

**THIRTY-THIRD PROGRESS REPORT
OF THE
CDM ACCREDITATION PANEL (CDM-AP)**

Forty-Third Meeting of the CDM-AP

17 – 19 August 2009

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I. Introduction

1. This thirty-third progress report covers the period from 02 July 2009 to 19 August July 2009. During this period the accreditation panel (CDM-AP) held one meeting.

II. Expert Resources

2. The CDM-AP took note of the updated analysis by the secretariat on the level of existing resources and on the resource estimation required to operationalize the performance assessment activities and assessment of non-central sites as agreed at the previous meeting. The CDM-AP agreed on the proposal of the secretariat drawn on selected expert and secretariat resources and requested the secretariat to continue to draw upon the roster of experts, as necessary, to undertake all planned assessment activities.

III. Status of applications

3. The total number of applications currently under consideration by the CDM-AP is forty two (42), including twenty seven (27) accredited entities and fifteen (15) non-accredited applicant entities. A total of five (5) applications have been withdrawn and one (1) has been rejected by the Executive Board.

4. In terms of geographical distribution, out of the forty two (42) applications currently under consideration, the highest number of applications, twenty three (23), is from the Asia and Pacific region, followed by sixteen (17) from the Western Europe and Other regions. Two (2) applications are from Latin America and the Caribbean region.

5. A total of total of thirteen (13) applications are from Non-Annex I Parties, including eleven (11) applicants from the Asia and Pacific region, two (2) from Latin America and the Caribbean. In terms on individual countries, three (3) applicants are from Republic of Korea, two (2) from Malaysia, six (6) from China, one (1) from Brazil, and one (1) from Colombia.

IV. Update on work of the CDM-AP

6. The CDM-AP devoted a significant part of this meeting to considering policy and process in respect to the accreditation related issues mandated by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) to the Board. In this regard the CDM-AP considered the following issues:

- (a) Measures to facilitate the accreditation of more applicant entities from developing countries by, inter alia, reducing costs for the necessary accreditation procedures incurred by AEs from developing countries, in order to address the shortage of validation and verification services and enhance the equitable regional distribution of CDM project activities;
- (b) A system for continuous monitoring of the performance of DOEs and a system to improve the performance of DOEs, including measures to ensure that DOEs comply with the requirements and meet the standards established by the CDM EB for DOEs;
- (c) Policy framework to address non-compliance by DOEs in a systematic manner, including transparent criteria for the application of sanctions in cases of non-compliance;
- (d) Means to enhance the impartiality and independence of DOEs in undertaking their validation and verification functions;

- (e) Possible arrangements for ensuring that projects under validation and verification by a suspended DOE are not prejudiced by the suspension.

7. The CDM-AP considered the draft policy framework on non-compliance of DOEs presented by the secretariat, and agreed the document as a working draft for further consideration and elaboration: The draft is contained in an annex to the annotations to the proposed agenda of the forty-ninth meeting of the Board. The CDM-AP also agreed to continue its consideration of the policy framework at the next meeting for its further elaboration.

8. The CDM-AP resumed its discussion on operationalization of multi-site provisions contained in the CDM Accreditation Procedure and CDM Accreditation Standard. The CDM-AP agreed on the following criteria for selection of sites to be assessed, in line with the CDM Accreditation Procedure, and which will be incorporated in the procedure or guideline at its next revision:

All sites where CDM functions are undertaken may be assessed during any regular on-site assessment. The CDM AP will decide which sites will be assessed based on quality and volume undertaken by the entity, and on the functions allocated to each site. This includes initial accreditation, regular surveillance and re-accreditation assessment

9. The CDM-AP continued the information and experience sharing with Joint Implementation accreditation process, exploring ways for further collaboration.

V. Case specific issues and recommendations for accreditation of entities

10. The CDM-AP considered the assessment reports of the entity ‘SGS United Kingdom’ on the outcome of a spot-check mandated by the CDM EB at its last meeting. The recommendation and observations of the CDM-AP shall be presented to the Board under confidentiality.

11. The CDM-AP also considered progress of the follow-up activities of the entity ‘Det Norske Veritas Certification AS’ under spot-check. An update on the progress of the work shall be presented to the Board under confidentiality.

12. The CDM-AP considered three (3) application for initial accreditation and three (3) applications for re-accreditation. The CDM-AP also considered the progress reports and outcomes of five (5) witnessing activities initiated prior to revision of the CDM Accreditation Procedure. Conclusions and recommendations in respect of the three (3) cases will be submitted for the consideration by the Board under confidentiality.

VI. Key issues under consideration

13. The following key issues are under on-going consideration of the CDM-AP:

- (a) Regular review and preparation for revision of the core accreditation documents, including the CDM Accreditation Procedure, the CDM Accreditation Standard and Validation and Verification Manual.
- (b) The training of assessment team members in order to seek further improvement and achieve greater consistency in the assessment process;
- (c) The accreditation related issues mandated by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol to the Board. The CDM-AP has allocated tasks to its members and requested them to finalize the work with the assistance of the secretariat in due course.

VII. Further schedule of the CDM-AP

14. The Board may wish to note that the forty-fourth meeting of the CDM-AP is scheduled for 21 – 23 September 2009, in Bonn, Germany.
