

# **REPORT OF THE CDM ACCREDITATION PANEL (CDM-AP)**

## **Fifty-second Meeting of the CDM-AP**

**17 - 20 January 2011**

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## **I. Introduction**

1. This report of the CDM Accreditation Panel (CDM-AP) covers the period from 23 October 2010 to 20 January 2011, including its fifty-second meeting (17-20 January 2011).

## **II. Status of applications**

2. The total number of entities currently under consideration by the CDM-AP is forty five (45), including thirty-four (34) designated operational entities (DOEs)<sup>1</sup> and eleven (11) applicant entities (AEs), including two new entities that applied for initial accreditation over the reporting period. So far, a total of nine (9) entities have withdrawn their applications, accreditation of one (1) entity has expired and three (3) applications have been rejected by the Executive Board of the Clean Development Mechanism (Board).

3. In terms of geographical distribution, out of the forty five (45) entities currently under consideration, the highest number of entities, twenty seven (27), are from the Asia and Pacific region, followed by fifteen (15) from the Western Europe and Other regions. One (1) entity is from Africa and two (2) from the Latin America and Caribbean region.

4. A total of nineteen (19) entities are from non-Annex I Parties, including sixteen (16) entities from the Asia and Pacific region, one (1) from Africa and two (2) from the Latin America and Caribbean region. With respect to individual countries, six (6) are from the Republic of Korea, five (5) entities are from China, three (3) from India, one (1) from Thailand, one (1) from Brazil, one (1) from Colombia, one (1) from Malaysia and one (1) from South Africa.

## **III. Update on work of the CDM-AP**

5. The CDM-AP reviewed the assessment plans of individual entities for 2011, including assessments for initial accreditation, re-accreditation, extension of scopes, regular surveillances, performance assessments and additional desk review assessments. The CDM-AP decided to review the assessment plans and the progress made in six months. The CDM-AP noted a large number of assessments planned for 2011, with more than 35 individual cases to be considered at each meeting.

6. The CDM-AP considered requests for clarification on the CDM accreditation standard (version 2.0) which were submitted by DOEs and other stakeholders. The CDM-AP agreed to respond on one individual case to the requesting DOE. The CDM-AP also established a working group to prepare a draft clarification on the definition of two technical areas, to be considered at the next meeting of the CDM-AP with a view of submitting this recommendation for the Board's approval.

7. The CDM-AP finalized its work on a guidance document for the CDM assessment team (CDM-AT) leaders, prepared after the second CDM-AT training workshop. The document ensures consistent assessment of compliance of the entities with requirements contained in the CDM accreditation standard version 2.0.

8. The CDM-AP revised several forms, aligning them with the revised CDM accreditation procedure and the CDM accreditation standard:

- (a) Non-conformity report form (F-CDM-NC);
- (b) On-site assessment report form (F-CDM-OR);

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<sup>1</sup> Includes entities accredited and provisionally designated by the Board.

- (c) Final assessment report form (F-CDM-FR);
- (d) Validation performance assessment report form (F-CDM-PAVal);
- (e) Verification performance assessment report form (F-CDM-PAVer);
- (f) Work plan form (F-CDM-W);
- (g) Self-completeness check form (F-CDM-SSC);
- (h) Accreditation certificate template.

The revised forms will be published on the UNFCCC CDM website.

9. The CDM-AP took note of the recent developments by the secretariat in the implementation of the framework to monitor performance of DOEs, including the new procedure adopted by the Board at its fifty-eighth meeting.

#### **IV. Case specific issues and recommendations for accreditation of entities**

10. The CDM-AP agreed on the work plans of two (2) new applicant entities, as well as on the work plan for the re-accreditation of one (1) entity.

11. The CDM-AP considered the final reports of five (5) initial accreditation assessments and of one (1) extension of scope assessment. Of these, a recommendation on four (4) cases will be submitted for consideration by the Board under confidentiality; in two (2) cases the entities were requested to implement further corrective actions.

12. The CDM-AP also considered twelve (12) final reports on regular on-site surveillances of central offices and non-central sites. Notifications on eleven (11) cases will be submitted for consideration by the Board under confidentiality; in one (1) case the entity was requested to implement further corrective actions.

13. The CDM-AP further considered eleven (11) final reports on performance assessments. Notifications on nine (9) cases will be submitted for consideration by the Board under confidentiality; in two (2) cases the entities were requested to implement further corrective actions.

14. Finally, the CDM-AP considered six (6) notifications on changes and three (3) other requests from AEs/DOEs.

#### **V. Expert Resources**

15. The CDM-AP considered a regular report on the status of internal and external assessment resources including an analysis of regional distribution of experts.

16. The CDM-AP reviewed the terms of reference of CDM-ATs, including qualification criteria for team members, and agreed to further work on the document at its next meeting.

17. The CDM-AP discussed the content of the next CDM-AT trainings, to take place in the first half of 2011.

18. The CDM-AP also considered a proposal on development of an online training course for the CDM-AT experts. The CDM-AP agreed to notify the Board that the development of the online training course will require a budget of approximately 150,000 USD.

## **VI. Further schedule of the CDM-AP**

19. The Board may wish to note that the fifty-third meeting of the CDM-AP is scheduled for 14-16 March 2011. The meeting was postponed by one week due to unavailability of a number of the CDM-AP members.

20. The CDM-AP would like to reiterate its request to the Board to increase the duration of all CDM-AP meetings in 2011 to four (4) days. This is done to ensure the ability of the CDM-AP to process the estimated volume of assessment cases, which includes an increased number of new entities as well as a significant increase in the number of performance assessments and other types of assessments, as referred to in paragraph 5 of this report.

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