	Based on survey
Monitoring frequency	The year 1 and 4 of the crediting period
QA/QC procedures	See Annex for the survey design Important is that the same methodology is used to measure the occupancy rate thus ensuring data consistency. For QA a precise and transparent data collection protocol is thus established detailing methodology and operational issues (including frequency, location, time, duration of measurement). The data is only required at a medium level as only changes >10 percentage points will be registered.
Comments	The occupancy rate of taxis and the remaining bus fleet is monitored through representative samples. If results show negative changes > 10 % in the load factor, this change is included in the leakage calculation for all years since the last monitoring of the load factor.

1113

Data / Parameter:	N_{Z,y}, N_{T,y}
Data Unit	
Description	Number of conventional buses and taxis remaining in operation
Source of Data	Official registration statistics or survey conducted by an external survey company
Measurement Procedure	Based on survey
Monitoring frequency	The year 1 and 4 of the crediting period
QA/QC procedures	See Annex for the survey design In general various official sources are available (vehicle registration data; transportation statistics). Important is to ensure that over time the same source or the same calculation method (e.g. average of sources) is applied. The same data source should be taken as for ROC _{i,y} and OC _{i,y} to ensure data consistency
Comments	

1114

Comments	
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1115

Data / Parameter:	$N_{i,x}$
Data Unit	Vehicles
Description	Number of vehicles
Source of Data	Official statistics or specific studies done by the project proponent or a third party. Vintage maximum 3 years.
Measurement Procedure	
Monitoring frequency	Before project start and in the year 1 and 4 (in the case of modal shift for passenger cars)
QA/QC procedures	In general various official sources are available (vehicle registration data; transportation statistics). Important is to have the same data source for distance driven and passengers for public transport to ensure consistency. Data can be either with or without the informal sector as long as above-mentioned parameters are from the same data source. In general data including only the formal sector is of better data quality and should thus be taken. To ensure quality, the data source and calculation method need to be stated. With the survey data on the fuel type of passenger cars used by passengers now using the BRT system is recorded. Changes to the baseline emission factor for passenger cars are only made if the monitored data results in lower emission factors, not so however if the data results in higher emission factors.
Comments	Per vehicle category the amount of vehicles per relevant fuel type (gasoline, diesel, LNG, CNG or electric vehicles) needs to be identified. Only categories are included where modal shift is expected (next to public transport). Recording of fuel type used by passengers using the project system who in absence of the project would have used a passenger car (only required if a modal shift of passenger cars is included in the project) shall be conducted in the year 1 and 4 of the crediting period.

1116

Data / Parameter:	NCV_x										
Data Unit	J/mass or volume units of fuel										
Description	Net calorific value of fuel type x										
Source of Data	The following data sources may be used if the relevant conditions apply: <table border="1" data-bbox="459 1413 1441 1993"> <thead> <tr> <th>Data source</th> <th>Conditions for using the data source</th> </tr> </thead> <tbody> <tr> <td>(a) Values provided by the fuel supplier in invoices taken from a sample of fuel stations in the larger urban zone of the city</td> <td>This is the preferred source if the carbon fraction of the fuel is not provided</td> </tr> <tr> <td>(b) Measurements by the project participants taken from a sample of fuel stations in the larger urban zone of the city</td> <td>If (a) is not available</td> </tr> <tr> <td>(c) Regional or national default values</td> <td>If (a) is not available This source can only be used for liquid fuels and should be based on well documented, reliable sources (such as national energy balances)</td> </tr> <tr> <td>(d) IPCC default values at the lower limit of the uncertainty at a 95%</td> <td></td> </tr> </tbody> </table>	Data source	Conditions for using the data source	(a) Values provided by the fuel supplier in invoices taken from a sample of fuel stations in the larger urban zone of the city	This is the preferred source if the carbon fraction of the fuel is not provided	(b) Measurements by the project participants taken from a sample of fuel stations in the larger urban zone of the city	If (a) is not available	(c) Regional or national default values	If (a) is not available This source can only be used for liquid fuels and should be based on well documented, reliable sources (such as national energy balances)	(d) IPCC default values at the lower limit of the uncertainty at a 95%	
Data source	Conditions for using the data source										
(a) Values provided by the fuel supplier in invoices taken from a sample of fuel stations in the larger urban zone of the city	This is the preferred source if the carbon fraction of the fuel is not provided										
(b) Measurements by the project participants taken from a sample of fuel stations in the larger urban zone of the city	If (a) is not available										
(c) Regional or national default values	If (a) is not available This source can only be used for liquid fuels and should be based on well documented, reliable sources (such as national energy balances)										
(d) IPCC default values at the lower limit of the uncertainty at a 95%											



	confidence interval as provided in Table 1.2 of Chapter 1 of Vol. 2 (Energy) of the 2006 IPCC Guidelines on National GHG Inventories
Measurement Procedure	For (a) and (b): measurements should be undertaken in line with national or international fuel standards
Monitoring frequency	For (a) and (b): the NCV should be obtained for each fuel delivery, from which weighted average annual values should be calculated. For (c): review the appropriateness of the values annually For (d): any future revision of the IPCC Guidelines should be taken into account
QA/QC procedures	Verify if the values under (a), (b) and (c) are within the uncertainty range of the IPCC default values as provided in Table 1.2, Vol. 2 of the 2006 IPCC Guidelines. If the values fall below this range, collect additional information from the testing laboratory to justify the outcome or conduct additional measurements. The laboratories in (a), (b) or (c) should have ISO17025 accreditation or justify that they can comply with similar quality standards
Comments	The parameter is used for baseline as well as project emissions and vehicle owners or operators can buy fuel from a variety of sources (fuel stations). In practice therefore it is considered to be simpler to determine the parameter using options (c) or (d)

1117

Data / Parameter:	EF_{CO₂,x}	
Data Unit	gCO ₂ /J	
Description	CO ₂ emission factor for fuel type x	
Source of Data	The following data sources may be used, if the relevant conditions apply:	
	Data source	Conditions for using the data source
	(a) Values provided by the fuel supplier in invoices taken from a sample of fuel stations in the larger urban zone of the city	This is the preferred source
	(b) Measurements by the project participants taken from a sample of fuel stations in the larger urban zone of the city	If (a) is not available
	(c) Regional or national default values	If (a) is not available. This source can only be used for liquid fuels and should be based on well documented, reliable sources (such as national energy balances)
	(d) IPCC default values at the lower limit of the uncertainty at a 95% confidence interval as provided in Table 1.4 of Chapter 1 of Vol. 2 (Energy) of the 2006 IPCC Guidelines on National GHG Inventories	



1118

Measurement Procedure	For (a) and (b): measurements should be undertaken in line with national or international fuel standards. For (a): if fuel suppliers provide the NCV value and the CO ₂ emission factor on the invoices and these two values are based on measurements for this specific fuel, this CO ₂ factor should be used. If another source for the CO ₂ emission factor is used or no CO ₂ emission factor is provided, options (b), (c) or (d) should be used
Monitoring frequency	For (a) and (b): the CO ₂ emission factor should be obtained for each fuel delivery, from which weighted average annual values should be calculated. For (c): review the appropriateness of the values annually For (d): any future revision of the IPCC Guidelines should be taken into account
QA/QC procedures	-
Comments	The parameter is used for baseline as well as project emissions and vehicle owners or operators can buy fuel from a variety of sources (fuel stations). In practice therefore it is considered to be simpler to determine the parameter using options (c) or (d)

1119

Data / Parameter:	$V_{P,y}$
Data Unit	km/h
Description	Average project speed of passenger cars on remaining roads in year y
Source of Data	Municipal transit authorities or studies ordered by project proponent
Measurement Procedure	On-board measurements determining the total average speed and the average moving speed (when circulating) on the remaining roads based, e.g. on GPS measuring. This parameter should be monitored for each affected road
Monitoring frequency	Once in the years 1 and 4 of the crediting period
QA/QC procedures	-
Comments	

1120



ID number	Data variable	Source of data	Data unit	Measured (m); calculated (c); estimated (e)	Recording frequency	Proportion of data to be monitored	How will the data be archived? (electronic / paper)	Comment
20: ROC _{i,y} OC _{i,y}	Occupancy rate of vehicle category <i>i</i> relative to capacity; occupancy of vehicle category <i>i</i>	Proprietary	%	C,M	Before project start plus regular intervals thereafter	Sample	Electronic	The occupancy rate of taxis and the remaining bus fleet is monitored through representative samples. If results show negative changes > 10 % in the load factor, this change is attributed and included in the leakage calculation for all years since the last monitoring of the load factor. Recommended interval: year 3, 6 and 10 for 10 year crediting period; year 3 and 7 for 7 year crediting period See details below
21: N _{Z,y} , N _{T,y}	Number of conventional buses and taxis still operating	Official statistics or proprietary	Units	M	Before project start plus regular intervals thereafter	100%	Electronic	Registration statistics. Same years to be monitored as in Item 20



ID-number	Data-variable	Source-of data	Data-unit	Measured (m); calculated (c); estimated (e)	Recording frequency	Proportion of data to be monitored	How will the data be archived? (electronic / paper)	Comment
22. SRS	Share of road space used by public transport baseline	Official statistics or proprietary	Percentage	E, c	Before project		Electronic	Used for urban transport and infrastructure models; see baseline equations for calculation of SRS if the data is not available from reports. The share of road space used by public transport is a figure often calculated in transport studies. If no reliable data is available as proxy the relative distance driven per different vehicles can also be taken. SRS would then be the distance driven by the public transport (baseline) divided by the total distance of all vehicles driven (baseline). This would be a conservative factor as buses are larger than private cars and thus occupy a larger share of road space per kilometre driven
23. RSP, RSB	Road space baseline and project	Official statistics and proprietary	Index, km	E	Before project start	100%	Electronic	Road space baseline based on official information. Reduced road space based on construction plans (reduced road space is lanes which were eliminated due to dedicated bus lanes). Road space project = road space baseline — eliminated lanes
24. TR _c	Number of daily trips undertaken by passenger cars	Official statistics or proprietary	Unit	m	Before project start	Sample	Electronic	Based on surveys. Used for urban transport and infrastructure models
25. V _{Pj} , V _{Bl}	Average speed passenger car in baseline and project	Proprietary	km/h	m/e	Before project start	100%	Electronic	Based on transport models. The average speed of passenger cars before project start and the expected speed after decongestion is calculated



ID number	Data variable	Source of data	Data unit	Measured (m); calculated (c); estimated (e)	Recording frequency	Proportion of data to be monitored	How will the data be archived? (electronic / paper)	Comment
26: NCV _{NG,y}	Net calorific value of the natural gas used by the project during the year y	Local, regional, national data or IPCC	GJ/m ³	m	annually	100%	electronic	If IPCC default values at the upper limit of the uncertainty at a 95% confidence interval
27: EF _{CO2,upstream,CH4}	Emission factor for upstream fugitive methane emissions from production, transportation and distribution of natural gas	National or IPCC	tCH ₄ /GJ	m	Prior project start	100%	electronic	
28: EF _{CO2,upstream,LNG}	Emission factor for upstream CO ₂ emissions due to fossil fuel combustion/electricity consumption associated with the liquefaction, transportation, re-gasification and compression of LNG into a natural gas transmission or distribution system	National or IPCC	tCO ₂ /TJ	m	Prior project start	100%	electronic	



Quality control (QC) and quality assurance (QA) procedures		
Data (Indicate table and ID number e.g. 3.1; 3.2.)	Uncertainty level of data (High/Medium/Low)	Explain QA/QC procedures planned for these data, or why such procedures are not necessary.
<i>Fuel consumption project 2-1; 1</i>	<i>Low</i>	<i>Data of measurements can be cross-checked against specific fuel consumption data. Variations in the specific fuel consumption from the average factor need to be controlled. Variations are possible due to different bus models used, variations resulting from routes and frequency, load factor variances and driver variances</i>
<i>Fuel efficiency project 2-1; 2</i>	<i>Low</i>	<i>Operators record fuel consumption data. Distance driven based in general on GPS. Thus precise results for project data. Variations in the specific fuel consumption in a specific enterprise and between enterprises need to be controlled. Variations are possible due to different bus models used, variations resulting from routes and frequency, load factor variances and driver variances. Controls are based on checking data with the operators including checks of bills issued by fuel companies. If project fuel emissions are based on specific fuel consumption values of not the total fleet but only a representative sample then all data with specific fuel consumptions more than 20% lower than the average specific fuel consumption of comparable units is omitted to ensure a conservative approach</i>
<i>Distance driven project 2-1; 3</i>	<i>Low</i>	<i>Based in general on GPS; Kilometres driven is the base for paying bus operators. This data is thus well checked and verified by the transit operator</i>
<i>Number of vehicles baseline 2-3; 4</i>	<i>Low</i>	<i>In general various official sources are available (vehicle registration data; transportation statistics). Important is to have the same data source for distance driven and passengers for public transport to ensure consistency. Data can be either with or without the informal sector as long as above mentioned parameters are from the same data source. In general data including only the formal sector is of better data quality and should thus be taken. To ensure quality the data source and calculation method need to be stated. With the annual survey data on the fuel type of passenger cars used by passengers now using the BRT system is recorded. Changes to the baseline emission factor for passenger cars are only made if the monitored data results in lower emission factors, not so however if the data results in higher emission factors</i>
<i>Fuel efficiency vehicles baseline 2-3; 5</i>	<i>Medium</i>	<i>Result is checked for consistency against manufacturer data and default IPCC values (alternative for baseline estimation; see baseline methodology)</i>
<i>Distance driven baseline buses and taxis 2-3; 6</i>	<i>Medium</i>	<i>In general various official sources are available (vehicle registration data; transportation statistics). For QA it is important to have the same data source for items 4, 5 and 7 if calculations are related</i>
<i>Passengers transported baseline 2-3; 7</i>	<i>Low</i>	<i>In general various official sources are available (vehicle registration data; transportation statistics). The same data source should be taken as for item 6 to ensure data consistency</i>



<i>Average occupancy rates vehicles baseline 2-3; 8</i>	<i>Medium</i>	<i>The same data source should be taken as for item 9 to ensure data consistency</i>
<i>Average trip distance baseline 2-3; 9</i>	<i>Low</i>	<i>Data is based on origin trip survey used to design the project including the QA procedures involved in such studies. The same data source should be taken as for item 8 to ensure data consistency. The annual survey is based on a questionnaire, which is representative. Data from the annual survey is however only used if this results in lower baseline emissions (i.e. lower trip distances are monitored than the original baseline data)</i>
<i>Total fuel consumption per vehicle category 2-3; 10</i>	<i>Low</i>	<i>Data is based on sector surveys of fuel consumption per category and can be checked against statistics of total fuel consumption; The study should have a 95% confidence interval with a 5% error margin</i>
<i>Passengers transported by project 2-3; 11</i>	<i>Low</i>	<i>Statistics are based on electronic or mechanic measurements and are cross checked against financial receipts from the sale of tickets</i>
<i>Passengers transported by the project which in absence of latter would have used other transport modes 2-3; 12</i>	<i>Low</i>	<i>Important is that the same methodology is used to estimate transport modes over the whole crediting period. For QA a precise and transparent data collection protocol is established detailing methodology and operational issues (including frequency, location, time, duration of measurement). The sample size is determined to ensure a 90% confidence interval using statistical techniques for random surveys. The PDD must contain a survey format as well as the survey methodology to be used. A sensitivity analysis of this parameter must be realized</i>
<i>Policies which affect baseline 2-3; 13</i>	<i>Moderate</i>	<i>Policies are assessed. Their potential impact on the modal split and on other relevant parameters affecting baseline emissions is assessed based on information or studies realized by the policy promoter. If the impact in modal switch is significant it is assumed that the full modal switch of the implementation year is attributable to the policy and not the project. If a measurable impact exists on any baseline parameter the respective baseline emission factors are changed</i>
<i>Average occupancy rates of remaining taxis and conventional buses (relative to capacity in buses) 4-1; 20</i>	<i>Medium</i>	<i>Important is that the same methodology is used to measure the occupancy rate thus ensuring data consistency. For QA a precise and transparent data collection protocol is thus established detailing methodology and operational issues (including frequency, location, time, duration of measurement). The data is only required at a medium level as only changes >10 percentage points will be registered. The same data source should be taken as for item 19 to ensure data consistency</i>
<i>Number of conventional buses and taxis still operating 4-1; 21</i>	<i>Low</i>	<i>In general various official sources are available (vehicle registration data; transportation statistics). Important is to ensure that over time the same source or the same calculation method (e.g. average of sources) is applied. The same data source should be taken as for item 20 to ensure data consistency</i>
<i>Share of road space used by public transport 4-1; 22</i>	<i>Medium</i>	<i>Based on calculations made for urban infrastructure and transport scenarios or on the calculation method provided using data on the distance driven of various vehicle categories</i>



<i>Road space baseline and project</i> 4-1; 23	<i>Low</i>	<i>Based on calculation (RSP) and infrastructure statistics</i>
<i>Number of daily trips realized by passenger cars</i> 4-1; 24	<i>Low</i>	<i>Based on calculations made for urban infrastructure and transport scenarios; based on sample countings in general</i>
<i>Average speed passenger car baseline and project</i> 4-1; 25	<i>Medium</i>	<i>Traffic models use such data and have verified them. The data accuracy is not very important as data is only used to estimate roughly leakage based on change of vehicle speed and induced traffic. Both elements in it have a moderate accuracy</i>

1121 IV. REFERENCES AND ANY OTHER INFORMATION

1122 Not applicable.

1123 **Appendix A: Parameters Used in Baseline Methodology**

1124 **BASELINE AND PROJECT EMISSIONS PARAMETERS** (fixed ex-ante, including potential default
1125 parameters):¹⁶

1126 **1. Fuel emissions factors**

1127 CO₂ emissions factors are a fixed value per litre of fuel is used, on the basis of the carbon content of the
1128 fuel. The calculation is based on the carbon content of the fuel, the net calorific value of the fuel, and the
1129 oxidation of the fuel during combustion. CH₄ and N₂O emissions factors depend on vehicle type.

1130 **Table A.1: Default Emission Factors for all Vehicle Categories and Fuel Types (gCO₂e/litre)**

Vehicle category	CO ₂ emission factors		CH ₄ emission factors		N ₂ O emission factors	
	Gasoline	Diesel	Gasoline	Diesel	Gasoline	Diesel
Bus large	2 313	2 661	11	2	9	21
Bus medium ¹⁷	2 313	2 661	12	2	12	36
Bus small	2 313	2 661	13	1	14	51
Taxis ¹⁸	2 313	2 661	11	1	14	23
Passenger cars	2 313	2 661	11	1	14	23
Motorcycles	2 313	2 661	29	—	7	—

1131 Note: CH₄ and N₂O has been transformed in CO₂e using GWP factors; Default values represent per vehicle
1132 category the technology with the lowest sum of CO₂e emissions

1133 **2. Fuel consumption for vehicles**

1134 IPCC values can be used. However the project proponent must identify the average vehicle age per
1135 category and the most common technology to assess which factor is the most appropriate for the local
1136 circumstances. The most important proxy to identify vehicle technologies is the average age of vehicles
1137 used in the area of influence of the project. To determine if either US or European default factors apply
1138 either local vehicle manufacturer information can be used (in the case of having a substantial domestic
1139 vehicle motor industry) or the source of origin of vehicle imports. Data sources for IPCC values on fuel
1140 consumption are the Revised 1996 IPCC Guidelines for National GHG Inventories: Reference Manual
1141 Tables 1-27 to 1-42. If these tables are updated, the latest available version must be used.

1142 **3. Technology improvement factor:** This is a fixed and constant parameter per vehicle category.

1143 **Table A.2: Technology Improvement Factor for fuel consumption**

Vehicle category	Improvement Factor IR
Buses	0.99
Taxis	0.99
Passenger cars	0.99
Motorcycles	0.997

1144 **4. Upstream Emissions**

1145 The default value for UEF is 14%.

¹⁶ Project proponents can use in many cases fixed default parameters or use local data. The different options including a preference for certain options are listed in the respective formulas.

¹⁷ Calculated as average between small and large buses.

¹⁸ Taken as equivalent to passenger cars.



1146 **LEAKAGE PARAMETERS** (fixed *ex ante* or default values):¹⁹

1147 **1. Fixed elasticity factor for relation between additional road space and induced trips:**

1148 This parameter cannot be observed with a reasonable effort during the project. The default factor taken is
1149 0.1, based on literature, taking a conservative approach.

1150 **2. Fixed relation between vehicle speed and emissions:**

1151 The relation is based upon the speed dependency factor Passenger Cars (gCO₂ per km) developed by
1152 CORINAR. The category from this analysis used is 1.4l <CC<2.0l for Euro I onwards with a speed range
1153 between 13.1 and 130 km/h.

¹⁹ Project proponents can use in many cases fixed default parameters or use local data. The different options including a preference for certain options are listed in the respective formulas.



Appendix B

DEFAULT QUESTIONNAIRE FOR MODAL SPLIT SURVEY (ID 12, partially 4 and 9)

Interviewer:.....
Date:.....
Time:.....
Bus identification (line):.....

“Assuming that the bus system you are currently using would not exist: What mode of transport would you have used for this specific trip you are doing currently”.

For the interviewer:

- The question is related to this specific trip and not to the trips realized by the person during the year in general;
- To clarify mention that you are comparing the system he/she is using currently to the one which existed formerly respectively (according to project) continues to exist in other parts of the city not served by the BRT system;
- Persons which cannot relate it to any mode of transport are taken as induced traffic (conservative default parameter).

Multiple-choice answers

(Only tick one; if the passenger would have used more than one transport mode for the trip he/she is realizing currently then tick the mode, which involves the longest distance):

1. Conventional bus based public transport (this exists normally still as BRT systems are implemented gradually; otherwise a description can be given of the former existing system including photos of former buses);
2. Passenger car → please go to 2A;
3. Taxi (if relevant in the project) → please go to 3A;
4. Motorcycle (if relevant in the project) → please go to 4A;
5. Rail-based urban transit;
6. NMT (per foot or bicycle);
7. I would not have made the trip (induced traffic).

If the passenger responds with the answer 2 then ask:

2A. Do you or your family own a car or do you have access to a car (e.g. car-sharing)?

NO YES

If the passenger responds with NO this specific questionnaire is deemed as non-consistent and removed from the final counting

2B. What fuel type does the car use to which you have access?

gasoline diesel gas (CNG or LPG) electric I don't know other:
which:.....



1201 2C. What is the starting point of your trip (origin) and which is the final (destination) point? Please name
1202 the station or location where you first boarded a bus and where you will make the final stop?
1203

1204 *For the interviewer: Please advise the passenger that the original departing and final point is required.*
1205 *This may include bus transboarding such as first using a feeder line and then a main line. It is thus the*
1206 *origin and final destination of the passenger trip and not of the ride on this specific bus-line.*
1207

1208 Origin (departing point):

1209 Destination (final point):

1210

1211 **If the passenger responds with the answer 3 then ask:**

1212

1213 3A. Have you used in the last 12 months a taxi ?

1214 NO YES

1215

1216 If the passenger responds with NO this specific questionnaire is deemed as non-consistent and
1217 removed from the final counting

1218

1219 3B. What is the starting point of your trip (origin) and which is the final (destination) point? Please name
1220 the station or location where you first boarded a bus and where you will make the final stop?
1221

1222 *For the interviewer: Please advise the passenger that the original departing and final point is required.*
1223 *This may include bus transboarding such as first using a feeder line and then a main line. It is thus the*
1224 *origin and final destination of the passengers trip and not of the ride on this specific bus-line.*
1225

1226 Origin (departing point):

1227 Destination (final point):

1228

1229 **If the passenger responds with the answer 4 then ask:**

1230

1231 4A. Do you or your family own a motorcycle or do you have access to a motorcycle ?

1232 NO YES

1233

1234 If the passenger responds with NO this specific questionnaire is deemed as non-consistent and
1235 removed from the final counting

1236

1237 4B. What is the starting point of your trip (origin) and which is the final (destination) point? Please name
1238 the station or location where you first boarded a bus and where you will make the final stop?
1239

1240 *For the interviewer: Please advise the passenger that the original departing and final point is required.*
1241 *This may include bus transboarding such as first using a feeder line and then a main line. It is thus the*
1242 *origin and final destination of the passengers trip and not of the ride on this specific bus-line.*
1243

1244 Origin (departing point):

1245 Destination (final point):

1246



1247 The project proponent must include the questionnaire as annex to the PDD. The questionnaire is to be
 1248 reviewed by the DOE. The DOE assesses if the questionnaire is in accordance with the principles (core
 1249 elements of survey) specified above.

1250

1251

1252

History of the document

Version	Date	Nature of revision(s)
04.0.0	EB XX, Annex #	<ul style="list-style-type: none"> Introduces an innovative approach to additionality demonstration; Limits the crediting period to 10 years; Reduces monitoring requirements set in the monitoring survey from annual monitoring to monitoring in the years 1 and 4; Reduces monitoring requirements for leakage. For leakage from changes in load factor of buses and taxes, the frequency of monitoring is reduced from every 3 years to the years 1 and 4. For leakage from reduced congestion, the requirement to estimate it ex ante is replaced with the requirement of (1) not to conduct monitoring, in case the implementation of the project activity does not lead to a reduction of road space; and (2) to monitor in the year 1 and 4, in case the implementation of the project activity leads to a reduction on road space; Removes an applicability condition requiring to prove that the local regulations do not constrain the establishment or expansion of a BRT system; Removes an applicability condition requiring that the BRT system partially or fully replaces a traditional public transport system in a given city and stating that the methodology cannot be used for BRT systems in areas where currently no public transport is available; Removes the option to determine baseline emissions using sectoral data (Path B); Removes the requirement to conduct the policy effects on emission reductions; Removes the requirement to conduct the sensitivity analysis; Improves the requirements on measurement of specific fuel consumption in the baseline and project to use the lower and upper 95% confidence levels of the sample measurement, respectively; Removes the requirement to account for CH₄ and N₂O emissions from gasoline and diesel, requiring to account for these emissions for gaseous fuels only; Introduces the Tool to calculate project and leakage emissions from fossil fuel consumption; Introduces a reference to the Standard for sampling and surveys for CDM project activities and programme of activities; Improves the format of the methodology to be in line with the current template for CDM large scale methodologies; Improves the language, readability and clarity.
03.1.0	EB 58, Annex 2 26 November 2010	The methodology was revised to include project activities that use more gaseous fuels in the project activity than in the baseline scenario
03	EB 50, Annex 5 16 October 2009	The methodology was revised in response to AM_REV_0160. The revision expanded the applicability of the methodology to situations in which electricity is used in the transport systems included in the project boundary; and removed, from the applicability conditions, the restriction imposed in the use of biofuels, whose use was limited to a 3% blend with fossil fuels in the previous versions of the methodology.



1253

02	EB 48, Annex 6 17 July 2009	The methodology was revised in response to AM_REV_0142. The revision expanded the applicability of the methodology to include situations in which the baseline public transport system and other public transport options include rail-based systems.
01.1	EB 44, Annex 9 28 November 2008	Editorial revision to introduce the parameter TRC which was missing in Equation 22.
01	EB 25, Annex 1 28 July 2006	Initial adoption.
Decision Class: Regulatory Document Type: Standard Business Function: Methodology		

1254