

**THIRTY-FIRST PROGRESS REPORT  
OF THE  
CDM ACCREDITATION PANEL (CDM-AP)**

**Forty-First Meeting of the CDM-AP**

**29 April – 01 May 2009**

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## **I. Introduction**

1. This thirty-first progress report covers the period from 27 February 2009 to 01 May 2009. During this period the accreditation panel (CDM-AP) held one meeting.

## **II. Expert Resources**

2. The CDM-AP undertook a review of new features developed in the online application facility, status of experts in the roster as well as new applicants and their initial evaluation by the CDM-AP. The CDM-AP also held a discussion on assessment resources both in the roster of experts and the secretariat resources required to operationalise the new aspects of the accreditation process, with particular consideration of the resources required for performance assessments. The CDM-AP requested the secretariat to undertake an analysis of resource requirements for consideration at its next meeting.

3. A detailed discussion of the training needs for assessment team members was held by the CDM-AP. The CDM-AP considered the different options including an update from the secretariat on the work undertaken so far. The CDM-AP requested the secretariat to develop a detailed training framework for its consideration at the next meeting.

4. The CDM-AP also considered the outcomes of a one-day training session for CDM assessment team members held in conjunction (Sunday, 26, April 2009) with the Joint Coordination Workshop. The CDM-AP agreed on the need to hold such training sessions at least once a year. The CDM-AP, considering that the validation and verification manual is an essential element of the assessment process, agreed on the need to provide training to assessment team members against its various requirements. The secretariat was requested to investigate various options for such training for presentation to, and approval by, the Board.

## **III. Status of applications**

5. The total number of active applications currently under consideration by the CDM-AP is forty (40). It should be noted that a total of four (4) applications have been withdrawn and one (1) has been rejected by the Executive Board.

6. In terms of geographical distribution, out of the forty (40) applications currently under consideration, the highest number of applications (twenty (20)) are from the Asia and Pacific region, followed by eighteen (18) from the Western Europe and Other regions. Two (2) applications are from Latin America and the Caribbean region. Nine (9) applicants from the Asia and Pacific region, two (2) from Latin America and the Caribbean region are from Non-Annex I Parties (Republic of Korea three (3), Malaysia two (2), China four (4), Colombia, and Brazil). Thus a total of eleven (11) applications are from Non-Annex I Parties.

7. The Executive Board may wish to note that as a result of decisions taken at its last meeting, the number of accredited entities both for validation and verification functions has now increased to twenty-six. Similarly, the range of sectoral scopes covered by these DOEs have also significantly improved.

8. With regard to the status of assessment work for other entities, the CDM-AP agreed to a number of measures which could assist the secretariat in undertaking and completing the required assessment activities and associated submission of cases for the consideration of the CDM-AP in a more timely manner.

#### **IV. Update on work of the CDM-AP**

9. The CDM-AP spent a significant part of the last meeting considering the policy and process matters related to operationalisation and implementation of the decisions of the Board with respect to the revised accreditation procedure and the CDM accreditation standard. In this regard, the CDM-AP considered and agreed on following:

- (a) **Strengthening of on-site assessment:** The CDM-AP considered the guidelines for undertaking on-site assessment and revised assessment forms for both desk review and on-site assessment. They discussed options to ensure that assessment teams that undertake on-site assessments possess the required competencies. The CDM-AP also adopted the on-site assessment guidance for the assessment teams which proposed some changes in the desk review and on-site assessment forms. The CDM-AP requested the secretariat to incorporate some minor changes in the assessment report forms and agreed to adopt them electronically.
- (b) **Criteria to determine the number of project activities for performance assessment and criteria for selection of specific project activities:** A detailed discussion was held on the criteria to determine the number of performance activities and also the determination of a definite number for future performance assessment activities at entities. In determining the criteria, the CDM-AP took into consideration that these should be objectively based, enable uniform application across entities and must be responsive to actual performance as witnessed at the entities. Considering these elements, the CDM-AP then agreed on the following criteria:
  - (i) One performance assessment activity for every three sectoral scopes applied for by an entity;
  - (ii) One performance assessment activity for every five project activities reviewed by the Board; and
  - (iii) One performance assessment activity for every fifty cases of validation/verification submitted by an entity.

The Board may also wish to note that the number of performance assessments will be determined on the basis of data gathered in the last six months for each entity and will be reviewed on six monthly basis in order to take into consideration the latest information available. The CDM-AP agreed to provisionally adopt the criteria and the resultant number of performance assessments for entities based on them subject to an analysis of resource requirements for undertaking such assessments. The secretariat was requested to undertake an analysis and prepare a submission for further consideration by the CDM-AP at its next meeting. The CDM-AP further requested the secretariat to determine the number of performance activities for entities applying the criteria and proceed with implementation of performance assessment activities in accordance with the accreditation procedure.

- (c) **Performance assessment forms:** The CDM-AP considered the performance assessment forms for both validation and verification purposes as prepared by the secretariat. The CDM-AP provided its input and requested that the secretariat incorporate the proposed revisions. The CDM-AP agreed to approve the forms through electronic means.
- (d) **Focused assessment for entities:** In accordance with the Board decision at its last meeting to undertake a focused on-site assessment for the group of entities issued with indicative letters more than 12 months before the forty-third meeting of the Board, the

CDM-AP agreed on some focus areas for future on-site assessments. The secretariat was requested to proceed with these assessments in an expeditious manner so that cases could be completed within the deadline agreed by the Board.

- (e) **Amendment of the CDM accreditation procedure and CDM accreditation standard:**  
The CDM-AP considered a number of editorial corrections and minor consistency amendments to the accreditation procedure (version 09) and the accreditation standard (version 01). The CDM-AP requested the secretariat to collect further corrections and amendments in view of preparing revised versions of these documents for submission to the Board for its adoption.

## **V. Case specific recommendations for accreditation of entities**

10. The CDM-AP considered an update on the progress of monitoring activities of the entity under spot-check. The CDM-AP requested the secretariat to finalize the work in an expeditious manner and report the outcome for the consideration of the CDM-AP at its next meeting.

11. The CDM-AP considered three (3) witnessing cases. The Board may wish to note that in accordance with the decision of the Board, at its forty-sixth meeting, these witnessing cases are now considered as performance assessment cases. The notifications concerning these cases have been submitted for the consideration by the Board under confidentiality.

12. In addition, the CDM-AP considered several other specific cases of entities relating to changes in the organizational structures and other matters. The CDM-AP requested the secretariat to undertake appropriate further action.

## **VI. Key issues under consideration**

13. The following key issues are under the consideration of the CDM-AP:

- (a) A policy framework for addressing DOEs noncompliance with accreditation requirements in a systematic manner;
- (b) The training of assessment team members in order to seek further improvement and achieve greater consistency in the assessment process;
- (c) The revision of other assessment forms to include improvements based on the Board decisions and clarifications that affect the accreditation criteria;
- (d) To determine appropriate modalities of cooperation with the Joint Implementation accreditation process in order to share information and experience.

## **VII. Further schedule of the CDM-AP**

14. The Board may wish to note that the forty-second meeting of the CDM-AP is scheduled for 29 June 2009 – 01 July 2009, in Bonn, Germany.

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