

REPORT OF THE CDM ACCREDITATION PANEL (CDM-AP)

Forty-Ninth Meeting of the CDM-AP

23–25 June 2010

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I. Introduction

1. This report of the CDM Accreditation Panel (CDM-AP) covers the period from 1 May 2010 to 6 July 2010, including its forty-ninth meeting (23-25 June 2010) and an extraordinary meeting (6 July 2010).

II. Status of applications

2. The total number of entities currently under consideration by the CDM-AP is forty (40), including thirty-one (31) designated operational entities (DOEs)¹ and nine (9) applicant entities (AEs). A total of nine (9) entities have withdrawn their applications, accreditation of one (1) entity has expired and three (3) applications have been rejected by the Executive Board.

3. In terms of geographical distribution, out of the forty (40) entities currently under consideration, the highest number of entities, twenty-three (23), are from the Asia and Pacific region, followed by fifteen (15) from the Western Europe and Other regions. One (1) entity is from Africa and one (1) from the Latin America and Caribbean region.

4. A total of fifteen (15) entities are from Non-Annex I Parties, including thirteen (13) entities from the Asia and Pacific region, one (1) from Africa and one (1) from the Latin America and Caribbean region. With respect to individual countries, five (5) entities are from China, five (5) from Republic of Korea, two (2) from India, one (1) from Colombia, one (1) from Malaysia and one (1) from South Africa.

III. Update on work of the CDM-AP

5. The CDM-AP considered the progress made against its work plan for 2010, adopted by the Board at its fifty-second meeting. The CDM-AP agreed to revise the work plan to reflect the progress made, as contained in annex 1 to this report.

6. The CDM-AP continued its deliberations on the revision of the CDM Accreditation Standard, taking into consideration guidance provided by the CMP and the Board, as well as inputs provided by the DOE/AIE Coordination Forum. The work of the CDM-AP focused on the following areas:

- (a) Competence requirements, including definition of technical areas;
- (b) Measures to safeguard impartiality;
- (c) Allocation of functions to non-central sites.

7. During the workshop organized by the secretariat on 5 July 2010, the CDM-AP interacted with representatives of AEs/DOEs on all areas of the revision of the Standard. At the extraordinary CDM-AP meeting that took place immediately after the workshop, the CDM-AP took into account inputs received at the workshop and agreed on the concept of technical areas and related competence.

8. The CDM-AP will continue its work, taking into account guidance to be provided by the Board on the information note referred to in paragraph 7 above, with a view to presenting a draft revision of the Standard to the Board for its adoption at a future meeting.

9. The CDM-AP considered a draft of the revised CDM Accreditation Procedure and provided guidance to the secretariat for further work. The CDM-AP will continue its consideration of the document with a view to presenting a draft revision of the Procedure to the Board for its adoption at a future meeting.

¹ Includes entities accredited and provisionally designated by the Executive Board.

10. The CDM-AP also initiated the development of an “ABC guidance” document to enhance consistency of decision making of the CDM-AP on accreditation assessment cases. The CDM-AP will continue to develop this guidance document and will provide regular updates to the Board on its status.

11. The CDM-AP continued the information and experience sharing process with the Joint Implementation Accreditation Panel, aimed at exploring ways for further collaboration on common issues.

IV. Case specific issues and recommendations for accreditation of entities

12. The CDM-AP considered five (5) applications for initial accreditation, two (2) applications for re-accreditation, one (1) application for extension of sectoral scopes, one (1) regular on-site surveillance assessment and two (2) performance assessments. Recommendations and notifications on four (4) cases will be submitted for consideration by the Board under confidentiality.

13. The CDM-AP also considered two (2) spot-check follow-up assessments. Recommendations on the outcome of the two (2) cases will be submitted for consideration by the Board under confidentiality.

14. Furthermore, the CDM-AP considered information received regarding conformity of a DOE with a CDM accreditation requirement. In accordance with paragraph 91 (d) of the CDM Accreditation Procedure, the CDM-AP decided to recommend a spot-check of that DOE. The recommendation will be submitted to the Board under confidentiality.

V. Expert Resources

15. The CDM-AP considered revised terms of reference for CDM assessment teams, including revised qualification criteria. The CDM-AP agreed to finalize the terms of reference and to apply them to qualification of new assessment team members.

16. The CDM-AP considered results of the monitoring of assessment team members’ performance and requested the secretariat to continue providing this information, as a part of the regular updates on the status and geographical distribution of the assessment resources.

17. The CDM-AP also considered the outcome of the training workshop for assessment team experts, organized on 19-20 May 2010 in Bonn, Germany. The CDM-AP requested the secretariat to organize in 2010 a second training for assessment experts and to invite to this meeting all CDM-AP members and all experts on the roster, who did not participate in the first training session.

18. The CDM-AP further requested the secretariat to intensify the development of an online training course on CDM accreditation for everyone on the roster of experts using as a base the online training course on JI accreditation.

VI. Key issues under consideration

19. The following key issues are under consideration by the CDM-AP, in line with its work plan for 2010:

- (a) Regular review and preparation for the revision of core accreditation documents, including the CDM Accreditation Procedure, the CDM Accreditation Standard and the Validation and Verification Manual;
- (b) Measures to ensure impartiality, independence and technical competence of DOEs;
- (c) Measures to protect project activities from undue prejudice by a suspension or withdrawal of accreditation status of a DOE doing its validation or verification;

- (d) Additional enabling sanctions to those that are already available in the accreditation process;
- (e) The development and application of a system for continuous monitoring of the performance of DOEs, including its use within the accreditation process.

VII. Further schedule of the CDM-AP

20. The Board may wish to note that the fiftieth meeting of the CDM-AP is tentatively scheduled for 18-20 August 2010, in Bonn, Germany.

21. Taking note of the volume of work planned for this session, the CDM-AP requests the Board to consider extending the duration of that meeting by one day.

Annex 1

UPDATED CDM ACCREDITATION PANEL (CDM-AP) WORK PLAN FOR 2010

	Specific issue	Activity	Consideration by CDM-AP	Expected output to EB	Output to EB
I	Enhancement of the accreditation system				
1	Measures to ensure impartiality, independence and technical competence of DOEs	Revision of the Standard (sections related to impartiality and TAs)	AP 47, 48, 49, 49bis	Appropriate requirements and guidance in the next revision of the accreditation standard.	EB 55
2	Measures to protect project activities from undue prejudice by a suspension or withdrawal of accreditation status of a DOE doing its validation or verification	Revision of the Standard (sections related to liability) Revision of the Procedure (sections related to suspension and withdrawal)	AP 48, 49 AP 48, 49, 50	Appropriate requirements and guidance in the next revision of the accreditation standard.	EB 55 EB 56
3	Measures to promote improved levels of training for validators and verifiers	Revision of the Standard (sections related to TAs) Revision of the Standard (competence)	AP 47, 48, 49, 49bis TBD	Appropriate requirements and guidance in the next revision of the accreditation standard. Further requirements and guidance related to the competence as a whole	EB 55 EB 56+
		Contribution to programme of VVM workshops, JWS and other trainings organized by the Board	Regular	As appropriate	As appropriate
		Providing access for DOE's validators and verifiers to CDM-AT on-line training materials	AP 50	Part of the progress report	EB 56
4	Additional enabling sanctions to those that already are available in the accreditation process	Revision of the Procedure Input to the Framework	AP 47, 49, 50	Appropriate provisions and guidance in the next revision of the accreditation procedure	EB 56
5	ABC guidance to enhance consistency of the accreditation process	Review and evaluation of past NCs and development of a guidance document for consideration of accreditation cases	Regular	ABC guidance document Appropriate provisions and guidance in the next revision of the accreditation procedure.	As appropriate EB 56

	Specific issue	Activity	Consideration by CDM-AP	Expected output to EB	Output to EB
6	Other measures to strengthen the accreditation process	Other activities as informed by consideration of individual accreditation cases and performance of DOEs	Regular	As appropriate	As appropriate
II	DOE performance monitoring				
1	The development and application of a system for continuous monitoring of the performance of DOEs	Input to a report on the implementation of phase 1	AP 47	Part of the secretariat's progress update	EB 53
		Input to a report on the implementation of phase 2	AP 51	Part of the secretariat's progress update	EB 57
		Revision of Procedure	AP 50		EB 56
		Input to a report on the result of the review of the implementation of the framework.	AP 50	Part of the secretariat's review report	EB 56
2	Consolidation of the Accreditation process and the DOE performance monitoring	Revision of the Standard and Procedure	AP 51, 52	Appropriate requirements and guidance in the next revision of the accreditation standard and procedure	EB 58
III	Annual reporting by DOEs				
1	Enhance annual reporting by DOEs, including information on capacity, time frames, fees and regional distribution of projects originated from countries hosting fewer than 10 registered CDM project activities.	Revision of the annual reporting guideline	AP 47	Revised annual reporting guideline	EB 53
IV	VVM				
1	VVM update 2.0	A major review of the VVM based on experiences gained from the previous 3 revisions and their application and other stakeholder input.	AP 50, 51	VVM 2.0	EB 58
