



Annex 1

RECOMMENDATION BY THE CDM ACCREDITATION PANEL TO THE CDM EXECUTIVE BOARD (I):

ASSESSING THE CAPACITY OF DOE/AEs TO MAKE DECISIONS RELATING TO APPROVED METHODOLOGIES FOR BASELINE AND MONITORING: PRE- AND POST ACCREDITATION MEASURES

Background

1. The CDM Executive Board (EB), at its seventeenth meeting, requested the CDM accreditation panel (CDM-AP) to consider implications of including into the scope of witnessing of a DOE/AE the consideration of and decisions on substantive issues and a recommendation to the Board.
2. Presently, the purpose of a witnessing activity is to assess whether an applicant entity (AE) is implementing its tasks in line with its documented quality assurance policy and procedures, including its procedures for performing validation and verification and certification of CDM project activities within the scope applied for in a professional manner. Witnessing is, however, also an important means to verify the technical competence of the DOE/AE to undertake validation or verification activities, including taking decisions on issues related to approved methodologies for baseline and monitoring.
3. The current policy of selection of team leaders and team members by the CDM-AP is based on the competency requirements for the CDM assessment team members contained in terms of reference of the assessment teams (TORs), approved by the Board. In accordance with these TORs, the team undertakes witnessing of the activities performed by the AE, in addition to the on-site assessment, verification of corrective actions, preparation of reports and conducts spot-checks, if need be.

Conclusion by the AP

1. In order to strengthen the assessment of the capacity of AEs and DOEs to take decisions relating to approved methodologies for baselines and monitoring when performing the validation and verification function, the CDM-AP considered various ideas and concluded to implement measures in the short term and make recommendations with regard to measures which could be implemented pre- and post accreditation as described below.
2. With immediate effect, in appointing the team, the CDM-AP shall:
 - (a) Make team leader/member aware that:
 - (i) Essential step of witnessing is to read PDD, Validation protocol, Validation report and relevant approved (consolidated) methodology;
 - (ii) Each of the witnessing team members shall prepare a draft witnessing report separately which will be consolidated into a single final report by the team leader.
 - (b) Confirm with potential team leader and members whether they are prepared to assume a witnessing activity i.e. whether they have a clear understanding of the mandate and depth of expertise and knowledge needed for the new task described in (a).



3. The recommendations below shall not alter the decision by the Board at its thirteenth meeting whereby “Validation and/ or verification and certification activities, witnessed and considered successful during the accreditation procedure, are considered valid from a procedural point of view if the applicant entity is successfully accredited by the Board.”

Recommendation 1 (Inclusion of experts on methodologies in witnessing activities)

4. The accreditation panel shall, for the purpose of witnessing, draw upon experts having expertise on methodologies for baselines and monitoring in the sectoral scopes to be witnessed.

5. The Chair of the Meth Panel, shall select for each of the sectoral scopes of accreditation, drawing from the roster of experts for CDM methodologies, at least two experts to be included in the new roster “Methodology experts for accreditation by sectoral scope”. For each witnessing activity, led by the team leader, the expert(s) on methodologies shall cover all sectoral scope(s) to which the witnessing activity is linked through the methodologies applied. The secretariat will identify available expert(s) to assume such tasks and inform the team leader about the expert(s). Each expert shall sign the same confidentiality agreement as the other team members at the time they are included in the roster.

6. The selected expert(s) are part of the witnessing team. Any such expert in the team shall be responsible for assessing, in writing, the substantive decision-making capacity of the DOE/AE relating to methodological aspects.

7. The experts included in the roster shall be encouraged to familiarize themselves with the accreditation procedure and other relevant decisions, guidelines and clarifications of the Board and its panels and working groups. The Board may wish to consider means to provide opportunities for exchange of experience and training by for example inviting such experts to the annually held coordination workshop.

8. The panel will revise the applicable forms, as appropriate, and inform the Board of the issuance of new versions.

Recommendation 2 (Post accreditation assessment at the time of request for registration by DOE)

9. At least the first two requests for registration of a proposed CDM project activity by a DOE shall be submitted to expert(s) selected from the roster “Methodology experts for accreditation by sectoral scope” for review prior to formally submitting the request to the Board.

Recommendation 3 (Dialogue with DOE/AEs on ways of enhancing competence of DOE/AEs)

10. The Board may wish to invite views from the DOE/AE coordination forum on ways of enhancing the competence of DOE/AEs.