

ANNEX 5 - FINDINGS OVERVIEW

FINDINGS FROM VALIDATION OF BRAÇO NORTE III SMALL HYDRO PLANT – VAL0338

Each Table below represents a finding from the validation assessment. The findings are numbered consecutively, approximately in the order that they have been identified.

Description of table:

Type	Findings are either New Information Requests (NIR) or Corrective Action Requests (CAR). CARs are items that must be addressed before a project can receive a recommendation for registration. NIRs may lead to the raising of CARs. Observations are included at the end and may or may not be addressed. They are primarily to act as signposts for the verifying DOE.
Issue	Details the content of the finding
Ref	refers to the item number in the Validation Protocol
Response	Please insert response to finding, starting with the date of entry.

Rows for comments and further response will be appended to the table until the Findings has been addressed to the satisfaction of the Lead Assessor.

Please note that this is an open list and more findings may be added as validation progresses.

Date: 08/03/2006

Raised by: Fabian Gonçalves/Aurea Nardelli

No.	Type	Issue	Ref
1	NIR	It is not clear the information in Section B.3 of the PDD where Proinfa is mentioned, as the project did not apply for that programme. Section E.1.2.4 of the PDD mentioned a non-registered PDD (other project) as reference for Emission factor calculation, which was not clear.	1.11
Date: 05/06/2006 [Comments]: See revised PDD			
Date: 05/06/2006 – Aurea Nardelli. [Acceptance and close out]: To clarify the NIR , the text in the PDD (version 3) was revised. It was included “As other similar projects, despite its attractiveness, the Braço Norte III project did not apply for participation in Proinfa.” NIR 1 was closed out.			

Date: 08/03/2006

Raised by: Fabian Gonçalves/Aurea Nardelli

No.	Type	Issue	Ref
2	NIR	It was not clearly presented how the baseline emission factor was calculated (data and formulas).	1.11
Date: 05/06/2006 [Comments] : Information was provided to the local assessor. See also the revised PDD.			
Date: 05/06/2006 - Aurea Nardelli. [Acceptance and close out]: Data were checked by the local assessor on site. The PDD was revised (version 3) to include additional information about baseline emissions; the emission factor (0.5364 t CO ₂ e/MWh) was calculated according to the most recent data available from ONS (2002-2004). NIR 2 was closed out.			

Date: 08/03/2006

Raised by: Fabian Gonçalves/Aurea Nardelli

No.	Type	Issue	Ref
3	CAR	The stakeholder consultation process should be carried out in accordance with Resolução n° 1 (DNA requirement). The Public Attorney and local community were not included in the list of stakeholders invited to comment on the project.	7.3
Date: 05/06/2006 [Comments]: Letters will be sent to Public Attorney and to a local association.			
Date: 20/06/2006 – Aurea Nardelli. [Acceptance and close out]: To close out CAR 3, it was verified the letter sent on 15 May 2006 to Public Attorney and on 9 June 2006 to a local organization. Documented evidences of invitation were sent to SGS. CAR 3 was closed out.			

Date: 08/03/2006

Raised by: Fabian Gonçalves/Aurea Nardelli

No.	Type	Issue	Ref
4	CAR	Table in section A.4.3.1 (PDD) did not present the correct period of credits. Year 2003 started on 3rd October and the end of the first crediting period should be on 2 nd October 2010. The annual estimation of ERs should be calculated for the correct period (for each year).	8.3.2
Date: 05/06/2006 [Comments]: The PDD was revised.			
Date: 18/07/2006 - Aurea Nardelli. [Acceptance and close out]: It was confirmed that the PDD was revised (version 3). The values for each year presented in the new table were checked. A spreadsheet with monthly data of energy generation was provided to check the total of CERs presented. -CAR 4 was closed out.			

Date: 08/03/2006

Raised by: Fabian Gonçalves/Aurea Nardelli

No.	Type	Issue	Ref
5	NIR	The monitoring plan shall present good monitoring practice. It was not provided information about calibration of the electricity meter installed in the plant. This meter is an important component of the monitoring system of the project activity.	9.1.3
Date: 05/06/2006 [Comments]: Information was provided during the site visit.			
Date: 05/06/2006 - Aurea Nardelli. [Acceptance and close out]: It was informed and verified during the site visit that calibration of meter follows the ONS procedure (see Ref. 7). The section D.5 of the PDD (version 3) was revised to present this information. NIR 5 was closed out.			

Observations:

- (1) - The PDD did not include its version number (Section A, item A.1);