CDM: Proposed New A/R Methodology Expert Form – Second Review (version 02)

(To be used by A/R methodology second reviewer providing desk review for a proposed new A/R methodology)

Name of expert responsible for completing and submitting this form	
Related F-CDM-AR-NM document ID number	
Title of the proposed new AR baseline and monitoring methodology	

History of submission *(to be communicated to reviewers by UNFCCC Secretariat):*

(Note to reviewers: if the methodology is a resubmission, please read the previous version and associated AR WG recommendations).

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Note to reviewers: Please provide recommendations on the proposed new A/R baseline and monitoring methodologies based on an assessment of CDM-AR-NM and of its application in the draft CDM-AR-PDD and public inputs. Please ensure that the form is entirely filled and that arguments and expert judgments are substantiated.

Evaluation of the proposed new A/R methodology by the Lead Reviewer:

A. Changes needed to improve the new A/R methodology

Outline the changes needed to improve the A/R baseline and monitoring methodology:

Major required changes:

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Other required changes:

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B. General information on the submitted proposed new A/R methodology

(1) Purpose of the new A/R baseline methodology (in one or few sentences).

>> This methodology is designated for projects that ...

(2) State the baseline approach selected, explain whether this is appropriate and if not why?

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(3) Selected carbon pools

a) State the carbon pools selected.

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b) Explain whether the selection of carbon pools is appropriate in the context of the applicability conditions and the determination of actual net GHG removals by sinks and baseline net GHG removals by sinks. If not, explain the shortcomings and required changes. Note that the same carbon pools should be considered for the actual net GHG removals by sinks and baseline net GHG removals by sinks.

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(4) Suggested applicability conditions

a) Please provide your assessment of the suggested applicability conditions of the proposed new A/R methodology (e.g. project type, national and regional circumstances / policies, data and resource availability, environmental conditions, past land-use and land use changes, purpose of the activity and practices). If necessary, explain any changes that should be made to the applicability conditions. Please note that applicability conditions should refer to a project activity and should not pre-empt the most plausible baseline scenario.

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b) Please specify whether this methodology can be applied to other potential CDM A/R project activities).

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c) Indicate whether an approved methodology exists for the same applicability conditions .

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C. Details of the evaluation of the proposed new A/R methodology:

I. Detailed recommendations on the proposed new A/R baseline methodology

In respect of the proposed new A/R baseline methodology, evaluate each section of CDM-AR-NM. Please provide your comments section by section.

(1) Project Boundary

a) Explain and assess the methodological procedure to identify the physical delineation of the land areas included in the project boundary. Explain the shortcomings and list the required changes (if any).

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b) Explain whether the consideration of the project boundary is appropriate and if not explain the shortcomings and list the required changes (if any).

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(2) Stratification

Explain whether the procedure described for stratification of land areas for the ex-ante estimation of net anthropogenic GHG removals by sinks is appropriate. If not, explain the shortcomings and list the required changes (if any).

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(3) Selection of the most possible baseline scenario

a) Explain whether the methodology provides an appropriate stepwise approach for identifying various possible candidate baseline scenarios and a procedure for determining the most likely baseline scenario (taking into account paragraph 20 and 21 of the A/R modalities and procedures). Explain the shortcomings and list the required changes.

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b) Explain whether national and / or sectoral policies and circumstances are appropriately taken in to account in the stepwise approach for selecting the baseline scenario. If not, explain the shortcomings and list the required changes.

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c) Explain whether the determination of baseline scenario is consistent with the applicability

conditions of the methodology and if not, why?

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(4) Estimation of baseline net GHG removals by sinks

a) Explain *whether the methodology provides an ex-ante estimation of baseline net GHG removal by sinks .* Explain *whether the approach is appropriate and, if not, explain the shortcomings and list the required changes.*

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b) Provide an assessment of the appropriateness and correctness of the methodological procedure to calculate baseline net GHG removals by sinks, including an assessment of:

(i) The choice of algorithms/formulae and/or models used and correctness of their application (e.g. mathematical deficiencies, inconsistencies in calculus of dimensions)

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(ii) Implicit or explicit assumptions

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(iii) The appropriateness (adequacy, consistency, accuracy and reliability) of the parameters provided by the methodology

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(iv) The appropriateness of procedures how project participants should select any parameters in cases where these are not provided in the methodology (e.g. from official statistics, expert judgment, proprietary data, IPCC Good Practice Guidance for LULUCF, commercial data and scientific literature

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(v) Any data gaps

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(vi) In cases where baseline net GHG removals by sinks are not estimated ex-post, whether the procedure results in a conservative estimation of the sum of the changes in carbon stocks in the carbon pools within the project boundary that would have occurred in the absence of the proposed CDM A/R project activity, taking into account the uncertainty associated with the algorithms, models and parameters used. Assess whether the procedure can be carried out in an unambiguous way, replicated, and subjected to a validation and/or verification study. Explain the shortcomings and list the required changes.

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c) Explain whether the potential baseline scenarios derived through the procedure for selection of the most plausible baseline scenario are consistent with the procedures and formulae used to calculate the baseline net GHG removals by sinks. If not, explain the shortcomings and list the required changes.

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(5) Demonstration of additionality

a) Explain whether the methodology provides for an appropriate step-wise procedure how it can be demonstrated that the proposed A/R project activity is additional and therefore not the baseline scenario. Assess the appropriateness of this procedure, including the appropriateness of information to be presented in the resulting CDM-AR-PDD. Explain any shortcomings and list the required changes.

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b) State whether and how national and/or sectoral policies and circumstances are taken into

account and whether this is appropriate. Explain any shortcomings and list the required changes.

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c) Explain whether the procedure to demonstrate additionality is consistent with the procedure to identify the most plausible baseline scenario. If not, explain the inconsistencies.

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(6) Ex-ante actual net anthropogenic GHG removals by sinks

Provide an assessment of the appropriateness and mathematical correctness of the methodological procedure to calculate ex-ante actual net anthropogenic GHG removals by sinks. Explain any shortcomings and list the required changes.

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(7) Leakage

a) Describe which sources of leakage emissions are considered in the methodology. State and explain whether the choice which leakage emission sources are considered is appropriate. Indicate any important leakage emissions sources that have been neglected.

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b) Provide an assessment of the appropriateness and mathematical correctness of the methodological procedure to calculate ex-ante leakage emissions. Explain any shortcomings and list the required changes.

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(Please note that even if the calculation of the leakage is to be performed ex post, the methodology should include the calculation of an ex ante estimate).

(8) Assessment of uncertainties and conservativeness

a) Explain whether the methodology ensures that the net anthropogenic GHG removals by sinks are estimated in conservative manner, taking in to account the uncertainties of the methodology. If not explain the shortcomings and list the required changes.

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b) Explain whether the A/R baseline methodology includes tools for the assessment of uncertainties. Are these tools adequate and if not explain the shortcomings.

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(9) Assessment of the description and consistency of the methodology and its appropriateness for the proposed project activity

a) Explain whether the A/R baseline methodology has been described in an adequate and transparent manner. If not, explain any shortcomings and list the required changes.

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b) Explain whether the A/R baseline methodology is internally consistent, and if not, highlight which sections are inconsistent.

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(10) Compilation of data needed for ex-ante estimations

Explain whether the compilation of data needed for ex-ante estimations of net anthropogenic GHG removals by sinks is complete, appropriate, and justified. Explain any shortcomings and list the required changes.

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(11) Any other comments:

a) State whether any other source of information (i.e. other than documentation on this proposed A/R baseline methodology available on the UNFCCC CDM web site) has been used by you in evaluating this A/R baseline methodology. If so, please provide specific references:

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b) Indicate any further comments:

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II. Detailed recommendations on the proposed new A/R monitoring methodology

Evaluate each section of CDM-AR-NM. Please provide your comments section by section.

(1) Monitoring project implementation

Assess the appropriateness of the procedure to clearly identify and document the implementation of the project on land areas within project boundary. Explain any shortcomings and list the required changes.

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(2) Sampling design and stratification

Assess the appropriateness and correctness of the sampling design procedures for the ex-post calculation of actual net GHG removals by sinks and the ex-post baseline net GHG removals by sinks (in case it is being monitored). The sampling design may, inter alia, include stratification, determination of number of plots, plot distribution, etc. Explain any shortcomings and list the required changes

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(3) Calculation of ex post baseline net GHG removals by sinks

(Only to be completed if the methodology requires the monitoring of the baseline.)

a) Provide an assessment of the appropriateness and correctness of the methodological procedure to calculate ex-post baseline net GHG removals by sinks, including an assessment of:

(i) The choice of algorithms/formulae used and correctness of their application (e.g. mathematical deficiencies, inconsistencies in calculus of dimensions)

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(ii) Implicit or explicit assumptions

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(iii) The appropriateness (adequacy, consistency, accuracy and reliability) of the parameters provided by the methodology

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(iv) The appropriateness of procedures how project participants should select any parameters in cases where these are not provided in the methodology (e.g. from official statistics, expert judgment, proprietary data, IPCC Good Practice Guidance for LULUCF, commercial data and scientific literature),

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(v) Any data gaps

>>

(vi) In cases where baseline net GHG removals by sinks are not estimated ex-post, whether the procedure results in a conservative estimation of the sum of the changes in carbon stocks in the carbon pools within the project boundary that would have occurred in the absence of the proposed CDM A/R project activity, taking into account the uncertainty associated with the algorithms and parameters used. Assess whether the procedure can be carried out in an unambiguous way, replicated, and subjected to a validation and/or verification study. Explain any shortcomings and list the required changes.

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b) Assess the completeness and appropriateness of data compiled in the table, including the appropriateness of the indicated data sources, monitoring frequency, measurements procedures, etc. Assess whether the frequency of recording reflect the dynamics of the processes that would determine the changes in carbon stocks within the project boundary in the absence of the project activity. Explain any shortcomings and list the required changes.

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(4) Calculation of ex post actual net GHG removal by sinks

a) Provide an assessment of the appropriateness and correctness of the methodological procedure to calculate ex-post actual net GHG removal by sinks, including an assessment of:

(i) The choice of algorithms/formulae used and correctness of their application (e.g. mathematical deficiencies, inconsistencies in calculus of dimensions).

>>

(ii) Implicit or explicit assumptions

>>

(iii) The appropriateness (adequacy, consistency, accuracy and reliability) of the parameters provided by the methodology

>>

(iv) The appropriateness of procedures how project participants should select any

parameters in cases where these are not provided in the methodology (e.g. from official statistics, expert judgment, proprietary data, IPCC Good Practice Guidance for LULUCF, commercial data and scientific literature),

>>

(v) Any data gaps

>>

(vi) In cases where baseline net GHG removals by sinks are not estimated ex-post, whether the procedure results in a conservative estimation, taking into account the uncertainty associated with the algorithms and parameters used. Assess whether the procedure can be carried out in an unambiguous way, replicated, and subjected to a validation and/or verification study. Explain any shortcomings and list the required changes.

>>

b) Assess the completeness and appropriateness of data compiled in the table, including the appropriateness of the indicated data sources, monitoring frequency, measurements procedures, etc. Assess whether the frequency of recording reflect the dynamics of the processes that determine the emissions of GHG or the changes in carbon stocks within the project boundary. Explain any shortcomings and list the required changes.

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(5) Leakage

a) Provide an assessment of the appropriateness and correctness of the methodological procedure to calculate ex-post leakage, including an assessment of:

(i) The choice of algorithms/formulae used and correctness of their application (e.g. mathematical deficiencies, inconsistencies in calculus of dimensions).

>>

(ii) Implicit or explicit assumptions

>>

(iii) The appropriateness (adequacy, consistency, accuracy and reliability) of any parameters provided by the methodology

>>

(iv) The appropriateness of procedures how project participants should select any parameters in cases where these are not provided in the methodology (e.g. from official statistics, expert judgment, proprietary data, IPCC Good Practice Guidance for LULUCF, commercial data and scientific literature),

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(v) Any data gaps

>>

(vi) In cases where baseline net GHG removals by sinks are not estimated ex-post, whether the procedure results in a conservative estimation of leakage effects, taking into account the uncertainty associated with the algorithms and parameters used. Assess whether the procedure can be carried out in an unambiguous way, replicated, and subjected to a

validation and/or verification study. Explain any shortcomings and list the required changes.

>>

b) Assess the completeness and appropriateness of data compiled in the table, including the appropriateness of the indicated data sources, monitoring frequency, measurements procedures, etc. Explain any shortcomings and list the required changes.

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(6) Ex post net anthropogenic GHG removal by sinks

Explain whether the formulae provided to calculate ex-post net anthropogenic GHG removals by sinks for the project activities using ICERs or tCERs are consistent with the latest guidance provided by the CDM Executive Board.

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(7) Assessment of uncertainties and conservativeness

a) Explain whether the methodology ensures that the net anthropogenic GHG removals by sinks are estimated in conservative manner, taking in to account the uncertainties of the methodology. If not list the shortcomings.

>>

b) Explain *whether the A/R monitoring methodology includes tools for the assessment of uncertainties. Are these tools adequate and if not list the shortcomings*

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(8) Assessment of the description and consistency of the methodology

a) Explain whether the A/R monitoring methodology has been described in an adequate and transparent manner. If not, explain the shortcomings and list the required changes.

>>

b) Explain whether the A/R monitoring methodology is internally consistent, and if not, highlight which sections are inconsistent.

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c) Explain whether this proposed A/R monitoring methodology is compatible and consistent with the proposed A/R baseline methodology and if not what are the inconsistencies?

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(9) Any other comments:

a) State whether any other source of information (i.e. other than documentation on this proposed A/R methodology available on the UNFCCC CDM web site) has been used by you in evaluating this methodology. If so, please provide specific references:

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b) Indicate any further comments:

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Signature of desk reviewer: …….. Date: / /

Information to be completed by the secretariat	
F-CDM-AR-Nmex_2d doc id number	
Date when the form was received at UNFCCC secretariat	
Date of transmission to the A/R Working Group and EB	
Date of posting in the UNFCCC CDM web site	