

CDM-AP63

Meeting report

CDM Accreditation Panel sixty-third meeting

Version 01.1

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Agenda item 1. Agenda and meeting organization

Agenda item 1.1. Opening

1. Ms. Natalie Kushko, Chair of the Clean Development Mechanism Accreditation Panel (hereinafter referred to as the CDM-AP), opened the meeting.
2. Table 1 below represents the attendance of members at the meeting.

Table 1. Attendance

Chair and Vice-Chair	Members
Ms. Natalie Kushko (Chair)	Ms. Marina Shvangiradze
Mr. Hussein Badarin (Vice-Chair)	Mr. Takashi Otsubo
	Mr. Vinay Deodhar
	Mr. Anil Jauhri
	Mr. Ricardo Esparta
	Mr. Stanford Mwakasonda
	Mr. Hernán Carlino

3. The CDM-AP noted that Mr. Thomas Falcklam was unable to attend the meeting. The member provided proper justification for his absence.

Agenda item 1.2. Adoption of the agenda

4. The CDM-AP adopted the agenda of the meeting.

Agenda item 1.3. Inputs by the DOE/AIE coordination forum

5. In accordance with the "Modalities and procedures for direct communication with stakeholders", the CDM-AP interacted with the DOE/AIE Coordination Forum represented by Werner Betzenbichler and two additional designated operational entity (DOE) representatives (Michael Lehmann, for DNV, and Marcelino Pellitero Martinez, for AENOR). The subject of this interaction was limited to policy issues, in particular to provide input to the revision of the "CDM accreditation standard for operational entities" (Standard) and the "Procedure for accrediting operational entities by the Executive Board of the clean development mechanism (CDM)" (Procedure) and did not include case-specific issues.
6. The Chair of the DOE/AIE Coordination Forum, Mr. Werner Betzenbichler, welcomed work on revision of the CDM Accreditation Standard (Standard) and CDM Accreditation procedure) (Procedure), emphasizing the objectives for improvement and ensuring a more stable platform for the DOE activities in the context of the new market conditions.
7. The CDM-AP took note of the inputs reported by Mr. Werner Betzenbichler, who elaborated the input provided by entities on the following:
 - (a) Request to have enhanced consultations with the DOEs and workshop on the revision of these draft and final documents;

- (b) Revision of the Standard - Phase II including the requirement of having a financial expert in the team for the validation of additionality based on investment analysis, root-cause analysis and technical reviews, subcontracting and outsourcing particularly for external individuals working in another organization, competence analysis and its impact on recruitment, and to offer further inputs regarding competence requirements;
 - (c) Revision of the Procedure, including grading of non-conformities (NCs), introduction of the concept of "probation", impacts/interferences with the work on the revision of the CDM modalities and procedures, proposal for the introduction of a direct communication process/telephone call and review process for assessment team reports.
8. The CDM-AP thanked the DOE/AIE Coordination Forum for its input and encouraged the forum to continue to raise similar issues in the future.

Agenda item 2. Governance and management matters

Agenda item 2.1. Membership issues

9. The CDM-AP considered information provided by members with respect to any potential conflict of interest.

Agenda item 2.2. Planning issues

10. The CDM-AP agreed, in accordance with paragraph 17 of the "Modalities and procedures for direct communication with stakeholders", to allocate time for a second interaction with the DOE/AIE Coordination Forum in 2013 at its 64th meeting, scheduled for 22–25 April 2013.
11. The CDM-AP took note of an update by the secretariat on the DOE assessment plans for 2013 and noted that assessment plans will be made available to the respective DOEs.

Agenda item 2.3. Performance management

12. The CDM-AP took note of a report on the status of the ongoing reaccreditation assessments.
13. The CDM-AP took note of a report on visits deviating from the default duration of four person-days.
14. The CDM-AP took note of a report on delays of more than seven days that took place in ongoing assessments. The CDM-AP also took note of a presentation by the secretariat on the causes of delays by the CDM assessment teams in accreditation assessments.
15. The CDM-AP took note of a report on the DOE performance monitoring data.

Agenda item 2.4. Matters related to the Board and its support structure

16. The CDM-AP took note of a presentation by the Chair on the outcomes of the seventieth and seventy-first meetings of the Executive Board (hereinafter referred to as the Board) of the clean development mechanism (CDM).

17. The CDM-AP took note of a presentation by the secretariat on the recent developments in the joint implementation (JI) accreditation process.
18. The CDM-AP took note of a report by the secretariat on electronic decisions conducted since the last CDM-AP meeting.
19. The CDM-AP provided input on a revised template for the final assessment report regarding the formulation of recommendations to the CDM-AP.
20. The CDM-AP took note of an update by the secretariat on the training for assessment teams (CDM-ATs).
21. The CDM-AP agreed to recommend that the Board allocate resources for an interaction between the CDM-AP and assessment team leaders after version 5 of the CDM accreditation standard is adopted. This is in order to ensure alignment of understanding between the CDM-AP and the team leaders.

Agenda item 3. Rulings (case specific)

22. The CDM-AP considered one spot check report. A recommendation will be submitted to the Board under confidentiality.
23. The CDM-AP considered one initial accreditation case. A recommendation will be submitted to the Board under confidentiality.
24. The CDM-AP considered 12 reaccreditation cases. A recommendation on six cases will be submitted to the Board under confidentiality.
25. No extensions of scope assessments were considered at this meeting.
26. The CDM-AP considered nine regular surveillance assessments. Notifications on seven cases will be submitted to the Board under confidentiality.
27. The CDM-AP considered 27 performance assessments. Notifications on 20 cases will be submitted to the Board under confidentiality.
28. The CDM-AP considered 18 notifications of change. No notification will be submitted to the Board under confidentiality.
29. The CDM-AP considered two complaints against DOEs as well as an update on the progress of the remaining cases. No recommendation will be submitted to the Board at this time.
30. The CDM-AP considered four disputes from DOEs.

Agenda item 4. Regulatory matters

Agenda item 4.1. Standards/tools

31. The CDM-AP considered a concept note prepared by the secretariat on the revision of the Standard and provided inputs.
32. The secretariat informed the CDM-AP that it will take into account the inputs provided, revise the concept note and submit it to the Board at its seventy-second meeting. Based

on the Board's guidance, the secretariat will prepare a first draft revised Standard and submit it to the CDM-AP for consultation at its 64th meeting.

Agenda item 4.2. Procedures

33. The CDM-AP considered a concept note prepared by the secretariat on remaining issues relating to the revision of the Procedure and provided inputs.
34. The secretariat informed the CDM-AP that it will take into account the inputs provided, revise the concept note and submit it to the Board at its seventy-second meeting. Based on the Board's guidance, the secretariat will prepare a first draft revised Procedure and submit it to the CDM-AP for consultation at its 64th meeting.
35. The CDM-AP also recommended having an in-person interaction with DOEs during the preparation of the first drafts of both the Standard and the Procedure.

Agenda item 5. Other matters

Agenda item 6. Conclusion of the meeting

36. The CDM-AP approved the internal and external reports of the 63rd meeting.
37. The CDM-AP Chair closed the meeting and thanked all panel members and the secretariat for their dedication and excellent work.

Document information

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