



Annex 6 - Local assessment checklist

Braço Norte III Small Hydro Plant - CDM.VAL0338

This checklist is designed to provide confirmation of in-country data and information provided in the Project Design Document. It serves as a “reality check” on the project. It is to be completed by a local assessor of SGS Brazil

Issue	Findings	Source /Means of Verification	Further action / clarification / information required?
Check the starting date of the project activity: verify documents that evidence the start date of construction and operation start up.	Verified contract between Garantã Energética and Alstom Brasil, 03/05/2001 (contract for purchasing/installation of the turbines). Verified contract between Garantã Energética and Gevisa, 02/10/2001(contract for purchasing/installation of the generators). Verified the energy invoices issued by the company since the project start (October 2003 – December 2005).	Site visit/DR	OK

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<p>Verify if the project is licensed by the national agencies (Energy):</p> <p>Verify operation licence issued by ANEEL</p>	<p>Resolution n° 374, 20 September 2000, ANEEL.</p> <p>Despacho n° 603, 30 September 2002, ANEEL.</p> <p>Despacho n° 715, 3 October 2003, ANEEL.</p> <p>Despacho n° 854, 11 November 2003, ANEEL.</p>	<p>Site visit/DR</p>	<p>Ok</p>
<p>Verify the analysis of IRR (premises adopted, cash flow etc). Ask copy of the spreadsheet used for calculations.</p>	<p>The spreadsheet was provided.</p> <p>The analysis was discussed with the project developers.</p>	<p>DR/I</p>	<p>Ok</p>
<p>Confirm the reservoir area informed in the PDD. Verify maps.</p> <p>Confirm project implementation as described in the PDD.</p>	<p>The project installation was confirmed during site visit.</p> <p>Verified map of the reservoir. The area 1,3 km² was confirmed by the local assessor.</p>	<p>Site visit/DR</p>	<p>Ok</p>
<p>Verify calibration procedure for the electricity meter.</p>	<p>It was informed that calibration of meter follows the ONS procedure. Copy of the procedure was provided to the auditor.</p> <p>The section D.5 of the PDD was revised (see version 2) to present the complete information.</p>	<p>DR/I</p>	<p>Ok</p>
<p>Verify evidences about the baseline emission factor (data sources and calculation).</p>	<p>Data were checked by the local assessor during onsite visit. The PDD was revised to include additional information; the emission factor (0.5364 t CO₂ e/MWh) is calculated according to the most recent data available from ONS.</p>	<p>DR/I</p>	<p>Ok</p>
<p>Verify environmental</p>	<p>Verified the environmental study "Diagnóstico Ambiental</p>	<p>DR/Site visit</p>	<p>Ok</p>



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requirements (local and national). Verify environmental licenses issued by the state agency.	Prévio da PCH – Braço Norte III”, March 1999 and September 1999, issued by TD Engenharia Ltda. Verified Previous license n° 035/99, 13/04/1999 issued by FEMA (Mato Grosso State Environmental Agency). Verified Installation license n° 121/2002, 18/04/2002 issued by FEMA. Verified Operation license n° 1948/2005, 22/11/2005 issued by FEMA.		