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|  <p>CDM: FORM FOR SUBMISSION OF A "LETTER TO THE BOARD" (Version 01.2)</p> <p>This form should be used only by project participants and other stakeholders for submitting a "Letter to the Board" in accordance with the latest version of the Modalities and procedures for direct communication with stakeholders</p> | | | | | | | | | | | | | |
| Name of the stakeholder ¹ submitting this form (individual/organization): | World Bank, Carbon Finance Unit | | | | | | | | | | | | |
| Address and contact details of the individual submitting this form: | Address: 1818 H Street N.W. Washington, D.C. 20433, USA Telephone number: (202) 458-4695 E-mail address: rreddy1@worldbank.org | | | | | | | | | | | | |
| Title/Subject (give a short title or specify the subject of your submission) | Request for Registration of projects and PoAs submitted during 2012 to be registered with date of registration in the first commitment period | | | | | | | | | | | | |
| Please mention whether the submitter of the form is: | <input type="checkbox"/> Project participant <input checked="" type="checkbox"/> Other stakeholder, please specify Multilateral Development Bank | | | | | | | | | | | | |
| Specify whether you want the letter to be treated as confidential ² : | <input type="checkbox"/> To be treated as confidential <input checked="" type="checkbox"/> To be publicly available (UNFCCC CDM web site) | | | | | | | | | | | | |
| Please choose any of the type(s) below ³ to describe the purpose of this submission. | | | | | | | | | | | | | |
| <input type="checkbox"/> Type I: <table style="margin-left: 20px;"> <tr> <td><input checked="" type="checkbox"/> Request for clarification</td> <td><input type="checkbox"/> Revision of existing rules</td> </tr> <tr> <td><input type="checkbox"/> Standards. Please specify reference</td> <td></td> </tr> <tr> <td><input type="checkbox"/> Procedures. Please specify reference</td> <td></td> </tr> <tr> <td><input type="checkbox"/> Guidance. Please specify reference</td> <td></td> </tr> <tr> <td><input type="checkbox"/> Forms. Please specify reference</td> <td></td> </tr> <tr> <td><input type="checkbox"/> Others. Please specify reference</td> <td></td> </tr> </table> <input type="checkbox"/> Type II: Request for Introduction of new rules <input checked="" type="checkbox"/> Type III: Provision of information and suggestions on policy issues | | <input checked="" type="checkbox"/> Request for clarification | <input type="checkbox"/> Revision of existing rules | <input type="checkbox"/> Standards. Please specify reference | | <input type="checkbox"/> Procedures. Please specify reference | | <input type="checkbox"/> Guidance. Please specify reference | | <input type="checkbox"/> Forms. Please specify reference | | <input type="checkbox"/> Others. Please specify reference | |
| <input checked="" type="checkbox"/> Request for clarification | <input type="checkbox"/> Revision of existing rules | | | | | | | | | | | | |
| <input type="checkbox"/> Standards. Please specify reference | | | | | | | | | | | | | |
| <input type="checkbox"/> Procedures. Please specify reference | | | | | | | | | | | | | |
| <input type="checkbox"/> Guidance. Please specify reference | | | | | | | | | | | | | |
| <input type="checkbox"/> Forms. Please specify reference | | | | | | | | | | | | | |
| <input type="checkbox"/> Others. Please specify reference | | | | | | | | | | | | | |
| Please describe in detail the issue on which you request a response from the Board, including the exact reference source and version (if applicable). | | | | | | | | | | | | | |

¹ DNAs and DOEs shall use the respective DNA/DOE forms for communication with the Board.

² As per the applicable modalities and procedures, the Board may make its response publicly available.

³ Latest CDM regulatory documents and information are available at: <http://cdm.unfccc.int/Reference/index.html>.

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Honorable Members of the CDM Executive Board,

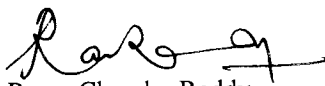
1. We would like to bring to your attention the issues related to the request for registration of projects and PoAs submitted during the last quarter of 2012 (Q4). We understand that a large number of CDM projects and PoAs are in the queue for registration which is leading to a backlog in UNFCCC communications in this regard. We appreciate the efforts of the UNFCCC Secretariat in processing the requests for registration.

2. Per the paragraphs 61 to 68 of the CDM Project Cycle Procedure, Version 02 (Annex 64, EB66), the projects and PoAs submitted for registration are expected to go through the completeness check and the information and reporting check. The requests for which the secretariat conducts a completeness check or information and reporting check that do not meet the requirements per the paragraphs 63 or 66 and are considered incomplete and the reasons for such incompleteness are to be communicated to the project participants or the coordinating/managing entities, respectively. Considering the backlog of requests in the queue for completeness check and information and reporting check, the project participants or the coordinating/managing entities would not be able to receive communication on the projects that are in the registration process in a timely manner, and they would have to wait beyond the timelines noted for completeness check and information and reporting check. If the project participants or the coordinating/managing entities receive the UNFCCC Secretariat communication after the end of commitment period (December 31, 2012) that a project or PoA is incomplete, the request for registration with revised documentation could only be submitted during early 2013. Per the Project Cycle Procedure, the submission with revised documentation in response to the paragraph 63 or 66 is considered a new submission of a request for registration. As a consequence, projects or PoAs that have successfully concluded validation and initiated registration before the end of the first commitment period cannot be registered by the end of the first commitment period. A large number of projects that are in registration process would be adversely affected because of the delays in UNFCCC communication on completeness check and information and reporting check due to the current backlog and increase in requests for registration.

3. We therefore request that the projects or the PoAs that have submitted the request for registration but have not received the UNFCCC communication on the outcome of completeness check and information and reporting check should be allowed the date of the first submission of the request for registration as the date of registration so that the projects or the PoAs that have been in the registration process can receive the date of registration in the first commitment period.

4. In this context, we request the EB to amend the paragraph 69 of the Project Cycle Procedure for projects and PoAs submitted during 2012 and for which communication on the incompleteness is received after 45 days of submission of the request for registration or after 31 December 2012, the date of the first submission of the request for registration should be the date of registration in the first commitment period.

With kind regards,



Rama Chandra Reddy
Acting Team Leader, Policy and Methodology
Carbon Finance Unit, World Bank

Please provide any specific suggestions or further information which would address the issue raised in the previous section, including the exact reference source and version (if applicable).

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|---|---|
| <i>If necessary, list attached files containing relevant information (if any)</i> | <ul style="list-style-type: none"> [replace this bracket with text, the field will expand automatically with size of text] |
| Section below to be filled in by UNFCCC secretariat | |
| Date when the form was received at UNFCCC secretariat | 13 November 2012 |
| Reference number | 2012-194-S |

History of document

| Version | Date | Nature of revision |
|---|------------------|---------------------------|
| 01.2 | 08 February 2012 | Editorial revision. |
| 01.1 | 09 August 2011 | Editorial revision. |
| 01 | 04 August 2011 | Initial publication date. |
| Decision Class: Regulatory Document Type: Form Business Function: Governance | | |